# Fairfax County, Virginia

# Fiscal Year 2011
# Advertised Budget

# Volume 2:
# Capital Construction and Other Operating Funds

1742


Prepared by the
Fairfax County Department of Management and Budget
12000 Government Center Parkway
Suite 561
Fairfax, Virginia 22035

http://www.fairfaxcounty.gov/dmb/

1742

GOVERNMENT FINANCE OFFICERS ASSOCIATION

# *Distinguished Budget Presentation Award*

**PRESENTED TO**

**Fairfax County**

**Virginia**

**Special Performance Measures Recognition**

For the Fiscal Year Beginning

**July 1, 2009**

President | Executive Director

The Government Finance Officers Association of the United States and Canada (GFOA) presented an award of Distinguished Budget Presentation to Fairfax County, Virginia for its annual budget for the fiscal year beginning July 1, 2009.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device.

This award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

# BUDGET CALENDAR

**For preparation of the FY 2011 Budget**

**July 1, 2009**
Distribution of the FY 2011 budget development guide. Fiscal Year 2010 begins.

**September - October 2009**
Agencies forward completed budget submissions to the Department of Management and Budget (DMB) for review.

**September - December 2009**
The County and FCPS solicits public input for the FY 2011 budget through 15 Community Dialogues, 5 Employee Forums, and online and telephone forums for public comment to guide the development of a budget framework.
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**February 4, 2010**
School Board advertises its FY 2011 Budget.

**February 23, 2010**
County Executive's presentation of the FY 2011 Advertised Budget Plan.

**March 2010**
Board authorization for publishing FY 2010 tax and budget advertisement.

**April 6, 7, and 8, 2010**
Public hearings on proposed FY 2011 budget, *FY 2010 Third Quarter Review* and FY 2011-2015 Capital Improvement Program (with Future Years to 2020) (CIP).

**April 20, 2010**
Board action on *FY 2010 Third Quarter Review*. Board mark-up of the FY 2011 proposed budget.

**April 27, 2010**
Adoption of the FY 2011 budget plan, Tax Levy and Appropriation Ordinance by the Board of Supervisors.

**June 30, 2010**
Distribution of the FY 2011 Adopted Budget Plan. Fiscal Year 2010 ends.

**July 1, 2010**
Fiscal Year 2011 begins.

# Board Goals & Priorities
# December 7, 2009

By **engaging** our residents and businesses in the process of addressing these challenging times, **protecting investment** in our **most critical priorities**, and by **maintaining strong responsible fiscal stewardship**, **we must ensure:**

√ **A quality educational system**
Education is Fairfax County's highest priority. We will continue the investment needed to protect and enhance this primary community asset. Our children are our greatest resource. Because of our excellent schools, businesses are eager to locate here and our children are able to find good jobs. A well-educated constituency is best able to put back into their community.

√ **Safe streets and neighborhoods**
Fairfax County is the safest community of our size in the U.S. We will continue to invest in public safety to respond to emergency situations, as well as efforts to prevent and intervene in destructive behaviors, such as gang activity and substance abuse.

√ **A clean, sustainable environment**
Fairfax County will continue to protect our drinking water, air quality, stream valleys and tree canopy through responsible environmental regulations and practices. We will continue to take a lead in initiatives to address energy efficiency and sustainability and to preserve and protect open space for our residents to enjoy.

√ **Livable, caring and affordable communities**
As Fairfax County continues to grow we will do so in ways that address **environmental** and **mobility** challenges. We will encourage housing that is affordable to our children, seniors and members of our workforce. We will provide compassionate and efficient services to members of our community who are in need. We will continue to protect and support our stable lower density neighborhoods. We will encourage and support participation in community organizations and other activities that address community needs and opportunities.

√ **A vibrant economy**
Fairfax County has a well-earned reputation as a business-friendly community. We will vigorously pursue **economic development** and **revitalization** opportunities. We will support the business community and encourage this healthy partnership. We will continue to be sensitive and responsive to the needs of our corporate neighbors in the areas of **workforce development** and **availability, affordable housing, regulation and taxation**.

√ **Efficient transportation network**
Fairfax County makes it a priority to connect People and Places. We will continue to plan for and invest in transportation improvements to include comprehensive bicycle and pedestrian initiatives, bus and para transit, road and intersection improvements and expansion of Metrorail and VRE.

√ **Recreational and cultural opportunities**
A desirable community is one where there is a lot going on that residents can enjoy. Fairfax County will continue to provide for athletic, artistic, intellectual and recreational activities, in our communities, parks, libraries and schools.

√ **Taxes that are affordable**
The property tax is Fairfax County's primary source of revenue to provide services. We will ensure that taxes are affordable for our residents and businesses, and we will seek ways to diversify County revenues in order to make our tax base more equitable. We will ensure that County programs and services are efficient, effective and well run.

*Note: The Board of Supervisors adopted its own goals and priorities in December 2009. In addition, in 2004 County staff developed long-term vision elements for strategic planning purpose (see next page).*

# Fairfax County Vision Elements

**To protect and enrich the quality of life for the people, neighborhoods, and diverse communities of Fairfax County by:**

### Maintaining Safe and Caring Communities -

The needs of a diverse and growing community are met through innovative public and private services, community partnerships and volunteer opportunities. As a result, residents feel safe and secure, capable of accessing the range of services and opportunities they need, and are willing and able to give back to their community.

### Building Livable Spaces -

Together, we encourage distinctive "built environments" that create a sense of place, reflect the character, history, and natural environment of the community, and take a variety of forms – from identifiable neighborhoods, to main streets, to town centers. As a result, people throughout the community feel they have unique and desirable places to live, work, shop, play, and connect with others.

### Connecting People and Places -

Transportation, technology, and information effectively and efficiently connect people and ideas. As a result, people feel a part of their community and have the ability to access places and resources in a timely, safe, and convenient manner.

### Maintaining Healthy Economies -

Investments in the work force, jobs, and community infrastructure and institutions support a diverse and thriving economy. As a result, individuals are able to meet their needs and have the opportunity to grow and develop their talent and income according to their potential.

### Practicing Environmental Stewardship -

Local government, industry, and residents seek ways to use all resources wisely and to protect and enhance the County's natural environment and open space. As a result, residents feel good about their quality of life and embrace environmental stewardship as a personal and shared responsibility.

### Creating a Culture of Engagement -

Individuals enhance community life by participating in and supporting civic groups, discussion groups, public-private partnerships, and other activities that seek to understand and address community needs and opportunities. As a result, residents fell that they can make a difference and work in partnership with others to understand and address pressing public issues.

### Exercising Corporate Stewardship -

Fairfax County government is accessible, responsible, and accountable. As a result, actions are responsive, providing superior customer service and reflecting sound management of County resources and assets.

*Note: The Board of Supervisors adopted its own goals and priorities in December 2009 (see previous page). In addition, in 2004 County staff developed long-term vision elements for strategic planning purpose.*

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**Housing and Community Development Programs**



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**Fairfax County Park Authority Trust Funds**



**Alcohol Safety Action Program**

# How to Read the Budget

Volume 2 contains information on non-General Fund budgets or "Other Funds." A fund accounts for a specific set of activities that a government performs. For example, refuse disposal is an activity and therefore, a fund that is classified as a Special Revenue Fund.

Each County fund is represented with its own narrative that contains programming and budgetary information. The narratives have several elements including:

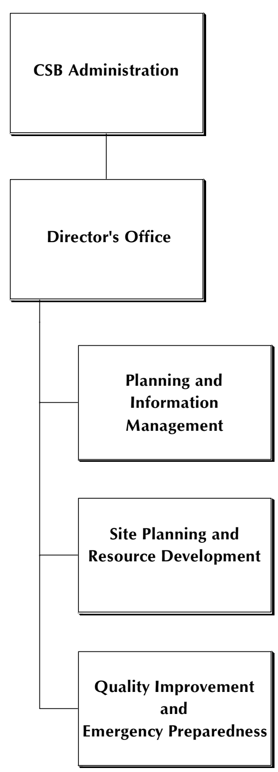
- Organization Chart
- Agency Mission and Focus
- FY 2011 Budget Reduction Impact Summary
- Budget and Staff Resources
- Funding Adjustments
- Cost Centers (funding and position detail)
- Cost Center Specific Goals, Objectives and Key Performance Measures
- Performance Measurement Results
- Fund Statement
- Summary of Capital Projects

Not all narratives will contain each of these components. For example, funds that are classified as Capital Funds will not have organization charts because staff positions are not budgeted in these funds; that is, they only provide funding for the purchase and construction of capital construction projects. However, Capital Funds do have a summary of capital projects that lists the cost of each project in a fund. A brief example of each section follows.

## *Organization Chart*

The organization chart displays the organizational structure of each fund. An example depicting the organizational structure of the Community Services Board - Administration is shown below.

**COMMUNITY SERVICES BOARD**
**ADMINISTRATION**



# How to Read the Budget

### *Agency Mission and Focus*
The agency mission is a broad statement reflecting intended accomplishments for achievement of the agency's public purpose. It describes the unique contribution of the organization to the County government and residents receiving services and provides a framework within which an agency operates. The agency focus section includes a description of the agency's programs and services. The agency's relationship with County boards, authorities or commissions may be discussed here, as well as key drivers or trends that may be influencing how the agency is conducting business. The focus section is also designed to inform the reader about the strategic direction of the agency and the challenges that it is currently facing.

### *FY 2011 Budget Reduction Impact Summary*
In order to address a projected FY 2011 budget shortfall, the County Executive has proposed a series of budget reductions affecting nearly all General Fund agencies and General Fund-supported funds. While the majority of Volume 2 focuses on non-General Fund supported funds, there are several funds in Volume 2 which receive support in the form of a General Fund transfer, thus this section is included for applicable funds. This section is intended to highlight the major operational, programmatic, and workload-related challenges agencies will experience as a result of FY 2011 budget reductions.

### *Budget and Staff Resources*
It is important to note that expenditures are summarized in three categories. *Personnel Services* consist of expenditure categories including regular pay, shift differential, limited and part-time salaries, and overtime pay. *Operating Expenses* are the day-to-day expenses involved in the administration of the agency, such as office supplies, printing costs, repair and maintenance for equipment, and utilities. *Capital Equipment* includes items that have a value that exceeds $5,000 and an expected life of more than one year, such as an automobile or other heavy equipment. In addition, some agencies will also have a fourth expenditure category entitled *Recovered Costs*. Recovered Costs are reimbursements from other County agencies for specific services or work performed or reimbursements of work associated with capital construction projects. These reimbursements are reflected as a negative figure in the agency's budget, thus offsetting expenditures.

A Summary Table is provided including the agency's positions, expenditures less recovered costs, and income/revenue (if applicable).

### *Funding Adjustments*
This section summarizes changes to the budget. The first part of this section includes adjustments from the FY 2010 Adopted Budget Plan necessary to support the FY 2011 program. Where applicable, a table summarizing reductions necessary to balance the FY 2011 budget is included in this section.

The second part of this section includes revisions to the current year budget that have been made since its adoption. All adjustments as a result of the *FY 2009 Carryover Review* and any other changes through December 31, 2009 are reflected here. Funding adjustments are generally presented programmatically and include Personnel Services, Operating Expenses and other costs.

# How to Read the Budget

### *Cost Centers*

As an introduction to the more detailed information contained for each functional area or cost center, a list of the cost centers is included with a graphic representation of the FY 2011 budget by cost center. In addition, each cost center is highlighted by several icons which indicate the various vision elements that are supported by the programs and services within the cost center. A listing of the staff resources for each cost center is also included.

### *Key Performance Measures*

Most cost centers include goals, objectives and performance indicators. Goals are broad statements of purpose, generally indicating what service or product is provided, for whom, and why. Objectives are outcome-based statements of specifically what will be accomplished during the budget year. Ideally, these objectives should support the goal statement, reflect the planned benefit(s) to customers, be written to allow measurement of progress and describe a quantifiable target. Indicators are the first-level data for reporting performance on those objectives.

A Family of Measures is provided to present an overall view of a program so that factors such as cost can be balanced with customer satisfaction and the outcome ultimately achieved. The concept of a Family of Measures encompasses the following types of indicators and serves as the structure for a performance measurement model that presents a comprehensive picture of program performance as opposed to a single-focus orientation.

- Input: Value of resources used to produce an output.
- Output: Quantity or number of units produced.
- Efficiency: Inputs used per unit of output.
- Service Quality: Degree to which customers are satisfied with a program, or the accuracy or timeliness with which the product/service is provided.
- Outcome: Qualitative consequences associated with a program.

### *Performance Measurement Results*

This section includes a discussion and analysis of how the agency's performance measures relate to the provision of activities, programs, and services stated in the agency mission. The results of current performance measures are discussed, as well as conditions that contributed to the level of performance achieved and action plans for future-year improvement of performance targets.

## *Fund Statement*

A fund statement provides a breakdown of all collected revenues and total expenditures and disbursements for a given fiscal year. It also provides the total funds available at the beginning of a fiscal year and an ending balance. An example follows:

Fund Type → Fund Type H94, FCRHA General Revenue

Fund 940, FCRHA General Operating ← Fund

**FUND STATEMENT**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$11,417,222** | **$11,417,222** | **$11,606,881** | **$11,806,428** |
| Revenue: | | | | |
| Investment Income[1] | $194,307 | $246,716 | $246,716 | $194,307 |
| Monitoring/ Developer Fees[2] | 848,323 | 740,744 | 944,911 | 581,507 |
| Rental Income | 67,339 | 68,528 | 68,528 | 73,248 |
| Program Income[3] | 1,749,691 | 1,440,544 | 1,326,680 | 1,155,370 |
| Other Income | 455,570 | 396,388 | 614,178 | 402,322 |
| Total Revenue | $3,315,230 | $2,892,920 | $3,201,013 | $2,406,754 |
| **Total Available** | **$14,732,452** | **$14,310,142** | **$14,807,894** | **$14,213,182** |
| Expenditures: | | | | |
| Personnel Services[4] | $2,321,922 | $2,014,825 | $2,116,895 | $1,611,139 |
| Operating Expenses[5] | 803,649 | 847,441 | 884,571 | 795,615 |
| Total Expenditures | $3,125,571 | $2,862,266 | $3,001,466 | $2,406,754 |
| **Total Disbursements** | **$3,125,571** | **$2,862,266** | **$3,001,466** | **$2,406,754** |
| **Ending Balance** | **$11,606,881** | **$11,447,876** | **$11,806,428** | **$11,806,428** |
| Debt Service Reserve on One University Plaza | $278,106 | $2,402,086 | $2,195,925 | $2,195,925 |
| Cash with Fiscal Agent | 6,250,405 | 6,710,193 | 6,854,000 | 6,854,000 |
| **Unreserved Ending Balance** | **$5,078,370** | **$2,335,597** | **$2,756,503** | **$2,756,503** |

Revenue Categories →

Expenditure Categories →

Total Funds Available minus Total Disbursements →

← Funds available at the beginning of the fiscal year

← Revenue available for expenditure during the fiscal year

Ending Balance minus escrow reserves ←

[1] The FY 2011 decrease is due to anticipated declines in interest income.

[2] The FY 2011 decrease is due to anticipated declines in developer fee incomes.

[3] The FY 2011 decrease is to reflect the declines in Home Improvement Loan Program (HILP) and Moderate Income Direct Sales (MIDS) program income.

[4] The FY 2011 decrease is due to savings in personnel expenditures as a result of position adjustments.

[5] The FY 2011 operating budget request is based on a three-year average of prior years' actuals.

## *Summary of Capital Projects:*

A summary of capital projects is included in all Capital Project Funds, and selected Enterprise Funds, Housing Funds and Special Revenue Funds that support capital expenditures. The summary of capital projects provides detailed financial information about each capital project within each fund, including: total project estimates, prior year expenditures, revised budget plans, and proposed funding levels. The summary of capital projects may include some projects without a Total Project Estimate amount. These projects are considered "Continuing" projects, or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

### FY 2011 Summary of Capital Projects

### Fund: 301 Contributed Roadway Improvement Fund

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 007700 | Fairfax Center Reserve | | $0.00 | $5,276,535.32 | $0 |
| 007701 | Route 50/Waples Mill Interchange | 4,583,618 | 6,350.03 | 484,211.08 | 0 |
| 007702 | Tall Timbers Drive | 1,450,000 | 25,237.94 | 97,040.20 | 0 |
| 008800 | Centreville Reserve | | 0.00 | 462,030.86 | 0 |
| 008801 | Stone Road | 2,084,903 | 87,477.41 | 90,190.59 | 0 |
| 008802 | Clifton Road | 5,128,595 | 0.00 | 91,954.52 | 0 |
| 008803 | Route 29 Widening | 1,455,771 | 72,464.78 | 607,925.52 | 0 |
| 008804 | Poplar Tree Road | 550,000 | 157,872.60 | 72,241.27 | 0 |
| 009900 | Miscellaneous Contributions | | 149,724.41 | 17,424,857.54 | 0 |
| 009901 | Primary Improvements | 424,584 | 0.00 | 424,584.00 | 0 |
| 009902 | Secondary Improvements | 1,033,765 | 0.00 | 36,297.00 | 0 |
| 009903 | Bridge Design/Construction | 8,369 | 0.00 | 8,369.00 | 0 |
| 009904 | Intersection/Interchange | 385,282 | 0.00 | 311,975.00 | 0 |
| 009906 | Signal Installations | 581,707 | 47,002.19 | 48,135.38 | 0 |
| 009908 | Transit Improvements | 32,325 | 0.00 | 5,381.59 | 0 |
| 009909 | Reston East Park-N-Ride | 103,862 | 0.00 | 103,862.00 | 0 |
| 009911 | Tysons Corner Reserve | | 420,306.00 | 14,309,885.19 | 0 |
| 009913 | Dolley Madison Blvd | 8,945,941 | 0.00 | 1,345,921.95 | 0 |
| 009914 | Job Access/Reserve Commute Pedestrian Improvements | 997,800 | 59,949.34 | 715,720.92 | 0 |
| 009915 | Tysons Corner Grid Concept | 2,500,000 | 0.00 | 2,500,000.00 | 0 |
| 009916 | Tysons Circulator Feasibility Study | 500,000 | 0.00 | 500,000.00 | 0 |
| 009917 | Tysons Metrorail Access Management | 350,000 | 0.00 | 350,000.00 | 0 |
| **Total** | | **$31,116,522** | **$1,026,384.70** | **$45,267,118.93** | **$0** |

# FOR ADDITIONAL INFORMATION

**Information** regarding the contents of this or other budget volumes can be provided by calling the Fairfax County Department of Management and Budget at 703-324-2391 from 8:00 a.m. to 4:30 p.m.

**Internet Access**: The Fairfax County budget is also available for viewing on the Internet at:

**http://www.fairfaxcounty.gov/dmb/**

**Reference** copies of all budget volumes are available at all branches of the Fairfax County Public Library:

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Fairfax, VA  22030
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**Reston Regional**
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Reston, VA  20190-3311
703-689-2700

**Centreville Regional**
14200 St. Germain Drive
Centreville, VA  20121-2299
703-830-2223

**Great Falls**
9830 Georgetown Pike
Great Falls, VA  22066–2634
703-757-8560

**John Marshall**
6209 Rose Hill Drive
Alexandria, VA  22310-6299
703-971-0010

**Dolley Madison**
1244 Oak Ridge Avenue
McLean, VA  22101-2818
703-356-0770

**Thomas Jefferson (temporary location)**
St. Philip Catholic Church
7500T St. Philips Court
Falls Church, VA 22042
703-573-1060

**Burke Centre**
5935 Freds Oak Road
Burke, VA  22015-2599
703-249-1520

**George Mason Regional**
7001 Little River Turnpike
Annandale, VA  22003-5975
703-256-3800

**Sherwood Regional**
2501 Sherwood Hall Lane
Alexandria, VA  22306-2799
703-765-3645

**Tysons-Pimmit Regional**
7584 Leesburg Pike
Falls Church, VA  22043-2099
703-790-8088

**Herndon Fortnightly**
768 Center Street
Herndon, VA  20170-4640
703-437-8855

**Lorton**
9520 Richmond Highway
Lorton, VA  22079-2124
703-339-7385

**Richard Byrd (temporary location)**
Bank of America Building, 2nd floor
6315 Backlick Road
Springfield, VA 22150
703-451-8055

**Kingstowne**
6500 Landsdowne Centre
Alexandria, VA 22315-5011
703-339-4610

**Oakton**
10304 Lynnhaven Place
Oakton, VA 22124-1785
703-242-4020

**Pohick Regional**
6450 Sydenstricker Road
Burke, VA  22015-4274
703-644-7333

**Chantilly Regional**
4000 Stringfellow Road
Chantilly, VA  20151-2628
703-502-3883

**Martha Washington (temporary location)**
Krispy Korner Center
6328 Richmond Highway, Unit F
Alexandria, VA 22306
703-768-6700

**Kings Park**
9000 Burke Lake Road
Burke, VA  22015-1683
703-978-5600

**Patrick Henry**
101 Maple Avenue East
Vienna, VA  22180-5794
703-938-0405

**Woodrow Wilson**
6101 Knollwood Drive
Falls Church, VA  22041-1798
703-820-8774

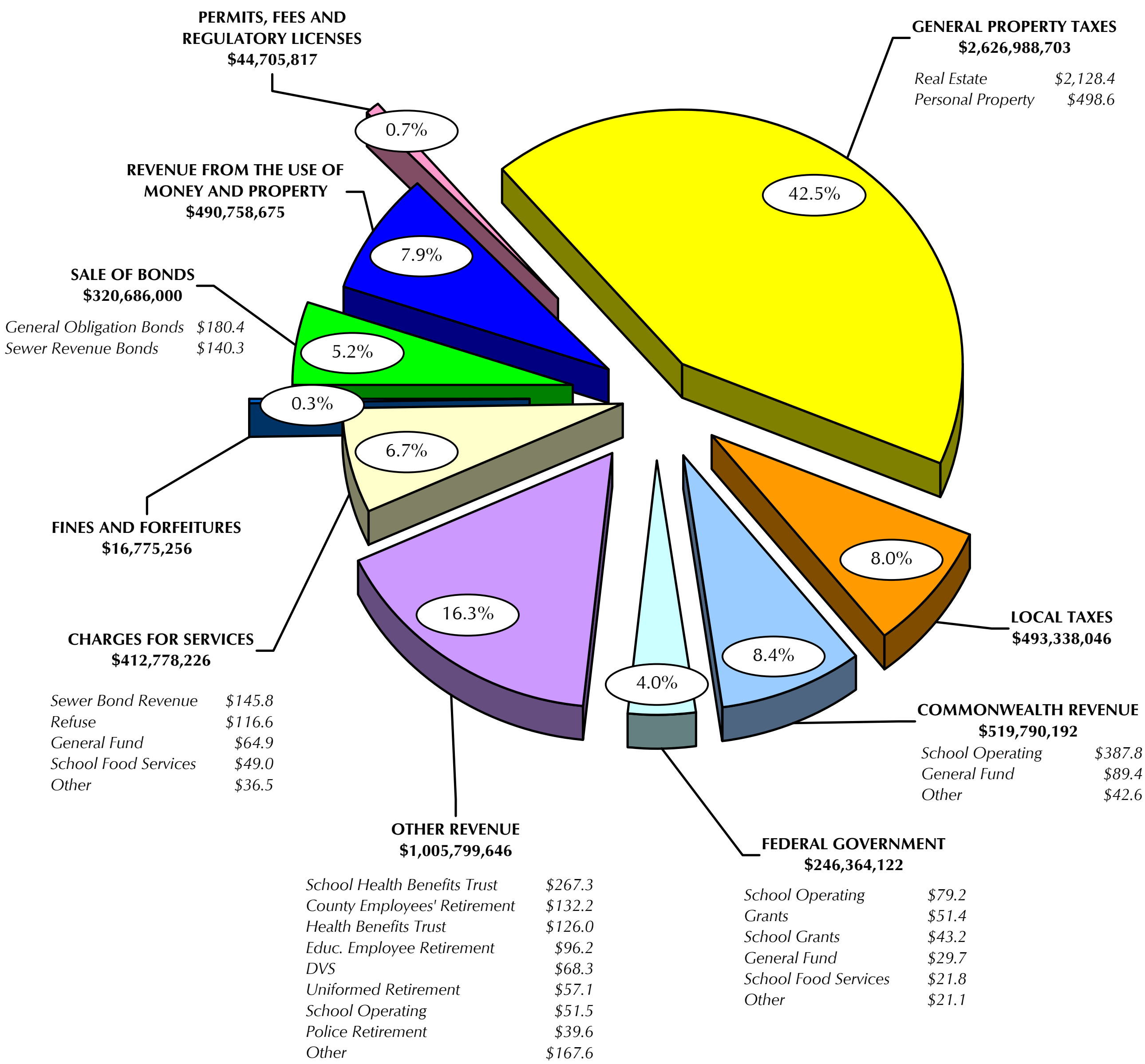
**Access Services**
12000 Government Center Parkway,
Suite 123
Fairfax, VA 22035-0012
703-324-8380
TTY 703-324-8365

**Additional** copies of budget documents are also available on compact disc (CD) from the Department of Management and Budget (DMB) at no extra cost. Please call DMB in advance to confirm availability of all budget publications.

Department of Management and Budget
12000 Government Center Parkway, Suite 561
Fairfax, VA  22035-0074
(703) 324-2391

# FY 2011 REVENUE ALL FUNDS

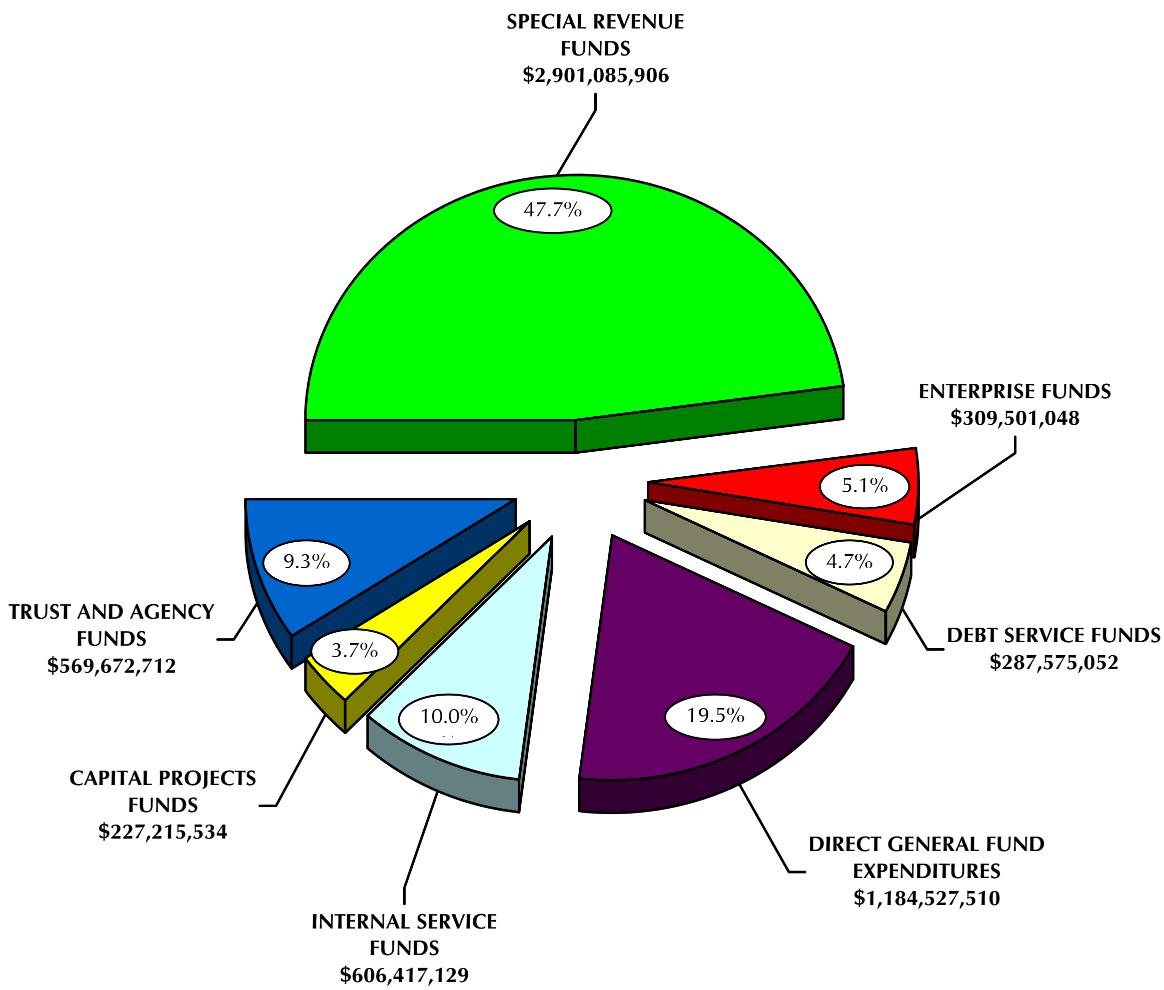
(subcategories in millions)




## TOTAL REVENUE = $6,177,984,683

For presentation purposes, Personal Property Taxes of $211,313,944 that are reimbursed by the Commonwealth as a result of the Personal Property Tax Relief Act of 1998 are included in the Personal Property Taxes category.

# FY 2011 EXPENDITURES ALL FUNDS




**TOTAL EXPENDITURES = $6,085,994,891**

# FY 2011 ADVERTISED REVENUE AND RECEIPTS BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Actual [1] | FY 2010 Adopted Budget Plan [2] | FY 2010 Revised Budget Plan [3] | FY 2011 Advertised Budget Plan [4] | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **GOVERNMENTAL FUNDS** | | | | | | |
| **G00 General Fund Group** | | | | | | |
| 001 General Fund | $3,331,664,484 | $3,313,966,500 | $3,316,665,887 | $3,237,874,570 | ($78,791,317) | (2.38%) |
| 002 Revenue Stabilization Fund | 4,104,745 | 0 | 0 | 0 | 0 | - |
| **Total General Fund Group** | **$3,335,769,229** | **$3,313,966,500** | **$3,316,665,887** | **$3,237,874,570** | **($78,791,317)** | **(2.38%)** |
| **G10 Special Revenue Funds** | | | | | | |
| 090 Public School Operating | $548,690,891 | $506,996,531 | $552,650,060 | $518,415,974 | ($34,234,086) | (6.19%) |
| 100 County Transit Systems | 27,651,260 | 37,205,750 | 40,288,750 | 31,691,996 | (8,596,754) | (21.34%) |
| 102 Federal/State Grant Fund | 50,315,310 | 56,831,244 | 107,511,885 | 60,046,908 | (47,464,977) | (44.15%) |
| 103 Aging Grants & Programs | 3,746,072 | 3,494,502 | 4,302,517 | 3,682,087 | (620,430) | (14.42%) |
| 104 Information Technology | 1,845,302 | 1,100,418 | 1,100,418 | 500,000 | (600,418) | (54.56%) |
| 105 Cable Communications | 16,619,207 | 15,628,528 | 15,628,528 | 16,925,224 | 1,296,696 | 8.30% |
| 106 Fairfax-Falls Church Community Services Board | 44,667,470 | 45,185,827 | 48,680,503 | 47,220,473 | (1,460,030) | (3.00%) |
| 108 Leaf Collection | 2,528,799 | 2,263,651 | 2,263,651 | 1,924,086 | (339,565) | (15.00%) |
| 109 Refuse Collection and Recycling Operations | 20,399,432 | 20,931,650 | 21,931,650 | 20,233,973 | (1,697,677) | (7.74%) |
| 110 Refuse Disposal | 55,525,947 | 63,470,683 | 63,470,683 | 57,201,639 | (6,269,044) | (9.88%) |
| 111 Reston Community Center | 8,189,760 | 8,117,508 | 7,687,121 | 7,655,587 | (31,534) | (0.41%) |
| 112 Energy Resource Recovery (ERR) Facility | 31,826,495 | 35,816,578 | 35,816,578 | 34,353,508 | (1,463,070) | (4.08%) |
| 113 McLean Community Center | 5,990,775 | 5,695,595 | 5,695,595 | 5,603,955 | (91,640) | (1.61%) |
| 114 I-95 Refuse Disposal | 5,852,208 | 7,690,517 | 7,690,517 | 6,575,814 | (1,114,703) | (14.49%) |
| 115 Burgundy Village Community Center | 63,107 | 59,953 | 59,953 | 57,610 | (2,343) | (3.91%) |
| 116 Integrated Pest Management Program | 2,354,202 | 1,993,715 | 1,993,715 | 1,814,188 | (179,527) | (9.00%) |
| 120 E-911 Fund | 23,990,148 | 24,271,102 | 24,271,102 | 23,236,680 | (1,034,422) | (4.26%) |
| 121 Dulles Rail Phase I Transportation Improvement District | 30,131,737 | 27,896,548 | 27,896,548 | 23,768,271 | (4,128,277) | (14.80%) |
| 122 Dulles Rail Phase II Transportation Improvement District [5] | 0 | 0 | 0 | 3,597,035 | 3,597,035 | - |
| 124 County & Regional Transportation Projects | 52,567,744 | 50,900,000 | 100,900,000 | 43,105,550 | (57,794,450) | (57.28%) |
| 125 Stormwater Services [6] | 0 | 10,250,000 | 10,250,000 | 28,000,000 | 17,750,000 | 173.17% |
| 141 Elderly Housing Programs | 2,206,737 | 2,069,738 | 2,069,738 | 2,232,945 | 163,207 | 7.89% |
| 142 Community Development Block Grant | 6,382,128 | 5,928,982 | 15,886,586 | 5,982,304 | (9,904,282) | (62.34%) |
| 143 Homeowner and Business Loan Programs | 4,828,873 | 1,870,161 | 3,739,175 | 3,883,825 | 144,650 | 3.87% |
| 144 Housing Trust Fund | 855,400 | 1,250,000 | 1,250,000 | 840,000 | (410,000) | (32.80%) |
| 145 HOME Investment Partnerships Grant | 4,075,599 | 2,448,682 | 7,521,781 | 2,707,657 | (4,814,124) | (64.00%) |
| 191 School Food & Nutrition Services | 70,696,685 | 68,527,565 | 68,796,694 | 71,736,004 | 2,939,310 | 4.27% |
| 192 School Grants & Self Supporting | 36,609,052 | 46,087,681 | 71,375,509 | 54,009,387 | (17,366,122) | (24.33%) |
| 193 School Adult & Community Education | 8,924,469 | 11,314,784 | 10,059,184 | 9,993,558 | (65,626) | (0.65%) |
| **Total Special Revenue Funds** | **$1,067,534,809** | **$1,065,297,893** | **$1,260,788,441** | **$1,086,996,238** | **($173,792,203)** | **(13.78%)** |

# FY 2011 ADVERTISED REVENUE AND RECEIPTS BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Actual [1] | FY 2010 Adopted Budget Plan [2] | FY 2010 Revised Budget Plan [3] | FY 2011 Advertised Budget Plan [4] | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **G20 Debt Service Funds** | | | | | | |
| 200/201 Consolidated Debt Service | $7,537,571 | $405,000 | $405,000 | $390,000 | ($15,000) | (3.70%) |
| **G30 Capital Project Funds** | | | | | | |
| 301 Contributed Roadway Improvement Fund | $5,315,199 | $3,565,996 | $4,716,418 | $110,000 | ($4,606,418) | (97.67%) |
| 302 Library Construction | 4,514,277 | 0 | 21,588,348 | 0 | (21,588,348) | (100.00%) |
| 303 County Construction | 3,146,907 | 1,515,000 | 1,895,003 | 1,400,000 | (495,003) | (26.12%) |
| 304 Transportation Improvements | 17,553,631 | 0 | 139,158,284 | 0 | (139,158,284) | (100.00%) |
| 306 Northern Virginia Regional Park Authority | 3,600,000 | 2,700,000 | 2,700,000 | 2,700,000 | 0 | 0.00% |
| 307 Pedestrian Walkway Improvements | 161,033 | 0 | 3,204,172 | 0 | (3,204,172) | (100.00%) |
| 309 Metro Operations & Construction | 0 | 23,915,688 | 56,282,697 | 22,692,000 | (33,590,697) | (59.68%) |
| 311 County Bond Construction | 39,484,567 | 0 | 61,569,160 | 0 | (61,569,160) | (100.00%) |
| 312 Public Safety Construction | 3,999 | 0 | 90,519,134 | 0 | (90,519,134) | (100.00%) |
| 314 Neighborhood Improvement Program | 5,686 | 5,000 | 5,000 | 5,000 | 0 | 0.00% |
| 315 Commercial Revitalization Program | 384,984 | 0 | 4,066,209 | 0 | (4,066,209) | (100.00%) |
| 316 Pro Rata Share Drainage Construction | 4,144,554 | 0 | 13,839,708 | 0 | (13,839,708) | (100.00%) |
| 317 Capital Renewal Construction | 486,516 | 0 | 9,000,000 | 5,000,000 | (4,000,000) | (44.44%) |
| 318 Stormwater Management Program | 23,330,208 | 0 | 1,504,091 | 0 | (1,504,091) | (100.00%) |
| 319 The Penny for Affordable Housing Fund | 23,783,640 | 10,270,000 | 11,170,000 | 9,340,000 | (1,830,000) | (16.38%) |
| 340 Housing Assistance Program | (93,472) | 0 | 12,213,381 | 0 | (12,213,381) | (100.00%) |
| 370 Park Authority Bond Construction | 17,602,187 | 0 | 66,335,000 | 0 | (66,335,000) | (100.00%) |
| 390 School Construction | 160,496,897 | 156,309,617 | 345,824,053 | 155,436,000 | (190,388,053) | (55.05%) |
| **Total Capital Project Funds** | **$303,920,813** | **$198,281,301** | **$845,590,658** | **$196,683,000** | **($648,907,658)** | **(76.74%)** |
| **TOTAL GOVERNMENTAL FUNDS** | **$4,714,762,422** | **$4,577,950,694** | **$5,423,449,986** | **$4,521,943,808** | **($901,506,178)** | **(16.62%)** |
| **PROPRIETARY FUNDS** | | | | | | |
| **G40 Enterprise Funds** | | | | | | |
| 400 Sewer Revenue | $122,170,734 | $133,240,000 | $133,240,000 | $148,015,000 | $14,775,000 | 11.09% |
| 406 Sewer Bond Debt Reserve | 9,654,775 | 0 | 0 | 9,706,000 | 9,706,000 | - |
| 408 Sewer Bond Construction | 150,660,372 | 1,000,000 | 1,000,000 | 141,294,000 | 140,294,000 | 14029.40% |
| **Total Enterprise Funds** | **$282,485,881** | **$134,240,000** | **$134,240,000** | **$299,015,000** | **$164,775,000** | **122.75%** |

# FY 2011 ADVERTISED REVENUE AND RECEIPTS BY FUND
## SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Actual [1] | FY 2010 Adopted Budget Plan [2] | FY 2010 Revised Budget Plan [3] | FY 2011 Advertised Budget Plan [4] | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **G50 Internal Service Funds** | | | | | | |
| 501 County Insurance Fund | $1,430,492 | $2,277,053 | $2,277,053 | $1,602,667 | ($674,386) | (29.62%) |
| 503 Department of Vehicle Services | 76,240,420 | 73,491,603 | 70,585,142 | 69,256,977 | (1,328,165) | (1.88%) |
| 504 Document Services Division | 3,908,160 | 4,482,331 | 4,102,331 | 3,589,468 | (512,863) | (12.50%) |
| 505 Technology Infrastructure Services | 26,582,739 | 27,519,224 | 26,471,896 | 26,251,337 | (220,559) | (0.83%) |
| 506 Health Benefits Trust Fund | 107,824,280 | 112,245,614 | 112,245,614 | 126,342,690 | 14,097,076 | 12.56% |
| 590 School Insurance Fund | 9,692,382 | 12,066,795 | 12,066,795 | 12,721,373 | 654,578 | 5.42% |
| 591 School Health Benefits Trust | 247,190,912 | 253,812,119 | 259,828,589 | 273,953,171 | 14,124,582 | 5.44% |
| 592 School Central Procurement | 11,340,562 | 14,000,000 | 14,000,000 | 14,000,000 | 0 | 0.00% |
| **Total Internal Service Funds** | **$484,209,947** | **$499,894,739** | **$501,577,420** | **$527,717,683** | **$26,140,263** | **5.21%** |
| **TOTAL PROPRIETARY FUNDS** | **$766,695,828** | **$634,134,739** | **$635,817,420** | **$826,732,683** | **$190,915,263** | **30.03%** |
| **FIDUCIARY FUNDS** | | | | | | |
| **G60 Trust Funds** | | | | | | |
| 600 Uniformed Employees Retirement Trust Fund | ($154,060,455) | $139,258,217 | $139,258,217 | $135,577,794 | ($3,680,423) | (2.64%) |
| 601 Fairfax County Employees' Retirement Trust Fund | (538,038,058) | 316,414,175 | 316,414,175 | 314,515,389 | (1,898,786) | (0.60%) |
| 602 Police Retirement Trust Fund | (113,547,600) | 105,223,501 | 105,223,501 | 102,462,834 | (2,760,667) | (2.62%) |
| 603 OPEB Trust Fund | 956,233 | 2,576,900 | 2,576,900 | 4,276,577 | 1,699,677 | 65.96% |
| 691 Educational Employees' Retirement | (261,764,830) | 240,755,000 | 203,819,000 | 222,829,790 | 19,010,790 | 9.33% |
| 692 Public School OPEB Trust Fund | 35,474,575 | 0 | 26,485,000 | 39,000,000 | 12,515,000 | 47.25% |
| **Total Trust Funds** | **($1,030,980,135)** | **$804,227,793** | **$793,776,793** | **$818,662,384** | **$24,885,591** | **3.14%** |
| **G70 Agency Funds** | | | | | | |
| 700 Route 28 Taxing District | $13,265,850 | $12,591,673 | $12,591,673 | $10,645,808 | ($1,945,865) | (15.45%) |
| **TOTAL FIDUCIARY FUNDS** | **($1,017,714,285)** | **$816,819,466** | **$806,368,466** | **$829,308,192** | **$22,939,726** | **2.84%** |
| **TOTAL APPROPRIATED FUNDS** | **$4,463,743,965** | **$6,028,904,899** | **$6,865,635,872** | **$6,177,984,683** | **($687,651,189)** | **(10.02%)** |
| **Appropriated From (Added to) Surplus** | **$1,388,240,519** | **($265,648,786)** | **$587,587,190** | **($243,208,183)** | **($830,795,373)** | **(141.39%)** |
| **TOTAL AVAILABLE** | **$5,851,984,484** | **$5,763,256,113** | **$7,453,223,062** | **$5,934,776,500** | **($1,518,446,562)** | **(20.37%)** |
| **Less: Internal Service Funds** | **($484,209,947)** | **($499,894,739)** | **($501,577,420)** | **($527,717,683)** | **($26,140,263)** | **5.21%** |
| **NET AVAILABLE** | **$5,367,774,537** | **$5,263,361,374** | **$6,951,645,642** | **$5,407,058,817** | **($1,544,586,825)** | **(22.22%)** |

# FY 2011 ADVERTISED REVENUE AND RECEIPTS BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Actual [1] | FY 2010 Adopted Budget Plan [2] | FY 2010 Revised Budget Plan [3] | FY 2011 Advertised Budget Plan [4] | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|

**EXPLANATORY NOTE:**
*The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Appropriated Funds," net of any transfers between funds.*

[1] **Not reflected are the following adjustments to balance which were carried forward from FY 2008 to FY 2009:**
Fund 191, School Food and Nutrition Services, change in inventory of $29,650
Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of ($8,442)
Fund 501, County Insurance, net change in accrued liability of $4,843,440
Fund 590, Public School Insurance, net change in accrued liability of $1,656,091

[2] **Not reflected are the following adjustments to balance which were carried forward from FY 2009 to FY 2010:**
Fund 191, Public School Food and Nutrition Services, change in non-appropriated General Reserve of ($589,394)
Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of ($7,629)
Fund 590, Public School Insurance, assumes carryover of Allocated Reserves of $4,799,201
Fund 591, School Health Benefits Trust, assumes carryover of premium stabilization reserve of $52,286,497 and GASB 45 reserve of $10,700,000

[3] **Not reflected are the following adjustments to balance which were carried forward from FY 2009 to FY 2010:**
Fund 370, Park Authority Bond Construction, restatement of balance of ($43,444) as a result of prior year correction
Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of ($7,629)

[4] **Not reflected are the following adjustments to balance which were carried forward from FY 2010 to FY 2011:**
Fund 001, General Fund, assumes carryover of $20,000,000 set aside at the *FY 2009 Carryover Review* for retirement requirements and $35,340,186 in anticipated reductions to be taken at *FY 2010 Third Quarter Review*. It should be noted that the $5,000,000 held in reserve for FY 2010 requirements is not assumed for FY 2011.
Fund 090, Public School Operating, assumes carryover of available FY 2010 balance of $29,280,144 to balance the FY 2011 budget
Fund 103, Aging Grants and Programs, assumes carryover of available FY 2010 balance of $117,401 to balance the FY 2011 budget
Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of $11,281,198 to balance the FY 2011 budget
Fund 193, Public School Adult and Community Education, assumes carryover of available FY 2010 balance of $558,836 to balance the FY 2011 budget
Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of ($25,000)
Fund 590, Public School Insurance, assumes carryover of Allocated Reserves of $6,391,117
Fund 591, School Health Benefits Trust, assumes carryover of claims stabilization reserve of $52,446,696

[5] As part of the FY 2011 Advertised Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, has been created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

[6] As part of the FY 2010 Adopted Budget Plan, all activity related to stormwater management requirements in Agency 29, Stormwater Management, was moved to Fund 125, Stormwater Services. This fund is supported by a levy of $0.015 per $100 of assessed real estate value to ensure support for both staff operating requirements and essential stormwater capital projects.

# FY 2011 ADVERTISED EXPENDITURES BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Estimate | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|---|
| **GOVERNMENTAL FUNDS** | | | | | | | |
| **G00 General Fund Group** | | | | | | | |
| 001 General Fund | $1,278,692,402 | $1,208,984,971 | $1,208,988,157 | $1,279,226,999 | $1,184,527,510 | ($94,699,489) | (7.40%) |
| **G10 Special Revenue Funds** | | | | | | | |
| 090 Public School Operating [1] | $2,228,802,028 | $2,138,355,614 | $2,119,183,415 | $2,238,928,078 | $2,153,563,115 | ($85,364,963) | (3.81%) |
| 100 County Transit Systems | 85,261,041 | 60,843,466 | 78,973,561 | 98,837,662 | 78,202,026 | (20,635,636) | (20.88%) |
| 102 Federal/State Grant Fund | 137,309,848 | 49,622,143 | 59,793,664 | 137,312,423 | 62,960,909 | (74,351,514) | (54.15%) |
| 103 Aging Grants & Programs | 10,410,173 | 7,141,137 | 7,636,068 | 11,296,529 | 7,824,306 | (3,472,223) | (30.74%) |
| 104 Information Technology | 57,942,887 | 14,991,339 | 9,480,676 | 58,138,566 | 5,467,349 | (52,671,217) | (90.60%) |
| 105 Cable Communications | 17,089,664 | 9,779,565 | 9,614,852 | 15,295,646 | 9,887,220 | (5,408,426) | (35.36%) |
| 106 Fairfax-Falls Church Community Services Board | 153,158,509 | 141,557,222 | 142,705,098 | 150,792,521 | 139,214,282 | (11,578,239) | (7.68%) |
| 108 Leaf Collection | 2,842,376 | 2,362,895 | 2,434,340 | 2,434,340 | 2,300,780 | (133,560) | (5.49%) |
| 109 Refuse Collection and Recycling Operations | 22,665,690 | 20,487,275 | 21,121,251 | 23,126,015 | 19,277,682 | (3,848,333) | (16.64%) |
| 110 Refuse Disposal | 73,787,216 | 57,177,280 | 60,286,236 | 66,501,528 | 55,397,092 | (11,104,436) | (16.70%) |
| 111 Reston Community Center | 11,108,351 | 8,754,147 | 7,154,296 | 8,519,985 | 8,006,141 | (513,844) | (6.03%) |
| 112 Energy Resource Recovery (ERR) Facility | 39,460,913 | 35,620,895 | 36,319,643 | 38,071,370 | 31,975,909 | (6,095,461) | (16.01%) |
| 113 McLean Community Center | 5,258,916 | 4,040,270 | 4,992,263 | 5,703,976 | 5,308,040 | (395,936) | (6.94%) |
| 114 I-95 Refuse Disposal | 23,422,265 | 7,544,609 | 8,761,424 | 24,233,518 | 8,586,108 | (15,647,410) | (64.57%) |
| 115 Burgundy Village Community Center | 45,295 | 27,805 | 45,333 | 45,333 | 44,065 | (1,268) | (2.80%) |
| 116 Integrated Pest Management Program | 2,976,195 | 2,263,411 | 2,876,591 | 3,246,904 | 2,903,352 | (343,552) | (10.58%) |
| 118 Consolidated Community Funding Pool | 9,103,600 | 8,807,864 | 8,970,687 | 9,266,423 | 8,970,687 | (295,736) | (3.19%) |
| 119 Contributory Fund | 13,823,053 | 13,813,986 | 12,935,440 | 12,935,440 | 12,038,305 | (897,135) | (6.94%) |
| 120 E-911 Fund | 50,413,110 | 40,858,659 | 35,829,201 | 45,655,728 | 37,245,287 | (8,410,441) | (18.42%) |
| 121 Dulles Rail Phase I Transportation Improvement District | 26,000,000 | 25,015,000 | 13,350,000 | 23,350,000 | 13,350,000 | (10,000,000) | (42.83%) |
| 122 Dulles Rail Phase II Transportation Improvement District [2] | 0 | 0 | 0 | 0 | 500,000 | 500,000 | - |
| 124 County & Regional Transportation Projects | 74,065,336 | 5,790,421 | 35,392,788 | 132,170,111 | 27,598,338 | (104,571,773) | (79.12%) |
| 125 Stormwater Services [3] | 0 | 0 | 10,250,000 | 15,937,967 | 28,000,000 | 12,062,033 | 75.68% |
| 141 Elderly Housing Programs | 3,933,994 | 3,345,774 | 4,099,238 | 4,546,796 | 4,186,706 | (360,090) | (7.92%) |
| 142 Community Development Block Grant | 15,480,118 | 6,467,313 | 5,928,982 | 16,276,968 | 5,982,304 | (10,294,664) | (63.25%) |
| 143 Homeowner and Business Loan Programs | 8,287,475 | 4,555,312 | 1,870,161 | 7,817,503 | 3,883,825 | (3,933,678) | (50.32%) |
| 144 Housing Trust Fund | 7,449,673 | 1,093,812 | 1,250,000 | 7,241,342 | 840,000 | (6,401,342) | (88.40%) |
| 145 HOME Investment Partnerships Grant | 8,704,674 | 3,966,637 | 2,448,682 | 7,585,726 | 2,707,657 | (4,878,069) | (64.31%) |
| 191 School Food & Nutrition Services | 74,279,132 | 68,306,545 | 67,938,171 | 79,666,834 | 83,017,202 | 3,350,368 | 4.21% |
| 192 School Grants & Self Supporting [4] | 88,991,139 | 62,104,388 | 70,177,117 | 100,401,684 | 70,894,825 | (29,506,859) | (29.39%) |
| 193 School Adult & Community Education | 12,912,634 | 10,853,826 | 11,373,177 | 11,922,771 | 10,952,394 | (970,377) | (8.14%) |
| **Total Special Revenue Funds** | **$3,264,985,305** | **$2,815,548,610** | **$2,853,192,355** | **$3,357,259,687** | **$2,901,085,906** | **($456,173,781)** | **(13.59%)** |
| **G20 Debt Service Funds** | | | | | | | |
| 200/201 Consolidated Debt Service | $281,503,678 | $278,186,619 | $279,686,710 | $290,322,893 | $287,575,052 | ($2,747,841) | (0.95%) |

# FY 2011 ADVERTISED EXPENDITURES BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Estimate | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|---|
| **G30 Capital Project Funds** | | | | | | | |
| 301 Contributed Roadway Improvement Fund | $41,602,881 | $1,026,385 | $3,455,996 | $45,267,119 | $0 | ($45,267,119) | (100.00%) |
| 302 Library Construction | 36,634,476 | 5,420,010 | 0 | 31,228,743 | 0 | (31,228,743) | (100.00%) |
| 303 County Construction | 69,840,436 | 24,735,959 | 13,624,784 | 58,018,703 | 12,937,154 | (45,081,549) | (77.70%) |
| 304 Transportation Improvements | 153,670,305 | 11,958,829 | 0 | 141,271,306 | 0 | (141,271,306) | (100.00%) |
| 306 Northern Virginia Regional Park Authority | 3,600,000 | 3,600,000 | 2,700,000 | 2,700,000 | 2,700,000 | 0 | 0.00% |
| 307 Pedestrian Walkway Improvements | 5,560,582 | 845,172 | 0 | 4,550,656 | 0 | (4,550,656) | (100.00%) |
| 309 Metro Operations & Construction | 68,668,110 | 68,248,110 | 34,407,058 | 29,559,403 | 28,141,231 | (1,418,172) | (4.80%) |
| 311 County Bond Construction | 95,165,675 | 19,842,661 | 0 | 77,133,438 | 0 | (77,133,438) | (100.00%) |
| 312 Public Safety Construction | 157,112,020 | 24,616,587 | 800,000 | 134,799,432 | 0 | (134,799,432) | (100.00%) |
| 314 Neighborhood Improvement Program | 347,024 | 11,986 | 0 | 148,485 | 0 | (148,485) | (100.00%) |
| 315 Commercial Revitalization Program | 4,421,752 | 433,897 | 0 | 4,575,251 | 0 | (4,575,251) | (100.00%) |
| 316 Pro Rata Share Drainage Construction | 16,518,033 | 4,144,554 | 0 | 13,845,979 | 0 | (13,845,979) | (100.00%) |
| 317 Capital Renewal Construction | 30,850,272 | 5,098,320 | 6,795,000 | 38,033,468 | 8,000,000 | (30,033,468) | (78.97%) |
| 318 Stormwater Management Program | 50,217,927 | 22,809,323 | 0 | 22,085,406 | 0 | (22,085,406) | (100.00%) |
| 319 The Penny for Affordable Housing Fund | 25,213,397 | 14,615,084 | 10,270,000 | 21,851,953 | 9,340,000 | (12,511,953) | (57.26%) |
| 340 Housing Assistance Program | 10,127,706 | 1,622,249 | 695,000 | 9,094,301 | 515,000 | (8,579,301) | (94.34%) |
| 370 Park Authority Bond Construction | 100,059,800 | 19,083,037 | 0 | 81,752,130 | 0 | (81,752,130) | (100.00%) |
| 390 School Construction | 403,956,923 | 147,938,046 | 165,186,849 | 391,271,991 | 165,582,149 | (225,689,842) | (57.68%) |
| **Total Capital Project Funds** | **$1,273,567,319** | **$376,050,209** | **$237,934,687** | **$1,107,187,764** | **$227,215,534** | **($879,972,230)** | **(79.48%)** |
| **TOTAL GOVERNMENTAL FUNDS** | **$6,098,748,704** | **$4,678,770,409** | **$4,579,801,909** | **$6,033,997,343** | **$4,600,404,002** | **($1,433,593,341)** | **(23.76%)** |
| **PROPRIETARY FUNDS** | | | | | | | |
| **G40 Enterprise Funds** | | | | | | | |
| 401 Sewer Operation and Maintenance | $89,451,573 | $85,527,338 | $97,747,265 | $98,365,426 | $99,968,777 | $1,603,351 | 1.63% |
| 402 Sewer Construction Improvements | 44,934,433 | 19,319,309 | 18,000,000 | 43,615,124 | 24,500,000 | (19,115,124) | (43.83%) |
| 403 Sewer Bond Parity Debt Service | 10,649,456 | 7,160,943 | 6,663,681 | 10,886,182 | 19,827,531 | 8,941,349 | 82.13% |
| 407 Sewer Bond Subordinate Debt Service | 23,051,559 | 22,956,985 | 24,333,391 | 24,333,391 | 24,910,740 | 577,349 | 2.37% |
| 408 Sewer Bond Construction | 127,829,433 | 42,184,404 | 0 | 100,854,889 | 140,294,000 | 39,439,111 | 39.10% |
| **Total Enterprise Funds** | **$295,916,454** | **$177,148,979** | **$146,744,337** | **$278,055,012** | **$309,501,048** | **$31,446,036** | **11.31%** |

# FY 2011 ADVERTISED EXPENDITURES BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Estimate | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|---|
| **G50 Internal Service Funds** | | | | | | | |
| 501 County Insurance Fund | $18,962,345 | $23,369,243 | $16,379,718 | $16,379,718 | $16,379,718 | $0 | 0.00% |
| 503 Department of Vehicle Services | 98,231,550 | 66,213,154 | 75,139,044 | 87,831,713 | 69,567,247 | (18,264,466) | (20.79%) |
| 504 Document Services Division | 9,474,763 | 6,825,384 | 7,090,056 | 8,495,757 | 6,050,787 | (2,444,970) | (28.78%) |
| 505 Technology Infrastructure Services | 31,675,877 | 28,817,984 | 27,199,395 | 26,520,043 | 28,160,148 | 1,640,105 | 6.18% |
| 506 Health Benefits Trust Fund | 121,313,556 | 107,775,917 | 111,310,921 | 123,108,171 | 126,746,872 | 3,638,701 | 2.96% |
| 590 School Insurance Fund | 18,851,456 | 10,382,542 | 16,865,996 | 20,501,296 | 19,112,490 | (1,388,806) | (6.77%) |
| 591 School Health Benefits Trust | 295,868,386 | 247,366,127 | 316,798,616 | 311,799,857 | 326,399,867 | 14,600,010 | 4.68% |
| 592 School Central Procurement | 14,000,000 | 11,046,063 | 14,000,000 | 14,000,000 | 14,000,000 | 0 | 0.00% |
| **Total Internal Service Funds** | **$608,377,933** | **$501,796,414** | **$584,783,746** | **$608,636,555** | **$606,417,129** | **($2,219,426)** | **(0.36%)** |
| **TOTAL PROPRIETARY FUNDS** | **$904,294,387** | **$678,945,393** | **$731,528,083** | **$886,691,567** | **$915,918,177** | **$29,226,610** | **3.30%** |
| **FIDUCIARY FUNDS** | | | | | | | |
| **G60 Trust Funds** | | | | | | | |
| 600 Uniformed Employees Retirement Trust Fund | $65,497,656 | $60,042,279 | $67,321,188 | $67,324,901 | $77,763,515 | $10,438,614 | 15.50% |
| 601 Fairfax County Employees' Retirement Trust Fund | 200,529,874 | 186,787,200 | 201,035,956 | 201,053,281 | 213,982,858 | 12,929,577 | 6.43% |
| 602 Police Retirement Trust Fund | 53,869,906 | 47,991,155 | 51,846,109 | 51,849,822 | 58,963,783 | 7,113,961 | 13.72% |
| 603 OPEB Trust Fund | 12,690,457 | 12,686,979 | 6,677,881 | 6,677,881 | 6,842,229 | 164,348 | 2.46% |
| 691 Educational Employees' Retirement | 170,527,894 | 155,347,715 | 180,448,550 | 167,775,061 | 175,427,519 | 7,652,458 | 4.56% |
| 692 Public School OPEB Trust Fund | 25,910,000 | 25,949,772 | 0 | 26,010,000 | 26,047,000 | 37,000 | 0.14% |
| **Total Trust Funds** | **$529,025,787** | **$488,805,100** | **$507,329,684** | **$520,690,946** | **$559,026,904** | **$38,335,958** | **7.36%** |
| **G70 Agency Funds** | | | | | | | |
| 700 Route 28 Taxing District | $13,353,431 | $13,261,146 | $12,591,673 | $12,598,694 | $10,645,808 | ($1,952,886) | (15.50%) |
| **TOTAL FIDUCIARY FUNDS** | **$542,379,218** | **$502,066,246** | **$519,921,357** | **$533,289,640** | **$569,672,712** | **$36,383,072** | **6.82%** |
| **TOTAL APPROPRIATED FUNDS** | **$7,545,422,309** | **$5,859,782,048** | **$5,831,251,349** | **$7,453,978,550** | **$6,085,994,891** | **($1,367,983,659)** | **(18.35%)** |
| **Less: Internal Service Funds [5]** | **($608,377,933)** | **($501,796,414)** | **($584,783,746)** | **($608,636,555)** | **($606,417,129)** | **$2,219,426** | **(0.36%)** |
| **NET EXPENDITURES** | **$6,937,044,376** | **$5,357,985,634** | **$5,246,467,603** | **$6,845,341,995** | **$5,479,577,762** | **($1,365,764,233)** | **(19.95%)** |

[1] FY 2011 expenditures for Fund 090, Public School Operating, are reduced by $74,071,359 to offset the discrepancy between the proposed Transfer Out from the General Fund and the Superintendent's Proposed Transfer In to Fund 090.

[2] As part of the FY 2011 Advertised Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, has been created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

[3] As part of the FY 2010 Adopted Budget Plan, all activity related to stormwater management requirements in Agency 29, Stormwater Management, was moved to Fund 125, Stormwater Services. This fund is supported by a levy of $0.015 per $100 of assessed real estate value to ensure support for both staff operating requirements and essential stormwater capital projects.

[4] FY 2011 expenditures for Fund 192, School Grants and Self Supporting, are reduced by $410,030 to offset the discrepancy between the proposed Transfer Out from Fund 105, Cable Communications and the Superintendent's Proposed Transfer In to Fund 192.

[5] Total Appropriated Funds Expenditures are reduced by Internal Service Fund Expenditures, as the amounts are already included.

# FY 2011 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | Balance 6/30/08 | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | Appropriated From/ (Added to) Surplus |
|---|---|---|---|---|---|
| **GOVERNMENTAL FUNDS** | | | | | |
| **G00 General Fund Group** | | | | | |
| 001 General Fund | $161,392,634 | $185,385,547 | $86,707,096 | $87,543,577 | ($836,481) |
| 002 Revenue Stabilization Fund | 101,248,222 | 86,610,227 | 102,823,995 | 102,823,995 | 0 |
| **Total General Fund Group** | **$262,640,856** | **$271,995,774** | **$189,531,091** | **$190,367,572** | **($836,481)** |
| **G10 Special Revenue Funds** | | | | | |
| 090 Public School Operating | $108,784,571 | $118,117,834 | $24,219,856 | $0 | $24,219,856 |
| 100 County Transit Systems | 18,370,320 | 20,469,602 | 981,250 | 981,250 | 0 |
| 102 Federal/State Grant Fund | 25,390,254 | 27,073,254 | 235,136 | 235,136 | 0 |
| 103 Aging Grants & Programs | 2,164,386 | 2,852,446 | 111,258 | 0 | 111,258 |
| 104 Information Technology | 29,196,620 | 42,607,890 | 0 | 0 | 0 |
| 105 Cable Communications | 24,921,554 | 18,189,340 | 11,309,863 | 9,544,636 | 1,765,227 |
| 106 Fairfax-Falls Church Community Services Board | 2,428,562 | 6,969,641 | 2,257,522 | 2,257,522 | 0 |
| 108 Leaf Collection | 3,396,902 | 3,562,806 | 3,392,117 | 3,015,423 | 376,694 |
| 109 Refuse Collection and Recycling Operations | 7,216,260 | 7,128,417 | 5,934,052 | 6,890,343 | (956,291) |
| 110 Refuse Disposal | 13,007,250 | 11,355,917 | 8,325,072 | 10,129,619 | (1,804,547) |
| 111 Reston Community Center | 8,709,757 | 8,145,370 | 7,312,506 | 6,961,952 | 350,554 |
| 112 Energy Resource Recovery (ERR) Facility | 29,022,161 | 26,787,310 | 26,255,426 | 28,633,025 | (2,377,599) |
| 113 McLean Community Center | 9,794,652 | 11,745,157 | 11,736,776 | 12,032,691 | (295,915) |
| 114 I-95 Refuse Disposal | 57,323,509 | 55,631,108 | 39,088,107 | 37,077,813 | 2,010,294 |
| 115 Burgundy Village Community Center | 206,539 | 241,841 | 256,461 | 270,006 | (13,545) |
| 116 Integrated Pest Management Program | 3,184,363 | 3,275,154 | 2,021,965 | 932,801 | 1,089,164 |
| 118 Consolidated Community Funding Pool | 132,913 | 295,736 | 0 | 0 | 0 |
| 119 Contributory Fund | 201,502 | 210,569 | 210,569 | 210,569 | 0 |
| 120 E-911 Fund | 17,300,329 | 11,037,477 | 275,913 | 325,609 | (49,696) |
| 121 Dulles Rail Phase I Transportation Improvement District | 79,457,240 | 84,573,977 | 89,120,525 | 99,538,796 | (10,418,271) |
| 122 Dulles Rail Phase II Transportation Improvement District [1] | 0 | 0 | 0 | 3,097,035 | (3,097,035) |
| 124 County & Regional Transportation Projects | 0 | 46,777,323 | 0 | 0 | 0 |
| 125 Stormwater Services [2] | 0 | 0 | 0 | 0 | 0 |
| 141 Elderly Housing Programs | 704,499 | 1,057,185 | 613,352 | 648,816 | (35,464) |
| 142 Community Development Block Grant | 475,567 | 390,382 | 0 | 0 | 0 |
| 143 Homeowner and Business Loan Programs | 3,804,767 | 4,078,328 | 0 | 0 | 0 |
| 144 Housing Trust Fund | 7,478,733 | 6,240,321 | 248,979 | 248,979 | 0 |
| 145 HOME Investment Partnerships Grant | (45,017) | 63,945 | 0 | 0 | 0 |
| 191 School Food & Nutrition Services | 8,450,350 | 10,870,140 | 0 | 0 | 0 |
| 192 School Grants & Self Supporting | 6,558,790 | 5,837,182 | 0 | 0 | 0 |
| 193 School Adult & Community Education | 1,138,441 | 904,751 | 0 | 0 | 0 |
| **Total Special Revenue Funds** | **$468,775,774** | **$536,490,403** | **$233,906,705** | **$223,032,021** | **$10,874,684** |

# FY 2011 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | Balance 6/30/08 | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | Appropriated From/ (Added to) Surplus |
|---|---|---|---|---|---|
| **G20 Debt Service Funds** | | | | | |
| 200/201 Consolidated Debt Service | $8,737,893 | $10,449,630 | $0 | $0 | $0 |
| **G30 Capital Project Funds** | | | | | |
| 301 Contributed Roadway Improvement Fund | $36,481,887 | $40,660,701 | $0 | $0 | $0 |
| 302 Library Construction | 12,458,922 | 9,640,395 | 0 | 0 | 0 |
| 303 County Construction | 59,873,135 | 45,293,760 | 0 | 0 | 0 |
| 304 Transportation Improvements | (4,001,589) | 2,113,022 | 0 | 0 | 0 |
| 306 Northern Virginia Regional Park Authority | 0 | 0 | 0 | 0 | 0 |
| 307 Pedestrian Walkway Improvements | 2,043,249 | 1,346,484 | 0 | 0 | 0 |
| 309 Metro Operations & Construction | 30,290,500 | (32,252,164) | 0 | 0 | 0 |
| 310 Storm Drainage Bond Construction | 0 | 0 | 0 | 0 | 0 |
| 311 County Bond Construction | (6,077,628) | 13,764,278 | 0 | 0 | 0 |
| 312 Public Safety Construction | 70,486,945 | 44,980,298 | 0 | 0 | 0 |
| 314 Neighborhood Improvement Program | 435,196 | 428,896 | 98,858 | 103,858 | (5,000) |
| 315 Commercial Revitalization Program | 557,955 | 509,042 | 0 | 0 | 0 |
| 316 Pro Rata Share Drainage Construction | 6,271 | 6,271 | 0 | 0 | 0 |
| 317 Capital Renewal Construction | 21,750,951 | 21,563,468 | 0 | 0 | 0 |
| 318 Stormwater Management Program | 25,385,430 | 25,906,315 | 0 | 0 | 0 |
| 319 The Penny for Affordable Housing Fund | 1,513,397 | 10,681,953 | 0 | 0 | 0 |
| 340 Housing Assistance Program | (2,370,166) | (3,390,887) | 23,037 | 23,037 | 0 |
| 370 Park Authority Bond Construction | 16,941,424 | 15,460,574 | 0 | 0 | 0 |
| 390 School Construction | 13,219,784 | 36,763,861 | 0 | 0 | 0 |
| **Total Capital Project Funds** | **$278,995,663** | **$233,476,267** | **$121,895** | **$126,895** | **($5,000)** |
| **TOTAL GOVERNMENTAL FUNDS** | **$1,019,150,186** | **$1,052,412,074** | **$423,559,691** | **$413,526,488** | **$10,033,203** |
| **PROPRIETARY FUNDS** | | | | | |
| **G40 Enterprise Funds** | | | | | |
| 400 Sewer Revenue | $110,796,414 | $87,265,589 | $81,555,589 | $61,920,589 | $19,635,000 |
| 401 Sewer Operation and Maintenance | 6,739,479 | 9,712,141 | 1,346,715 | 177,938 | 1,168,777 |
| 402 Sewer Construction Improvements | 21,434,433 | 25,615,124 | 0 | 0 | 0 |
| 403 Sewer Bond Parity Debt Service | 1,055,681 | 4,536,296 | 292,485 | 289,954 | 2,531 |
| 406 Sewer Bond Debt Reserve | 6,900,348 | 16,555,123 | 16,555,123 | 26,261,123 | (9,706,000) |
| 407 Sewer Bond Subordinate Debt Service | 1,395,689 | 1,490,263 | 1,456,872 | 1,046,132 | 410,740 |
| 408 Sewer Bond Construction | 2,626,417 | 111,102,385 | 11,247,496 | 12,247,496 | (1,000,000) |
| **Total Enterprise Funds** | **$150,948,461** | **$256,276,921** | **$112,454,280** | **$101,943,232** | **$10,511,048** |

# FY 2011 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | Balance 6/30/08 | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | Appropriated From/ (Added to) Surplus |
|---|---|---|---|---|---|
| **G50 Internal Service Funds** | | | | | |
| 501 County Insurance Fund | $39,634,325 | $42,111,511 | $41,875,097 | $40,964,297 | $910,800 |
| 503 Department of Vehicle Services | 40,856,341 | 48,433,607 | 26,887,036 | 22,576,766 | 4,310,270 |
| 504 Document Services Division | 2,476,853 | 2,459,629 | 464,436 | 401,350 | 63,086 |
| 505 Technology Infrastructure Services | 6,256,445 | 5,735,303 | 2,890,816 | 2,796,108 | 94,708 |
| 506 Health Benefits Trust Fund | 50,126,875 | 28,275,238 | 17,412,681 | 17,008,499 | 404,182 |
| 590 School Insurance Fund | 28,295,741 | 29,261,672 | 20,827,171 | 20,827,171 | 0 |
| 591 School Health Benefits Trust | 62,846,483 | 51,971,268 | 0 | 0 | 0 |
| 592 School Central Procurement | 423,873 | 718,372 | 718,372 | 718,372 | 0 |
| **Total Internal Service Funds** | **$230,916,936** | **$208,966,600** | **$111,075,609** | **$105,292,563** | **$5,783,046** |
| **TOTAL PROPRIETARY FUNDS** | **$381,865,397** | **$465,243,521** | **$223,529,889** | **$207,235,795** | **$16,294,094** |
| **FIDUCIARY FUNDS** | | | | | |
| **G60 Trust Funds** | | | | | |
| 600 Uniformed Employees Retirement Trust Fund | $1,081,289,955 | $867,187,221 | $939,120,537 | $996,934,816 | ($57,814,279) |
| 601 Fairfax County Employees' Retirement Trust Fund | 2,763,876,655 | 2,039,051,397 | 2,154,412,291 | 2,254,944,822 | (100,532,531) |
| 602 Police Retirement Trust Fund | 868,161,043 | 706,622,288 | 759,995,967 | 803,495,018 | (43,499,051) |
| 603 OPEB Trust Fund | 48,212,088 | 51,792,775 | 57,591,794 | 64,926,142 | (7,334,348) |
| 691 Educational Employees' Retirement | 1,858,478,688 | 1,441,366,143 | 1,477,410,082 | 1,524,812,353 | (47,402,271) |
| 692 Public School OPEB Trust Fund | 7,995,517 | 17,520,320 | 17,995,320 | 30,948,320 | (12,953,000) |
| **Total Trust Funds** | **$6,628,013,946** | **$5,123,540,144** | **$5,406,525,991** | **$5,676,061,471** | **($269,535,480)** |
| **G70 Agency Funds** | | | | | |
| 700 Route 28 Taxing District | $2,317 | $7,021 | $0 | $0 | $0 |
| **TOTAL FIDUCIARY FUNDS** | **$6,628,016,263** | **$5,123,547,165** | **$5,406,525,991** | **$5,676,061,471** | **($269,535,480)** |
| **TOTAL APPROPRIATED FUNDS** | **$8,029,031,846** | **$6,641,202,760** | **$6,053,615,571** | **$6,296,823,754** | **($243,208,183)** |

[1] As part of the FY 2011 Advertised Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, has been created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

[2] As part of the FY 2010 Adopted Budget Plan, all activity related to stormwater management requirements in Agency 29, Stormwater Management, was moved to Fund 125, Stormwater Services. This fund is supported by a levy of $0.015 per $100 of assessed real estate value to ensure support for both staff operating requirements and essential stormwater capital projects.

# Fund 002
# Revenue Stabilization

## Focus

The Board of Supervisors, during deliberations on the *FY 1999 Carryover Review*, approved the establishment of Fund 002, Revenue Stabilization Fund (RSF). The purpose of this fund is to provide a mechanism for maintaining a balanced budget without resorting to tax increases and expenditure reductions that aggravate the stresses imposed by the cyclical nature of the economy.

The Board of Supervisors established the Reserve under the directive that the Revenue Stabilization Fund will not be used as a method of addressing the demand for new or expanded services; it is solely to be used as a financial tool in the event of a significant economic downturn. Therefore, the Board of Supervisors established a policy for utilizing the Revenue Stabilization Fund that identified three specific criteria that must be met in order to make a withdrawal from the Fund:

- Projected revenues reflect a decrease greater than 1.5 percent from the current year estimate;
- Withdrawals from the Fund shall not exceed one-half of the fund balance in any fiscal year; and
- Withdrawals from the Reserve shall be used in combination with spending cuts or other measures.

The Revenue Stabilization Fund has a target balance of 3.0 percent of General Fund disbursements. The Fund shall be separate and distinct from the County's 2.0 percent Managed Reserve, which was initially established in FY 1983. However, the aggregate balance of both reserves shall not exceed 5.0 percent of General Fund disbursements.

The target balance of 3.0 percent of General Fund disbursements was to be accomplished by transferring funds from the General Fund over a multi-year period. The Board of Supervisors determined that a minimum of 40 percent of non-recurring balances identified at quarterly reviews would be transferred to the Revenue Stabilization Fund and the Fund would retain the interest earnings on the balance, and the retention of interest would continue until the Reserve was fully funded. It should be noted that as a result of Board of Supervisors' approved General Fund transfers along with projected interest earnings the fund achieved fully funded status in FY 2006 by reaching its target level of 3.0 percent of General Fund disbursements. Based on the projected earnings on the balance in the fund and depending on the average yield for the portfolio, it is anticipated that the fund will remain fully funded by retaining its interest earnings. However, if adjustments to disbursements result in a target level which exceeds the amount of interest projected to be earned by the fund, a General Fund Transfer to this fund would be required to maintain the 3.0 percent of disbursements fully funded target level. Conversely, if the amount of interest projected to be earned by the fund exceeds the amount required to maintain fully funded status, Fund 001, General Fund, will retain the additional interest earnings. Pending final decisions on the funding level for FY 2011, adjustments to the reserve amount in Fund 002, Revenue Stabilization Fund will be made.

# Fund 002
# Revenue Stabilization

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments - Replenish Revenue Stabilization Fund Reserve** **$0**

  The General Fund transfer to Fund 002, Revenue Stabilization Fund was increased by $16,213,768 as part of the *FY 2009 Carryover Review* to restore the fund to the targeted 3.0 percent of total General Fund disbursements. It should be noted that, as part of the *FY 2009 Third Quarter Review* the Board of Supervisors authorized a partial withdrawal from the Revenue Stabilization Fund (RSF) to address FY 2009 revenue shortfalls. Based on Third Quarter revenue estimates which reflected a reduction of $53.0 million or 1.6 percent from the FY 2009 approved budget level, FY 2009 marked the first year the policy conditions for withdrawal had been met since the creation of the fund in 1999. As part of the Budget Guidance for FY 2010 and FY 2011 approved by the Board of Supervisors on April 20, 2009 the Board of Supervisors directed staff to develop a plan to restore the fund to the targeted 3.0 percent of total general fund disbursements as part of the *FY 2009 Carryover Review*. It should be noted that the transfer of $16.2 million to fully fund the Revenue Stabilization Fund reserve is less than the $18.7 million withdrawn from the fund as part of the *FY 2009 Third Quarter Review* primarily as a result of higher than anticipated actual interest earnings in FY 2009.

# Fund 002
# Revenue Stabilization

## FUND STATEMENT

**Fund Type G00, General Fund** | **Fund 002, Revenue Stabilization**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$101,248,222** | **$84,235,191** | **$86,610,227** | **$102,823,995** |
| Revenue: | | | | |
| Interest Earnings [1] | $4,104,745 | $0 | $0 | $0 |
| Total Revenue | $4,104,745 | $0 | $0 | $0 |
| Transfer In: | | | | |
| General Fund (001) | $0 | $0 | $16,213,768 | $0 |
| Total Transfer In | $0 | $0 | $16,213,768 | $0 |
| **Total Available** | **$105,352,967** | **$84,235,191** | **$102,823,995** | **$102,823,995** |
| Transfer Out: | | | | |
| General Fund (001) | $18,742,740 | $0 | $0 | $0 |
| Total Transfer In | $18,742,740 | $0 | $0 | $0 |
| **Total Disbursements** | **$18,742,740** | **$0** | **$0** | **$0** |
| **Ending Balance[2]** | **$86,610,227** | **$84,235,191** | **$102,823,995** | **$102,823,995** |

[1] Based on the anticipated balance in the fund and budgeted County Disbursements in FY 2010 and FY 2011, it is anticipated that this fund will not need to retain interest earnings in either year to remain fully funded.

[2] Fluctuations in the ending balance reflect actions taken by the Board of Supervisors consistent with fund policy and budget guidance. These actions include a withdrawal from the fund of $18.7 million to transfer funding to the General Fund during FY 2009 and based on available funding at year-end the *FY 2010 Revised Budget Plan* transfer in from the General Fund to replenish the balance and fund the Revenue Stabilization Fund at the targeted level.

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# Special Revenue Funds

## Overview

Special Revenue Funds account for the proceeds of specific revenue sources that are restricted to expenditures for specified purposes. These proceeds include state and federal aid, income derived through activities performed by the Solid Waste Management Program, special levies, program activity revenue and operation of the public school system. The funds that are classified within the Special Revenue Funds group are listed below.

**STATE AND FEDERAL AID**

These funds administer programs that benefit Fairfax County residents in accordance with County policy. Included are funds for programs that attempt to identify and alleviate the causes of poverty; manage grant resources for a variety of County programs ranging from public safety to human services issues; aid aging citizens within Fairfax County; and conserve and upgrade low and moderate-income neighborhoods.

- **Fund 102 - Federal/State Grant Fund**
- **Fund 103 - Aging Grants and Programs**
- **Fund 106 - Fairfax-Falls Church Community Services Board**
- **Fund 142 - Community Development Block Grant**
- **Fund 145 - HOME Investment Partnership Grant**

**CONSOLIDATED COMMUNITY FUNDING POOL**

These grants enable community-based organizations to leverage their existing program funding to provide services that are most appropriately delivered by non-governmental organizations. Starting in FY 2001, the Consolidated Community Funding Pool initiated grant awards on a two-year funding cycle to provide increased stability for the community-based organizations. Prior to FY 2001, the County awarded grants from the pool on a one-year cycle.

- **Fund 118 – Consolidated Community Funding Pool**

**INFORMATION TECHNOLOGY (IT)**

This fund supports the critical role of information technology in improving the County's business processes and customer service, and in recognition of the ongoing investment necessary to achieve such improvements.

- **Fund 104 - Information Technology**

**FAIRFAX-FALLS CHURCH COMMUNITY SERVICES BOARD (CSB)**

Funding to support CSB programs in the areas of mental health, intellectual disability, and alcohol and drug services is derived from a variety of sources including the cities of Fairfax and Falls Church, the state and federal governments, client/program fees and transfers from the General Fund.

- **Fund 106 - Fairfax-Falls Church Community Services Board**

**SOLID WASTE MANAGEMENT**

These funds provide for the collection and disposal of refuse within Fairfax County, as well as the disposal of refuse delivered by local jurisdictions. Revenue is derived from collection and disposal charges of the various program components.

- **Fund 108 - Leaf Collection**
- **Fund 109 - Refuse Collection and Recycling Operations**
- **Fund 110 - Refuse Disposal**
- **Fund 112 - Energy/Resource Recovery Facility (E/RRF)**
- **Fund 114 - I-95 Refuse Disposal**

**COMMUNITY CENTERS**

These funds provide for the construction, operation, and maintenance of community centers for use by the residents within the special tax districts who pay a special levy based on assessed value of real property.

- **Fund 111 - Reston Community Center**
- **Fund 113 - McLean Community Center**
- **Fund 115 - Burgundy Village Community Center**

**SERVICE DISTRICTS**

These funds are service districts that provide a specific service to County residents. The Integrated Pest Management Program gains revenue through a special countywide tax levy on residential, commercial, and industrial properties to allow for the treatment of the gypsy moth, cankerworm and emerald ash borer population as well as the prevention of the West Nile Virus. The Stormwater Services Program is a new district established in FY 2010. The service district levy is currently $0.010 per $100 of assessed real estate value; however, the County Executive has proposed an increase in the levy to $0.015 per $100 of assessed real estate value for FY 2011. This amount will support both staff operating requirements and stormwater capital projects. Capital Projects include: repairs to stormwater infrastructure, measures to improve water quality, stream stabilization, rehabilitation and safety upgrades of dams, repair and replacement of underground pipe systems and surface channels, structural flood proofing and Best Management Practices (BMP) site retrofits. This funding also supports implementation of watershed master plans, increased public outreach efforts and stormwater monitoring activities.

- **Fund 116 - Integrated Pest Management Program**
- **Fund 125 - Stormwater Services**

**CONTRIBUTORY AGENCIES**

This fund was established to reflect the General Fund support of contributory agencies. Funding for the County's contribution to various organizations and/or projects is reflected in this fund. Support of this program was previously included in the General Fund in Agency 88, Contributory Agencies. However, because the expenditures made to these organizations are typically not in direct support of County operations, a separate fund was established.

- **Fund 119 - Contributory Fund**

**E-911 FUNDS**

This fund was created to satisfy a state legislative requirement that E-911 revenues and expenditures be accounted for separately. All expenditures associated with the Public Safety Communications Center (PSCC) are budgeted in this fund.

- **Fund 120 - E-911**

**DULLES RAIL PHASE I TRANSPORTATION IMPROVEMENT DISTRICT**

The District was formed by the Board of Supervisors on February 23, 2004 based on petition of the owners of commercial and industrial property in order to fund the extension of the Metro line in the vicinity of the West Falls Church station to a point in the vicinity of Wiehle Avenue, including construction of five new stations. The owners of industrial and commercial property within the District are subject to a maximum additional tax assessment of 22 cents per $100 of assessed value before approval of a Full Funding Grant Agreement (FFGA) by the federal government. No expenditures from the District could be made prior to approval of the FFGA. The FFGA between the Federal Transit Administration (FTA) and the Metropolitan Washington Airports Authority (MWAA) was executed on March 10, 2009.

- **Fund 121 – Dulles Rail Phase I Transportation District Improvements**

**DULLES RAIL PHASE II TRANSPORTATION IMPROVEMENT DISTRICT**

Phase II of the Dulles Metrorail project (the "Project") will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the Project on either side of the right-of-way of the Dulles Airport Access and Toll Road ("DTR") within Fairfax County, will be taxed to help Fairfax County fund the County's share of the Project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax rate of $0.05 per hundred dollars of assessed value is proposed for FY 2011 for commercial and industrial properties within the Phase II District. This tax rate is expected to yield approximately $3.5 million in revenue for the fund. The Petition also proposed annual increases of $0.05 cents until the rate reaches $0.20 cents per $100 of assessed value in FY 2014. The rate will be held at $0.20 until full revenue operations commence on Phase II, which is expected in late 2016. At that time, the rate may be set at the level necessary to support the District's debt obligations. For planning purposes the Phase II District may not enter into a financing agreement unless it is reasonably believed that it can be accomplished within the maximum rate established by the petition of $0.25 per $100 of assessed value.

- **Fund 122– Dulles Rail Phase II Transportation District Improvements**

**COUNTY AND REGIONAL TRANSPORTATION**

These funds provide for planning, coordinating and implementing a multi-modal transportation system for Fairfax County that moves people and goods, consistent with the values of the community and is supported by commercial and industrial taxes for transportation.

- **Fund 124 - County and Regional Transportation Projects**

**PROGRAM ACTIVITY REVENUE**

These funds support the County's bus and commuter rail service, and the County's cable operations. The primary sources of revenue for program activity funds are derived from receipts generated through program operations.

- **Fund 100 - County Transit Systems**
- **Fund 105 - Cable Communications**

# Special Revenue Funds

**OPERATION OF THE PUBLIC SCHOOL SYSTEM**

These funds provide for recording expenditures required to operate, maintain, and support the Fairfax County Public School system programs, as well as the procurement, preparation, and serving of student breakfasts, snacks, and lunches. Primary sources of revenue include federal and state aid, transfers from the General Fund and receipts derived through food sales.

- **Fund 090 - Public School Operating**
- **Fund 191 - Public School Food and Nutrition Services**
- **Fund 192 - Public School Grants and Self-Supporting Programs**
- **Fund 193 - Public School Adult and Community Education**

**DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT**

Narratives for Fund 141, Elderly Housing Programs; Fund 142, Community Development Block Grant; Fund 143, Homeowner and Business Loan Programs; Fund 144, Housing Trust Fund; and Fund 145, HOME Investment Partnership Grant can be found in the Housing and Community Development Programs section of this Volume.

# Fund 090
# Public School Operating

## Focus

Expenditures required for operating, maintaining and supporting the instructional program of Fairfax County Public Schools (FCPS) are recorded in Fund 090, Public School Operating. These expenditures include the costs for salaries and related employee benefits, materials, equipment and services, as well as costs for projected changes in membership and inflation. Revenue to support these expenditures is provided by a transfer from the County General Fund, state and federal aid, tuition payments from the City of Fairfax, as well as other fees and transfers.

It should be noted that the following fund statement reflects the FY 2011 Fairfax County Public School Superintendent's Proposed Budget, which was released on January 7, 2010 and included a request for a 3.6 percent increase to the General Fund transfer. Adjustments to the Superintendent's Proposed Budget, adopted by the Fairfax County School Board on February 4, 2010 are discussed in the Overview volume of the County's FY 2011 Advertised Budget Plan. All financial schedules included in the FY 2011 Advertised Budget Plan reflect a 1 percent reduction in the funding level from the FY 2010 General Fund transfer as proposed by the County Executive. The proposed County General Fund Transfer for School operations in FY 2011 totals $1,610,334,722.

# Fund 090
# Public School Operating

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 090, Public School Operating Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed[2] |
|---|---|---|---|---|
| **Beginning Balance** | **$108,784,571** | **$28,000,000** | **$118,117,834** | **$53,500,000** |
| Revenue: | | | | |
| Sales Tax | $147,449,587 | $155,185,870 | $141,879,545 | $140,077,108 |
| State Aid | 300,575,307 | 261,251,678 | 272,105,735 | 247,701,721 |
| Federal Aid | 46,171,524 | 39,930,484 | 90,977,631 | 79,161,279 |
| City of Fairfax Tuition | 36,499,779 | 38,305,949 | 35,114,599 | 36,586,349 |
| Tuition, Fees, and Other | 17,994,694 | 12,322,550 | 12,572,550 | 14,889,517 |
| Total Revenue | $548,690,891 | $506,996,531 | $552,650,060 | $518,415,974 |
| Transfers In: | | | | |
| County General Fund (001) | $1,626,600,722 | $1,626,600,722 | $1,626,600,722 | $1,684,406,081 |
| Health and Flexible Benefits Fund (591) | 10,700,000 | 0 | 0 | 0 |
| Total Transfers In | $1,637,300,722 | $1,626,600,722 | $1,626,600,722 | $1,684,406,081 |
| **Total Available** | **$2,294,776,184** | **$2,161,597,253** | **$2,297,368,616** | **$2,256,322,055** |
| Total Expenditures | $2,138,355,614 | $2,119,183,415 | $2,238,928,078 | $2,227,634,474 |
| Transfers Out: | | | | |
| School Construction Fund (390) | $10,985,226 | $8,877,232 | $8,684,077 | $10,146,149 |
| School Grants & Self-Supporting Fund (192) | 21,845,970 | 21,702,890 | 20,802,446 | 14,367,709 |
| School Adult & Community Education Fund (193) | 1,695,667 | 58,393 | 958,836 | 400,000 |
| Consolidated County & Schools Debt Fund (200 & 201) | 3,775,873 | 3,775,323 | 3,775,323 | 3,773,723 |
| Total Transfers Out | $38,302,736 | $34,413,838 | $34,220,682 | $28,687,581 |
| **Total Disbursements** | **$2,176,658,350** | **$2,153,597,253** | **$2,273,148,760** | **$2,256,322,055** |
| **Ending Balance** | **$118,117,834** | **$8,000,000** | **$24,219,856** | **$0** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

[2] The FY 2011 Beginning Balance reflects $25.5 million resulting from a projected Virginia Retirement System (VRS) premium holiday in FY 2010 and $28.0 million that will be identified in FY 2010 and carried over to fund FY 2011 requirements.

# Fund 100
# County Transit Systems

## Mission

To provide safe, reliable, clean and effective public transportation service that complements the other elements of the multi-modal transportation system in Fairfax County and provides a cost-saving alternative to Washington Metropolitan Area Transit Authority (WMATA) Metrobus service. To fund the County's share of operating costs for the Virginia Railway Express (VRE).

## Focus

**FAIRFAX CONNECTOR Bus System**

Fund 100, County Transit Systems, provides funding for operating and capital expenses for the FAIRFAX CONNECTOR bus system. The Fairfax County Department of Transportation (FCDOT) manages, oversees and coordinates the activities of the FAIRFAX CONNECTOR bus system, which in FY 2011 will operate 60 routes providing primarily intra-county service and access to Metrorail stations serving County residents. The system includes an authorized fleet of 220 buses. It also includes an additional 24 rebuilt buses as a reserve fleet. Timely replacement of aging FAIRFAX CONNECTOR buses is ensured by following a Board of Supervisors' approved FAIRFAX CONNECTOR Transit Bus Fleet Replacement Policy, which includes a FAIRFAX CONNECTOR bus replacement schedule based on a 12-year useful life cycle. Funding is included in the FY 2011 budget for the replacement of 13 FAIRFAX CONNECTOR buses (6 percent of the fleet) that will reach established replacement criteria, thus minimizing maintenance issues and ensuring future bus service reliability.


Buses operate from three bus operations centers, Huntington, Reston-Herndon and West Ox, owned by the County. FAIRFAX CONNECTOR service is operated by a private contractor from these County sites. The Huntington Division provides local service to the Huntington, Van Dorn and Franconia-Springfield Metrorail Stations, express service to the Pentagon Metrorail Station and cross-county service between Springfield and Tysons Corner. The Reston-Herndon Division includes express service from Reston and Herndon to the West Falls Church – VT/UVA Metrorail Station, express service from Reston to the Pentagon and Crystal City, local service between Herndon, Reston, and Tysons Corner, local service within Reston, and cross-county service between Fair Oaks and Reston. In June 2009, the West Ox Division became operational, providing service to the Centreville and Chantilly areas in the western part of the County.

***The new West Ox Bus Operations Center commenced operations on June 29, 2009.***

# Fund 100
# County Transit Systems

The new West Ox Bus Operations Center, at the intersection of West Ox Road and Lee Highway, is a facility jointly funded and occupied by the County and WMATA. Construction was completed on the West Ox Bus Operations Center in fall 2008. The site eventually will support 300 buses. Phase I has a total maximum space for 100 WMATA buses and 75 County buses. Under the Joint Use Agreement with WMATA, WMATA pays its share of on-going operating and maintenance costs to the County. The new center provides more optimal and effective service to the western portion of the County, including FAIRFAX CONNECTOR services that replaced WMATA's 12s, 20s and 2W non-regional Metrobus routes, as approved by the Board of Supervisors in February 2006.

The County initiated CONNECTOR service at West Ox at the end of June 2009, with 11 bus routes connecting Centreville and Chantilly with the Vienna – Fairfax/GMU Metrorail Station. In future years, some existing FAIRFAX CONNECTOR bus routes now operating from other divisions may be shifted to the West Ox location to optimize service.

In addition to the opening of the new West Ox Operations Center and takeover of service previously operated by WMATA in the western part of the County, recent years have included a number of other CONNECTOR initiatives. In FY 2008, FAIRFAX CONNECTOR equipped all buses with front-mounted bike racks able to carry two bikes. Bike racks have been well received across the County by FAIRFAX CONNECTOR riders, as they offer a healthier, more environmentally friendly commuting choice.

FCDOT continues its commitment to the Emission Reduction Program as an agency focus. The program comprises the following four components: 1) Converting the fleet to Ultra Low Sulfur Diesel fuel; 2) Reducing idling, and programming bus engines for auto shut-down; 3) Re-powering 30 foot buses to reduce horsepower and emission output; and 4) Installing Diesel Particulate Filters (DPF) on the existing fleet. Through conversions and replacement bus acquisitions, FCDOT has upgraded approximately 89 percent of the authorized fleet to be compliant with requirements of the U.S. Environmental Protection Agency. To further it's commitment to the Emission Reduction Program, FCDOT is currently running 14 mini hybrid transit buses with an additional 31 on order. The fuel consumption of these buses has proven to be 10 percent more efficient than buses in the existing fleet.

The completed bus rebuilding program enabled FAIRFAX CONNECTOR to take retired buses and create a reserve fleet. This program resulted in the rebuilding of 24 buses with new engines, transmissions, bulkheads, wheelchair lifts and other major components. These rebuilt buses enable the FAIRFAX CONNECTOR to have a more adequate spare ratio to address maintenance requirements, provide more protection to the active fleet, deploy standby buses to provide system reliability and dependability, enable training without impacting service delivery and provide a contingency fleet in the event of unforeseen regional emergencies.

Total FY 2011 funding of $73,295,333 is provided for bus services, including $68,264,858 for FAIRFAX CONNECTOR routes and $5,030,475 for WMATA reimbursable facility and fuel costs at the West Ox Bus Operations Center. FY 2011 CONNECTOR funding includes $1,187,886 fully reimbursed from the Virginia Megaprojects Transportation Management Program, for Tysons midday shuttle service. This service was initiated in November 2009 as part of the Dulles Rail Transportation Management Plan, and it will continue during the period of construction of the Dulles Rail extension.

FAIRFAX CONNECTOR County routes are supported from a combination of sources, including fare and advertising revenue, State Aid held at NVTC, a transfer of commercial and industrial real estate tax for transportation revenue from Fund 124, County and Regional Transportation Projects, a transfer from Fund 309, Metro Operations and Construction, and a General Fund transfer. In previous years, State grant support was also included in this budget, however all State grants are anticipated to fully expire by FY 2011, as detailed below.

The FY 2011 budget reflects fare revenue that is relatively flat with the FY 2010 Adopted Budget Plan. The FY 2010 Adopted Budget Plan included projections for a significant increase in ridership due to the continued moderate ridership growth on existing routes and the addition of ridership from routes supported by revenue from the commercial and real estate tax for transportation. Despite ridership growth on new commercial and industrial real estate tax-supported routes, ridership on existing routes has not met expectations and is significantly down from the projection. The resulting decrease from the projected fare revenue is proposed to be offset in FY 2011 with a moderate fare increase. Ridership has been impacted by the decrease in automobile gas prices to more affordable levels, putting previous transit users back in their cars, as well as to the continuing economic downturn which has decreased jobs and associated transit commuters. A proposed CONNECTOR fare increase from the current $1.25 fare to $1.50 is required to meet FY 2011 requirements. This increase would be the second fare increase in recent years. In December 2008, the Board of Supervisor's approved a policy to have CONNECTOR bus fares and fare structure follow those of WMATA, and the CONNECTOR subsequently raised fares in January 2009 from $1.00 to $1.25, as well as eliminating the paper transfer and instituting a separate full fare charge for transfers when cash is used as fare payment, to provide revenue toward a portion of system operating requirements. A FY 2011 fare increase to $1.50 is proposed to be implemented early in FY 2011, once appropriate public notification has taken place. It is anticipated that the increase will be consistent with a bus fare increase being considered by WMATA.

The FY 2011 CONNECTOR budget includes $15.51 million in support from the commercial and industrial real estate tax for transportation, the same level as in FY 2010. The commercial real estate tax revenue is posted to Fund 124, County and Regional Transportation Projects, and is transferred to the County Transit Systems budget. The transportation tax provides continued support for West Ox Division rush hour and midday service, continued support for increased frequencies on overcrowded priority bus routes (Routes 171, 401/402 and 950) which were expanded in FY 2010, and continued support of Transit Development Plan expansions of bus service hours at all three operating divisions.

**Anticipated Loss of the Dulles Corridor Grant for CONNECTOR**

As of FY 2011, the state and the Metropolitan Washington Airports Authority (MWAA), the state's successor in operating the Dulles Toll Road, have withdrawn financial support in the amount of $6.7 million for the Dulles Express Bus Service, which is one of the most highly utilized Fairfax Connector bus services within the County. The Dulles Express Bus Service has been instrumental in building transit ridership in the Dulles corridor prior to the opening of Metrorail operations in the corridor. Funded through an annual state set-aside of Dulles Toll Road revenue, the grant was established in FY 2000 by agreement with the Commonwealth and the Virginia Department of Rail and Public Transportation (DRPT). It was to remain in place until Metrorail service to Wiehle Avenue was implemented. In November 2008, the Dulles Toll Road and the control of this grant were transferred to MWAA; MWAA subsequently determined that the grant to the County would not be continued.

A combination of strategies will be employed in FY 2011 to address the anticipated loss of this important grant. One time balances of $2.8 million in State Aid held by the Northern Virginia Transportation Commission (NVTC) are applied to meet some of the requirement. It is noted that, while a higher level of State Aid at NVTC is available for FY 2011, application of these funds on an annual basis cannot be sustained due to other ongoing METRO and CONNECTOR requirements. CONNECTOR service reductions of $3.9 million, including systemwide reductions as well as reductions specific to the Dulles corridor (Reston-Herndon Division), are proposed to make up the balance of the shortfall resulting from the loss of the Dulles Corridor Grant.

Systemwide reductions of $1.91 million include a planned 67 percent reduction in standby service at transit centers, now used to respond to bus breakdowns, significant bus delays, and overcrowding situations. This reduction will affect the quality, reliability, and on-time performance of CONNECTOR operations. Systemwide reductions also eliminate late evening bus service, generally after 9 p.m., on all routes but priority routes. This reduction will negatively impact riders who work later shifts and who do not have automobiles and are transit dependent. It is noted that priority Routes 401/402, 171, and 950, as well as Route 480 (seasonal service) to Wolf Trap, will not be affected by this reduction.

Reductions of $1.98 million specific to the Reston Herndon Division include: the elimination of all Reston-Herndon Division Sunday service; the elimination of seven Reston-Herndon division routes that are rush hour routes with service between Reston and the West Falls Church Metro or from the West Falls Church (reverse commute) to the Herndon Monroe Park and Ride; and the elimination of midday service between Tysons Westpark Transit Station and the West Falls Church Metro. The specific routes proposed for elimination or a reduction in service hours are summarized in the Funding Adjustments section on the following pages.

To close the gap created by this loss in outside revenue from MWAA for CONNECTOR operations in the Dulles corridor, the County attempted to minimize the total number of riders impacted by any service cuts and to not disproportionately impact transit dependent riders, i.e., those which have no other means of transportation. Initially, standby service is proposed for reduction by approximately 67 percent. The next proposed cut is to eliminate service primarily after 9 p.m. systemwide except on priority overcrowded routes (Routes 170, 401/402, and 950). In addition to these systemwide cuts, Sunday service in Reston-Herndon is identified for elimination. The total Sunday ridership affected is slightly less than the total ridership combined for all the other proposed Reston Herndon Division weekday service cuts. Rather than focusing wholly on reducing weekend service, which impacts a higher percentage of transit dependent riders than weekday service, lower ridership weekday services were identified to close the remaining funding gap.

**Commuter Rail**

Fund 100, County Transit Systems, includes the County's share of the subsidy for commuter rail services operated by the Virginia Railway Express (VRE). The Board of Supervisors approved the County's participation in the regional rail service on August 1, 1988. The service is a joint effort among the Northern Virginia Transportation Commission (NVTC), the Potomac and Rappahannock Transportation Commission, the Virginia Department of Rail and Public Transportation, and the participating jurisdictions of Fairfax County, Manassas, Manassas Park, Fredericksburg, Prince William County, and Stafford County. The City of Alexandria and Arlington County are also contributing jurisdictions.

The operation and maintenance costs associated with the commuter rail system are funded from a combination of ridership revenues (which accrue directly to VRE), state contributions and contributions from the participating and contributing local jurisdictions. According to the VRE Master Agreement, at least 50 percent of the operating costs must be paid by passenger fares, with the remainder funded by the participating jurisdictions according to a funding formula. In spring 2007, the VRE Operations Board and member jurisdictions approved a change in the funding formula to transition from the previous calculation based on 90 percent ridership and 10 percent population, to a purely ridership-based formula more favorable to Fairfax County. FY 2011 is the fourth and final year of the phase-in of the formula change. The FY 2011 Fairfax County subsidy, as approved by the VRE Operations Board and the NVTC is $4.91 million, a net decrease of $0.09 million from FY 2010 due to the positive impact of the formula change on the calculation of the County subsidy requirement, and the impact of increased Fairfax County ridership.

**General Fund Impact**

The FY 2011 General Fund transfer to Fund 100 is $28,932,198, an increase of $5,119,831, or 21.5 percent, over the FY 2010 Adopted Budget Plan. This increase was necessary primarily to meet the requirements of a new bus operations contract partially funded in FY 2010. Funded bus operations contract requirements include: a 4 percent contractually obligated increase in the bus operations contract, the maintenance of a reserve for engine failures as required under the contract, and full year funding for the negotiated service hour rate that was previously only funded for a partial year. The transfer increase also supports actual costs of essential West Ox building maintenance contracts that were not fully funded prior to the opening of the new facility and determination of exact costs. It also supports a net increase of $0.64 million in fuel and vehicle replacement costs.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Expenditures: | | | | |
| Bus Services | | | | |
| Huntington | $34,007,534 | $33,425,767 | $39,875,602 | $35,676,855 |
| Reston/Herndon | 18,753,141 | 24,244,702 | 31,693,445 | 17,809,466 |
| West Ox | 2,574,986 | 16,307,558 | 22,273,081 | 19,809,012 |
| Subtotal - Bus Services, CONNECTOR & WMATA | $55,335,661 | $73,978,027 | $93,842,128 | $73,295,333 |
| Commuter Rail (VRE) | $5,507,805 | $4,995,534 | $4,995,534 | $4,906,693 |
| **Total Expenditures** | **$60,843,466** | **$78,973,561** | **$98,837,662** | **$78,202,026** |
| Income: | | | | |
| Miscellaneous Revenue | $274,550 | $50,000 | $50,000 | $50,000 |
| Farebox and SmarTrip Revenue | 3,733,506 | 9,773,583 | 9,773,583 | 7,021,757 |
| State Reimbursement - Dulles | 6,655,182 | 6,645,000 | 6,645,000 | 0 |
| State Reimbursement - Richmond Hwy. | 873,622 | 0 | 0 | 0 |
| State Reimbursement - Tysons Lunch Shuttle | 0 | 0 | 0 | 1,187,886 |
| Advertising on CONNECTOR Buses | 286,137 | 350,000 | 350,000 | 200,000 |
| WMATA Reimbursements, West Ox | 133,517 | 4,990,369 | 4,990,369 | 5,030,475 |
| State Aid (NVTC) Operations | 12,512,496 | 15,396,798 | 15,396,798 | 18,201,878 |
| NVTC Funds, Projects | 3,182,250 | 0 | 3,083,000 | 0 |
| **Total Income** | **$27,651,260** | **$37,205,750** | **$40,288,750** | **$31,691,996** |
| **Net Cost to the County** | **$33,192,206** | **$41,767,811** | **$58,548,912** | **$46,510,030** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Increased Funding for Contractual Services** **$1,897,480**
  An increase of $1,897,480 for a 4 percent contractually obligated increase under the bus operations contract. This action increases the General Fund transfer.

- **Other Contractual Obligations** **$2,579,168**
  An increase of $2,579,168 for CONNECTOR requirements, including the maintenance of a contractually obligated reserve for engine failures under the new bus operations contract, full funding for the bus operations contract increase which was only funded for a partial year in FY 2010 due to the timing of the award of the new contract, and full funding for the actual costs of West Ox building service contracts. Since the West Ox Bus Operations Center was newly opened at the end of FY 2009, the FY 2010 budget had only included estimates for the costs of these building contracts. This action increases the General Fund transfer.

- **Department of Vehicle Services Charges** **$643,183**
  An increase of $643,183 for Department of Vehicle Services charges is based on anticipated costs for fuel, vehicle replacement and maintenance costs. This action increases the General Fund transfer.

- **Reductions Resulting from the Loss of the Dulles Corridor Grant** **($4,483,209)**
  A decrease of $4,483,209 reflects CONNECTOR expenditure reductions resulting from the anticipated loss of the $6.7 million Dulles Corridor Grant. These expenditure reductions result in a corresponding decrease of $587,435 in estimated fare revenue, resulting in a net impact of $3,895,774. Proposed decreases include:

  - $835,925 associated with a 67 percent reduction in systemwide standby bus service, used to ensure timely bus services can continue in the event of bus breakdowns or to provide back up buses in the event of significant delays or overcrowding;
  - $1,246,838 associated with the elimination of service past 8 p.m. or 9 p.m. on most routes in the system.
  - $1,090,758 associated with the elimination of all Reston Herndon Division Sunday service, impacting the only commuting option available for many Sunday riders.
  - $1,309,688 associated with the elimination of seven Reston-Herndon Division rush hour routes, and a partial reduction on two routes to eliminate midday service, as follows:
    - Eliminate rush hour Route 929, Centreville Road Line, connecting neighborhoods to the Herndon Monroe Park and Ride. It is noted that this route was proposed in FY 2010 for a reduction, and it was restored with the application of State Aid support.
    - Eliminate rush hour Routes 951 and 952 Reston/Herndon Reverse Commute between West Falls Church and Herndon Monroe. It is noted that Route 952 was proposed in FY 2010 for a reduction, and it was restored with the application of State Aid support.
    - Eliminate rush hour Routes 552 and 554 North Reston Lines providing service to West Falls Church Metro.
    - Eliminate rush hour Routes 553 and 557 South Reston Lines providing service to West Falls Church Metro. These routes were reduced by 40 percent in FY 2010.
    - Partially reduce service for Routes 425 and 427 (Tysons Westpark Transit Station to West Falls Church Metro) by eliminating midday service.

- **Bus Operations Contractor Collection of Fares** (**$2,547,308)**
  An expenditure decrease of $2,547,308 is included to reflect the terms of the new bus operations contract. Under the contract, the bus operations contractor is responsible for the collection of bus fare payments made in cash (fare revenue that is not SmarTrip). The contractor uses this fare revenue to offset its monthly bill to the County, thereby reducing the County's expenditure requirement. CONNECTOR staff monitors and ensures that contractor fare collection reports and credits match electronic farebox records for the amount of revenue collected.

- **Tysons Lunch Shuttle** **$1,187,886**
  An increase of $1,187,886, fully reimbursed by the Virginia Megaprojects Transportation Management Program, for two midday lunch shuttles operating at 10-minute headways in Tysons Corner. These lunchtime shuttles were approved by the Board of Supervisors and implemented in fall 2009 as part of the Dulles Rail Transportation Management Plan. The routes operate at no fare to passengers, and connect major employers and the Tysons Corner Center and Tysons Galleria. This service will be continued on an annual basis, until the opening of the first phase of Dulles rail in 2013. At that time, a more comprehensive collector and feeder bus network would be implemented, pending available funding.

- **WMATA Facility Costs at West Ox** **$40,106**
  An increase of $40,106, fully reimbursed by WMATA, associated with WMATA's portion of facility cost at the West Ox Bus Operations Center. Under the Joint Use Agreement, WMATA pays its share of on-going operating and maintenance costs to the County

- **Virginia Railway Express (VRE)** **($88,841)**
  A decrease of $88,841 is included to reflect Fairfax County's estimated share of VRE expenses. The Fairfax County FY 2011 anticipated VRE subsidy total of $4,906,693 is based on the recommended VRE budget, and represents the fourth and final year of the phased-in change to the allocation formula which apportions financial responsibility to participating jurisdictions. The new ridership-based formula is more favorable to Fairfax County. Savings in the VRE subsidy have been applied to meet FY 2011 requirements of the FAIRFAX CONNECTOR.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$19,864,101**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $19,864,101, due to encumbered carryover of $13,614,739, unencumbered carryover of $3,166,362, and $3,083,000 to appropriate Northern Virginia Transportation Commission (NVTC) funds available for several projects. Unencumbered carryover of $3,166,362 represented $2,266,362 for payments due to the contractor for previously approved NVTC funded projects, including: $635,000 in remaining equipment and setup costs for the new West Ox Bus Operations Center; $218,000 for bus safety straps and security cameras; and $1,413,362 for critical repairs to the Reston/Herndon Bus Operations Center, including roof replacement, a bus wash replacement system conforming to EPA clean water standards, and electrical upgrades. The project design phase was completed, but actual replacement work was delayed so the repairs could be managed under the new bus operations contract in FY 2010. In addition, based on the results of a consultant site analysis, $700,000 in NVTC support was funded for the implementation of a preventative maintenance program at the Herndon-Monroe Parking Garage and new Burke VRE Garage to lengthen the life of those facilities. Unencumbered funding also included $200,000 for replacement motors for the exhaust systems at the Huntington and Reston-Herndon garages because they reached the end of their useful life.

  The appropriation of $3,083,000 in NVTC funds included: an adjustment of $1,400,000 to meet transition costs to a new bus operations contractor in early FY 2009 at the Reston-Herndon and Huntington garages. These costs included the rekeying of all facilities and rekeying of fareboxes on 220 buses to ensure the security of operations once the prior contractor terminated its provision of service. It also included fleet and facility audits and repairs to ensure that minimum standards were met prior to the new contractor's takeover. The County has responsibility for CONNECTOR garages, and funding followed an independent consultant's site evaluation of the two garages and the estimated costs of follow-up County repairs to ensure the compliance with any new EPA and OSHA standards. Another NVTC initiative of $1,083,000 supported plans for bus operations IT connectivity, connecting the Reston-Herndon and Huntington garages to the County network via fiber optic cable in order to allow the sites to operate as a single system. This connectivity is required to monitor and manage fleet maintenance and the consumption of bus fuel and oil through a central software system that spans all three garages. In addition to these items, NVTC funding also included $500,000 to study and evaluate the current CONNECTOR bus operations contract model to identify potential cost efficient alternatives for the future, and $100,000 to upgrade seatbelts on all buses to a safer standard.

# Fund 100
# County Transit Systems

## Cost Centers

There are two cost centers in Fund 100, County Transit Systems. The first represents the FAIRFAX CONNECTOR bus service, including three divisions, Huntington, Reston-Herndon, and the new West Ox division beginning service in summer 2009. The second cost center is focused on Commuter Rail, the Virginia Railway Express (VRE).

## Fairfax CONNECTOR

## Key Performance Measures

### Objectives

- To provide service to 8,472,433 FAIRFAX CONNECTOR passengers in FY 2011. This amount reflects a decrease of 12 percent due to current ridership experience and due to anticipated service reductions.

- To provide an exemplary transit bus system, which is cost effective and competitive in the Washington Metropolitan Region by providing 607,097 platform hours of service and 9,424,292 platform miles of service in FY 2011.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2007 Actual** | **FY 2008 Actual** | **FY 2009 Estimate/Actual** | **FY 2010** | **FY 2011** |
| **Output:** | | | | | |
| Authorized fleet size | 202 | 202 | 220 / 220 | 220 | 220 |
| Routes served | 56 | 57 | 68 / 58 | 63 | 60 |
| Passengers transported (1) | 9,717,392 | 9,810,228 | 10,478,620 / 9,576,635 | 9,624,741 | 8,472,433 |
| Timetables distributed | 3,100,000 | 3,100,000 | 3,102,000 / 3,100,000 | 3,102,000 | 3,102,000 |
| Information sites | 265 | 265 | 265 / 265 | 265 | 265 |
| Maps distributed | 38,000 | 38,000 | 38,000 / 38,000 | 38,000 | 38,000 |
| Platform hours provided | 542,471 | 546,260 | 582,289 / 541,458 | 672,530 | 607,097 |
| Platform miles provided | 8,050,423 | 8,113,184 | 9,450,735 / 7,710,795 | 10,879,003 | 9,424,292 |
| Revenue hours | 468,889 | 476,988 | 509,440 / 475,870 | 588,249 | 540,410 |
| Revenue miles generated | 7,053,844 | 7,101,744 | 7,512,868 / 6,803,738 | 8,929,372 | 7,718,012 |
| **Efficiency:** | | | | | |
| Passengers/revenue mile | 1.38 | 1.38 | 1.39 / 1.41 | 1.08 | 1.10 |
| Operating costs (2) | $41,038,726 | $46,574,998 | $55,307,509 / $45,015,820 | $63,267,658 | $65,092,166 |
| Farebox revenue (3) | $5,129,382 | $5,829,294 | $6,928,544 / $7,979,136 | $9,823,583 | $9,579,065 |
| Operating subsidy (4) | $35,909,344 | $40,745,704 | $48,378,965 / $36,036,684 | $53,444,075 | $55,523,101 |
| Farebox revenue as a percent of operating costs | 12.50% | 12.52% | 12.53% / 17.73% | 15.53% | 14.70% |
| Operating cost/passenger | $4.22 | $4.75 | $5.28 / $4.70 | $6.57 | $7.68 |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Operating subsidy/passenger | $3.70 | $4.15 | $4.62 / $3.87 | $5.55 | $6.55 |
| Operating cost/platform hour | $75.65 | $85.26 | $94.98 / $83.14 | $94.07 | $107.22 |
| Operating cost/platform mile | $5.10 | $5.74 | $5.85 / $5.84 | $5.82 | $6.91 |
| **Service Quality:** | | | | | |
| Complaints per 100,000 passengers | 16 | 13 | 15 / 8 | 17 | 18 |
| **Outcome:** | | | | | |
| Percent change in FAIRFAX CONNECTOR passengers (1) | 1.98% | 0.96% | 6.81% / (2.38%) | 0.50% | (11.97%) |
| Percent change in service provided for platform hours | 3.03% | 0.70% | 6.60% / (0.88%) | 24.21% | (9.73%) |
| Percent change in service provided for platform miles | (1.02%) | 0.78% | 16.49% / (4.96%) | 41.09% | (13.37%) |

(1) FY 2010 ridership levels are slightly higher than FY 2009 due to the net impact of ridership loss due to FY 2010 service reductions and the economic downturn, offset by service expansions at the new West Ox Division and on crowded high priority routes (supported by the commercial and industrial real estate tax revenue). However, FY 2010 ridership is significantly lower than the 11,145,738 passenger projection in the FY 2010 Adopted Budget Plan. FY 2011 ridership levels reflect the elimination and reduction of routes and service to meet the budget shortfall caused by the anticipated loss of the Dulles Corridor Grant.

(2) FY 2011 operating costs include the Tysons Lunch Shuttle, which was implemented in November 2009. FY 2010 CONNECTOR operating costs are based on the FY 2010 Adopted Budget Plan. An adjustment will be made to FY 2010 operating costs as part of the *FY 2010 Third Quarter* Review to fund this shuttle service in the current budget year as a reimbursable State expense associated with the Dulles Rail project.

(3) Farebox revenue reflects a combination of SmarTrip fare received by the County, and cash fares received by the bus operations contractor and credited to the County account. FY 2010 CONNECTOR farebox revenue is based on the FY 2010 Adopted Budget Plan.

(4) The County subsidizes CONNECTOR operating costs from County General Fund dollars, bus advertising revenue, proffer funding, State reimbursements, State Aid available through NVTC, and in FY 2010 and FY 2011, funds transferred from the commercial real estate tax for transportation. The FY 2011 subsidy also includes full support from the Virginia Megaprojects Transportation Management Program for the costs of the Tysons Lunch Shuttle.

## Performance Measurement Results

The economic downturn, resulting in fewer working commuters, and a drop in gas prices from their high in calendar year 2009 (causing some riders to return to their cars), has impacted ridership levels. The CONNECTOR experienced a 2.4 percent decrease in FY 2009 ridership, following a 16 percent growth in ridership for the three year period leading up to FY 2008.

FY 2010 passenger trips are expected to increase marginally from previous fiscal year actuals. Although service reductions in FY 2010 were necessary due to a reduction in available General Fund support, increasing ridership on existing routes helped to off set any further sharp declines in passenger trips. FY 2011 projected decreases – in passengers, platform hours and platform miles of service - reflect additional service reductions due to the loss of the Dulles Corridor Grant of approximately $6.7 million.

In FY 2011, FAIRFAX CONNECTOR is expecting to transport 8,472,433 passengers. This passenger level is a decrease of 12.0 percent from the FY 2010 projected level. Service reductions result in a corresponding 9.7 percent decrease in platform hours and a 13.4 percent decrease in decrease in platform miles. Since a portion of CONNECTOR expenditure requirements associated with three garages represents fixed facility costs that will continue despite the planned service reduction, efficiency levels are impacted, evidenced by a FY 2011 increase in the operating cost per passenger. In addition, service reductions to meet budget shortfalls in FY 2010 and FY 2011 - including standby service used to address bus breakdowns, bus delays, and severe overcrowding - is expected to result in an increased number of passenger complaints.

The indicator for "passengers per revenue mile", which adjusts downwards from 1.41 passengers/mile in FY 2009 to 1.08 passengers/mile in FY 2010 and 1.10 passengers/mile in FY 2011, reflects the changing annual composition of CONNECTOR routes. New routes implemented in FY 2010 serve the transit needs in the western part of the County, where there are fewer passengers per revenue mile because there are more miles to travel between the western County and the transit center/Metro station destination points.

The FAIRFAX CONNECTOR will continue to evaluate FY 2011 routes to maximize ridership while at the same time achieving the best alignment of service to balance commuter needs during rush hours and the needs of riders who depend on bus service at other hours as their only means of transportation.

## Commuter Rail

## Key Performance Measures

### Objectives

♦ To provide a reliable alternative mode of transportation to Fairfax County residents utilizing the Virginia Railway Express (VRE).

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2007 Actual** | **FY 2008 Actual** | **FY 2009 Estimate/Actual** | **FY 2010** | **FY 2011** |
| **Output:** | | | | | |
| Annual Fairfax County VRE subsidy ($ in millions) | $3.94 | $4.70 | $5.51 / $5.51 | $5.00 | $4.91 |
| Daily trains operated | 31 | 30 | 30 / 30 | 30 | 30 |
| Stations maintained in Fairfax County | 5 | 5 | 5 / 5 | 5 | 5 |
| Parking spaces provided in Fairfax County (1) | 2,090 | 2,955 | 2,955 / 2,955 | 2,955 | 2,955 |
| Daily A.M. boardings at Fairfax County stations | 1,538 | 1,896 | 1,700 / 1,605 | 1,653 | 1,702 |
| Estimated annual boardings / alightings at Fairfax County stations (2) | 753,620 | 929,040 | 833,000 / 786,450 | 810,043 | 834,344 |
| **Efficiency:** | | | | | |
| Cost per County VRE trip | $5.23 | $5.06 | $6.61 / $7.00 | $6.17 | $5.88 |
| **Outcome:** | | | | | |
| Percent change in VRE passengers boarding at stations in Fairfax County | (8.5%) | 23.3% | (10.3%) / (15.3%) | 3.0% | 3.0% |

(1) Parking spaces increased in FY 2008 due to the construction of a new garage and additional surface parking at the Burke Centre Station, and due to the County provision of offsite temporary parking while the garage was being constructed.

(2) In FY 2008, the County provided EZ bus service from offsite temporary parking during the construction of a new VRE garage at the Burke Centre Station, and this service positively impacted the number of passengers. EZ bus service was no longer provided beginning July 2009, because the garage was completed.

## Performance Measurement Results

VRE annual ridership for Fairfax County decreased in FY 2009 to an estimated 786,450 boardings, from the FY 2008 high of 929,040. The economic downturn has an impact on the number of jobs and resulting number of commuters. Ridership at the Burke VRE Station also decreased once the convenience of EZ bus service from satellite parking to the station was eliminated. This bus service was provided during the period of construction of the new Burke VRE Garage. Modest ridership growth of 3 percent is projected for FY 2010 and FY 2011 as commuters become aware of, and use, the capacity at the new 1,290 parking space garage. VRE has implemented a number of operational and capital efforts to address on-time performance issues, and the increased reliability of the system is expected to have a positive impact on present and future ridership in the system.

In FY 2006, to ensure future capacity on VRE's crowded lines, VRE entered into a contract with the Sumitomo Corporation of America for the purchase of 61 new bi-level railcars. Delivery of the new railcars occurred through FY 2009, with the last order placed in service November 2009. The purchase of this equipment will help maximize the seating capacity of the VRE fleet on the Fredericksburg and Manassas lines, where five of the County's stations are located.

VRE also is preparing for present and future demand by planning more parking, new stations and station improvements, rolling stock storage, and track improvements. A priority now for VRE is to purchase approximately 20 new locomotives to replace its aging locomotive fleet and provide greater horse-power to haul the new heavier bi-level railcars. Fairfax County continues to monitor the crowded parking situation at Rolling Road Station parking lot to identify any improvement resulting from commuters opting to park at the new Burke VRE Garage. As part of the Fort Belvoir BRAC (Base Realignment and Closure) implementation, development proposals continue to be offered at the Backlick and Lorton VRE stations for possible enhancements.

The County annual VRE subsidy and subsequent cost per County VRE trip will decrease to $5.88 per trip in FY 2011 from the estimated FY 2010 level of $6.17 per trip as a result of the positive impact on the County subsidy of the fourth year of a four year phase-in of the formula plan approved by the VRE Operations Board on June 15, 2007.

# Fund 100
# County Transit Systems

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 100, County Transit Systems**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$18,370,320** | **$857,251** | **$20,469,602** | **$981,250** |
| Revenue: | | | | |
| Miscellaneous Revenue[1] | $274,550 | $50,000 | $50,000 | $50,000 |
| SmarTrip Revenue[2] | 3,733,506 | 4,593,583 | 4,593,583 | 7,021,757 |
| Farebox Revenue[3] | 0 | 5,180,000 | 5,180,000 | 0 |
| State Reimbursement - Dulles[4] | 6,655,182 | 6,645,000 | 6,645,000 | 0 |
| State Reimbursement - Richmond Hwy.[5] | 873,622 | 0 | 0 | 0 |
| State Reimbursement - Tysons Lunch Shuttle[6] | 0 | 0 | 0 | 1,187,886 |
| Bus Advertising | 286,137 | 350,000 | 350,000 | 200,000 |
| WMATA Reimbursements, West Ox Bus Operations Center[7] | 133,517 | 4,990,369 | 4,990,369 | 5,030,475 |
| State Aid (NVTC) Operations[8] | 12,512,496 | 15,396,798 | 15,396,798 | 18,201,878 |
| State Aid (NVTC) Projects[9] | 3,182,250 | 0 | 3,083,000 | 0 |
| Total Revenue[10] | $27,651,260 | $37,205,750 | $40,288,750 | $31,691,996 |
| Transfers In: | | | | |
| General Fund (001)[11] | $33,377,083 | $23,812,367 | $21,562,367 | $28,932,198 |
| County and Regional Transportation Projects (124)[12] | 0 | 15,507,212 | 15,507,212 | 15,507,212 |
| Metro Operations and Construction (309) | 1,914,405 | 1,990,981 | 1,990,981 | 2,070,620 |
| Total Transfers In | $35,291,488 | $41,310,560 | $39,060,560 | $46,510,030 |
| **Total Available** | **$81,313,068** | **$79,373,561** | **$99,818,912** | **$79,183,276** |
| Expenditures: | | | | |
| FAIRFAX CONNECTOR | | | | |
| Huntington Division | | | | |
| Operating Expenses | $19,736,761 | $27,705,767 | $33,676,664 | $29,956,855 |
| Capital Equipment | 14,270,773 | 5,720,000 | 6,198,938 | 5,720,000 |
| Subtotal - Huntington Division | $34,007,534 | $33,425,767 | $39,875,602 | $35,676,855 |
| Reston-Herndon Division | | | | |
| Operating Expenses | $18,696,346 | $24,244,702 | $30,205,083 | $17,809,466 |
| Capital Equipment | 0 | 0 | 75,000 | 0 |
| Capital Projects | 56,795 | 0 | 1,413,362 | 0 |
| Subtotal - Reston-Herndon | $18,753,141 | $24,244,702 | $31,693,445 | $17,809,466 |
| West Ox Division, County CONNECTOR | | | | |
| Operating Expenses | $2,337,083 | $11,317,189 | $16,941,964 | $14,778,537 |
| Capital Equipment | 104,386 | 0 | 340,748 | 0 |
| Subtotal - West Ox Division, County[13] | $2,441,469 | $11,317,189 | $17,282,712 | $14,778,537 |
| West Ox Division, WMATA[7] | $133,517 | $4,990,369 | $4,990,369 | $5,030,475 |
| Subtotal - West Ox Division, County and WMATA | $2,574,986 | $16,307,558 | $22,273,081 | $19,809,012 |
| Total CONNECTOR Service[10] | $55,202,144 | $68,987,658 | $88,851,759 | $68,264,858 |
| Total WMATA Service | $133,517 | $4,990,369 | $4,990,369 | $5,030,475 |
| Total Bus Services[3] | $55,335,661 | $73,978,027 | $93,842,128 | $73,295,333 |
| Commuter Rail[14] | $5,507,805 | $4,995,534 | $4,995,534 | $4,906,693 |
| Total Expenditures[10] | $60,843,466 | $78,973,561 | $98,837,662 | $78,202,026 |
| **Total Disbursements** | **$60,843,466** | **$78,973,561** | **$98,837,662** | **$78,202,026** |

# Fund 100
# County Transit Systems

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 100, County Transit Systems**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Ending Balance[15]** | **$20,469,602** | **$400,000** | **$981,250** | **$981,250** |
| Transportation-Related Requirements | $20,469,602 | $400,000 | $981,250 | $981,250 |
| Bus Replacements | 0 | 0 | 0 | 0 |
| **Unreserved Balance** | **$0** | **$0** | **$0** | **$0** |

[1] Miscellaneous revenue includes such items as reimbursement from the Washington Metropolitan Area Transit Authority (WMATA) for the value of WMATA tokens collected on FAIRFAX CONNECTOR routes, insurance recoveries, and miscellaneous developer contributions.

[2] In FY 2011, estimated SmarTrip revenue is projected to increase over the FY 2010 level based on the popularity of SmarTrip as a means for payment, and a planned fare increase from the current $1.25 to $1.50 per trip. This level of farebox revenue also includes the impact of planned reductions in FY 2011 service due to the anticipated loss of the Dulles Corridor Grant.

[3] In FY 2009, the bus operations contractor applied $4,245,630 in farebox credits to the County expenditure bill, resulting in a lower expenditure requirement. In FY 2011, farebox revenue of $2,547,308 is reflected as an expenditure credit. This level of farebox revenue includes the impact of both the planned fare increase from the current $1.25 to $1.50 per trip, and the planned reductions in FY 2011 service due to the anticipated loss of the Dulles Corridor Grant, and increased use of SmarTrip as a means of payment (as reflected in revenues).

[4] Funding for the Dulles Corridor Rapid Transit Project has been provided by the Virginia Department of Rail and Public Transportation (VDRPT) since FY 2000. Management of the Dulles toll road, and this associated grant, transitioned from VDRPT to the Metropolitan Washington Airports Authority (MWAA) in FY 2009, and MWAA has since notified the County that it plans to discontinue this grant in FY 2011.

[5] Beginning in FY 2010, the State discontinued its Richmond Highway Grant that supported service in the Route One Corridor since FY 2005. In FY 2010 and FY 2011 the County supports this service from fare revenue and other sources of support.

[6] In November 2009, the County initiated the Tysons Lunch Shuttle, as part of a collaborative effort with the State to minimize midday traffic in the Tysons area during the construction of Dulles rail. FY 2011 reflects anticipated reimbursements from the State for the provision of this service under the Virginia Megaprojects Transportation Management Plan.

[7] WMATA reimburses the County for its share of space at the West Ox Bus Operations Center, a joint use facility for WMATA and the County CONNECTOR. WMATA initiated operations from this site in spring 2009. FY 2010 and FY 2011 estimated WMATA reimbursements reflect the full year projected recovery of WMATA facility and operational costs at that site.

[8] State Aid is disbursed to the Northern Virginia Transportation Commission (NVTC), where it is made available to the County. Beginning in FY 2009, State Aid operating support for Fund 100 operations was first made available due to the addition to NVTC balances of recordation fees, state bonds for transit capital and the redirection of funds from closed out transit projects. The FY 2011 increase in NVTC operating support is made possible by one-time NTVC balances.

[9] State Aid at NVTC is appropriated in support of mass transit requirements, and historically has been used to support Fund 100 infrastructure needs. The *FY 2010 Revised Budget Plan* provides $3,083,000 in NVTC support of infrastructure projects, including: an upgrade of seatbelts and tires on all buses to a safer standard; IT connectivity between all Connector garages to allow sites to operate as a single system, sharing data and easily transferring buses maintained at one site to another site as needed; an evaluation of the Connector operations contract model to identify potential cost efficient alternatives for the future; and startup costs related to the FY 2010 transition to a new bus operations contractor, including the rekeying of all facilities and fareboxes, and fleet and facility audits and repairs to ensure that minimum standards are met prior to the new contractor's takeover.

[10] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $379,214 has been included as an increase to FY 2009 revenues to accurately reflect revenue accruals for CONNECTOR farebox revenue, and $297,435 has been reflected as an increase to FY 2009 expenditures to accurately reflect expenditure accruals for contractual services. This adjustment results in an $81,779 increase to fund balance. The audit adjustment has been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the FY 2009 audit adjustment will be included in the FY 2010 Third Quarter Package.

[11] As part of the *FY 2009 Carryover Review*, the FY 2010 General Fund transfer to Fund 100, County Transit Systems, was reduced by $2.25 million to transfer FY 2009 balances to the General Fund. These balances resulted from fuel savings as a result of actual diesel fuel prices and the number of FY 2009 Connector platform hours. In FY 2011, the General Fund transfer increases by $5.12 million from the FY 2010 Adopted Budget Plan to fund $4.48 million in projected expenditure requirements for contractual obligations under the new bus operations contract, and $0.64 in additional projected costs for fuel based on diesel fuel prices.

[12] Beginning in FY 2010, a transfer of $15.5 million from Fund 124, County and Regional Transportation Projects supports expanded service on high priority, overcrowded Connector bus routes, the FY 2010 initiation of midday bus service from the new West Ox Bus Operations Center, and the implementation of some of route recommendations from the Transit Development Plan study. The source of these funds is annual revenue available from the 11 cent commercial and industrial tax for transportation, as approved by the Board of Supervisors. The state Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this tax, which was first implemented in FY 2009.

[13] FY 2009 Actuals for the West Ox Division largely reflect start-up costs associated with equipment testing and driver training, since CONNECTOR bus service was not initiated until June 29, 2009. FY 2010 projected expenditures of $11.32 million reflect full year funding for County West Ox Division costs, including CONNECTOR service in the western part of the County replacing WMATA's 12s, 20s and 2W bus routes and an expansion of these routes into mid-day service. West Ox Division costs are largely covered by Fund 100 fare revenue and a transfer of commercial and industrial tax revenue from Fund 124. FY 2011 funding reflects the planned alignment of routes between the Huntington, Reston-Herndon, and West Ox Divisions, and the projected $1,187,866 in State supported CONNECTOR service for the Tysons Lunch Shuttle.

[14] Fairfax County participates in the VRE Master Agreement, and provides an annual subsidy to Virginia Railway Express (VRE) operations and construction. The FY 2011 County contribution to VRE reflects the projected County contribution under the fourth and final year of the phased-in change to the subsidy allocation formula. The formula change was approved by the Board of Supervisors on April 30, 2007.

[15] The fund balance in Fund 100, County Transit Systems, is maintained at adequate levels relative to projected operating and capital equipment requirements. These costs change annually and a substantial percentage of unspent funding is carried forward each year, thus resulting in ending balances that fluctuate.

# Fund 102
# Federal/State Grant Fund

## Mission

To provide reserves for unanticipated and anticipated grants awarded to Fairfax County from federal, state, and other funding sources. The reserves enable Fairfax County to accept grant funding to enhance services provided to the residents of Fairfax County.

## Focus

In order to provide a comprehensive summary of grant awards to be received by the County in FY 2011, awards *already received* and awards *anticipated to be received* by the County for FY 2011 are included in the Fund 102, Federal/State Grant Fund budget. The total FY 2011 appropriation within Fund 102, Federal/State Grant Fund is $62,960,909, an increase of $3,167,245 or 5.3 percent, over the FY 2010 Adopted Budget Plan total of $59,793,664.

In order to secure grant funding, the grantor often requires that a certain percentage of funds be matched from local funding sources. In FY 2011, the General Fund commitment for Local Cash Match totals $2,914,001, a decrease of $48,419, or 1.6 percent, from the total FY 2010 anticipated need for Local Cash Match of $2,962,420.

Prior appropriation of the anticipated grants will allow for grants to be handled in an expeditious manner when actual awards are received. As specific grants are awarded and approved, a supplemental appropriation of the required funds is made to the specific agency or agencies administering a grant. This increase in a specific agency grant appropriation is offset by a concurrent decrease in the grant reserve. The list of anticipated grants to be received in FY 2011 was developed based on prior awards and the most recent information available concerning future awards. A detailed list of grant programs by agency, including a description of the grant programs funded, the number of positions supported, the residents served, and the funding sources (federal/state grant funds, General Fund support, and other support) is included. In addition, an amount of $1,075,000, the same level as in FY 2010, is included as part of the reserve to allow for grant awards that cannot be anticipated.

Effective September 1, 2004, the Board of Supervisors established new County policy for grant applications and awards that meet certain requirements. If a grant is $100,000 or less, with a required Local Cash Match of $25,000 or less, with no significant policy implications, and if the grantor does not require Board of Supervisors' approval, the agency can work directly with the Department of Management and Budget to receive the award and reallocate funding from the anticipated/unanticipated reserve directly to the agency. If an award exceeds these limitations but was listed in the Anticipated Grant Awards table in the Adopted Budget for the current fiscal year, the Board of Supervisors' approval is not required unless the actual funding received differs significantly from the projected funding listed in the budget. For any grant that does not meet all of the specified criteria, the agency must obtain Board of Supervisors' approval in order to apply for or accept the grant award.

**Funding in Reserve within Fund 102**

An amount of $62,960,909 is included in FY 2011 as a reserve for grant awards. Grant awards are principally funded by two general sources – federal/state grant funding and Local Cash Match. The FY 2011 reserves for each of these sources are estimated for anticipated grant awards and for unanticipated grant awards. The Reserve for Grant Funding and the Reserve for Local Cash Match are shown on the fund statement as both estimated revenue and estimated expenditures.

In FY 2011, the Reserve for Grant funding is $60,046,908, including the Reserve for Anticipated Grant Funding of $59,046,908 and the Reserve for Unanticipated Grant Funding of $1,000,000. This reflects an increase of $3,215,664, or 5.7 percent, over the FY 2010 Adopted Budget Plan Reserve for Grant Funding of $56,831,244. This is primarily attributed to an increased estimated funding for grants in the Department of

Family Services, Health Department, General District Court, Police Department and Fire and Rescue Department.

In FY 2011, the Reserve for Local Cash Match is $2,914,001 including the Reserve for Anticipated Local Cash Match of $2,839,001 and the Reserve for Unanticipated Local Cash Match of $75,000. This reflects a decrease of $48,419, or 1.6 percent, from the FY 2010 Adopted Budget Plan Reserve for Local Cash Match of $2,962,420. The decrease in Local Cash Match requirements is due primarily to decreased anticipated requirements in the Department of Family Services and the Fire and Rescue Department. The Reserve for Local Cash Match is a projection of the County contributions required for anticipated and unanticipated grant awards. Additionally, funding of $34,263 in required Local Cash Match for Police Grants is available in Agency 90, Police Department. The anticipated Local Cash Match required by agencies is as follows:

| AGENCY | FY 2011 ADVERTISED LOCAL CASH MATCH |
|---|---|
| Department of Family Services | $2,164,940 |
| Office to Prevent and End Homelessness | $500,837 |
| Department of Neighborhood and Community Services | $100,000 |
| Juvenile and Domestic Relations District Court | $28,575 |
| Police Department (an amount of $34,263 is available in Agency 90, Police Department, the remaining $7,845 is for Agency 93,Office of the Sheriff) | $42,108 |
| Fire and Rescue Department | $36,804 |
| Reserve for Unanticipated Grant Awards | $75,000 |
| **Total** | **$2,948,264** |

The following table provides funding levels for the FY 2011 Advertised Budget Plan for the fund including the awards in the reserves for anticipated and unanticipated awards. Actual grant awards received in FY 2011 may differ from the attached list.

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | FY 2011 | FY 2011 | SOURCES OF FUNDING | | |
| PROGRAM | GRANT FUNDED POSITION/SYE | TOTAL PROJECTED FUNDING | GENERAL FUND | FEDERAL/ STATE | OTHER |
| **Office of Human Rights and Equity Programs** | | | | | |
| U.S. Equal Employment Opportunity Commission Contract (39005G) | 3/3.0 | $84,600 | $0 | $84,600 | $0 |
| The U.S. Equal Employment Opportunity Commission (EEOC) program is the result of a contractual agreement reached between the Fairfax County Office of Human Rights and the Federal EEOC. This agreement requires the Office of Human Rights to investigate complaints of employment discrimination in Fairfax County. Any individual who applies for employment or is employed in Fairfax County is eligible to use these services. | | | | | |
| HUD Fair Housing Complaints Grant (39006G) | 1/1.0 | $60,000 | $0 | $60,000 | $0 |
| The U.S. Department of Housing and Urban Development (HUD) provides funding to assist the Fairfax County Office of Human Rights and Equity Programs, Human Rights Division, with its education and outreach program on fair housing and to enforce compliance (includes investigating complaints of illegal housing discrimination in Fairfax County) with the County's Fair Housing Act. | | | | | |
| **TOTAL--OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS** | **4/4.0** | **$144,600** | **$0** | **$144,600** | **$0** |

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | FY 2011 GRANT FUNDED POSITION/SYE | FY 2011 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| PROGRAM | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| **Department of Transportation** | | | | | |
| Marketing and Ridesharing Program (40001G) | 7/7.0 | $700,000 | $0 | $560,000 | $140,000 |
| The Virginia Department of Transportation Marketing and Ridesharing grant encourages commuters to rideshare, assists commuters in their ridesharing efforts, and promotes the use of Fairfax County bus and rail services. Any County resident or any non-County resident working in Fairfax County may use this program. Funding for the required 20 percent Local Cash Match of $140,000 is anticipated to come from state aid held in trust at the Northern Virginia Transportation Commission (NVTC). | | | | | |
| Employer Outreach Program (40013G) | 2/2.0 | $196,530 | $0 | $196,530 | $0 |
| Congestion Mitigation Air Quality (CMAQ) funds provided via the Metropolitan Washington Council of Governments and the Virginia Department of Rail and Public Transportation for the Employer Outreach Program are used to decrease air pollution by promoting alternative commuting modes. Transportation Demand Management programs, customized for each participant employment site, are implemented in partnership between the employer and the County. | | | | | |
| Dulles Corridor Enhancements (40016G) | 1/1.0 | $0 | $0 | $0 | $0 |
| This grant provides funding for a position that provides technical support for quality control and customer service in Dulles Express Bus Corridor operations. The funding for this position is reflected in Fund 100, County Transit Systems. | | | | | |
| Springfield Mall Transit Store (40017G) | 0/0.0 | $300,000 | $0 | $300,000 | $0 |
| Transportation Efficiency Improvement funds provided by the Virginia Department of Rail and Public Transportation for the Springfield Mall Transit Store are used to provide an Information Center at the Springfield Mall. The Information Center provides information regarding the status of the interchange project and answers to residents' questions about the project. | | | | | |
| Base Realignment and Closure Act (BRAC) (40021G) | 6/6.0 | $3,481,996 | $0 | $3,231,996 | $250,000 |
| These funds from the Office of Economic Adjustment, Department of Defense, will be used to undertake studies of transportation, land use and public facilities impacts associated with the 2005 BRAC actions at Fort Belvoir. Funding for the required Local Cash Match of 10 percent is anticipated to come from state aid held in trust at the Northern Virginia Transportation Commission (NVTC) and an In-kind match. It should be noted that 3/3.0 SYE positions supporting this grant are located in Agency 35, Department of Planning and Zoning and that 3/3.0 SYE positions supporting this grant are located in Agency 40, Department of Transportation. | | | | | |
| Transportation Projects[1] (TBD) | 0/0.0 | $2,625,000 | $0 | $2,100,000 | $525,000 |
| The Department of Transportation receives funding for transportation projects from various sources, including the Congestion Mitigation Air Quality (CMAQ) program, Regional Surface Transportation Program (RSTP), Job Access/Reverse Commute (JARC) program, and Federal Appropriations. Due to appropriation cycle of the federal government it is unknown specifically how much Fairfax County will receive in FY 2011; however, it is anticipated that the County will receive at least $2,650,000, including $525,000 in Local Cash Match. Please note, funding in Fund 124, County and Regional Transportation Projects will be used to meet the Local Cash Match requirements. The Department of Transportation will formally notify the Board of Supervisors and obtain the Board's concurrence prior to spending these funds. | | | | | |
| **TOTAL – DEPARTMENT OF TRANSPORTATION** | **16/16.0** | **$7,303,526** | **$0** | **$6,388,526** | **$915,000** |
| **Fairfax County Public Library** | | | | | |
| E-Rate Reimbursements (52011G) | 0/0.0 | $74,310 | $0 | $74,310 | $0 |
| The Federal Communications Commission (FCC) E-Rate Reimbursements program provides affordable access to modern telecommunications and information systems through reimbursements to vendors that participate in the Schools and Libraries Universal Service Program. | | | | | |

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | FY 2011 GRANT FUNDED POSITION/SYE | FY 2011 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| PROGRAM | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| **Department of Family Services** | | | | | |
| Women's Business Center (67201G) | 0/0.0 | $90,000 | $90,000 | $0 | $0 |
| The Women's Business Center is the result of an agreement reached between the Fairfax County Office for Women and the U.S. Small Business Administration (SBA). This is a cooperative agreement with the Community Business Partnership, the Northern Virginia Small Business Development Center, and the Enterprise Center of George Mason University to establish the first Women's Business Center program in Virginia, which will provide technical assistance to women business owners. Although FY 2005 officially culminated the existing five-year agreement with the SBA, the agreement has been extended and it is expected that funding for FY 2010 will remain at $90,000. | | | | | |
| Sexual Assault Treatment and Prevention (67202G) | 3/1.5 | $87,709 | $0 | $87,709 | $0 |
| The Department of Criminal Justice Services provides funding through federal Victims of Crime Act (VOCA) monies to provide trauma recovery treatment for victims of sexual assault and outreach to community groups and service provider to expand their knowledge of sexual violence issues and available services within the community. It should be noted that this grant (formerly 75030G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services. | | | | | |
| V-Stop (67203G) | 1/0.5 | $19,743 | $0 | $19,743 | $0 |
| The Department of Criminal Justice Services provides funding through federal Violence Against Women Act (VAWA) monies to provide one part-time volunteer coordinator for the Victim Assistance Network (VAN). Volunteers are then trained to staff VAN's 24-hour hotline for sexual and domestic violence calls, facilitate domestic violence and sexual assault supports groups, provide community education and assist with office duties. It should be noted that this grant (formerly 75053G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services. | | | | | |
| Domestic Violence Crisis (67204G) | 0/0.0 | $24,687 | $0 | $24,687 | $0 |
| The Virginia Department of Social Services provides funding to assist victims of domestic violence and their families who are in crisis. The grant supports one apartment unit at the Women's Shelter, as well as basic necessities such as groceries and utilities. It should be noted that this grant (formerly 75063G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services. | | | | | |
| ***Workforce Investment Act (WIA)*** | | | | | |
| The Workforce Investment Act (WIA) is a work-first approach to employment and training for adults, youth and dislocated workers. Funding is anticipated from the U.S. Department of Labor for the Workforce Investment Act of 1998 for the Northern Virginia Local Workforce Investment Area consortium. Localities in the consortium include the Counties of Fairfax, Prince William and Loudoun and the Cities of Fairfax, Falls Church, Manassas and Manassas Park. Fairfax County has been designated as the grant recipient for the consortium. Fairfax County in turn contracts with the Skill Source Group to administer the funding. The Skill Source Group then contracts with the Department of Family Services to provide services. Funding in the following programs is anticipated. | | | | | |
| WIA Adult Program (67300G) | 12/12.0 | $545,000 | $0 | $545,000 | $0 |
| The WIA Adult Program focuses on meeting needs of businesses for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area, and other services for dislocated workers. Services provided directly by DFS totals $360,000 while services provided by the Skill Source Group totals $185,000. | | | | | |
| WIA Youth Program (67302G) | 7/7.0 | $272,000 | $0 | $272,000 | $0 |
| The WIA Youth Program focuses on preparation for post-secondary educational opportunities or employment by linking academic and occupational learning. Programs include tutoring, study skills training and instruction leading to completion of secondary school, alternative school services, mentoring by adults, paid and unpaid work experience, occupational skills training, leadership development, support services and other services for disadvantaged youth ages 14 to 21. Services provided directly by DFS totals $207,000 while services provided by the Skill Source Group totals $65,000. | | | | | |

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| PROGRAM | FY 2011 GRANT FUNDED POSITION/SYE | FY 2011 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING: GENERAL FUND | SOURCES OF FUNDING: FEDERAL/ STATE | SOURCES OF FUNDING: OTHER |
| WIA Dislocated Worker Program (67304G) | 12/12.0 | $560,000 | $0 | $560,000 | $0 |
| The WIA Dislocated Worker Program focuses on meeting the business needs for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop Centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area and other services for dislocated workers. Services provided directly by DFS totals $360,000 while services provided by the Skill Source Group totals $200,000. | | | | | |
| ***Subtotal--WIA*** | **31/31.0** | **$1,377,000** | **$0** | **$1,377,000** | **$0** |
| Fraud FREE Program (67312G) | 4/4.0 | $273,959 | $42,464 | $231,495 | $0 |
| The Fraud Recovery Special Fund, supported by state retained dollars from the collection of overpayments in all assistance programs, provides funding for a Fairfax County Fraud Investigation Unit. Staff assigned to this unit has the responsibility to assess any indications of fraud in a variety of County-administered welfare programs such as Temporary Assistance for Needy Families, Food Stamps, and Medicaid. A 15.5 percent Local Cash Match is required. | | | | | |
| Virginia Serious and Violent Offender Re-Entry (VASAVOR) (67321G) | 1/1.0 | $123,230 | $0 | $123,230 | $0 |
| The Virginia Serious and Violent Offender Re-Entry (VASAVOR) program provides services to ex-offenders recently released from prison. Services include job skills training, education, career assessment, employment counseling and job seeking skills. | | | | | |
| Fairfax Bridges to Success (67325G) | 3/3.0 | $237,113 | $0 | $237,113 | $0 |
| The U.S. Department of Health and Human Services provides this funding through the Virginia Department of Social Services to facilitate successful employment and movement toward self-sufficiency for Temporary Assistance for Needy Families (TANF) participants who have disabilities. This program combines the former TANF Hard-to-Serve (67314G) and the TANF Job Retention/Wage Advancement (67318G) grants into a single award. | | | | | |
| NVRP Contribution (67328G) | 0/0.0 | $17,364 | $0 | $0 | $17,364 |
| The Northern Virginia Regional Partnership (NVRP) provides this undesignated funding in support of the workforce development programs of the Northern Virginia Workforce Investment Board. The funding is to be used for staffing needs. | | | | | |
| Inova Health System (67329G) | 12/12.0 | $851,110 | $0 | $0 | $851,110 |
| Funding under the Inova Health Systems grant covers the personnel costs of grant eligibility workers stationed at the Inova Fairfax and Inova Mount Vernon hospitals for the purposes of identifying, accepting and processing applications for financial/medical assistance of County residents who are at the time hospitalized. Inova reimburses Fairfax County for 100 percent of all personnel services costs (salary and County benefits) on a monthly basis for the positions. | | | | | |
| Base Realignment and Closure (67331G) | 0/0.0 | $539,852 | $0 | $539,852 | $0 |
| The Department of Labor provides this grant to Fairfax County to assist workers who are impacted by the closure of military bases in Northern Virginia. Funding for this grant is used to pay for training expenses of eligible dislocated workers. | | | | | |
| Jail Pre-Release Center (67332G) | 0/0.0 | $133,770 | $0 | $133,770 | $0 |
| The Department of Justice provides this grant to Fairfax County to provide training for those who are currently in prison to prepare them to enter the work force after leaving prison. | | | | | |
| Volunteer Income Tax Assistance (VITA) (67334G) | 0/0.0 | $61,852 | $0 | $61,852 | $0 |
| The Internal Revenue Service provides funding directly to Fairfax County to provide free tax preparation services for the underserved low income population, which includes the elderly, disabled, limited English proficient, non-urban and Native American taxpayers. | | | | | |
| Northern Virginia Growing America Through Entrepreneurship (GATE) (67336G) | 0/0.0 | $75,898 | $0 | $75,898 | $0 |
| The U.S. Department of Labor provides funding to help dislocated workers over the age of 45 who are starting and expanding their own small businesses in Northern Virginia. | | | | | |

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | FY 2011 | FY 2011 | SOURCES OF FUNDING | | |
| PROGRAM | GRANT FUNDED POSITION/SYE | TOTAL PROJECTED FUNDING | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Independent Living Initiatives Grant Program (67500G) | 1/1.0 | $171,411 | $26,569 | $144,842 | $0 |
| The U.S. Department of Health and Human Services Independent Living Initiatives Grant Program, administered through the Virginia Department of Social Services, provides comprehensive services for older youth in foster care to develop skills necessary to live productive, self-sufficient and responsible adult lives. The program directly serves youth in foster care through the age of 20. The required Local Cash Match is 15.5 percent. | | | | | |
| Foster and Adoptive Parent Training Grant (67501G) | 4/4.0 | $634,341 | $236,756 | $397,585 | $0 |
| The Virginia Department of Social Services Foster and Adoptive Parent Training Grant provides for: the enhancement of community education regarding foster care and adoption; pre-service training, in-service training, and in-home support of agency-approved foster and adoptive parents and volunteers; training for child welfare staff; and employee educational stipends. The required Local Cash Match is 15.5 percent for staff expenses, 65.40 percent for non-staff related expenses and 76.90 percent for administrative training. | | | | | |
| VISSTA/VISSTA Day Care Training (67510G) | 6/6.0 | $426,884 | $0 | $426,884 | $0 |
| The Virginia Institute of Social Services Training Activities (VISSTA) program provides skills training for Adult Services, temporary assistance, and Comprehensive Services Act (CSA). This includes employment and day care training for Department of Family Services staff. The program also provides training to improve the quality of child care given by licensed and non-licensed day care providers. | | | | | |
| Foster Care and Adoption Staffing (67513G) | 22/22.0 | $2,022,626 | $313,507 | $1,709,119 | $0 |
| The General Assembly approved $6.9 million statewide for new foster care and adoption staffing, effective July 1, 1999. This funding is a result of a staffing study conducted by the Virginia Department of Planning and Budget and the Virginia Department of Social Services that demonstrated the need for 201 additional staff for local jurisdictions. The additional staff is used to improve the agencies' ability to meet legal mandates with regard to foster care and adoption. The expected outcomes are to reduce the average length of time children spend in foster care; to lower the caseloads in order to meet new judicial time frames and to provide more intensive services; to accelerate the adoption process for older, special needs children; to reduce expenditures out of the Comprehensive Services Act pool; to return children to a family member or permanent placement more quickly; and to enable social workers to visit their children in foster care at the standard of once every month. A 15.5 percent Local Cash Match is required. | | | | | |
| Title IV-E Reasonable and Necessary (67515G) | 20/20.0 | $1,855,884 | $0 | $1,855,884 | $0 |
| The Virginia Department of Social Services authorizes federal pass-through reimbursement of reasonable and necessary costs related to administering uncapped federal programs. All funds will be reinvested in expanding or enhancing local social services rather than supplanting existing funding sources. Funds will support preventing abuse and neglect and out-of-home placement for children as well as quality assurance efforts to ensure safety, permanency, and well-being for children in the community. Success in these areas will serve to reduce County costs for the most intensive and intrusive services. | | | | | |
| Promoting Safe and Stable Families (67516G) | 9/8.5 | $672,968 | $104,310 | $568,658 | $0 |
| These Virginia Department of Social Services funds are used to develop, expand, and deliver family preservation and family support services. Required Local Cash Match for this program is 15.5 percent. | | | | | |
| Program Improvement Plan (PIP) (67517G) | 3/3.0 | $333,096 | $51,630 | $281,466 | $0 |
| The implementation of the PIP program is the result of the Child and Family Services Review (CFSR) and the subsequent allocation of additional state general funds by the Governor of Virginia and the General Assembly in an effort to strengthen Virginia's child welfare system and improve outcomes for children and families. The funds allow local departments of social services to improve the quality and quantity of face-to-face interactions between caseworkers, parents, and children. The required Local Cash Match is 15.5 percent. | | | | | |
| USDA Child and Adult Care Food Program (67600G) | 8/7.5 | $3,825,223 | $0 | $3,825,223 | $0 |
| The U.S. Department of Agriculture (USDA) Child and Adult Care Food Program provides partial reimbursement for snacks served to children in family day care homes. Funds also provide for nutrition training, monitoring, and technical assistance. The program serves children from ages infant to 12 in approved day care homes. | | | | | |

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| PROGRAM | FY 2011 GRANT FUNDED POSITION/SYE | FY 2011 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING: GENERAL FUND | FEDERAL/ STATE | OTHER |
| USDA SACC Snacks (67601G) | 0/0.0 | $250,000 | $0 | $250,000 | $0 |
| The U.S. Department of Agriculture (USDA) provides partial reimbursement for snacks served to children in the School-Age Child Care program. The program serves school-age children, grades K-6. | | | | | |
| ***U.S. Department of Health and Human Services Head Start Programs*** | | | | | |
| Head Start is a national child development program that serves income eligible families with very young children. Families served by Head Start grants receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. The overall match requirements for Head Start grants are 20 percent. In addition to Local Cash Match, the agency uses in-kind services to meet this required match total. | | | | | |
| Head Start Federal Program Grant (67602G) | 32/31.5 | $5,053,675 | $659,106 | $4,394,569 | $0 |
| Head Start is a national child development program that serves income-eligible families with children 3 to 5 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 434 children. | | | | | |
| Early Head Start (67610G) | 25/25.0 | $3,227,001 | $310,680 | $2,916,321 | $0 |
| The Early Head Start program is a national child development program that serves income eligible families with children 0 to 3 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 212 children 0 to 3 years of age, as well as pregnant mothers. It should be noted that this grant reflects the totals formerly funded under two separate grants 67606G (Early Head Start) and 67608G (Early Head Start – South County). | | | | | |
| ***Subtotal – Head Start Programs*** | ***57/56.5*** | ***$8,280,676*** | ***$969,786*** | ***$7,310,890*** | ***$0*** |
| Virginia Preschool Initiative (67604G) | 3/3.0 | $2,678,000 | $50,000 | $2,628,000 | $0 |
| The Virginia Department of Education Preschool Initiative allows Fairfax County to serve approximately 876 at-risk four-year-olds in a comprehensive preschool program in various settings throughout the County, including community pre-schools, family child care homes, and Fairfax County Public Schools. The Virginia Department of Education requires a Local Cash Match, which varies from year to year based on the state composite index. The anticipated state composite index for FY 2011 will require $50,000 in Local Cash Match from the County, with the balance of required Local Cash Match provided by the Fairfax County Public Schools. | | | | | |
| CCAR/Child Care Quality Initiative Program/VACCRRN (67605G) | 37/37.0 | $1,858,338 | $265,718 | $1,592,620 | $0 |
| The Virginia Department of Social Services provides Child Care Development Fund (CCDF) funding for staff to support the provision of child care services, which includes eligibility determination and childcare placement, as well as recruitment, resources, and referral activities through the Child Care Assistance and Referral (CCAR) program. In FY 2011, the total projected funding for the CCAR program is $1,735,651, including $250,000 in Local Cash Match, and 36/36.0 SYE grant positions.<br><br>Funds for the Child Care Quality Initiative Program are used to develop, expand, and deliver family preservation and family support services. The Virginia Department of Social Services allocates this funding to enhance the quality and supply of child care services in the community. In FY 2011, the total projected funding for the Child Care Quality Initiative Program is $101,406, including $15,718 in Local Cash Match, and 1/1.0 SYE grant position.<br><br>Funds for the Virginia Child Care Resource and Referral Network (VACCRRN), provided by the Virginia Department of Social Services, are used to enhance the quality of child care resources and referral activities. In FY 2011, the total projected funding for the VACCRRN program is $21,281. | | | | | |
| VIEW Day Care (67607G) | 4/4.0 | $142,000 | $14,200 | $127,800 | $0 |
| The Virginia Department of Social Services reimburses Fairfax County for childcare services provided by the School-Age Child Care program to families who are participating in VIEW, the state welfare reform program. The required Local Cash Match for this program is 10 percent. | | | | | |

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | FY 2011 GRANT FUNDED | FY 2011 TOTAL PROJECTED | SOURCES OF FUNDING | | |
| PROGRAM | POSITION/SYE | FUNDING | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Virginia Infant and Toddler Specialist (ITS) Network (67619G) | 3/2.5 | $182,505 | $0 | $182,505 | $0 |
| Funds are provided by Child Development Resources, Inc. to establish a Virginia Infant and Toddler Specialist Network office in the Northern 1 Region (encompassing Arlington County, Fairfax County, Loudoun County, City of Alexandria, City of Fairfax, and City of Falls Church) to provide training and professional development to child care centers and family child care providers to strengthen practices and enhance the healthy growth and development of infants and toddlers (birth to 36 months of age). | | | | | |
| **TOTAL - DEPARTMENT OF FAMILY SERVICES** | **232/228** | **$27,247,239** | **$2,164,940** | **$24,213,825** | **$868,474** |
| **Health Department** | | | | | |
| Immunization Action Plan (71006G) | 0/0.0 | $66,496 | $0 | $66,496 | $0 |
| The U.S. Department of Health and Human Services Immunization Action Plan provides funding for outreach and education services regarding immunizations for children from low-income families within the community. | | | | | |
| Women, Infants, and Children (WIC) (71007G) | 39/39.0 | $3,060,000 | $0 | $3,060,000 | $0 |
| The U.S. Department of Agriculture provides funding for the Women, Infants, and Children (WIC) Grant. This program provides food, nutrition education, and breastfeeding promotion for pregnant, postpartum, or breastfeeding women, infants, and children under age 5. | | | | | |
| Perinatal Health Services (71010G) | 4/4.0 | $255,416 | $0 | $255,416 | $0 |
| The U.S. Department of Health and Human Services Perinatal Health Services Grant provides nutrition counseling for low-income pregnant women to reduce the incidence of low birth weight in Fairfax County. | | | | | |
| Anonymous Test Site Counseling and Testing (71011G) | 0/0.0 | $18,000 | $0 | $18,000 | $0 |
| Funding from the Virginia Department of Health for the Anonymous Test Site Grant provides confidential information on HIV/AIDS and the location of facilities where HIV/AIDS tests are given. A testing clinic is held weekly where clients can receive testing and counseling without having to give their names. | | | | | |
| Tuberculosis Grant (71014G) | 2/2.0 | $162,000 | $0 | $162,000 | $0 |
| The Centers for Disease Control and Prevention Tuberculosis Control Program, administered by the Virginia Department of Health, Tuberculosis Control Division, provide funding to coordinate tuberculosis case investigation, case management, and reporting activity for Fairfax County. These efforts include timely reporting of newly diagnosed cases, monitoring the follow-up of tuberculosis suspects to ensure timely diagnosis and treatment, and assisting nursing staff with investigation of contact with active cases of tuberculosis in the County. | | | | | |
| EP&R (Emergency Preparedness & Response) for Bioterrorism (71025G) | 2/2.0 | $273,590 | $0 | $273,590 | $0 |
| The Centers for Disease Control and Prevention (CDC) provide funding for Focus Area A of the Bioterrorism Grant through the Virginia Department of Health to fund a position that serves the Fairfax/Falls Church Health District. The major goal is to have an emergency response plan that is coordinated with local agencies, hospitals, physicians, and laboratories in the County and the region. For FY 2011, total projected funding for Focus Area A is $104,646 for 1/1.0 SYE grant position.<br><br>The CDC provide funding for Focus Area B of the Bioterrorism Grant through the Virginia Department of Health to fund a district epidemiologist who will provide surveillance and investigation of general communicable diseases, disease outbreaks and other diseases of public health significance in the County and region. For FY 2011, total projected funding for Focus Area B is $94,792 for 1/1.0 SYE grant position.<br><br>The CDC provides funding through the Virginia Department of Health to fund the continuation of public health emergency preparedness and response activities. The pandemic influenza funding was incorporated into this funding stream in FY 2009. Activities funded include, but are not limited to, supporting of mass dispensing capabilities, training of staff in emergency response, and continuing outreach efforts by providing educational summits for physician and non-profit organizations on pandemic influenza. For FY 2011, total projected funding is $74,152. | | | | | |
| **TOTAL - HEALTH DEPARTMENT** | **47/47.0** | **$3,835,502** | **$0** | **$3,835,502** | **$0** |

# Fund 102
# Federal/State Grant Fund

| PROGRAM | FY 2011 GRANT FUNDED POSITION/SYE | FY 2011 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING: GENERAL FUND | SOURCES OF FUNDING: FEDERAL/ STATE | SOURCES OF FUNDING: OTHER |
|---|---|---|---|---|---|
| **FY 2011 ANTICIPATED GRANT AWARDS** | | | | | |
| **Office to Prevent and End Homelessness** | | | | | |
| Community Housing and Resource Program - Award Three (TBD) | 0/0.0 | $865,417 | $433,837 | $431,580 | $0 |
| The U.S. Department of Housing and Urban Development (HUD) Community Housing and Resource Program assists homeless families in making the transition from living in shelters to permanent housing. The program offers 36 transitional housing units and various supportive services. It should be noted that this grant (formerly 67503G) was transferred from Agency 67, Department of Family Services to Agency 73, Office to Prevent and End Homelessness in an effort to coordinate resources aimed at supporting the Ten Year Plan to End Homelessness. A new grant number will be established when the FY 2011 award is received. | | | | | |
| RISE (Reaching Independence through Support and Education) Supportive Housing Grant (TBD) | 0/0.0 | $520,346 | $67,000 | $453,346 | $0 |
| The U.S. Department of Housing and Urban Development (HUD) RISE Supportive Housing Grant is a renewable grant that provides 20 units of transitional housing. Funding also provides support services for families through a partnership of private nonprofit organizations and County agencies. It should be noted that this grant (formerly 67505G) was transferred from Agency 67, Department of Family Services to Agency 73, Office to Prevent and End Homelessness in an effort to coordinate resources aimed at supporting the Ten Year Plan to End Homelessness. A new grant number will be established when the FY 2011 award is received. | | | | | |
| **TOTAL - OFFICE TO PREVENT AND END HOMELESSNESS** | **0/0.0** | **$1,385,763** | **$500,837** | **$884,926** | **$0** |
| **Department of Neighborhood and Community Services** | | | | | |
| Summer Lunch Program (TBD) | 0/0.0 | $362,333 | $100,000 | $262,333 | $0 |
| The U. S. Department of Agriculture (USDA) Summer Lunch Program serves children between the ages of 5 and 18 at eligible centers throughout the County. Eligibility is based on at least 50 percent of the children in an area meeting income guidelines established by the USDA. The program distributes nutritious lunches to children. The USDA provides a set amount of funding yearly and local funding is used as a supplement to ensure that all eligible children are served. It should be noted that this grant (formerly 50001G) is being transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation recommended by the County Executive. A new grant number will be established when the FY 2011 award is received. | | | | | |
| Local Government Challenge Grant (TBD) | 0/0.0 | $5,000 | $0 | $5,000 | $0 |
| The Virginia Commission for the Arts Local Government Challenge Grant is awarded to jurisdictions that support local arts programs for improving the quality of the arts. The funding awarded to Fairfax County will be provided to the Arts Council of Fairfax County for distribution. It should be noted that this grant (formerly 50004G) is being transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation recommended by the County Executive. A new grant number will be established when the FY 2011 award is received. | | | | | |
| Youth Smoking Prevention Program (TBD) | 1/1.0 | $74,310 | $0 | $0 | $74,310 |
| The Virginia Tobacco Settlement Foundation awards funding for a comprehensive tobacco, alcohol, and drug prevention program for teens. It should be noted that this grant (formerly 50009G) is being transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation recommended by the County Executive. A new grant number will be established when the FY 2011 award is received. | | | | | |
| Joey Pizzano Memorial Fund (TBD) | 1/1.0 | $75,000 | $0 | $0 | $75,000 |
| The Joey Pizzano Memorial Fund funds a swim and water safety program for school-aged children with disabilities that helps develop new leisure activities for beginning swimmers and enhance levels of more experienced swimmers. It should be noted that this grant (formerly 50012G) is being transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation recommended by the County Executive. A new grant number will be established when the FY 2011 award is received. | | | | | |

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | FY 2011 GRANT FUNDED | FY 2011 TOTAL PROJECTED | SOURCES OF FUNDING | | |
| PROGRAM | POSITION/SYE | FUNDING | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Evening Reporting Center Grant (81022G) | 1/1.0 | $0 | $0 | $0 | $0 |
| The Virginia Department of Criminal Justice Services has awarded funds to establish an Evening Reporting Center. The goal of the program is to provide a community-based alternative to detention for moderate and high-risk youth currently on probation who might otherwise be detained pending further court action. The 30 day program will provide highly structured group activities during the high-risk time period between 3 p.m. and 7 p.m., develop skills in youth that will support pro-social behaviors, and repair harm done to the community by providing community service opportunities. The project is a collaboration between the Juvenile and Domestic Relations District Court, the Department of Neighborhood and Community Services, Adult Detention Services and Fairfax County Public Schools. Funding for this position is located in Agency 81, Juvenile and Domestic Relations District Court. | | | | | |
| **TOTAL - DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES** | **3/3.0** | **$516,643** | **$100,000** | **$267,333** | **$149,310** |
| **Circuit Court and Records** | | | | | |
| Alternative Dispute Resolution/Neutral Case Evaluation Grant (80003G) | 1/1.0 | $40,426 | $0 | $40,426 | $0 |
| The Supreme Court of Virginia Alternative Dispute Resolution/Neutral Case Evaluation Grant provides funding for settlement conferences which allow judges and attorneys to settle lawsuits prior to trial. | | | | | |
| **Juvenile and Domestic Relations District Court** | | | | | |
| Evening Reporting Center (81022G) | 1/1.0 | $57,150 | $28,575 | $28,575 | $0 |
| The Virginia Department of Criminal Justice Services has awarded funds to establish an Evening Reporting Center. The goal of the program is to provide a community-based alternative to detention for moderate and high-risk youth currently on probation who might otherwise be detained pending further court action. The 30 day program will provide highly structured group activities during the high-risk time period between 3 p.m. and 7 p.m., develop skills in youth that will support pro-social behaviors, and repair harm done to the community by providing community service opportunities. The project a is collaboration between the Juvenile and Domestic Relations District Court, the Department of Neighborhood and Community Services, Adult Detention Services and Fairfax County Public Schools. It should be noted that 1/1.0 SYE position supporting this grant is located in Agency 79, Department of Neighborhood and Community Services. | | | | | |
| **General District Court** | | | | | |
| Comprehensive Community Corrections Act (85006G) | 9/9.0 | $731,069 | $0 | $731,069 | $0 |
| The Court Services Division of the General District Court provides pre-trial and post-trial supervision of defendants and offenders in the community as mandated by the Comprehensive Community Corrections Act (CCCA) Grant. This award from the Virginia Department of Criminal Justice Services will continue to support 9/9.0 SYE grant positions that provide pre-trial services, including supervision of staff in the Court Services Division and client services in the General District Court, and provide probation services in the General District Court and the Juvenile and Domestic Relations District Court. | | | | | |
| **Police Department** | | | | | |
| Seized Funds (90002G) | 0/0.0 | $1,099,923 | $0 | $1,099,923 | $0 |
| The Seized Funds Program provides additional funding for law enforcement activities under authority of the Comprehensive Crime Control Act of 1984 and the Anti-Drug Abuse Act of 1986. These funds are released by the Department of Justice from asset seizures stemming from illegal narcotics activity. The program serves residents of Fairfax County. | | | | | |
| Victim Witness Assistance (90016G) | 5/5.0 | $178,460 | $0 | $178,460 | $0 |
| The Virginia Department of Criminal Justice Services provides funding for the Victim Witness Assistance Program. This award provides funding to ensure that staffing levels are adequate to provide services. | | | | | |

# Fund 102
# Federal/State Grant Fund

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | FY 2011 GRANT FUNDED POSITION/SYE | FY 2011 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| PROGRAM | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Someplace Safe (90025G) | 1/1.0 | $50,033 | $12,508 | $37,525 | $0 |
| The Virginia Department of Criminal Justice Services provides funding for the Victim Witness Unit's "Someplace Safe" Program, which provides a police response to domestic violence cases in Fairfax County. Funding for the required Local Cash Match of 25 percent has been identified within Agency 90, Police Department. For additional information please see Agency 90, Police Department in the Public Safety section of Volume 1. | | | | | |
| Bulletproof Vest (90031G) | 0/0.0 | $59,200 | $29,600 | $29,600 | $0 |
| The U.S. Department of Justice, Bureau of Justice Assistance provides funding for the purchase of new or replacement ballistic vests for the protection of sworn law enforcement officers. One vest may be purchased per officer per year under the provisions of this program. Of the required 50 percent Local Cash Match, $21,755 has been identified within Agency 90, Police Department. For additional information please see Agency 90, Police Department in the Public Safety section of Volume 1. The remaining Local Cash Match of $7,845 is for Agency 93, Office of the Sheriff. | | | | | |
| COPS Technology Program Grant (90052G) | 0/0.0 | $200,000 | $0 | $200,000 | $0 |
| The U.S. Department of Justice provides grant funding for the continued development of technologies and automated systems that help law enforcement agencies prevent, respond to and investigate crime. | | | | | |
| FY 2004 OJJDP Congressional Earmark - Gangs (90054G) | 2/2.0 | $300,000 | $0 | $300,000 | $0 |
| The U.S. Congress appropriates this funding for the Congressional District Gang Task Force. The purpose of the grant is to provide assistance to jurisdictions within the district in prosecuting and impacting gang activity through directed investigation and intelligence activity. | | | | | |
| DMV Traffic Safety Programs (90067G) | 0/0.0 | $112,750 | $0 | $112,750 | $0 |
| The Virginia Department of Motor Vehicles (DMV) provides funding to support the cost of an information and enforcement program targeting proper attention to traffic safety laws in Fairfax County. It should be noted that this grant reflects the totals formerly funded under seven separate grants, as the DMV chose to consolidate grant programs previously awarded separately into a single grant. The seven grants that have been consolidated include: 90022G (Smooth Operator), 90039G (District Challenge), 90043G (Click It or Ticket), 90044G (Speed/Racing Abatement), 90060G (Operation Strikeforce), 90061G (Pedestrian Safety), and 90063G (Traffic Safety Training Programs). | | | | | |
| Justice Assistance Grant (JAG) (90068G) | 0/0.0 | $100,000 | $0 | $100,000 | $0 |
| Formerly the Local Law Enforcement Block Grant program, the Justice Assistance Grant provides funding for the purpose of reducing crime and improving public safety. The program serves the residents of Fairfax County through the acquisition of equipment and technology and through personnel services funding to support law enforcement. | | | | | |
| **TOTAL – POLICE DEPARTMENT** | **8/8.0** | **$2,100,366** | **$42,108** | **$2,058,258** | **$0** |
| **Fire and Rescue Department** | | | | | |
| Virginia Department of Fire Programs (92001G) | 11/10.0 | $2,472,294 | $0 | $2,472,294 | $0 |
| The Fire Programs Fund award provides funding for: fire services training; constructing, improving and expanding regional fire service training facilities; public fire safety education; purchasing firefighting equipment or firefighting apparatus; or purchasing protective clothing and protective equipment for firefighting personnel. Program revenues may not be used to supplant County funding for these activities. The program serves residents of Fairfax County, as well as the towns of Clifton and Herndon. | | | | | |
| Four-for-Life (92004G) | 0/0.0 | $793,000 | $0 | $793,000 | $0 |
| The Virginia Department of Health, Division of Emergency Services Two-for-Life Program is funded from the $4 fee included as part of the annual Virginia motor vehicle registration. Funds are set aside by the Commonwealth for local jurisdictions for emergency medical services purposes, including the training of Emergency Medical Services (EMS) personnel and the purchase of necessary equipment and supplies. Funds are allocated based on the vehicle registrations processed in each locality. | | | | | |

| FY 2011 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | FY 2011 GRANT FUNDED POSITION/SYE | FY 2011 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| PROGRAM | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Assistance to Firefighters Act Grant (92020G) Grant Program | 0/0.0 | $184,021 | $36,804 | $147,217 | $0 |
| The primary goal of the Assistance to Firefighters Grants is to meet the firefighting and emergency response needs of fire departments and nonaffiliated emergency medical services organizations. Awards are made to local fire departments on a competitive basis. Eligible categories for a specific award period are determined by the Federal Emergency Management Agency (FEMA). Categories include training, wellness and fitness programs, vehicles, equipment, personal protective equipment, and fire prevention programs. Required Local Cash Match for this program is 20 percent. | | | | | |
| FEMA Urban Search and Rescue (92100G Series) | 4/4.0 | $1,000,000 | $0 | $1,000,000 | $0 |
| The responsibilities and procedures for national urban search and rescue activities under the Robert T. Stafford Disaster Relief Emergency Act are set forth in a cooperative agreement between the Federal Emergency Management Agency (FEMA) and the County. Funding is provided to enhance, support and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies. | | | | | |
| FEMA Urban Search and Rescue Activation (92200G Series) | 0/0.0 | $2,000,000 | $0 | $2,000,000 | $0 |
| The responsibilities and procedures for national urban search and rescue activities provided by the Department's Urban Search and Rescue Team and National Medical Emergency Response Team are identified in memorandums of agreement with the Federal Emergency Management Agency (FEMA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to activations are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (VA-TF1) and the National Medical Emergency Response Team. | | | | | |
| OFDA International Urban Search and Rescue (92300G Series) | 4/4.0 | $1,000,000 | $0 | $1,000,000 | $0 |
| A memorandum with the U.S. Agency for International Development (USAID), Office of Foreign Disaster Assistance (OFDA) exists to provide emergency urban search and rescue services internationally. Funding is provided to enhance, support, and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies. Year two of a five year agreement is anticipated to begin FY 2011. The total value of this agreement over the five-year grant period (exclusive of deployment costs) is anticipated to be in excess of $5,000,000. | | | | | |
| OFDA International Urban Search and Rescue Activations (92400G Series) | 0/0.0 | $1,000,000 | $0 | $1,000,000 | $0 |
| The responsibilities and procedures for international urban search and rescue activities provided by the Department's Urban Search and Rescue Team are identified in a memorandum of agreement with the Office of Foreign Disaster Assistance (OFDA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to an activation are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (USAID SAR 1). | | | | | |
| **TOTAL – FIRE AND RESCUE DEPARTMENT** | **19/18.0** | **$8,449,315** | **$36,804** | **$8,412,511** | **$0** |

# Fund 102
# Federal/State Grant Fund

| PROGRAM | FY 2011 GRANT FUNDED POSITION/SYE | FY 2011 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING: GENERAL FUND | SOURCES OF FUNDING: FEDERAL/ STATE | SOURCES OF FUNDING: OTHER |
|---|---|---|---|---|---|
| **FY 2011 ANTICIPATED GRANT AWARDS** | | | | | |
| **Emergency Preparedness** | | | | | |
| Homeland Security Grant Programs (DHSFRP) | 0/0.0 | $10,000,000 | $0 | $10,000,000 | $0 |
| The Department of Homeland Security (DHS) funds several initiatives to support state and local emergency preparedness efforts through its First Responder Programs, including the Urban Areas Security Initiative (UASI) program and Homeland Security Grant Program. The purpose of the UASI program is to allow local governments to enhance capabilities in the areas of law enforcement, emergency medical services, emergency management, fire service, public works, public safety communications, and public health through the purchase of response equipment that will be necessary to prepare for and respond to emergencies arising out of terrorist or other mass casualty events affecting public safety. The purpose of the Homeland Security Grant Program is to enhance the capacity of state and local emergency responders to prevent, respond to and recover from a weapons of mass destruction terrorism incident involving chemical, biological, radiological, nuclear and explosive devices and cyber attacks. The Homeland Security Grant Program combines several previous grants into one program, including the State Homeland Security Program, Law Enforcement Terrorism Prevention Program, and Citizens Corps Grant program. It is anticipated that Fairfax County will receive at least $10,000,000 in FY 2011 through the DHS First Responder Programs. | | | | | |
| **Fund 102 Summary** | | | | | |
| *Reserve for Anticipated Grants (subtotal of grants in above table)[2]* | *340/335* | *$61,885,909* | *$2,873,264* | *$57,079,861* | *$1,932,784* |
| *Reserve for Unanticipated Grants* | *0/0.0* | *$1,075,000* | *$75,000* | *$1,000,000* | *$0* |
| **TOTAL FUND [3]** | *340/335* | **$62,960,909** | **$2,948,264** | **$58,079,861** | **$1,932,784** |

[1] Transportation projects previously approved and still anticipated include the following:

| **FY 2007 Requirements** | **Amount** |
|---|---|
| Springfield CBD Park and Ride Facility | $1,000,000 |
| Trail Projects | $800,000 |
| On Road Bike Trails | $400,000 |
| Richmond Highway Bus Priority Project | $500,000 |
| Richmond Highway Traffic Synchronization Pilot Project | $497,050 |
| Reston Traffic Signal Prioritization | $750,000 |
| Fairfax County Trail Improvements - Great Falls | $1,000,000 |
| I-66 Vienna Metrorail Accessibility Improvements | $600,000 |
| **FY 2008 Requirements** | |
| Richmond Highway Transit Improvements | $2,400,000 |
| **FY 2009 Requirements** | |
| Richmond Highway Transit Improvements | $3,000,000 |
| **FY 2010 Requirements** | |
| I-95/Fairfax County Parkway Interchange at Newington Road | $2,000,000 |

[2] The General Fund total includes funding of $34,263 in required Local Cash Match for Police Grants which is available in Agency 90, Police Department. For additional information please see Agency 90, Police Department in the Public Safety section of Volume 1. On the fund statement this amount is included in the revenue section under the Reserve for Estimated Grant Funding category. This amount, plus the $58,079,861 in Federal/State funding and $1,932,784 in Other funding noted above brings total revenue for this category on the fund statement to $60,046,908.

[3] The total number of grant positions in Fund 102, Federal/State Grant Fund, includes 1/1.0 SYE Management Analyst that is not summarized in the *Anticipated Grant Awards* table. This position in the Office of Emergency Management is associated with the Emergency Management Performance Grant (02915G). Therefore, the overall position total in Fund 102, Federal/State Grant Fund, is 341/336.0 SYE.

# Fund 102
# Federal/State Grant Fund

## *Agency Position Summary*

341 Grant Positions / 336.0 Grant Staff Years

## *Position Detail Information*

**OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS**

**EEOC (39005G)**
- 1 Human Rights Specialist II
- 1 Administrative Assistant III
- 1 Administrative Assistant II
- 3 Positions
- 3.0 Staff Years

**HUD (39006G)**
- 1 Human Rights Specialist II
- 1 Positions
- 1.0 Staff Years

**DEPARTMENT OF TRANSPORTATION**

**Marketing and Ridesharing Program (40001G)**
- 1 Transportation Planner III
- 1 Transportation Planner II
- 1 Communications Specialist III
- 1 Communications Specialist I
- 1 Planning Technician I
- 2 Administrative Assistants II
- 7 Positions
- 7.0 Staff Years

**Employer Outreach Program (40013G)**
- 1 Transportation Planner II
- 1 Transportation Planner I
- 2 Positions
- 2.0 Staff Years

**Dulles Corridor Enhancements (40016G)**
- 1 Transportation Planner II
- 1 Position
- 1.0 Staff Year

**Base Realignment and Closure Act (BRAC) (40021g)**
- 2 Transportation Planners III
- 1 Transportation Planner IV
- 2 Planners II (DPZ)
- 1 Planner III (DPZ)
- 6 Positions
- 6.0 Staff Years

**DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES**

**Youth Smoking Prevention (TBD)**
- 1 Park/Recreation Specialist I
- 1 Position
- 1.0 Staff Year

**Joey Pizzano Memorial Fund (TBD)**
- 1 Park/Recreation Specialist I
- 1 Position
- 1.0 Staff Year

**Evening Reporting Center (81022G)**
- 1 Park/Recreation Specialist I
- 1 Position
- 1.0 Staff Year

**DEPARTMENT OF FAMILY SERVICES**

**Sexual Assault Treatment and Prevention (67202G)**
- 3 Mental Health Therapists, PT
- 3 Positions
- 1.5 Staff Years

**V-Stop (67203G)**
- 1 Volunteer Services Coordinator, PT
- 1 Position
- 0.5 Staff Year

**WIA Adult Program (67300G)**
- 1 Human Service Worker V
- 2 Human Service Workers IV
- 6 Human Service Workers III
- 3 Administrative Assistants II
- 12 Positions
- 12.0 Staff Years

**WIA Youth Program (67302G)**
- 1 Human Service Worker IV
- 5 Human Service Workers II
- 1 Administrative Assistant II
- 7 Positions
- 7.0 Staff Years

**WIA Dislocated Worker Program (67304G)**
- 1 Financial Specialist II
- 1 Human Service Worker IV
- 10 Human Service Workers III
- 12 Positions
- 12.0 Staff Years

**Fraud FREE Program (67312G)**
- 2 Human Service Workers III
- 2 Human Service Workers II
- 4 Positions
- 4.0 Staff Years

**Virginia Serious and Violent Offender Re-Entry (VASAVOR) (67321G)**
- 1 Human Service Worker III
- 1 Position
- 1.0 Staff Year

**Fairfax Bridges to Success (67325G)**
- 2 Human Service Workers III
- 1 Human Service Worker II
- 3 Positions
- 3.0 Staff Years

**Inova Health System (67329G)**
- 1 Human Service Worker IV
- 3 Human Service Workers III
- 7 Human Service Workers II
- 1 Administrative Assistant II
- 12 Positions
- 12.0 Staff Years

**Independent Living Initiatives (67500G)**
- 1 Social Worker III
- 1 Position
- 1.0 Staff Year

**Foster and Adoptive Parent Training (67501G)**
- 3 Social Workers III
- 1 Social Worker II
- 4 Positions
- 4.0 Staff Years

**VISSTA (67510G)**
- 1 Management Analyst III
- 5 Administrative Assistants IV
- 6 Positions
- 6.0 Staff Years

**Foster Care and Adoption Staffing (67513G)**
- 1 Senior Social Work Supervisor
- 1 Financial Specialist II
- 10 Social Workers III
- 6 Social Workers II
- 1 Human Services Coordinator II
- 3 Administrative Assistants III
- 22 Positions
- 22.0 Staff Years

# Fund 102
# Federal/State Grant Fund

**Title IV-E Reasonable and Necessary (67515G)**

- 4 Management Analysts III
- 2 Social Work Supervisors
- 11 Social Workers III
- 1 Social Worker II
- 1 Administrative Assistant IV
- 1 Administrative Assistant II
- 20 Positions
- 20.0 Staff Years

**Promoting Safe and Stable Families (67516G)**

- 1 Management Analyst II, PT
- 3 Social Workers III
- 3 Social Workers II
- 1 Human Services Coordinator II
- 1 Administrative Assistant II
- 9 Positions
- 8.5 Staff Years

**Program Improvement Plan (67517G)**

- 2 Social Workers III
- 1 Human Services Coordinator II
- 3 Positions
- 3.0 Staff Years

**USDA Child and Adult Care Food Program (67600G)**

- 1 Child Care Specialist III
- 3 Child Care Specialists I, 1 PT
- 1 Business Analyst II
- 1 Administrative Assistant V
- 2 Administrative Assistants IV
- 8 Positions
- 7.5 Staff Years

**Head Start Federal Program (67602G)**

- 1 Management Analyst III
- 1 Management Analyst II
- 1 Head Start Coordinator
- 1 Public Health Nurse III
- 3 Child Care Specialists II
- 1 Child Care Specialist I
- 3 Human Service Workers II
- 7 Day Care Center Teachers II
- 8 Day Care Center Teachers I
- 1 Day Care Center Aide, PT
- 2 Administrative Assistants IV
- 1 Administrative Assistant III
- 1 Human Services Assistant
- 1 Cook's Aide
- 32 Positions
- 31.5 Staff Years

**Virginia Preschool Initiative (67604G)**

- 3 Child Care Specialists II
- 3 Positions
- 3.0 Staff Years

**Child Care Assist. Program (67605G)**

- 1 Child Care Program Adm. I
- 1 Business Analyst II
- 1 Business Analyst I
- 1 Child Care Specialist II
- 10 Child Care Specialists I
- 11 Human Service Workers II
- 5 Human Service Workers I
- 6 Human Services Assistants
- 1 Administrative Assistant III
- 37 Positions
- 37.0 Staff Years

**VIEW Day Care (67607G)**

- 2 Child Care Specialists III
- 2 Day Care Center Teachers I
- 4 Positions
- 4.0 Staff Years

**Early Head Start (67610G)**

- 1 Head Start Coordinator
- 1 Business Analyst I
- 1 Child Care Specialist III
- 3 Child Care Specialists II
- 6 Child Care Specialists I
- 5 Day Care Center Teachers II
- 6 Day Care Center Teachers I
- 1 Administrative Assistant III
- 1 Cook's Aide
- 25 Positions
- 25.0 Staff Years

**Virginia ITS Network (67619G)**

- 2 Child Care Specialists II
- 1 Administrative Assistant II
- 3 Positions
- 2.5 Staff Years

**HEALTH DEPARTMENT**

**WIC (71007G)**

- 1 Nutrition Program Coordinator
- 1 Nutrition Program Supervisor
- 4 Sr. Public Health Nutritionists
- 8 Public Health Nutritionists
- 18 Nutritionist Assistants
- 6 Administrative Assistants II
- 1 Administrative Assistant IV
- 39 Positions
- 39.0 Staff Years

**Perinatal Health Services (71010G)**

- 4 Human Services Assistants
- 4 Positions
- 4.0 Staff Years

**Tuberculosis Grant (71014G)**

- 1 Public Health Nurse III
- 1 Human Services Assistant
- 2 Positions
- 2.0 Staff Years

**EP&R for Bioterrorism Grant (71025G)**

- 1 Management Analyst III
- 1 Epidemiologist
- 2 Positions
- 2.0 Staff Years

**CIRCUIT COURT AND RECORDS**

**Neutral Case Evaluation (80003G)**

- 1 Administrative Assistant IV
- 1 Position
- 1.0 Staff Year

**JUVENILE AND DOMESTIC RELATIONS DISTRICT COURT**

**Evening Reporting Center (81022G)**

- 1 Probation Counselor III
- 1 Position
- 1.0 Staff Year

**GENERAL DISTRICT COURT**

**CCCA Grant (85006G)**

- 1 Probation Counselor III
- 6 Probation Counselors II
- 1 Probation Supervisor I
- 1 Administrative Assistant II
- 9 Positions
- 9.0 Staff Years

**POLICE DEPARTMENT**

**Victim Witness Assistance (90016G)**

- 1 Probation Counselor III
- 3 Probation Counselors II
- 1 Human Services Assistant
- 5 Positions
- 5.0 Staff Years

**Someplace Safe (90025G)**

- 1 Probation Counselor II
- 1 Position
- 1.0 Staff Year

**OJJDP Congressional Earmark-Gangs (90054G)**

- 1 Police Second Lieutenant
- 1 Police Officer II
- 2 Positions
- 2.0 Staff Years

# Fund 102
# Federal/State Grant Fund

**FIRE AND RESCUE DEPARTMENT**
**Fire Programs (92001G)**

| | |
|---|---|
| 1 | Fire Battalion Chief, PT |
| 2 | Fire Lieutenants |
| 1 | Management Analyst I |
| 2 | Fire Technician |
| 1 | Firefighter |
| 1 | Communications Specialist II |
| 2 | Life Safety Education Specialists |
| 1 | Photographic Specialist, PT |
| 11 | Positions |
| 10.0 | Staff Years |

**Urban Search & Rescue (92107G)**

| | |
|---|---|
| 1 | Accountant III |
| 1 | Management Analyst I |
| 1 | Fire Technician |
| 1 | Administrative Assistant III |
| 4 | Positions |
| 4.0 | Staff Years |

**International Search & Rescue (92306G)**

| | |
|---|---|
| 1 | Fire Battalion Chief |
| 1 | Program and Procedures Coord. |
| 1 | Administrative Assistant IV |
| 1 | Warehouse Specialist |
| 4 | Positions |
| 4.0 | Staff Years |

**OFFICE OF EMERGENCY MANAGEMENT**
**Emergency Management Performance Grant (02915G)**

| | |
|---|---|
| 1 | Management Analyst II |
| 1 | Position |
| 1.0 | Staff Year |

PT Denotes Part Time

# Fund 102
# Federal/State Grant Fund

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** — **Fund 102, Federal/State Grant Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance[1]** | **$25,390,254** | **$376,222** | **$27,073,254** | **$235,136** |
| Revenue: | | | | |
| Federal Funds[2] | $37,986,496 | $0 | $77,888,118 | $0 |
| Federal Funds-ARRA[3] | 32,345 | 0 | 6,891,549 | 0 |
| State Funds[2] | 8,961,903 | 0 | 12,438,933 | 0 |
| Other Match | 410,391 | 0 | 916,825 | 0 |
| Other Non-profit Grants | 176,122 | 0 | 0 | 0 |
| Seized Funds | 1,596,533 | 0 | 0 | 0 |
| Interest - Seized Funds | 36,357 | 0 | 0 | 0 |
| Interest - Fire Programs Funds | 109,511 | 0 | 0 | 0 |
| Miscellaneous Revenue | 1,005,652 | 0 | 1,039,443 | 0 |
| Reserve for Estimated Grant Funding | 0 | 56,831,244 | 8,337,017 | 60,046,908 |
| **Total Revenue** | **$50,315,310** | **$56,831,244** | **$107,511,885** | **$60,046,908** |
| Transfers In: | | | | |
| General Fund (001) | | | | |
| Local Cash Match[4] | $913,493 | $0 | $2,807,719 | $0 |
| Reserve for Estimated Local Cash Match | 76,340 | 2,962,420 | 154,701 | 2,914,001 |
| **Total Transfers In** | **$989,833** | **$2,962,420** | **$2,962,420** | **$2,914,001** |
| Expenditures: | | | | |
| ARRA Funding[2,3] | $114,211 | $0 | $6,835,388 | $0 |
| Emergency Preparedness[2,5] | 4,801,551 | 0 | 19,187,651 | 0 |
| Office of County Executive | 183,116 | 0 | 29,675 | 0 |
| Economic Development Authority | 0 | 0 | 1,000,000 | 0 |
| Capital Facilities | 2,110,785 | 0 | 12,335,185 | 0 |
| Planning and Zoning | 0 | 0 | 20,000 | 0 |
| Department of Housing and Community Development | 1,340,959 | 0 | 1,971,737 | 0 |
| Office of Human Rights and Equity Programs | 29,834 | 0 | 303,488 | 0 |
| Department of Transportation | 2,089,878 | 0 | 6,457,070 | 0 |
| Department of Community and Recreation Services | 338,076 | 0 | 615,457 | 0 |
| Fairfax County Public Library | 102,402 | 0 | 357,642 | 0 |
| Department of Family Services[2] | 24,725,333 | 0 | 38,690,563 | 0 |
| Department of Systems Management for Human Services | 5,447 | 0 | 311,230 | 0 |
| Health Department | 3,280,746 | 0 | 5,893,058 | 0 |
| Circuit Court and Records | 138,860 | 0 | 141,494 | 0 |
| Juvenile and Domestic Relations District Court | 395,941 | 0 | 2,280,241 | 0 |
| Commonwealth's Attorney | 39,874 | 0 | 212,165 | 0 |
| General District Court | 672,337 | 0 | 743,481 | 0 |
| Police Department | 2,547,681 | 0 | 10,786,534 | 0 |
| Office of the Sheriff | 30,741 | 0 | 77,332 | 0 |
| Fire and Rescue Department | 6,674,371 | 0 | 15,536,436 | 0 |
| Unclassified Administrative Expenses | 0 | 59,793,664 | 13,526,596 | 62,960,909 |
| **Total Expenditures** | **$49,622,143** | **$59,793,664** | **$137,312,423** | **$62,960,909** |
| **Ending Balance[6]** | **$27,073,254** | **$376,222** | **$235,136** | **$235,136** |

# Fund 102
# Federal/State Grant Fund

[1] The *FY 2010 Revised Budget Plan* Beginning Balance reflects $7,452,462 in Local Cash Match carried over from FY 2009, including $2,167,584 in Local Cash Match previously appropriated to agencies but not yet expended and $5,284,878 in the Reserve for Estimated Local Cash Match consisting of the balance of the Reserve not used during FY 2009 plus Local Cash Match returned to the Reserve as the result of grant closeouts. Thus, the total Reserve for Estimated Local Cash Match in FY 2010 is $5,189,579.

[2] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $980,298.46 have been reflected as increases to FY 2009 revenue and $205,489.46 have been reflected as increases to FY 2009 expenditures to properly record revenue accruals and reclassify grant expenditures to the correct program year. This impacts the amount carried forward, resulting in corresponding decreases to the *FY 2010 Revised Budget Plan* revenue and expenditure budgets. The audit adjustments have been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the Audit Adjustments will be included in the FY 2010 Third Quarter package.

[3] Represents funding received by the Department of Family Services, Office to Prevent and End Homelessness, Office of the Commonwealth's Attorney, and the Department of Vehicle Services as part of the American Recovery and Reinvestment Act of 2009 (ARRA).

[4] The FY 2010 Estimated Local Cash Match appropriated to agencies totals $3,057,719 but $250,000 has been taken from available Local Cash Match balances due to unspent funds from previous years.

[5] Emergency Preparedness grant funding is reflected as a separate category in order to centrally identify grant funds earmarked for security and emergency preparedness requirements. Agencies currently involved in this effort include the Office of Public Affairs, Department of Purchasing and Supply Management, Department of Information Technology, Health Department, Police Department, Fire and Rescue Department, and the Office of Emergency Management.

[6] The Ending Balance in Fund 102, Federal/State Grant Fund, fluctuates primarily due to timing, as some revenues received late in the fiscal year have not been by spent by June 30 as the time period for spending grant funds often continues beyond the end of the fiscal year.

# Fund 103
# Aging Grants and Programs


## Mission
To promote and sustain a high quality of life for older persons residing in Fairfax County by offering a mixture of services, provided through the public and private sectors, that maximize personal choice, dignity and independence.

## Focus
Fund 103, Aging Grants and Programs, serves as the fiscal entity for federal and state grants awarded to the County primarily through the Virginia Department for the Aging. Grant funds are received and administered by the Fairfax Area Agency on Aging (AAA), part of the Adult and Aging Division within the Department of Family Services. With additional support from the County, these funds provide the following types of community-based services: case management/consultation services, legal assistance, insurance counseling, transportation, information and referral, volunteer services, home-delivered meals, nutritional supplements, congregate meals, fan care and cooling assistance, and services for and support to caregivers of older adults. In addition, the regional Northern Virginia Long-Term Care Ombudsman Program serves the jurisdictions of Alexandria, Arlington, Fairfax County, Fairfax City, Falls Church City, Loudoun County, Manassas, Manassas Park and Prince William County. For those older adults who cannot live independently in the community, staff and volunteers with the Northern Virginia Long-Term Care Ombudsman Program work with residents, families, and area nursing and assisted living facilities to provide information, assistance and mediation to ensure that residents' rights are being upheld.

# Fund 103
# Aging Grants and Programs

The Fairfax Area Commission on Aging (COA), appointed by the Board of Supervisors and the cities of Fairfax and Falls Church, serves as the official advisory body to the AAA, the Board of Supervisors and the City Councils of Fairfax and Falls Church regarding local long-term care issues, legislation, fiscal requirements, and program and policy issues. COA members are also represented on the Fairfax Long-Term Care Coordinating Council, charged with implementing the strategic plan of the Citizens' Task Force for Long-Term Care, and serve on several regional and County committees, including the Northern Virginia Aging Network and the Building for All Committee. The COA has responsibility for tracking the success of the Board of Supervisors' 50+ Action Plan, presenting an annual scorecard, and advising the Board of Supervisors about any aging-related issues.

The AAA exists to provide community leadership on aging issues and to promote community-based programs and activities that enhance the quality of life for older adults and their caregivers. It derives its purpose and structure from the Federal Older Americans Act, which established local area agencies on aging. In addition to playing a key role linking practice and policy, the AAA serves as the focal point for the network of County and private sector agencies serving older adults. The AAA helps older adults remain in the community by providing information and links to needed services and through the administration and provision of service programs for older persons whose needs are varied and may require intervention by one or more agency programs.

The AAA provides lead support to the Board of Supervisors on-going 50+ Committee and has been designated by the Board to respond to community inquiries about its Action Plan.

Highlights from the 50+ Scorecard include:

- The Building for All Committee (BFAC) is a public/private partnership chartered by the County Executive and consisting of multiple County departments, community leaders, and experts to promote universal design goals in the community. In November 2008, BFAC hosted "Reinventing Your Home," an event that attracted about 300 people and provided information and resources for people to use to make their homes safe and accessible for their entire lives.

- Volunteer Solutions, a service unit in the Adult and Aging Division's Area Agency on Aging, established a partnership with the Fairfax County Public Library and numerous County and private programs to host Ventures in Volunteering recruitment fairs showcasing flexible, meaningful volunteer opportunities for boomers, retirees, and older adults of all ages.

- The AAA has been working with three "villages" in the County that are forming to provide volunteer support for neighborhood residents, including purchasing and sharing personal and home maintenance services essential for living safely in their homes. This cooperative village model was initiated in Beacon Hill in Boston and has gained national attention. Work with these groups followed a highly successful AAA-sponsored event in April 2008, "Reinventing Your Neighborhood."

- AAA staff worked with the Long-Term Care Coordinating Council and Faith Communities in Action on an interfaith summit in June 2008 to increase awareness of resources for serving older adults and has been surveying faith communities on services currently provided to older adults and their caregivers.

Key driving forces of the AAA's future direction are based on the increasing numbers of older adults, the diversity of older adults, the increasing incidence of disabilities among adults as they live longer, supporting family caregivers, and the increasing number of persons eligible to retire in this thriving business community.

- Thirty years ago, people 65 and older were just over one out of every 33 residents of Fairfax County, but by 2020 older adults will be more than one out of every nine residents. Persons age 65 and over are growing at a faster rate than the overall County population. There are more than 100,000 older adults residing in Fairfax County today. By 2020, it is projected that there will be 138,600 persons age 65 and older living in Fairfax County, representing 11.6 percent of the total population.

- In 1980, more than 13 percent of older adults spoke a language other than English at home, and by 2000 the number had more than doubled and continues to grow. From 1980 to 2000, the percentage of minorities in the older adult population increased from 6.4 to 18.3 percent. Although the older adult population is not as diverse as the general Fairfax County population, it is becoming more diverse.

- With increasing life expectancies, more of the working-age population is part of the "sandwich" generation, those caring for both children and elders. These caregivers may care for their elders for a longer period of time. Longevity also means there are older adults with their own health and financial needs caring for other older adults such as siblings and spouses or even their parents. Grandparents are increasingly caring for minor children, and support to those grandparents as caregivers is different from the support needed to care for an aging spouse.

- The incidence of disabilities among older adults – everything from arthritis to Alzheimer's – doubles every five years after the age of 65. Because the oldest baby boomers will turn 75 in 2021, it is anticipated that the need for assistive services and programs will accelerate rapidly after 2020.

Improving communication, information, and awareness with a dramatically changing and diverse population are among the AAA's primary initiatives. Strategies to accomplish these initiatives include educational seminars, resource fairs, recruiting volunteers from a variety of cultures to provide service to older adults and advocacy to older adults and their families, increasing large-print, taped, and translated resource materials, providing culturally sensitive and palatable meals and service delivery to persons receiving home-delivered meals and congregate meals, offering respite and support groups to family caregivers of older adults and to grandparents caring for grandchildren, and providing resource fairs for baby boomers considering volunteering while continuing in the workforce part-time or upon retirement.

## FY 2011 Budget Reduction Impact Summary

The agency reduction of $160,061 is being made after a comprehensive staff review of the array of services provided to seniors in the County. As a result of this review, elimination of service overlap and alignment of current service levels has resulted in the reduction of $160,061. This reduction can be made with no adverse impact to current services; however, accommodating any increases in clients or service levels will not be possible.

# Fund 103
# Aging Grants and Programs

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Grant | 51/ 50 | 51/ 50 | 51/ 50 | 51/ 50 |
| Expenditures: | | | | |
| Personnel Services | $3,704,336 | $3,809,140 | $5,115,203 | $3,770,602 |
| Operating Expenses | 3,436,801 | 3,826,928 | 6,160,326 | 4,053,704 |
| Capital Equipment | 0 | 0 | 21,000 | 0 |
| **Total Expenditures** | **$7,141,137** | **$7,636,068** | **$11,296,529** | **$7,824,306** |
| Revenue: | | | | |
| Federal | $1,888,869 | $1,833,098 | $2,470,666 | $2,085,560 |
| State | 1,194,505 | 1,088,649 | 1,253,956 | 1,023,772 |
| Project Income | 461,701 | 381,233 | 397,017 | 381,233 |
| Other Jurisdictions' Share of the Ombudsman Program | 131,033 | 120,203 | 109,374 | 120,203 |
| City of Fairfax | 33,013 | 33,013 | 33,013 | 33,013 |
| City of Falls Church | 36,306 | 36,306 | 36,306 | 36,306 |
| Private Corporations | 645 | 2,000 | 2,185 | 2,000 |
| **Total Revenue** | **$3,746,072** | **$3,494,502** | **$4,302,517** | **$3,682,087** |
| **Net Cost to the County**[1] | **$3,395,065** | **$4,141,566** | **$6,994,012** | **$4,142,219** |

[1]The *FY 2010 Revised Budget Plan* net cost to the County includes unrealized revenue of $2,852,446 that is carried over from FY 2009 to address the last three months of the program year in FY 2010.

### Position Summary

**OPERATIONS AND DIRECT SERVICES**

**Community-Based Social Services**
- 2 Social Work Supervisors
- 1 Social Worker III
- 9 Social Workers II, 1 PT
- 1 Management Analyst II
- 1 Administrative Assistant II, PT
- 1 Paralegal
- 1 Communications Specialist III

**Congregate Meals**
- 1 Management Analyst I

**Home-Delivered Meals**
- 1 Social Work Supervisor
- 1 Management Analyst II
- 1 Social Worker III
- 5 Social Workers II

**Care Coordination for the Elderly Virginian**
- 1 Social Work Supervisor
- 1 Social Worker III
- 2 Social Workers II
- 1 Mental Health Therapist
- 2 Public Health Nurses II
- 1 Management Analyst II
- 1 Administrative Assistant II

**Family Caregiver Support**
- 1 Management Analyst II
- 1 Senior Social Work Supervisor

**LONG-TERM CARE OMBUDSMAN**
- 1 Social Work Supervisor
- 5 Social Workers III

**DEPARTMENT OF COMMUNITY AND RECREATION SERVICES**

**Congregate Meals**
- 4 Park/Rec Specialists II
- 5 Park/Rec Assistants

**TOTAL POSITIONS**
**51 Grant Positions / 50.0 Grant Staff Years** — **PT Denotes Part-Time Positions**

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Program Year 2011 Adjustments** **$187,585**
  A net increase of $187,585 is due to a net increase in federal revenue of $252,462 primarily associated with the Title III-B Community Based Social Services grant, the Title III C(1) Congregate Meal grant, the Title III C(2) Home-Delivered Meal grant, and the Care Coordination for the Elderly Virginian grant. This is partially offset by a net decrease in state revenue of $64,877 primarily associated with the Title III-B Community Based Social Services grant and the Care Coordination for the Elderly Virginian grant.

- **Contract Rate Adjustments** **$93,484**
  An increase of $93,484 in Operating Expenses supports a contract rate increase for meals provided as part of the Congregate Meal program and the Home-Delivered Meal program.

- **Congregate Meal Program at Olley Glen** **$67,230**
  An increase of $67,230 in Operating Expenses is included for the Congregate Meal program at the Little River Glen Senior Center. Funding is needed to accommodate additional residents who will participate in the program from the new Olley Glen senior apartment complex and will support approximately 11,205 meals for 45 residents.

- **Reductions** **($160,061)**
  The agency reduction of $160,061 is being made after a comprehensive staff review of the array of services provided to seniors in the County. As a result of this review, elimination of service overlap and alignment of current service levels has resulted in the reduction of $160,061, including $98,000 in the Congregate Meal program. This reduction can be made with no adverse impact to current services; however, accommodating any increases in clients or service levels will not be possible.

## Changes to <u>FY 2010 Adopted Budget Plan</u>

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the <u>FY 2010 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$3,660,461**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved carryover funding of $3,660,461 due to carryover of $3,277,849 in unexpended grants for Program Year 2009, a net increase of $218,092 to appropriate revised federal and state funding allocations, an increase of $135,899 due funding received as part of the American Recovery and Reinvestment Act of 2009, and a net increase of $28,621 to reflect actual spending requirements.

## Key Performance Measures

### Goal

To promote and sustain a high quality of life for older persons by offering a mixture of services, provided through the public and private sectors, which maximize personal choice, dignity and independence.

### Objectives

- To maintain at 80 percent the percentage of elderly persons and adults with disabilities receiving case management services who continue to reside in their homes one year after receiving services.

- To maintain at 95 percent the percentage of older adults receiving community-based services who remain living in their homes rather than entering a long-term care facility after one year of service or information.

- To maximize personal health by serving nutritious meals so that 40 percent of clients receiving home-delivered meals and 80 percent of clients receiving congregate meals score at or below a moderate risk category on the Nutritional Screening Initiative, a risk tool.

- To meet the state standard by maintaining the percent of Adult Protective Services (APS) investigations completed within 45 days at 90 percent or more.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Adult and Aging/Long-Term Care clients served | 2,283 | 2,404 | 2,404 / 2,514 | 2,514 | 2,514 |
| Clients served with community-based services (CBS) (1) | 7,401 | 10,878 | 10,878 / 9,751 | 9,751 | 9,751 |
| Meals provided | 570,614 | 624,745 | 624,745 / 588,342 | 600,000 | 600,000 |
| APS Investigations conducted | 818 | 854 | 854 / 924 | 924 | 924 |
| **Efficiency:** | | | | | |
| Cost per Adult and Aging/Long-Term Care client | $2,649 | $3,632 | $4,647 / $3,125 | $3,455 | $3,530 |
| Cost per CBS client (1) | $125 | $99 | $120 / $122 | $120 | $119 |
| Cost per meal | $10 | $10 | $10 / $11 | $11 | $11 |
| Cost per investigation | $1,562 | $1,611 | $2,343 / $1,880 | $1,985 | $2,057 |
| **Service Quality:** | | | | | |
| Percent of Adult and Aging/Long-Term Care clients satisfied with services | 89% | 90% | 90% / 94% | 90% | 90% |
| Percent of CBS clients satisfied with the information and services | 91% | 98% | 95% / 98% | 95% | 95% |
| Percent of clients satisfied with home-delivered meal quality and quantity (2) | 81% | NA | 90% / 96% | NA | 90% |
| Percent of clients satisfied with congregate meal quality and quantity | 87% | 89% | 90% / 89% | 90% | 90% |
| Investigations completed within the State standard of 45 days | 802 | 854 | 769 / 923 | 832 | 832 |
| **Outcome:** | | | | | |
| Percent of clients who remain in their homes after one year of services | 87% | 84% | 80% / 86% | 80% | 80% |
| Percent of CBS clients who remain in their homes after one year of service or information | 98% | 94% | 95% / 95% | 95% | 95% |
| Percent of clients served home-delivered meals who score at or below a moderate nutritional risk category | 48% | 45% | 40% / 44% | 40% | 40% |
| Percent of clients served congregate meals who score at or below a moderate nutritional risk category | 87% | 85% | 80% / 85% | 80% | 80% |
| Percent of investigations completed within 45 days | 98% | 100% | 90% / 99% | 90% | 90% |

(1) In FY 2010, this measure was revised to include home-delivered meals. The FY 2007 and FY 2008 actuals and FY 2009 estimate have been revised to include home-delivered meals. Previously, this measure only included congregate meals.

(2) The home-delivered meal client satisfaction survey is administered periodically.

## Performance Measurement Results

In FY 2009, the Adult and Aging Services Division continued to surpass its goals related to helping individuals continue to reside in their own homes. The percent of older adults and adults with disabilities who continued to reside in their homes after one year of receiving case management services was 86 percent in FY 2009; this is higher than the target of 80 percent and up two percentage points from the 84 percent achieved in FY 2008.

The 9,751 clients served with community-based services (CBS) in FY 2009 include clients served through Aging Disability and Caregiver Resources and Volunteer Solutions. While the number of clients served fell short of the 10,878 estimate for FY 2009, a few data collection problems were identified as part of a process redesign. More consistent recording methods are being implemented so that more accurate data will be collected and reported in the future. The percent of clients who remained in the community, rather than entering a long-term care facility, after one year of receiving services in FY 2009 was 95 percent, consistent with the target.

The goal for improving the nutritional health of persons receiving nutrition services was surpassed in FY 2009 with 44 percent of clients who received home-delivered meals scoring at or below a moderate nutritional risk category, compared to a target of 40 percent. Similarly, 85 percent of clients who received congregate meals, compared to a target of 80 percent, scored at or below moderate risk on the Nutritional Screening Initiative. The senior nutrition programs provided 588,342 meals in FY 2009 which reflects a decrease from the number of meals served in FY 2008 as well as the target for FY 2009. This is more than likely the result of refined data collection whereby snacks which had previously been included in the meal count are now counted separately. A high client satisfaction rate was achieved once again with 89 percent of congregate meal clients expressing satisfaction with their meals in FY 2009. This was, however, just short of the target of 90 percent. Client satisfaction of 96 percent was registered with home-delivered meals in FY 2009. This exceeded the target of 90 percent.

The number of Adult Protective Services (APS) investigations increased again in FY 2009 with 924 total investigations being conducted. This reflects an 8.2 percent increase over FY 2008, or 70 investigations. Ninety-nine percent of the APS investigations were completed within 45 days, significantly surpassing the target of 90 percent, but slipping a bit from the 100 percent achieved in FY 2008 due to the increased workload.

# Fund 103
# Aging Grants and Programs

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 103, Aging Grants and Programs**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance**[1] | **$2,164,386** | **$0** | **$2,852,446** | **$228,659** |
| Revenue: | | | | |
| Federal Funds | $1,888,869 | $1,833,098 | $2,334,767 | $2,085,560 |
| Federal Funds - ARRA | 0 | 0 | 135,899 | 0 |
| State Funds | 1,194,505 | 1,088,649 | 1,253,956 | 1,023,772 |
| Project Income | 461,701 | 381,233 | 397,017 | 381,233 |
| Other Jurisdictions' Share of Ombudsman Program | 131,033 | 120,203 | 109,374 | 120,203 |
| City of Fairfax | 33,013 | 33,013 | 33,013 | 33,013 |
| City of Falls Church | 36,306 | 36,306 | 36,306 | 36,306 |
| Private Corporations | 645 | 2,000 | 2,185 | 2,000 |
| Total Revenue | $3,746,072 | $3,494,502 | $4,302,517 | $3,682,087 |
| Transfer In: | | | | |
| General Fund (001) | $4,083,125 | $4,252,824 | $4,252,824 | $3,913,560 |
| Total Transfer In | $4,083,125 | $4,252,824 | $4,252,824 | $3,913,560 |
| **Total Available** | **$9,993,583** | **$7,747,326** | **$11,407,787** | **$7,824,306** |
| Grant Expenditures: | | | | |
| **67460G,** Title III B, Community-Based Social | $1,443,561 | $1,462,023 | $1,928,135 | $1,501,744 |
| **67461G,** Title VII Ombudsman | 550,497 | 452,473 | 531,723 | 470,447 |
| **67462G,** Fee for Services/ Homemaker | 259,335 | 282,782 | 375,745 | 282,782 |
| **67463G,** Title III C(1) Congregate Meal | 2,293,937 | 2,653,914 | 4,518,172 | 2,746,578 |
| **67464G,** Title III C(2) Home-Delivered Meal | 1,514,511 | 1,633,376 | 2,264,720 | 1,739,393 |
| **67465G,** Care Coordination for the Elderly Virginian | 717,518 | 759,063 | 919,979 | 712,532 |
| **67466G,** Caregiver Support | 361,778 | 392,437 | 622,156 | 370,830 |
| **S6704G,** ARRA Funding | 0 | 0 | 135,899 | 0 |
| Total Grant Expenditures | $7,141,137 | $7,636,068 | $11,296,529 | $7,824,306 |
| **Total Disbursements** | **$7,141,137** | **$7,636,068** | **$11,296,529** | **$7,824,306** |
| | | | | |
| **Ending Balance** | **$2,852,446** | **$111,258** | **$111,258** | **$0** |

[1] It is anticipated that the FY 2011 Advertised Budget Plan beginning balance will be $228,659 due to unspent funds in the Congregate Meal Program as a result of the delayed opening of Braddock Glen and is available to address FY 2011 funding requirements.

# Fund 104
# Information Technology

## Mission

Fund 104, Information Technology (IT), supports the County's strategic IT investments in major technology projects that improve access to County services, promote government operational efficiencies and effectiveness, customer service, and enhance system security and performance. They include automation for County agencies' requirements aligned with countywide strategic goals, corporate systems process modernization; document management; enterprise technology infrastructure; agency specific business application and system modernization; shared enterprise systems, and e-Government initiatives.

## Focus

The County's long-term commitment to providing quality customer service through the effective use of technology is manifested in service enhancements, expedited response to citizen inquiries, improved operational efficiencies, better information for management decisions and increased performance capabilities. Fund 104, Information Technology, was established in FY 1995 to strengthen centralized management of available resources by consolidating major Information Technology (IT) projects in one fund. A General Fund transfer, revenue from the State Technology Trust Fund, and interest earnings are sources for investment in Information Technology projects.

The County's technology improvement strategy has two key elements. The first element is to provide an adequate technology infrastructure for County government functions. The second is to redesign business processes and apply technology to achieve large-scale improvements in service quality and achieve administrative efficiencies.

The Senior Information Technology Steering Committee, which is comprised of the County Executive, Deputy County Executives, Chief Information Officer, and Director of Management and Budget adopted five IT priorities which guide the direction of Fund 104. Each year the priorities are reviewed. They include:

- **Mandated Requirements**: Provide support for requirements enacted by the federal government, Commonwealth of Virginia, Board of Supervisors, or Court ordered or a result of County regulation changes.

- **Completion of Prior Investments**: Provide support for multi-year lease purchases and to implement a project phase or to complete a planned project.

- **Enhanced County Security**: Provide support for homeland security, physical security, information security and privacy requirements.

- **Improved Service and Efficiency**: Promote consolidated business practices; support more efficient government; optimize management and use of County assets and data; enhance systems to meet the expectations and needs of citizens; and promote service that can be provided through the Internet/ e-government. Includes corporate and strategic initiatives that add demonstrable value to a broad sector of government or to the County as a whole, which also provide productivity benefits and/or effectively manages the County's information and knowledge assets.

- **Maintaining a Current and Supportable Technology Infrastructure**: Focus on technology infrastructure modernizations which upgrade, extend or enhance the overall architecture or major County infrastructure components, including hardware and software and its environment. Ensure that citizens, businesses and County employees have appropriate access to information and services.

In accordance with the FY 2011 fiscal forecast, funding requests for Fund 104 IT projects were again limited to mandated requirements and existing IT projects that required planned funding increment to meet contractual obligations and/or to complete a planned phase.

# Fund 104
# Information Technology

## FY 2011 Budget Reduction Impact Summary

The General Fund transfer is $3.2 million in FY 2011, a decrease of $4.2 million from the FY 2010 Adopted Budget Plan level and a $13.8 million total decrease from FY 2009. This reduction is due to the County's IT program being very limited due to fiscal constraints. In addition to substantial expenditure reductions, $1.8 million in General Fund transfer savings are achieved through the utilization of funding from Fund 105, Cable Communications, to support the Voice Network Modernization project. This project is supported through infrastructure provided by the Institutional Network (I-Net). The I-Net is comprised of more than 4,000 kilometers of fiber linking over 400 County and Fairfax County Public Schools (FCPS) locations. The Department of Information Technology (DIT) will continue to support the construction of new I-Net sites and efforts to migrate video, high-speed data, and voice services to the I-Net in designated County and FCPS facilities. While the IT program is very limited in FY 2010 and FY 2011, it is anticipated that expenditure requirements will increase in future years due to several large Human Services-related systems approaching the end of their useful life.

### FY 2011 Initiatives

In FY 2011, funding of $5.5 million, which includes a General Fund transfer of $3.2 million, Cable Communications Fund transfer of $1.8 million, and interest income of $0.5 million, is provided to meet contractual obligations and complete planned phases of existing IT projects in Fund 104. These projects continue to meet one or multiple priorities established by the Senior Information Technology Steering Committee and include a mix of projects that provide benefits for both citizens and employees and that adequately balance continuing initiatives with the need for maintaining and strengthening the County's technology infrastructure.

| Priority | FY 2011 Advertised Funding |
|---|---|
| Completion of Prior Investments | $1.4 million |
| Enhanced County Security | $1.0 million |
| Maintaining a Current and Supportable Technology Infrastructure | $3.1 million |
| **TOTAL** | **$5.5 million** |

# Fund 104
# Information Technology

## Completion of Prior Investments - $1.4 million

The County's IT program focuses on using technology as an essential tool to enable cost-effective delivery of services, and continues to stress the need to build reliable, supportable projects for these services in a timely manner. Many projects funded can be completed within that fiscal year, while others are multi-phase projects that require more than one year of funding.

In FY 2011 funding of $665,550 is included to complete the Computer Integrated Facilities Management (CIFM) system for the Facilities Management Department and Park Authority. The two agencies hold the greatest portion of responsibility for the maintenance of the County's largest and most valuable physical assets: its properties, facilities, and the subsystems that keep them operational. FY 2011 funding will support completion of the CIFM project and the deployment of the remaining mobile devices to allow field access to asset data, inventories, operational information as well as improved data collection and inventory tracking. The investment support efficiencies within agencies, by streamlining time-intensive paper-intensive processes associated with generating and documenting reports, while reducing the amount of travel required between offices, stations, and the field.

Funding of $350,000 is included in FY 2011 to support the continued implementation of an electronic summons solution for traffic tickets in Fairfax County. The goal is for officers to capture and transmit traffic summons information to the Court electronically via hand held or in-vehicle electronic devices. The project aims to eliminate manual data entry, ensure data integrity, provide accurate code section violations to officers in the field, facilitate faster and safer ticketing process for officers, enhance public access to traffic ticket and case information, and increase revenue collection.

Funding of $300,000 is included to continue Fairfax County's investment in e-Government. The County continues to use public access technologies to support the expanding demand for on line e-services and information associated with the County's growth and diversity. A comprehensive approach is employed to ensure an efficient infrastructure capable of supporting multiple business solutions. FY 2011 plans include the development of collaborative functionalities for County agencies including implementation of a new FairfaxNet SharePoint portal to provide a centralized resource for County content, forms, policies, news, applications, and training. Continued investment in engagement and collaboration remains a high priority and will continue to provide a return on investment for the County.

FY 2011 funding of $75,000 in the Courts electronic wayfinding project is required to complete installation of wayfinding to Juvenile and Domestic Relations District Court (JDRDC) courtrooms and the Courthouse information desk. Additionally, the County is piloting the Supreme Court of Virginia docket display system with JDRDC. General District Court wayfinding is anticipated to migrate to the Supreme Court of Virginia system in FY 2011.

## Enhanced County Security - $1.0 million

Ensuring the security of the County's IT investments and information assets is of primary importance to the Department of Information Technology. Through many projects and initiatives, efforts are focused on the security of various levels of County data, from e-mail to homeland security measures. During FY 2011, the County will continue to implement a multi-faceted approach to securing County data and assets.

Funding of $862,882 is recommended in FY 2011 to support final implementation of the integrated Public Safety Computer Aided Dispatch/Records Management System (CAD/RMS) as part of the Public Safety Architecture Modernization initiative. The funding supports final implementation and integration of modules, as well as wireless support to ensure a unified technology platform across public safety agencies.

FY 2011 funding of $100,000 is included to create a data warehouse to enable effective management information reporting from various disparate Department of Family Services (DFS) systems. This project will enhance security and efficiency within DFS by providing standardized, consistent, clean and integrated data sourced from 30 distinct department IT systems. The data will be structured to address the reporting and analytical needs of each division and the department, and will provide a systematic way to retrieve and analyze data in order to enhance overall service delivery.

Funding of $75,000 is recommended to design and develop a secure, scalable and easy to use Community Services Board (CSB) Health Insurance Portability and Accountability Act (HIPAA) data repository to store current and future HIPAA-related information. The project will ensure CSB's compliance with federally mandated HIPAA regulations designed to protect the privacy and confidentiality of individually identifiable health information. The design will include appropriate role based security and scalability to enable multiple departments to store HIPAA-related information on a consolidated and secure platform.

## Maintain a Current and Supportable Technology Infrastructure - $3.1 million

In an ever changing technical environment, maintaining a current and supportable technology environment is a challenge that must be continually addressed to ensure performance, operability, security and integrity. The County's technological improvement strategy strives to balance business needs that require technology investments with the desire to adopt contemporary but relevant and supportable technology industry trends, as well as the ability to leverage existing infrastructure. Projects funded in FY 2011 support the goal of continuing to update and strengthen the technology foundation where practical, and ensure that residents, the business community and County staff have appropriate and reliable access to information and services.

Funding of $1,742,000 in FY 2011 to continue implementation of the multi-year Telecommunication Modernization Project designed to replace disparate telephone systems throughout the County with a contemporary telecommunication platform that includes functionality to integrate voice with data capabilities such as e-mail, other messaging systems and CRM, streamline business processes, consolidate use of telecommunications facilities, enhance system operational efficiency, and reduce overall support costs. An additional core benefit will be the use of distributed telecommunications applications across the enterprise fiber network (I-Net). The new voice communications platform also provides secure communications to support the needs of Telework. This project provides the telecommunications infrastructure to serves the communications needs of County agencies and advances service delivery to citizens, while maintaining flexibility to adopt future technologies with a minimal need for new spending. This project is funded by a transfer from Fund 105, Cable Communications.

FY 2011 funding includes $843,705 to complete the lease-purchase obligation associated with the Public Safety Radio Replacement project. In future years, a hardware refresh cycle will be needed for the subscriber radios associated with this project.

FY 2011 funding of $278,212 is recommended for continued support for the County's ongoing maintenance of essential Geographic Information System (GIS) data. FY 2011 funding represents support of the annual update of the GIS base map data for 25 percent of the County based on spring 2009 aerial imagery and other data. This funding, combined with the previous three years of work will complete the first planned four year update cycle. GIS data is heavily used by the general public as well as numerous County agencies, specifically the following departments: Police, Fire and Rescue, Department of Public Works and Environmental Services, Transportation, Housing and Community Development, Planning and Zoning, and Tax Administration.

FY 2011 funding of $100,000 is included to begin requirements analysis for replacement of the existing case management system for the Community Services Board (CSB). Replacement of the existing SYNAPS system was recommended by the Beeman Commission Report. It is anticipated that replacement of the entire system will be required in FY 2012 and FY 2013.

# Fund 104
# Information Technology

Funding of $75,000 is included in FY 2011 to provide for continuing information technology training and certification in recognition of the challenges associated with maintaining skills at the pace of technological changes and to ensure that the rate of change in information technology does not outpace the County's ability to maintain proficiency. As the County's workforce becomes increasingly dependent on information technology, training support has become more essential.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustment** **$48,657,890**

  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $48,657,890 due to the carryover of unexpended project balances of $42,951,548 and a net reduction based on lower than budgeted FY 2009 revenue of $343,658. Also included is funding of $6,050,000, supported by an increase in the General Fund transfer, to support anticipated contractual awards for the Legacy System Replacement project in FY 2010.

The following Project Summary table lists the projects contained in Fund 104, Information Technology. Descriptions for FY 2011 funded projects are included on the following pages. Information regarding technology initiatives can also be found in the FY 2011 Information Technology Plan prepared by the Department of Information Technology.

| Agency Summary | |
|---|---|
| **Category** | **FY 2011 Advertised Budget Plan** |
| IT0004, Geographic Information System (GIS) | $278,212 |
| IT0010, Information Technology Training | 75,000 |
| IT0024, Public Access to Information | 300,000 |
| IT0050, Public Service Communications Replacements | 862,882 |
| IT0054, SYNAPS / HIPAA Requirements | 175,000 |
| IT0056, Pilot Courtroom Technologies | 75,000 |
| IT0060, Telecommunications Modernization | 1,742,000 |
| IT0065, Facility Maintenance Management System | 665,550 |
| IT0071, Electronic Summons and Court Scheduling | 350,000 |
| IT0083, Public Safety Architecture Modernization | 843,705 |
| IT0089, DFS - Data Reporting | 100,000 |
| **Total Funds** | **$5,467,349** |

| **IT0004, Geographic Information System** | **IT Priorities:** • Completion of Prior Investments;<br>• Enhanced County Security;<br>• Improved Service and Efficiency;<br>• Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| **$220,875** | **$1,291,913** | **$278,212** |

**Description and Justification:** This project provides continued funding for the County's planned multiyear implementation and maintenance of essential Geographic Information System (GIS) data including oblique imagery, orthoimagery and planimetric data. GIS provides County staff the means to electronically access, analyze and display land related data and is an integral part of public systems such as LDS Net and My Neighborhood as well as numerous internal County applications.

In FY 2011 funding of $278,212 is recommended for continued support for the County's ongoing maintenance of essential Geographic Information System (GIS) data. FY 2011 funding represents year four of a four year planned initiative to update the County's planimetric data. This project is jointly funded by DPWES and Fund 104. Through a series of complex geospatial transformations the raw imagery, taken from aerial imagery flown by the state, will be converted to GIS data available to County agencies. The project includes new planimetric impervious surface features inlcuding: driveways, building footprints, streams, sidewalks, pools, edges of roads and centerlines, critically needed by key agencies such as DPWES (Stormwater) and by Public Safety agencies. Each year about 1/4th of the County will have its planimetric features compiled. The FY 2011 update combined with the previous three years of work will complete the first planned four year update cycle of the County's area.

**Return on Investment (ROI):** The availability of key County data digitally through the GIS provides a range of benefits to constituents as well as County staff. Digital orthoimagery is widely used within GIS as well as over the web. With the parcel and zoning data now maintained digitally, production of the County's parcel and zoning books has been greatly accelerated. Time consuming manual steps were replaced with a digital production process enabling staff to capture additional features in the GIS (e.g., more easements, particularly conservation easements). All map changes are posted to the internet daily, providing web users of the Digital Map Viewer with the latest versions of the maps. Prior to these enhancements maps were printed for distribution annually. Digital production has enabled the use of color maps, and development of new symbolization of zoning patterns are added features. The popularity of the frequently updated data is evident by the steady increase in usage of the Digital Map Viewer and reduced demand for the printed books. In addition to the GIS branch itself, over 25 County agencies including the Police Department, Fire and Rescue, Public Works and Environmental Services, Health Department, Department of Tax Administration, Department of Zoning and Planning, Transportation Department, Emergency Management and Human Services departments use GIS to in their daily operations.

# Fund 104
# Information Technology

| IT0010, Information Technology Training | IT Priorities: | • Maintaining a Current and Supportable Technology Infrastructure |
|---|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| $53,125 | $127,845 | $75,000 |

**Description and Justification:** This project provides funding for information technology training in recognition of the challenges associated with maintaining skills at the same pace as technology changes. The rate of change in information technology is an ongoing challenge for the County in maintaining relevant proficiencies for its technology workforce, and enabling quick adoption of technology that is beneficial in meeting the County's mission, goals and objectives. As the County's business has become increasingly dependent on information technology, training support has become more essential.

FY 2011 funding of $75,000 will provide for necessary training required for Department of Information Technology staff. In addition, a project management certification and training program has been developed for County staff that is assigned project manager roles for funded Information Technology projects, allowing for consistency and enhanced communications between agencies.

**Return on Investment (ROI):** Continued funding will address instruction in new technologies, network management, computer operations, and software applications development and maintenance to assist County staff and systems.

| **IT00024, Public Access to Information** | **IT Priorities:** • Improved Service and Efficiency;<br>• Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| **FY 2009 Expenditures** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget** |
|---|---|---|
| **$440,175** | **$652,755** | **$300,000** |

**Description and Justification:** This project provides funding for initiatives that improve public accessibility to government information and services. A comprehensive approach is employed to ensure efficient infrastructure capable of supporting multiple business solutions. In addition to the benefits to constituents by providing more opportunities for access to services and information on-line and improved customer service, public access technologies continue to be the means that County government uses to achieve greater internal efficiencies and support the expanding demand for services associated with County growth and diversity.

In FY 2011, funding of $300,000 is recommended in support of the County's continuing commitment to e-Government for initiatives that improve public accessibility to government information and services. The project will continue to provide economies of scale by providing the necessary support required for the increasing demand for e-Commerce/e-Government services. Project goals in FY 2011 include the development of collaborative functionalities for County agencies in order to provide centralized resources for County content, forms, policies, new applications and training. The project will automate business processes and provide collaborative tools for enhanced County communication and coordination.

**Return on Investment (ROI):** This project continues to provide single information architecture and supporting infrastructure for platforms needed to provide new information and e-Services to the public. The project also develops and promotes the sharing of data across agency and jurisdictional lines, thereby increasing the scope and value of information and services provided to citizens. It expands the capabilities of content management systems in order to improve automated workflow, revision control, indexing, and search and retrieval for countywide systems to improve operational efficiencies and collaboration. Internet and Intranet initiatives provide significant and wide-ranging opportunities to use technology as a means of making information more readily available to the public. Public access technologies minimize staff resources needed to provide basic information, thereby allowing staff to be deployed to more complex tasks, as well as respond to requests requiring more detailed or specialized information.

| **IT0050, Public Service Communications Replacements** | **IT Priorities:** • Completion of Prior Investments; • Enhanced County Security; • Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| $774,104 | $2,824,042 | $862,882 |

**Description and Justification:** This project provides continued funding for the new Public Service Communications System, which provides two-way radio communications for all County non-public safety agencies, as well as the Fairfax County Public Schools Transportation Department (school buses), FASTRAN and Fairfax Water, with updated technology that meets the needs of user agencies. The completed system provides adequate call processing capacity and area coverage to more than 90 percent of the area within the jurisdictional boundaries of Fairfax County. The previous 20-year old Public Service Communications System was based on a design that used two transmitter tower locations and 20 radio channels, with 10 channels at each tower. The transmitter tower sites were located in Lorton, on the Energy/Resource Recovery Facility smokestack, and in Fairfax City, on the rooftop of the Massey building. The system only provided geographical coverage for approximately 60 percent of the County and had limited call processing capacity, frequently resulting in unavailability for users. In addition, the previous design required users to manually select the correct radio channel based on their location within the County, requiring knowledge of the coverage each channel provided to the different parts of the County. There were large geographic areas where radio communications were not possible and many of these locations were heavily populated areas of the County. The network did not meet the user needs for additional coverage nor provide for future growth or for advanced features, such as mobile data communications.

Funding of $862,882 is provided in FY 2011 for the final year of a seven year annual lease-purchase payment for the new Public Service Radio System network infrastructure. The new network eliminates two zones within the County and provides seamless coverage on one system. Based on a portion of project costs, derived from the number of radios users operating on the system, about half of the total cost will be recovered from Non-General Fund supported agencies, Fairfax County Public Schools and Fairfax Water in FY 2011.

**Return on Investment (ROI):** The replacement system provides reliable radio coverage to many areas of the County that are not covered by the old radio system. This provides the necessary protection and safety for bus drivers and other staff that depends on reliable communications, improves customer service to County citizens and County agencies, and reduces reliance on commercial wireless networks in addition to future cost avoidance and other non-quantifiable benefits. The completed system is fully compatible with the mobile and portable radios used by the County's public safety radio system which allows for direct communication between public safety and public service users for incident or disaster management, and provides a separate backup system for the public safety system. The County realized a cost avoidance of over $3 million by using the public service system to serve as the backup to the public safety system, rather than modifying the public safety system.

# Fund 104
# Information Technology

| IT0054, SYNAPS | IT Priorities: • Enhanced County Security<br>• Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| $251,177 | $259,626 | $175,000 |

**Description and Justification:** The SYNAPS system was developed for the Fairfax-Falls Church Community Services Board (CSB) to improve client tracking and client and third-party billing, enhance client demographic and staff productivity data, and provide for the opportunity to comply with the Health Insurance Portability and Accountability Act (HIPAA) of 1996. Replacement of the existing SYNAPS system was recommended by the Josiah H. Beeman Commission which was established in 2007 to advise the Board of Supervisors on the future direction and design of the mental health services delivery system serving Fairfax County. Recommended strategies include technology that supports service and business practices and facilitating access to electronic information.

FY 2011 funding of $75,000 is recommended to design and develop a secure, scalable and easy to use Community Services Board (CSB) HIPAA data repository to store current and future HIPAA related information. The project will ensure CSB's compliance with federally mandated HIPAA regulations designed to protect the privacy and confidentiality of individually identifiable health information. The design will include appropriate role based security and scalability to enable multiple departments to store HIPAA-related information on a consolidated and secure platform.

FY 2011 funding of $100,000 is included to begin requirements analysis for replacement of the existing SYNAPS case management system for the Community Services Board (CSB). Replacement of the existing SYNAPS system was recommended by the Josiah H. Beeman Commission Report. It is anticipated that replacement of the entire system will be required in FY 2012 and FY 2013.

**Return on Investment (ROI):** The project will ensure County compliance with federally mandated HIPPA regulations designed to protect the privacy and confidentiality of individually identifiable health information. Replacement of the existing SYNAPS system will improve coordination and collaboration of services and supports, with consistent practice models and strategies and cooperation across systems and among mental health providers, to ensure the appropriate and timely exchange of information and the coordination of effective services and supports. The goal is to ensure that all stakeholders have the information necessary to support both person/family-centered and systems-level informed decision-making.

| IT0056 Courtroom Technology Pilot Project – Electronic Wayfinding | IT Priorities: | • Completion of Prior Investment<br>• Improved Service and Efficiency |
|---|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| **$13,501** | **$184,470** | **$75,000** |

**Description and Justification:** The electronic wayfinding system displays court dockets on large monitors strategically placed near courtrooms. The system scrolls through defendants' names and courtroom assignments and provides citizens summoned to court an efficient way to locate their courtroom. This system replaces an ineffecient paper based system whereby each day court staff manually post reams of printed court dockets on bulletin boards spread througout the courthouse.

FY 2011 funding of $75,000 is recommended to complete installation of electronic Wayfinding for the Fairfax County Courthouse. Following successful implementation of Phase I and II (General District and Circuit Court as part of the Courtroom Technology Pilot project), Phase III involves installation of electronic docket displays in strategically located areas throughout the newly expanded and renovated courthouse and the Juvenile and Domestic Relations District Court (upon their relocation to the new courthouse).

**Return on Investment (ROI):** In implementing electronic way-finding, the objective continues to be on providing citizens summoned to court an efficient way to locate their courtrooms and reduce congestion and confusion experienced by the public on the morning their court session is scheduled. The primary benefit will be improved efficiencies and the facilitation of court processes and services that will provide a direct impact to the citizens, businesses and employees that reside in the County. This project seeks to improve citizens access, internally and externally, to the Courts and allow all three Courts to share common resources while providing flexibility and adaptability to incorporate future changes in technology and court processes.

| **IT0060, Telecommunications Modernization** | **IT Priorities:** • Improved Service and Efficiency; • Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| $2,617,173 | $2,318,712 | $1,742,000 |

**Description and Justification:** Prior to the implementation of this project Fairfax County relied on a telephone network based on outdated 1980's technology and standards for its voice communications needs. This included a mix of 15 different models of Private Branch Exchanges (PBXs), telephone company-provided technology, and single-line telephones. Modernization of the County's telecommunications network is by necessity an ongoing and evolving process. As industry standards mature and inter-networking requirements change, the telephone communications network's capacity and configuration must do so as well.

The Telecommunications Modernization Project is replacing the County's current network of disparate voice systems with an enterprise-level platform. This new platform is based on current technology and is being implemented using the County's Institutional Network (I-Net) for site-to-site connectivity and transport mechanism. Furthermore, the new telephony network architecture will accommodate the projected growth in communications needs, integrate with business applications, and facilitate cost savings through standardization, streamlined maintenance, and consolidation of telephone line costs. The new voice infrastructure will integrate with the other County communications and messaging platforms, and align Fairfax County's telephone network with industry trends.

FY 2011 funding of $1,742,000, from the County's Cable Fund, will continue implementation of this multi-year project to provide proven, advanced technologies that streamline business processes, take advantage of economies of scale, enhance operational efficiency and most importantly - reduce costs. An additional core benefit will be the use of distributed telecommunications applications across an enterprise-wide network. The new voice communications platform will provide secure communications to support telework, and will integrate with e-mail and other messaging systems. This change will ensure that the telecommunications infrastructure serves the needs of County agencies and advances service delivery to citizens, while maintaining flexibility to adopt future technologies with a minimal need for new spending.

**Return on Investment (ROI):** The benefits derived from the implementation of this project are substantial and quantifiable. Direct cost savings include: a reduction in leased circuit costs; a reduction in message unit costs for outside phone calls; and a reduction in overall maintenance costs, including moving phones, adding new phone lines and changes to existing phone service. In addition, the new voice infrastructure will allow Fairfax County to leverage embedded technology assets and to improve service delivery quality. Business processes will be streamlined because of the ability to share information over an integrated communications platform.

| IT0065, Facility Maintenance Management System | IT Priorities: | • Completion of Prior Investments;<br>• Improved Service and Efficiency |
|---|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| $518,188 | $388,867 | $665,550 |

**Description and Justification:** This project supports the acquisition of an Integrated Facilities and Grounds Management System as a single, integrated facilities information resource for the Facilities Management Department (FMD) and the Fairfax County Park Authority (FCPA). The two agencies hold the greatest portion of responsibility for the maintenance of the County's largest and most valuable physical assets: its properties, facilities, and the subsystems that keep them operational. The CIFM system will increase the effectiveness and efficiency of staff and the utilization of capital resources required to maintain and manage County and Park facilities and properties. FMD and FCPA partnered to pursue a joint system to replace the existing Maintenance Management System (work orders and asset inventory) and implement an integrated system for facilities information and management in order to provide a single, integrated facilities information resource for the County.

Funding of $665,550 is recommended in FY 2011 for the completion of the Computer Integrated Facilities Management System (CIFM) project. The maintenance aspect must be fully integrated with the management of those assets by encompassing all of the functional components and activities that support Lease Management, Space Management and scheduling, Inventory Control, Grounds Management, Contracts Management, Utilities Management, Physical Security, and Emergency Preparedness/Disaster Recovery. FY 2011 recommended funding supports the deployment of the remaining Windows Mobile Devices, including licenses, hand held units, acceptance testing and training to facilitate field staff access to asset data, inventories, operational information, as well as improved data collection and warranty tracking.

**Return on Investment (ROI):** Savings will be realized through the streamlining of communications and processes throughout FMD and FCPA, with the most quantifiable savings being derived from time saved by field personnel (crafts, trades, and grounds personnel) and Work Control Center staff within the agencies. The new system provides enhanced data collection methods and tools, improved warranty tracking, elimination of redundant facilities information databases, user-friendly interfaces for internal and customer access, a strong reporting system, and the use of wireless technology to improve maintenance operations. The replacement system will provide bar coding and wireless technology, which will greatly improve the speed and consistency of data collection necessary to better utilize field staff by the elimination of excessive hand recording of information that is entered into the system at a later time and/or by a different individual. Duplicate work orders will be eliminated; work performed by vendors for inventory that is under warranty will be more efficiently monitored; and multiple tasks will be consolidated onto single work orders – all equating to savings through cost avoidance.

| IT0071, E-Summons | IT Priorities: • Completion of Prior Investments |
|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| $0 | $76,926 | $350,000 |

**Description and Justification:** Currently traffic summonses are issued utilizing the Commonwealth of Virginia's paper summons. The E-summons project will enable Fairfax County police officers to capture and transmit traffic summons information from the scene to the General District Court (GDC) electronically via hand held or in-vehicle electronic devices. Currently paper summonses are completed by hand by the issuing officer and is then signed by the citizen. The multi-part form provides copies to the citizen, issuing officer, General District Court, and the FCPD Central Records. Once received by Central Records the data is entered in the Police Records Management System (PRMS). Summons data is then transferred to the GDC's case management system. Often the handwritten summonses are difficult to read and result in data entry errors with potentially serious consequences for the public. The current system can also result in duplicate data entry by Court personnel if summons information is not received in a timely manner from the Police Department's Records Management System to the Court's case management system. It is the intent of the E-Summons initiative to reduce roadside officer/citizen contact time, increase data entry accuracy, reduce data entry workload, and reduce latency of data transfer to the Court's case management system

Funding of $350,000 is included in FY 2011 to support the continued implementation of an electronic summons solution for traffic tickets in Fairfax County. The goal is for officers to capture and transmit traffic summons information to the Court electronically via hand held or in-vehicle electronic devices. The project aims to eliminate manual data entry, ensure data integrity, provide accurate code section violations to officers in the field, facilitate faster and safer ticketing process for officers and enhance public access to traffic ticket and case information.

**Return on Investment (ROI):** Reducing data entry efforts and increasing data quality as it relates to accuracy, integrity, reliability, and timeliness are the primary factors contributing to this project's return on investment. Eliminating double data entry, reducing redundancies between agencies, and streamlining court scheduling and docketing processes, will create multiple opportunities to improve existing operations and provide better customer service to the citizens of Fairfax County. Near real time transfer of summons information will enhance the public's ability to review case information and pay traffic fines using the Internet or IVR. Currently there are long delays from the time tickets are issued to the time they are available to the public for payment. Furthermore, improved accuracy of offense codes cited in the summons can result in enhanced County revenues.

| IT0083, Public Safety Architecture Modernization | IT Priorities: • Enhanced County Security; • Improved Service and Efficiency; • Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| $2,800,004 | $4,277,062 | $843,705 |

**Description and Justification:** The Public Safety Architecture Modernization project supports implementation of common infrastructure supporting integrated Computer Aided Dispatch (CAD) and Public Safety Records Management Systems (RMS), including public safety communications, as well as Police, Fire and Rescue, and Emergency Medical Services records management. This project provides the underlying infrastructure components and shared capabilities required for implementation of an integrated, interoperable public safety system. This project supports operational components of a CAD and RMS including network infrastructure, and adopting standard Geographic Information System (GIS) to meet public safety requirements. In a multi-track and multi-phase project, the legacy CAD and mobile Police RMS and the Fire and Rescue RMS are being replaced.

FY 2011 funding of $843,705 is recommended to continue support for the Public Safety Architecture Modernization Project. FY 2011 funding will support commercial wireless broadband, and staff augmentation to support post implementation tasks.

**Return on Investment (ROI):** The Public Safety Architecture Modernization project represents a joint initiative undertaken by the public safety agencies in Fairfax County (Department of Public Safety Communications, Police Department, Fire and Rescue Department, Sheriff's Office and Office of Emergency Management) and provides an integrated public safety suite for CAD and RMS, with supporting network infrastructure to support robust GIS including automatic vehicle location (AVL), automatic vehicle routing recommendations (AVRR), broadband wireless data services and automated field reporting. Savings are achieved in implementing standards for all stakeholders, consolidating system infrastructure, and reducing system tool redundancies from prior independent systems. More importantly, this project greatly enhances Fairfax County's ability to respond quickly and effectively to emergencies that require coordination among the various responder organizations and share information required for collaboration, case management, reporting, remediation and mitigation.

| IT0084, DFS Data Reporting Project | IT Priorities: • Enhanced County Security • Improved Service and Efficiency |
|---|---|

| FY 2009 Expenditures | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget |
|---|---|---|
| $0 | $0 | $100,000 |

**Description and Justification:** Department of Family Services (DFS) is the largest of the County's human services agencies. DFS provides a vast array of programs and services through its major four divisions – Self-Sufficiency; Adult and Aging; Children, Youth and Families; and Child Care – as well as through the Department's other components including the Office for Women and Domestic and Sexual Violence Services, the Comprehensive Services Act, and Disability Services Planning and Development. An intensive strategic planning process identified the need for a more integrated use of information technology systems. Currently multiple IT systems ranging from mandated Virginia Department of Social Services case management systems to customized off-the-shelf systems to locally developed and maintained databases are used to support the department. A data warehouse will provide a systematic means to retrieve and analyze data, to extract, transform and load data and to create management reports that will be used to increase efficiency and effectiveness.

FY 2011 funding of $100,000 is included to create a data warehouse to enable effective management information reporting from various disparate Department of Family Services (DFS) systems. This project will enhance security and efficiency within DFS by providing standardized, consistent, clean and integrated data sourced from 30 distinct Department IT systems. The data will be structured to address the reporting and analytical needs of each division and the department.

**Return on Investment (ROI):** A data warehouse will house a standardized, consistent, clean and integrated form of data sourced from various operational systems in use in the department, structured in a way to specifically address the reporting and analytic requirements of each of the divisions as well as the department as a whole. The system would streamline processes, improve communication and data sharing, reduce dual data entry, enhance collaborative decision making, improve data quality, and enhance overall service delivery and better customer service.

# Fund 104
# Information Technology

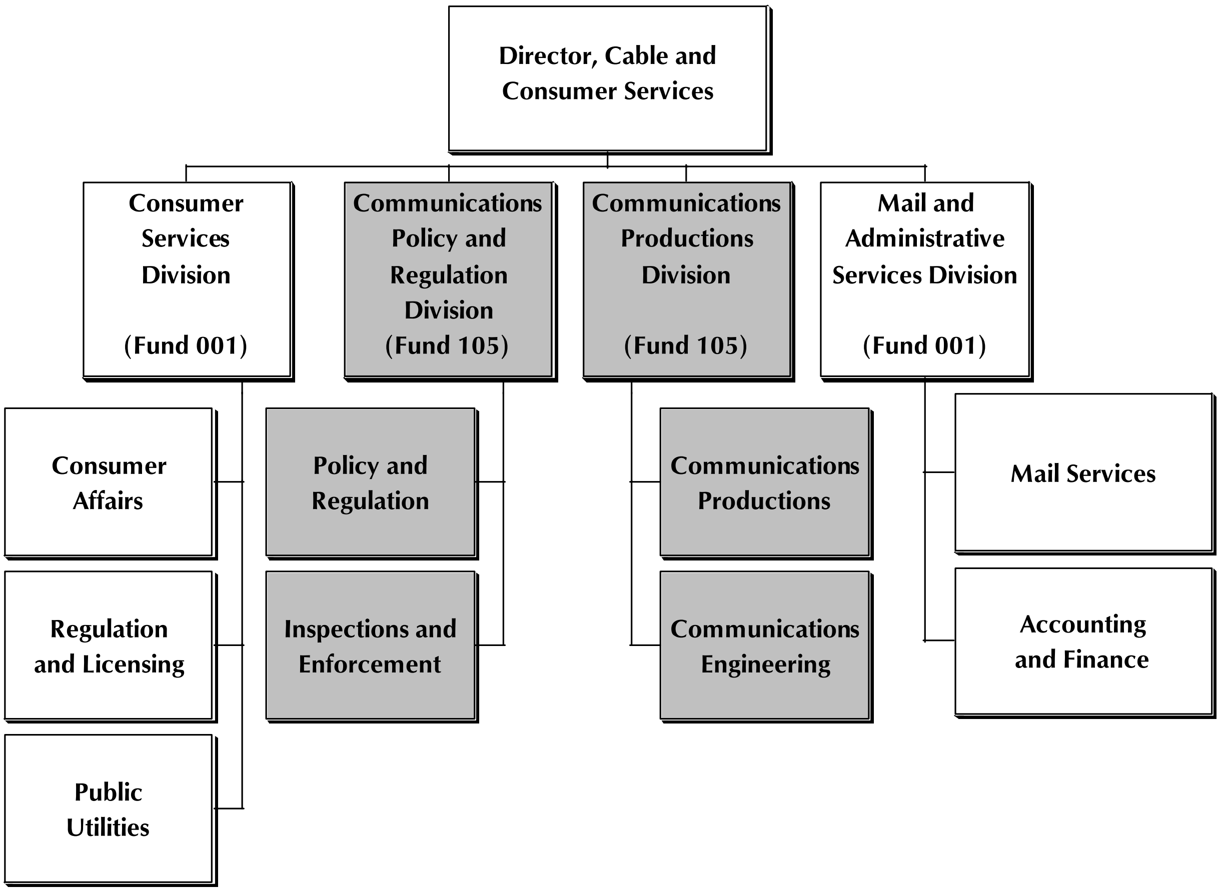
## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 104, Information Technology**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$29,196,620** | **$0** | **$42,607,890** | **$0** |
| Revenue: | | | | |
| Interest | $774,878 | $1,100,418 | $1,100,418 | $500,000 |
| State Technology Trust Fund | 1,070,424 | 0 | 0 | 0 |
| Total Revenue | $1,845,302 | $1,100,418 | $1,100,418 | $500,000 |
| Transfers In: | | | | |
| General Fund (001) | $17,021,805 | $7,380,258 | $13,430,258 | $3,225,349 |
| Cable Communications (105) | 2,535,502 | 1,000,000 | 1,000,000 | 1,742,000 |
| Health Benefit Trust Fund (506) | 7,000,000 | 0 | 0 | 0 |
| Total Transfers In | $26,557,307 | $8,380,258 | $14,430,258 | $4,967,349 |
| **Total Available** | **$57,599,229** | **$9,480,676** | **$58,138,566** | **$5,467,349** |
| Expenditures: | | | | |
| IT Projects | $14,991,339 | $9,480,676 | $58,138,566 | $5,467,349 |
| Total Expenditures | $14,991,339 | $9,480,676 | $58,138,566 | $5,467,349 |
| **Total Disbursements** | **$14,991,339** | **$9,480,676** | **$58,138,566** | **$5,467,349** |
| | | | | |
| **Ending Balance** [1] | **$42,607,890** | **$0** | **$0** | **$0** |

[1] Information Technology projects are budgeted based on total project costs. Most projects span multiple years. Therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 105
# Cable Communications




The Department of Cable and Consumer Services (DCCS) is the umbrella agency for four distinct functions: Consumer Services; Communications Policy and Regulation; Communications Productions; Print, Mail and Administrative Services. The total agency staff is dispersed over two funding sources. Consumer Services, which mediates complaints, educates consumers, regulates taxicabs, issues licenses and provides utility rate case intervention, is presented within the Public Safety Program Area (Volume 1) and is fully supported by the General Fund. The Cable Communications function, which includes the Communications Policy and Regulation Division and the Communications Productions Division, is responsible for communications regulation and for television programming, and is presented in Fund 105 (Volume 2). Fund 105 is supported principally by revenue received from local cable operators through franchise agreements. The Mail and Administrative Services Division administers mail and accounting and finance services. Mail Services along with Accounting and Finance are programs presented in the Legislative-Executive Functions/Central Services Program Area in (Volume 1) and are fully supported by the General Fund. While the functions of the Department of Cable and Consumer Services provide diverse services, they all provide quality customer service to the community and work collaboratively with County agencies, neighboring jurisdictions and professional organizations.

***Fairfax County government's Channel 16 is one of the best government access cable television stations in the nation.***

# Fund 105
# Cable Communications

## Mission

To promote the County's cable communications policy, to enforce public safety, customer service and regulatory requirements among the County's franchised cable operators, and to produce television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN).

To accomplish its mission, Cable Communications encourages competition, innovation and inclusion of local community interests in the countywide deployment of cable communications services; negotiates, drafts and provides regulatory oversight and enforcement of cable communications contracts, ordinances, statutes, and customer service policies; protects the health, safety and welfare of the public by rigorously enforcing safety codes and construction standards; ensures community access to local, public, educational, and governmental programming; develops and maintains reliable means of mass communication of official information during public safety emergencies; provides digital media production services to create informational programming for County residents accessible through a variety of distribution channels; and supports internal communications, including remote origination and viewing of training programs for County employees and emergency first-responders.

## Focus

The Cable Communications Fund (CCF) was established by the Board of Supervisors in 1982 to provide accurate and auditable accounting of revenues and expenses associated with the administration of the County's Cable Communications Ordinance and Franchise Agreements, communications productions, and the provision of cable-related consumer and policy services. Revenue supporting this fund comes from Institutional Network (I-Net) and Public, Educational, and Governmental (PEG) access capital grants and franchise fees received from local cable operators based on the operators' gross revenues. In FY 2011, CCF revenue is estimated to be $16.9 million. In the third quarter of FY 2007, Virginia replaced local collection of cable franchise fees with state collection of the Virginia Communications Sales and Use Tax. Changes in the state administration of this tax may affect future CCF revenue growth.

The Communications Policy and Regulation Division (CPRD) negotiates cable franchise agreements and is responsible for regulatory oversight of the County's three franchised cable television providers. At the end of FY 2009, there were over 272,000 cable subscribers within the County. CPRD ensures that cable operators provide high quality customer service, safe cable system construction and operation, and access to PEG programming and emergency information. CPRD also proactively monitors federal and state legislation and regulations and advises the Board of changes that may impact the County's historical authority over land use decisions, negotiation of new contracts, enforcement of existing franchises, and the ability to ensure public safety, consumer protection, and fair competition within the County.

More than two-thirds of County households now have a choice of cable service providers. Comcast and Cox provide service in separate, non-overlapping franchise areas, and Verizon is on target to provide service throughout the County by 2012. Franchised cable service providers continue to offer a "triple-play" of video, broadband Internet access, and voice services. CPRD will continue to respond to an increasing array of technical, legal, regulatory, and policy inquiries.

CPRD enforces all federal, state, and County cable communications construction codes and standards on a competitively neutral basis. CPRD works to inspect at least 20 percent of all cable communications system construction sites, inspect 100 percent of cable construction complaints filed by residents, and promote a "safety-first" attitude among construction crews. CPRD's work promotes public safety, restoration of streets and sidewalks, safe underground burial and aerial hanging of cables, proper use of work zone traffic controls, control of soil erosion, and adherence to cable picture signal quality and interference-reduction standards. CPRD inspection and enforcement efforts have resulted in increased compliance with federal, state, and local codes. In FY 2009, more than 95 percent of inspected work sites were in compliance with applicable codes. Moreover, as a result of the industry outreach efforts of CPRD, in FY 2009 more than 95 percent of all construction problems were properly corrected after one non-compliance notice, thus reducing the disruption to County residents. In FY 2011, Verizon will continue construction of its new fiber optic cable communications system, and Cox and Comcast will continue to replace and upgrade portions of the hybrid coaxial-fiber cable communications system. This is anticipated to result in a continued need for construction-related inspections and complaint investigations.

In FY 2009, CPRD worked to analyze a significant amount of proposed state and federal cable, broadband, and telecommunications legislation and regulation. Working with the Office of the County Attorney and other municipal organizations, CPRD participated in judicial appeal of orders issued by the Federal Communications Commission (FCC) to restrict the use of public, educational, and governmental access channel funding grants. In FY 2009, working with the Fairfax Area Agency on Aging and other agencies, CPRD launched a consumer education campaign to assist County residents with the June 2009 digital television transition, including written materials and publications, video presentations, outreach programs, and the "DTV Answer Line" for telephone assistance. The County's effort was recognized by the National Telecommunications Information Administration (NTIA), the federal agency overseeing the digital transition. CPRD continues to work with the Office of the County Attorney, the Office of the County Executive's legislative liaison, and the Department of Management and Budget to track the fiscal impact on the Cable Communications Fund of the Virginia General Assembly's 2007 Communications Sales and Use Tax legislation, and to respond as appropriate to address any adverse or unintended consequences of the state communication sales and use tax. CPRD will also continue to work with the County Executive's legislative liaison and TeleCommUnity, a local government alliance, to monitor new developments in cable and broadband legislation, regulation and technology, and to work with other DCCS divisions and branches to develop consumer education materials focused on understanding television, cable, Internet, and telephone technologies. The CCF also supports ongoing cable and broadband technology, legal, and regulatory training for County staff in multiple agencies.

CPRD continues to administer financial support for the Institutional Network (I-Net). The I-Net is comprised of more than 4,000 kilometers of fiber linking over 400 County and Fairfax County Public Schools (FCPS) locations. CPRD will continue to support the construction of new I-Net sites and efforts to migrate video, high-speed data, and voice services to the I-Net in designated County and FCPS facilities. CPRD also continues to be active with public safety and new technology initiatives. CPRD is working with other jurisdictions in the National Capital Region (NCR) and with state and federal agencies, under a grant from the Department of Homeland Security, to establish inter-jurisdictional communications network links that improve public safety response during regional emergencies, such as natural disasters and terrorist incidents.

The Communications Productions Division (CPD) is responsible for the production of television programming for Fairfax County Government Channel 16, the public information channel, and the Fairfax County Training Network (FCTN). Channel 16 programming includes both Board-directed programming and the highest-rated program proposals submitted by County agencies. In FY 2011, Channel 16 will televise an estimated 330 live meetings of the Board of Supervisors, Planning Commission, Board of Zoning Appeals, County Executive projects, Board-directed special programming, town meetings, and monthly video newsletters for members of the Board of Supervisors. In addition, Channel 16 will televise numerous programs and teleconferences highlighting the services of County agencies. The final number of informational programs produced in FY 2011 will be determined through the Fairfax County Communication Strategy's quarterly program proposal process. In addition, all Channel 16 programming is now video streamed, reaching an even larger audience. Channel 16 reaches an estimated 625,000 residents with informational programming about County programs and services available in the community. CPD is also reaching out to an increasingly diverse community by offering translated programming including Spanish, Korean, and Vietnamese, as requested by County agencies.

In addition to programming for the public, CPD is responsible for programming on closed-circuit FCTN via the Fairfax County I-Net. In FY 2011, CPD will televise training and internal communications productions, as well as national satellite conferences, telecommunication courses, video training, and lectures on areas such as leadership, teamwork, self-improvement, and management techniques. FCTN programming reaches approximately 25,000 combined

County and Fairfax County Public Schools' employees, providing the latest training and professional development programming to improve services to residents.

During FY 2011 CPD will continue to operate an emergency message system for residents, serve as a centralized resource for loan pool equipment for County agencies, manage a satellite downlink, and support video teleconferencing. CPD provides live video streaming and video-on-demand to ensure wider dissemination of County government information. CPD also provides engineering support services to County agencies and new County facilities that require complex audio and video installations.

In conjunction with the implementation of the Fairfax County Communication Strategy, CPD will continue to evaluate and redesign Channel 16 and FCTN programming, enhance current operations and customer service through technology changes, and expand services to support live remote testimony for public hearings. CPD will continue to maintain a national presence, be a leader in the quality of programming produced, and research new services to enhance operations.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 40/ 40 | 40/ 40 | 40/ 40 | 40/ 40 |
| Expenditures: | | | | |
| Personnel Services | $3,265,849 | $4,656,580 | $4,656,580 | $4,691,124 |
| Operating Expenses | 2,987,487 | 4,658,272 | 9,659,592 | 4,896,096 |
| Capital Equipment | 3,526,229 | 300,000 | 979,474 | 300,000 |
| **Total Expenditures** | **$9,779,565** | **$9,614,852** | **$15,295,646** | **$9,887,220** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

♦ **Other Post-Employment Benefits** **$107,043**
An increase of $107,043 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

♦ **Personnel Services Adjustments** **($72,499)**
A decrease of $72,499 in Personnel Services associated with projected I-Net salary requirements in FY 2011.

- **Department of Vehicle Services** **($4,000)**
  A decrease of $4,000 in Operating Expenses is associated with anticipated requirements for vehicle replacement and motor pool charges.

- **Operating Expenses Adjustments** **$769**
  An increase of $769 in Operating Expenses associated with projected I-Net equipment cost increases in FY 2011.

- **Cable-Related Expenditure Adjustments** **$241,055**
  A net increase of $241,055 is associated with charging various Cable-related activities in General Fund agencies to Fund 105, Cable Communications. Funding increases are necessary to support the following programs: $73,511 for Showmobile Operations within the Department of Purchasing and Supply Management, $69,299 for an Assistant Producer position within the Police Department, $50,000 for cable-related Personnel Services expenses within the Office of Public Affairs, and $48,245 for the Employee Lending Library for Video Instructional Services (ELLVIS) within the Fairfax County Public Library. Staff reviewed opportunities within County agencies to maximize the appropriate use of cable resources in light of reduction requirements in General Fund agencies. These expenditure increases are offset by a corresponding decrease within the relevant General Fund budgets.

- **Capital Equipment** **$300,000**
  Capital Equipment funding of $300,000 includes an amount of $250,000 for audio/visual equipment to support the Communications Production Division. This includes funding for digital video production equipment associated with the television studio and computers and equipment associated with minutes and record-keeping for government meetings. Additionally, $50,000 is included for I-Net maintenance.

## Changes to FY 2010 Adopted Budget Plan

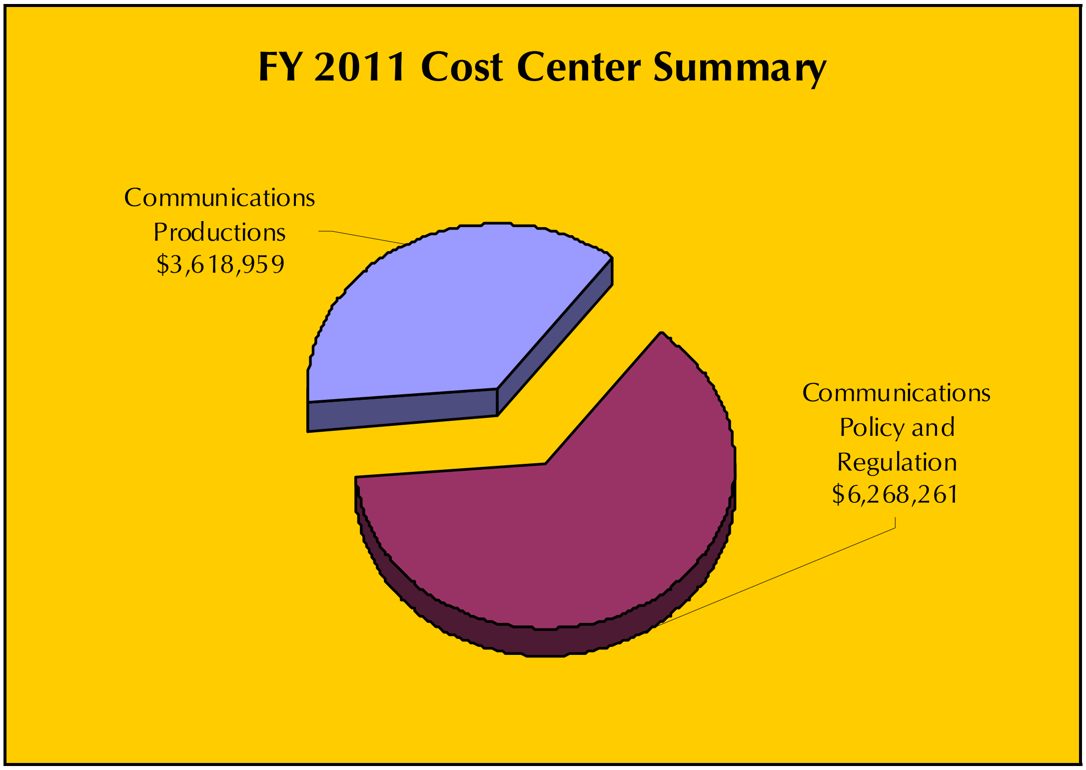
*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustment** **$5,680,794**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved funding of $5,680,794 to be carried forward into FY 2010. This amount includes $2,363,628 as encumbered carryover and $3,317,166 as unencumbered carryover primarily attributable to the unexpended funds related to the design and operation of the I-Net.

# Fund 105
# Cable Communications

## Cost Centers

The two cost centers within Fund 105, Cable Communications that work together to achieve the mission of the Fund are the Communications Productions Division and the Communications Policy and Regulation Division. In FY 2011, approximately $3.9 million of the $6.3 million in the Communications Policy and Regulation Division is dedicated for I-Net initiatives.




## Communications Policy and Regulation Division

| Funding Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 17/17 | 17/17 | 17/17 | 17/17 |
| **Total Expenditures** | **$7,651,048** | **$6,335,786** | **$11,487,358** | **$6,268,261** |

**Position Summary**

| | | |
|---|---|---|
| **Office of the Director** | **Communications Policy and Regulation Division** | **Inspections and Enforcement** |
| 1 Director, DCCS | 1 Director, Policy and Regulation | 1 Engineer III |
| 1 Administrative Assistant V | 1 Administrative Assistant IV | 1 Engineering Technician III |
| 1 Administrative Assistant IV | | 1 Communications Engineer |
| | | 4 Senior Electrical Inspectors |
| **Regulation and Licensing** | **Policy and Regulation** | |
| 1 Administrative Assistant III | 2 Management Analysts III | **Consumer Affairs** |
| | | 1 Consumer Specialist II |
| | | 1 Consumer Specialist I |

**TOTAL POSITIONS**
**17 Positions / 17.0 Staff Years**

# Fund 105
# Cable Communications

## Key Performance Measures

### Goal
To encourage competition and innovation in countywide deployment of cable communications services; to protect the public by rigorously enforcing cable communications construction safety codes and procedures, customer service regulations, consumer protection statutes, franchise agreements, the Fairfax County Communications Ordinance and applicable law; to respond to public and County agency inquiries regarding communications policy, statutes, regulations and technological developments; to support development of community networks to cost-effectively transport video and data; and to maintain reliable means of mass communication of official information during public safety emergencies.

### Objectives
- To inspect 20 percent of cable communications construction work sites within the County and achieve 100 percent correction of all identified instances of non-compliance with applicable federal, state and County cable construction and public right-of-way codes and standards.

- To inspect 100 percent of all homeowner cable communications construction complaints requiring investigation by inspectors within 1 business day and to complete 100 percent of such complaint investigations.

- To achieve a 90 percent favorable resolution rate of cable communications service complaint investigations.

- To complete 99 percent of all inquiries while meeting response deadlines for regulatory, legislative, and policy inquiries.

- To meet measurement requirements for construction, activation and repair of the I-Net.

| Indicator | Prior Year Actuals<br>FY 2007 Actual | <br>FY 2008 Actual | <br>FY 2009 Estimate/Actual | Current Estimate<br>FY 2010 | Future Estimate<br>FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Cable communications construction work sites | 100,613 | 88,915 | 75,000 / 103,168 | 70,000 | 65,000 |
| Homeowner cable construction complaints inspected | 180 | 208 | 160 / 224 | 180 | 160 |
| Cable service complaints investigated | 218 | 292 | 200 / 324 | 220 | 220 |
| Regulatory, legislative and policy inquiries | 117 | 141 | 100 / 568 | 120 | 130 |
| I-Net locations constructed | 26 | 16 | 19 / 36 | 19 | 26 |
| I-Net locations activated for video transport | 408 | 16 | 10 / 9 | 5 | 4 |
| I-Net incidents repaired | 9 | 127 | 150 / 149 | 150 | 150 |

# Fund 105
# Cable Communications

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Inspector hours per cable communications construction work site inspected | 0.45 | 0.30 | 0.45 / 0.31 | 0.45 | 0.45 |
| Inspector hours per inspected homeowner cable construction complaint | 2.5 | 2.8 | 3.5 / 3.2 | 3.5 | 3.5 |
| Staff hours per cable service complaint | 4.5 | 5.5 | 5.5 / 4.7 | 5.5 | 5.5 |
| Inquiry responses prepared per staff | 59 | 56 | 40 / 284 | 50 | 60 |
| Staff hours per I-Net location constructed | NA | 17 | 25 / 20 | 25 | 25 |
| Staff hours per I-Net location for video activation | 30 | 19 | 20 / 24 | 20 | 20 |
| Staff hours per I-Net incident repaired | NA | 4 | 6 / 5 | 6 | 6 |
| **Service Quality:** | | | | | |
| Percent of cable communications construction work site deficiencies/non-compliance notices corrected | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of homeowner cable construction complaints inspected within one business day | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of cable service complaints responded to within 2 business days of receipt | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of inquiry responses meeting response deadlines | 97% | 96% | 95% / 99% | 95% | 95% |
| Percent of I-Net locations constructed on time | NA | 94% | 90% / 100% | 100% | 100% |
| Percent of on-time I-Net video activations | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of I-Net incident repairs completed within 8 hours | NA | 100% | 100% / 100% | 100% | 100% |
| **Outcome:** | | | | | |
| Percent of cable communications construction work sites inspected | 20% | 27% | 20% / 23% | 20% | 20% |
| Percent of homeowner cable construction complaints completed | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of favorably resolved cable service complaints | 99% | 100% | 90% / 98% | 90% | 90% |
| Percent of inquiries completed | 100% | 100% | 100% / 99% | 99% | 99% |
| Percent of I-Net locations constructed | NA | 200% | 100% / 189% | 100% | 100% |

# Fund 105
# Cable Communications

| Indicator | Prior Year Actuals: FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent of total I-Net locations activated for video | 98% | 267% | 100% / 90% | 100% | 100% |
| Percent of I-Net overall uptime | NA | 99.9% | 99.9% / 99.9% | 99.9% | 99.9% |

## Performance Measurement Results

Verizon's fiber optic cable communications system construction will continue in FY 2011; however construction may decline from FY 2009 as Verizon approaches the end of its construction period. Remaining sites to be inspected will be geographically dispersed, which may cause a reduction in inspection efficiency. The FY 2010 and FY 2011 estimates for the percentage of favorably resolved cable service complaints reflect anticipated increases in subscriber complaints regarding channel packages and prices, which the County has limited authority to resolve favorably. The number of regulatory, legislative, and policy inquiries received during FY 2009 was impacted by the digital television (DTV) transition.

FY 2009 estimates for both the percent of I-Net locations constructed and percent of total I-Net locations activated for video were based on projections to complete I-Net construction and activation at all planned building construction and renovation locations known as of the start of the fiscal year. FY 2009 actuals for these measures reflect approvals received to add new building projects during the fiscal year, resulting in an increase in the number of completed I-Net constructions above what was estimated. Additionally, a shortfall in percent of I-Net locations activated for video resulted from the removal of one site from the activation schedule during FY 2009. The FY 2010 estimates and FY 2011 projections are based on I-Net constructions and activations at sites known as of the start of each respective fiscal year.

## Communications Productions Division

### Funding Summary

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 23/ 23 | 23/ 23 | 23/ 23 | 23/ 23 |
| **Total Expenditures** | **$2,128,517** | **$3,279,066** | **$3,808,288** | **$3,618,959** |

### Position Summary

**Communications Productions Division**
1 Director, Comm. Productions
2 Administrative Assistants II

**Communications Productions**
1 Instructional Cable TV Specialist
5 Producers/Directors
4 Assistant Producers
4 Media Technicians

**Communications Engineering**
1 Network Telecom Analyst III
2 Network Telecom Analysts II
1 Network Telecom Analyst I

**Consumer Affairs**
1 Administrative Assistant II

**Regulation and Licensing**
1 Administrative Assistant III

**TOTAL POSITIONS**
**23 Positions / 23.0 Staff Years**

## Key Performance Measures

## Goal

To provide a centralized video production center and satellite conferencing facility for the Board of Supervisors, County Executive, and all County agencies in order to communicate critical County information to residents and training for employees, and to provide related production services in new technologies to benefit the public and County operations.

### Objectives

- To serve the public information needs of the County and the educational needs of the County workforce by completing 98 percent of live, studio and field program hours requested for both Channel 16 and FCTN while maintaining cost, quality and work hour efficiencies.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Original live program hours | 675.0 | 636.0 | 653.5 / 627.5 | 664.0 | 664.0 |
| Original studio program hours | 81.0 | 40.8 | 51.5 / 62.0 | 52.0 | 52.0 |
| Original field program hours | 96.4 | 135.8 | 148.5 / 122.6 | 148.5 | 148.5 |
| **Efficiency:** | | | | | |
| Live program work hours per program hour | 5.5 | 5.3 | 5.0 / 4.9 | 5.0 | 5.0 |
| Studio program work hours per program hour | 49.6 | 39.9 | 45.9 / 38.1 | 50.0 | 50.0 |
| Field program work hours per program hour | 105.0 | 147.1 | 143.4 / 128.2 | 159.5 | 159.5 |
| **Service Quality:** | | | | | |
| Percent of clients satisfied with live programs | 100% | 100% | 97% / 100% | 97% | 97% |
| Percent of clients satisfied with studio programs | 100% | 100% | 97% / 100% | 97% | 97% |
| Percent of clients satisfied with field programs | 100% | 100% | 97% / 100% | 97% | 97% |
| **Outcome:** | | | | | |
| Percent of requested live programs completed | 100% | 100% | 98% / 100% | 98% | 98% |
| Percent of requested studio programs completed | 100% | 100% | 98% / 98% | 98% | 98% |
| Percent of requested field programs completed | 98% | 99% | 98% / 98% | 98% | 98% |

## Performance Measurement Results

While total program hours remains constant from year to year, total hours of live, studio and field program hours varies as requested by the Board of Supervisors, the County Executive and as requested by County agencies through the Fairfax County Communication Strategy.

# Fund 105
# Cable Communications

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 105, Cable Communications**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$24,921,554** | **$9,174,775** | **$18,189,340** | **$11,309,863** |
| Revenue: | | | | |
| Miscellaneous Revenue | $1,153 | $2,800 | $2,800 | $1,200 |
| Fines and Penalties | 27,500 | 0 | 0 | 0 |
| I-Net and Equipment Grant | 4,349,853 | 4,157,726 | 4,157,726 | 4,437,285 |
| Franchise Operating Fees | 12,240,701 | 11,468,002 | 11,468,002 | 12,486,739 |
| Total Revenue | $16,619,207 | $15,628,528 | $15,628,528 | $16,925,224 |
| **Total Available** | **$41,540,761** | **$24,803,303** | **$33,817,868** | **$28,235,087** |
| Expenditures: | | | | |
| Personnel Services | $3,265,849 | $4,656,580 | $4,656,580 | $4,691,124 |
| Operating Expenses | 2,987,487 | 4,658,272 | 9,659,592 | 4,896,096 |
| Capital Equipment | 3,526,229 | 300,000 | 979,474 | 300,000 |
| Subtotal Expenditures | $9,779,565 | $9,614,852 | $15,295,646 | $9,887,220 |
| Transfers Out: | | | | |
| General Fund (001)[1] | $5,204,492 | $2,011,708 | $2,011,708 | $2,729,399 |
| Schools Grants and Self Supporting Programs (192)[2] | 2,677,759 | 2,136,548 | 2,136,548 | 2,267,729 |
| Schools Grants and Self Supporting Programs (192)[3] | 250,000 | 250,000 | 250,000 | 250,000 |
| Information Technology (104)[4] | 2,535,502 | 1,000,000 | 1,000,000 | 1,742,000 |
| County Construction (303)[5] | 1,090,000 | 0 | 0 | 0 |
| Technology Infrastructure (505)[6] | 1,814,103 | 1,814,103 | 1,814,103 | 1,814,103 |
| Total Transfers Out | $13,571,856 | $7,212,359 | $7,212,359 | $8,803,231 |
| **Total Disbursements** | **$23,351,421** | **$16,827,211** | **$22,508,005** | **$18,690,451** |
| **Ending Balance[7]** | **$18,189,340** | **$7,976,092** | **$11,309,863** | **$9,544,636** |
| Reserve for PC Replacement | $31,500 | $31,500 | $31,500 | $31,500 |
| **Unreserved Ending Balance** | **$18,157,840** | **$7,944,592** | **$11,278,363** | **$9,513,136** |

[1] The Transfer Out to the General Fund represents compensation for staff and services provided by the County for cable-related activities. The actual amount to be transferred to the General Fund on an annual basis is based on actual gross receipts. Annual reconciliation of the revenue and subsequent transfer is conducted and adjustments to the transfer level have been incorporated in the FY 2011 budget.

[2] This funding reflects a direct transfer to Fairfax County Public Schools (FCPS) to support the educational access grant. The amount is calculated as 1 percent of the gross revenues of all franchise operators. The actual amount to be transferred to the FCPS on an annual basis is based on actual gross receipts. Annual reconciliation of the revenue and subsequent transfer is conducted and adjustments to the transfer level have been incorporated in the FY 2011 budget.

[3] This funding reflects a direct transfer to FCPS to support a replacement equipment grant of $250,000.

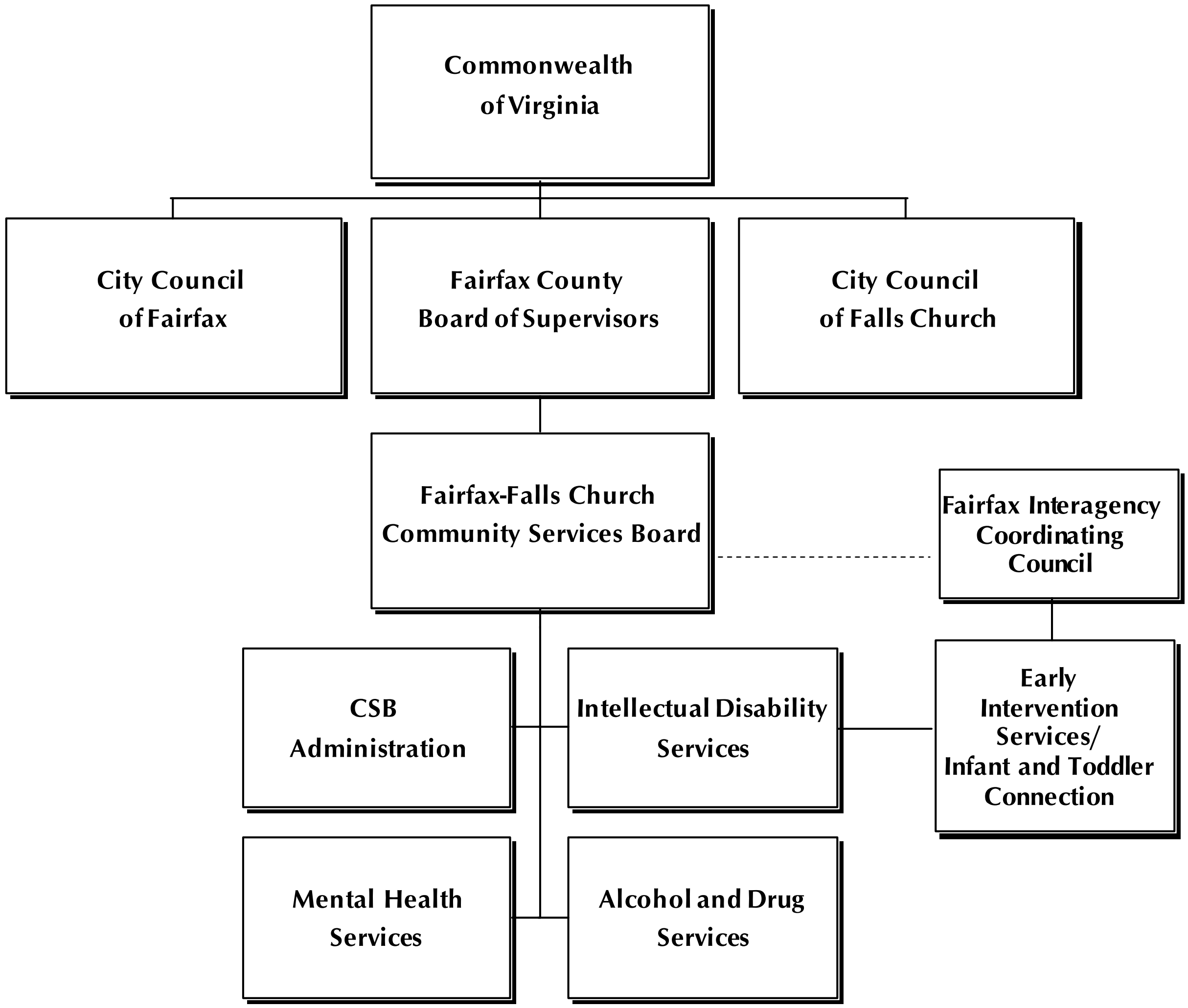
[4] This funding reflects a direct transfer to Fund 104, IT Projects, to support the Voice Network Modernization Project, as well as a cable-related technology projects in the courtrooms.

[5] This funding reflects a direct transfer to Fund 303, County Construction, to support extending the I-Net to new County facilities.

[6] This funding reflects a direct transfer to Fund 505, Technology Infrastructure Services, to support staff and equipment costs related to construction of the I-Net.

[7] Actual ending balances fluctuate year to year, as ending balances are reappropriated within Fund 105. Equipment and services expenditure requirements fluctuate year to year based on I-Net construction and maintenance schedule.

# Fund 106
# Community Services Board (CSB) - Overview




## Mission

The Fairfax-Falls Church Community Services Board (CSB) partners with individuals, families and the community to empower and support Fairfax-Falls Church residents with or at risk of developmental delay, intellectual disability, mental illness, and alcohol or drug abuse or dependency.

The CSB provides leadership to ensure the integration of the principles of resilience, recovery and self-determination in the development and provision of services. The CSB maintains accountability by ensuring that continuous system improvement is anchored in best practice, outcome and effectiveness measurement, and the efficient use of resources.

As the public support network, the CSB provides services which assist, improve and maximize the potential of individuals affected by these conditions and strengthen their capacity for living self-determined, productive and valued lives within the Fairfax-Falls Church community.

## Focus

The CSB has served the community since 1969 as the public agency responsible for planning, organizing, providing, and assuring the provision of prevention services, as well as services to persons experiencing serious mental illness and substance use disorders, persons with intellectual disability, and youth with early developmental delays. The CSB Board is comprised of 16 members, 14 appointed by the Fairfax County Board of Supervisors and one each appointed by the Councils of the Cities of Fairfax and Falls Church. The

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# Community Services Board (CSB) - Overview

CSB is established under the Code of Virginia and operates under a Memorandum of Agreement with the three local jurisdictions. It observes Fairfax County rules and regulations regarding financial management, personnel management and purchasing activities, and directly operates and contracts with many external partners for the provision of services.

Transformation, recovery oriented practices and person-centered services have been a theme in all areas of the CSB in 2009. In Mental Health Services (MHS) and Alcohol and Drug Services (ADS), in addition to the development of more recovery-oriented practices, there was more focus on a greater role for consumers and families in the development and evaluation of services. Despite numerous challenges related to potential budget reductions, MHS and ADS continued their partnership and commitment to improve services and outcomes for persons who experience co-occurring disorders of serious mental illness and substance use. Staff and leadership worked effectively to address training, policy, practice and supervision needs. Over 70 mental health and alcohol and drug staff served on "change agent" teams to influence the quality of care and integration of services.

Transformation work also continues with the implementation of the Consumer and Family Liaison, a major recommendation of the Josiah H. Beeman Commission. Mental health consumers, advocates and staff are working closely to improve the experience of the CSB's service system for those who use it. Efforts include: new educational materials, wellness seminars, consumer leadership development, hiring of consumers into CSB peer support positions, and a focus on a welcoming, informed and compassionate service experience inclusive of the expertise of consumers and families. The improvements in the wait time for mental health services have also become firmly established in the system through the Adult Access Unit. Ninety-nine percent of all non-emergency callers are scheduled within 10 business days of their call, and most receive a face-to-face assessment within 2 to 3 business days.

Partnership development and capacity building to improve and expand services and access remain a priority in spite of budget constraints. The CSB has been able to improve access for non-English speaking persons by building mental health outpatient service capacity through a partnership with Northern Virginia Family Services (NVFS). The CSB has forged a partnership with NVFS, the Community Health Care Network (CHCN) and the Women's Center to address access to mental health services and access to integrated mental health and primary care. The CSB provides psychiatric services and consultation to the three CHCN sites on a monthly basis and CHCN provides physical assessments, screening for medical illness, and screening for CHCN eligibility at the Woodburn Mental Health Center. Additional integration efforts are under review for primary care access with a long-term day support partner, PRS, Inc. These public-private linkages will provide new non-County funding opportunities to improve the lives of persons with serious mental illness and co-occurring disorders of mental illness and substance use. These efforts are critical in the face of research and experience that indicate that persons with mental illness are dying, on average, 25 years sooner than persons in their age group in the general population due to untreated heart disease, diabetes and other chronic, but highly treatable, medical conditions.

Intellectual Disability Services (IDS), formerly known as Mental Retardation Services, continued its focus on the development of self directed services and assessed every adult and new high school graduate in need of day support and employment services. While it has become necessary for IDS to establish a waiting list for day support and employment services, it continues to ensure an individualized assessment for each person. Following the announcement of available state funding, IDS also completed all of the required 32 Medicaid Waiver plans. This was an extensive, person and family oriented process which impacts not only eligible consumers, but assists the CSB to serve different and additional persons with existing County funding. In addition, IDS worked closely with County leadership and stakeholders to analyze the proposed impacts of funding reductions to transportation.

The demand, measured by the number of children served, for early intervention known as Infant and Toddler Connection Services (ITC) continues to grow and expanded by another 10 percent in 2009. Medicaid revenue maximization became more challenging in the midst of numerous changes to ITC practices at the state and federal level. From a business standpoint, ITC is the CSB program undergoing and anticipating the most changes in its business and service delivery operations.

Transformation efforts are also evident in the areas of technology and quality assurance. Progress has been made to complete the implementation of a fully electronic health record system. In alignment with a strong recommendation from the Josiah H. Beeman report, the CSB, in partnership with the Department of Information Technology, is reviewing the next generation systems for this critical infrastructure. In FY 2009, along with Northern Virginia regional partners, the CSB implemented a self navigating web-based tool for the provider and consumer community to access behavioral health research and resources using the Network of Care. Quality Assurance activities also continue as a high priority with an intensive focus on risk management, human rights, compliance and emergency preparedness.

Revenue maximization efforts continue with a focus on Medicare Part D and Medicaid enrollment, as well as controlling medication costs. Staff remains committed to maximizing revenue from services delivered and several business process improvement teams are developing new and streamlined approaches. For example, IDS received a perfect score from a team of Medicaid auditors for the quality of their service plans and documentation. The diversity of revenue and funding streams will be a focus for the coming year. Appropriate federal and state grant opportunities will be pursued, with Board of Supervisors approval, when it is clear that they will further the CSB's reach and expand capacity to serve the community.

Major staff resources have also been committed to support the work of the Josiah H. Beeman Commission that was established by the Board of Supervisors in FY 2007 to review Mental Health Services and recommend the design of a highly transformed system of care. The Commission concluded its work in the fall of 2008 with a final report and recommendations. The CSB provided a proposed implementation plan to the Board of Supervisors in September 2009 which outlined a management plan for integrating recovery and self-determination in all aspects of the MHS system. The implementation plan from the Josiah H Beeman Commission is being incorporated into an agency-wide strategic planning process. This will include an 18 to 24 month initiative to restructure the CSB service system to ensure that it is more directly responsive to citizen needs, more consistently effective in services provided and more efficient in service delivery. The current disability structure of mental health, alcohol and drug and intellectual disability services will be refocused to a person-centered menu of services. Elements will include a single point of entry into the system for everyone, a flattened management structure that places more day-to-day decision-making at the service system level, and a redesign of administrative resources to create efficient and more consistent processes for documentation, billing, and quality measures. Elements of the current disability structure will continue during the change process in FY 2011.

The CSB and CSB Board are working on a strategic business plan with short-term goals for FY 2010. A primary focus of this plan is assuring continued services to the most vulnerable populations in light of the $9.3 million net budget reduction for the CSB in FY 2010. During this year, longer term goals will be developed, and the agency's strategic plan and balanced scorecard will be refined. A dashboard of measures will be used to provide routine updates. The CSB also contributes to critical Human Services strategic initiatives including the Ten Year Plan to End Homelessness, the System of Care planning for youth, the Prevention Strategy Team, and the Domestic Violence Coordinating Council.

The CSB and CSB Board are grateful for the County support that has been received for its services to consumers and families, and envisions an increased focus on revenue maximization, efficiency and effectiveness in the coming year. While the agency will examine service models, lengths of stay, wait lists, staff and contractor productivity, revenue maximization and supervisory ratios, there is concern that the levels of administrative and infrastructure support are well below what is actually required to manage the needs and risk issues of a 24/7 health care delivery system like the CSB.

Another major area of concern for the future is the loss of funding for renovation and rehabilitation projects and major cost constraints on property maintenance. The CSB has a portfolio of 167 residential and service delivery properties. As a result of FY 2010 budget reductions, there has been a loss of two key positions and funding which seriously impacts those awaiting housing or residential treatment and the CSB's capacity to manage capital improvement projects that are both underway or in development.

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# Community Services Board (CSB) - Overview

## FY 2011 Budget Reduction Impact Summary

To address the projected FY 2011 budget shortfall, a reduction of $3,430,228 and elimination of 15/15.0 SYE positions have been included in the FY 2011 Advertised Budget Plan. In addition, the agency has identified potential new revenues of $1,819,116 in order to maintain core services. See the Reductions table in the FY 2011 Funding Adjustments section for more detail on the entire $5,249,344 reduction to the General Fund Transfer.

In FY 2010, budget reductions included a total decrease of $9,900,539 and elimination of 27/26.0 SYE positions. It should be noted that this included a revenue loss of $678,825 and a revenue enhancement of $112,000 for an entire reduction of $9,333,714 to the General Fund Transfer. See individual agency narratives for more detail.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 880/ 876.5 | 853/ 849.5 | 852/ 849 | 837/ 834 |
| Grant | 123/ 122 | 123/ 122 | 138/ 137 | 138/ 137 |
| Expenditures: | | | | |
| Personnel Services | $84,517,265 | $87,435,281 | $89,037,586 | $86,591,305 |
| Operating Expenses | 59,148,601 | 57,036,830 | 63,199,403 | 53,949,488 |
| Capital Equipment | 0 | 0 | 22,000 | 0 |
| **Subtotal** | **$143,665,866** | **$144,472,111** | **$152,258,989** | **$140,540,793** |
| Less: | | | | |
| Recovered Costs | ($2,108,644) | ($1,767,013) | ($1,466,468) | ($1,326,511) |
| **Total Expenditures** | **$141,557,222** | **$142,705,098** | **$150,792,521** | **$139,214,282** |

# Fund 106
# Community Services Board (CSB) - Overview

| Summary by Program Area | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| **CSB Program Area Expenditures** | | | | |
| CSB Administration | $2,997,340 | $4,174,066 | $4,873,871 | $3,676,841 |
| Mental Health Services | 64,196,760 | 65,743,921 | 70,296,259 | 63,350,082 |
| Intellectual Disability Services | 39,777,824 | 37,166,787 | 38,539,976 | 37,179,202 |
| Alcohol and Drug Services | 29,122,221 | 30,071,059 | 30,796,401 | 29,068,511 |
| Early Intervention Services | 5,463,077 | 5,549,265 | 6,286,014 | 5,939,646 |
| **Total Expenditures** | **$141,557,222** | **$142,705,098** | **$150,792,521** | **$139,214,282** |
| **Non-County Revenue by Source** | | | | |
| Fairfax City | $1,422,261 | $1,309,902 | $1,309,902 | $1,309,902 |
| Falls Church City | 644,647 | 593,720 | 593,720 | 593,720 |
| State DBHDS | 17,374,966 | 19,939,594 | 21,922,508 | 18,722,740 |
| State Other | 214,646 | 118,028 | 202,808 | 262,839 |
| Federal Block Grant | 4,685,833 | 4,685,085 | 4,722,814 | 4,563,073 |
| Federal Other | 1,905,310 | 1,278,026 | 2,433,440 | 1,670,205 |
| Federal ARRA | 0 | 0 | 867,433 | 0 |
| Medicaid Waiver | 2,701,749 | 1,741,273 | 1,868,293 | 2,176,359 |
| Medicaid Option | 9,782,560 | 10,664,397 | 9,728,307 | 11,005,310 |
| Program/Client Fees | 4,962,492 | 3,914,553 | 4,078,069 | 4,535,956 |
| CSA Pooled Funds | 812,605 | 785,625 | 785,625 | 2,224,745 |
| Miscellaneous | 160,401 | 155,624 | 167,584 | 155,624 |
| Fund Balance | (4,541,079) | 0 | 4,712,119 | 0 |
| **Total Revenue** | **$40,126,391** | **$45,185,827** | **$53,392,622** | **$47,220,473** |
| **County Transfer to CSB** | **$101,430,831** | **$97,519,271** | **$97,399,899** | **$91,993,809** |
| **County Transfer as a Percentage of Total CSB Expenditures:** | **71.7%** | **68.3%** | **64.6%** | **66.1%** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Contract Rate Increases** **$889,292**
  An increase of $889,292 in Operating Expenses is associated with a 2.71 percent contract rate increase for providers of contracted mental health, intellectual disability, alcohol and drug abuse treatment, early intervention and CSB-wide services.

- **Intensive Care Coordination Services** **$730,395**
  An increase of $730,395, comprised of $634,832 in Personnel Services and $95,563 in Operating Expenses, is associated with 7/7.0 SYE redeployed CSB positions for services to support the County's System of Care reform goals. The System of Care Initiative is a new approach to how services, funded via the Comprehensive Services Act (CSA), are delivered to youth and their families. This approach is child-centered and family-focused, and services are designed around the youth and his/her family's strengths and needs, and, when possible, delivered in the community. The Intensive Care Coordination services include the development of individualized service plans and implementation of community-based services

to safely address risk factors and meet at-risk youth and family needs in their own community. This adjustment is offset by a commensurate increase in revenue.

♦ **FASTRAN Charges** **($117,342)**
A decrease of $117,342 in Operating Expenses is associated with anticipated requirements for Intellectual Disability Services' FASTRAN charges as a result of reductions in the costs for fuel, vehicle replacement, and maintenance charges.

♦ **Miscellaneous Adjustments** **($1,562,933)**
A net decrease of $1,562,933 is associated with decreases of $1,062,166 for necessary grant and non-grant adjustments; $381,395 for one-time start-up costs for the newly renovated New Horizons/Gregory Drive Treatment Center and Mount Vernon Mental Health Center; and $119,372 for the transfer of 1/1.0 SYE position to Department of Administration for Human Services (DAHS) to support a newly created leasing team that will manage residential and commercial leases among human service agencies.

♦ **Reductions** **($3,430,228)**
A decrease of $3,430,228 and 15/15.0 SYE positions reflects agency reductions utilized to balance the FY 2011 Budget. In addition, there are revenue enhancements totaling $1,819,116. As a result of these adjustments, there is a savings of $5,249,344 to the General Fund Transfer. The following chart provides details on the specific reductions approved, including funding and associated positions.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding for Mental Health Law Reform Services | This reduction eliminates County funding for Mental Health Law Reform services (Emergency Services and Crisis Stabilization) and replaces it with reallocated Mental Health Law Reform State General Funds. The State has reallocated the remaining FY 2010 Mental Health Law Reform State General Funds by bringing all existing residential crisis stabilization programs up to a minimum of $100,000 of state funds per staffed bed. Fairfax-Falls Church Community Services Board will receive an ongoing allocation of new funding in the amount of $601,077 which will result in these programs requiring less County funding. This reduction indefinitely postpones service delivery enhancements or growth in Emergency Services and in the Crisis Stabilization Program that could have been funded by the additional Mental Health Law Reform State General Funds if the County funding had not been eliminated. As a result of the actions above, there is a savings of $601,077 to the General Fund Transfer. | 0 | 0.0 | $601,077 |
| Eliminate Purchase of FASTRAN Attendant Services for All Intellectual Disabilities (ID) Day Services Consumers | This reduction eliminates the purchase of FASTRAN attendant services for all remaining individuals with intellectual disabilities (ID) receiving day services. Following the Fairfax-Falls Church Community Services Board (CSB) FASTRAN reductions implemented during FY 2010, significantly higher than anticipated savings were achieved in expenditures for attendant services because a disproportionate number of FASTRAN attendants became no longer necessary when the CSB's Medicaid consumers with ID were transferred over to Logisticare providers. | 0 | 0.0 | $501,755 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding for the Mental Health Adult Day Treatment Site at Northwest/Reston Community Mental Health Center | This reduction eliminates the availability of Adult Partial Hospitalization program services for individuals with serious mental illness and/or co-occurring substance abuse issues who reside in the North County service area and some who live in the central portion of the County. This may create a real hardship that impedes their access to needed local day support services, will decrease availability of day treatment services slots to the County, and will increase wait time for access to alternative Adult Partial Hospitalization Program services in South County. The Northwest/Reston program served 81 individuals in FY 2009 and provided more than 9,000 hours of service. Transportation of consumers residing in Mid and North County to the South County program, which will be the only remaining County site, will also be challenging. | 2 | 2.0 | $497,244 |
| Eliminate Purchase of Contracted Intellectual Disability Services In-Home Respite Services | This reduction eliminates contracted in-home respite service hours and will impact approximately 111 families (most with young children) who utilize this program for needed respite from the daily challenges of supporting a family member with an intellectual disability. In most instances, this minimal service (average of 183 hrs/yr) is all the support a family receives from the CSB for their family member with an intellectual disability (ID).<br><br>The IDS in-home respite service is a respite subsidy program that helps families offset the cost of in-home respite care. Qualifying families arrange for and hire their own care providers, and then receive subsidies in the form of cash reimbursement. The respite subsidy program is available only to those families for whom the family member with ID is neither eligible for or on a waiting list for Medicaid ID waiver services; so they cannot access Medicaid respite services. Currently, there is no other County agency or non-profit organization that provides comparable financial assistance for in-home respite care for persons with ID. While these individuals presumably will not lose their respite providers, families will no longer receive any financial assistance and may need to reduce the number of hours of respite services purchased. | 0 | 0.0 | $275,008 |
| Eliminate Purchase of Contracted Independent Evaluator Services | This net reduction eliminates contract funds for independent psychiatric evaluations and funds more cost-effective Exempt Limited Psychologist positions. These contracted Independent Evaluators are licensed clinical psychologists who provide comprehensive in-hospital mental health evaluations pursuant to <u>Code of Virginia</u> §37.2-817. The clinical findings of these evaluations are provided at Court-run civil commitment hearings where a Special Justice is rendering a decision about a possible commitment to psychiatric hospitalization. Effective July 1, 2008, §37.2-817 was amended to expressly allow Community Services Boards to provide these evaluations directly, permitting these business practice improvements and efficiencies. Minimal negative impact is anticipated with the elimination of contract funds for evaluations. The CSB intends to request the establishment of Exempt Limited Term Psychologist positions and individuals will be hired into these positions to provide the same service but at a substantially lower hourly rate. | 0 | 0.0 | $210,428 |

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# Community Services Board (CSB) - Overview

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Manage Position Vacancies to Achieve Savings for Alcohol and Drug Services (ADS) | This reduction impacts the ability to fill regular merit positions. ADS has a targeted number of positions to hold vacant throughout the year; currently ADS maintains an average of 10.5 vacancies. This reduction will add 2.5 vacancies to the turnover target, for a total of 13.0. As a result, ADS consumers are likely to experience longer wait times for services; ADS staff will experience increased caseloads; and ADS may not be able to meet State Performance Contract expectations. | 0 | 0.0 | $194,796 |
| Eliminate Emergency Services at Mount Vernon Center for Community Mental Health | This reduction eliminates Emergency Services at the Mount Vernon Center for Community Mental Health, and two clinical positions. Mount Vernon Emergency Services provides comprehensive psychiatric emergency services to individuals who are experiencing acute distress and in need of emergency/crisis intervention and quick, accessible support related to mental health, substance abuse and intellectual development. In addition to crisis intervention, services include psychiatric evaluations and psychotropic medication; preadmission evaluations for voluntary and involuntary hospitalization and crisis residential services. This reduction impacts 382 individuals who would no longer receive 547 emergency psychiatric services in their community. The only remaining alternative for walk-in Emergency Services is the Woodburn Center Emergency Services site, which is approximately 45 to 60 minutes by car or 2 hours by bus from the southern part of the County. | 2 | 2.0 | $172,619 |
| Eliminate One Supervisory Position in the Juvenile Forensics Program | This reduction eliminates one supervisory position in the Juvenile Forensics Program and impacts the clinical and administrative oversight of the joint Mental Health Services (MHS) and Alcohol and Drug Services (ADS) team at Juvenile & Domestic Relations District Court (JDRDC). This position supervises seven staff who provide evaluations, crisis intervention and emergency services to JDRDC and youth housed in the Juvenile Detention Center. This position also provides site management coverage for the entire Juvenile Forensics Program that includes the seven MHS staff and four ADS staff. The work of this position will be transferred to another manager in MHS and the CSB will continue to work with JDRDC to ensure their highest priority service needs are met. | 1 | 1.0 | $92,000 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate Emergency Services at Northwest Center for Community Mental Health | This reduction eliminates Emergency Services at the Northwest Center for Community Mental Health, and one Emergency/MCU Supervisor position. Northwest Emergency Services provides comprehensive psychiatric emergency services to individuals who are experiencing acute distress and in need of emergency/crisis intervention and quick, accessible support related to mental health, substance abuse and intellectual development. In addition to crisis intervention, services include psychiatric evaluations and psychotropic medication, preadmission evaluations for voluntary and involuntary hospitalization and crisis residential services. The elimination of Emergency Services at the Northwest Center for Community Mental Health and one Emergency/MCU Supervisor position impacts approximately 177 individuals who would no longer receive 243 emergency psychiatric services in their community. The only remaining alternative for walk-in Emergency Services is the Woodburn Center Emergency Services site, which is approximately 45 to 60 minutes by car or 2 hours by bus from the northern part of the County. | 1 | 1.0 | $88,385 |
| Reduce One Supervisory Substance Abuse Counselor Position in Prevention Services | This reduction eliminates one of three Substance Abuse Counselor III supervisory positions in the Prevention Division. The position both directly implements services and supervises four SAC II positions in the delivery of evidenced-based substance use prevention and mental health promotion services in school and/or community-based settings in the Region I and II areas (both identified as high need areas). The supervisory duties will be absorbed by other SAC III staff in Region III and IV. In addition, service impacts will be as follows: a) direct services to 250 individuals will be reduced in Regions I and II; b) community collaboration and mobilization of partners for countywide initiatives will be reduced by 30 percent for Region I and II residents; c) reduced quality improvement/program implementation capacity of SAC II staff in Regions I and II due to loss of on site supervision; d) response time to community requests for services will be significantly delayed and some programming and services will be unavailable; e) result in a critical loss to the Prevention strategic realignment plan within the CSB; and f) reduced consumer satisfaction and quality of life. | 1 | 1.0 | $84,235 |
| Eliminate One Supervisory Substance Abuse Counselor Position at South County Alcohol and Drug Services Adult Outpatient Services | This reduction eliminates one Substance Abuse Counselor III position that conducts direct service evaluations and supervises three staff that provide outpatient services for the Probation and Parole program and High Intensity Drug Trafficking Area (HIDTA) grant. The reduction eliminates on-site evaluation services at South County for 15-25 consumers, will increase the number of evaluations at the Assessment and Referral Center which could result in extended waits for other consumers, and will increase the workload of existing site directors at South County and Fairfax Outpatient sites since they will absorb supervisory duties. | 1 | 1.0 | $84,235 |

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| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Reduce One Position in the Sheltered Homeless Services Program | This reduction eliminates one of 16 Mental Health Services positions in the CSB's Homeless Services Program and will impact on-site treatment and counseling services being provided at County homeless shelters. Case management services, which are identified as the top priority service under the Housing Opportunity Support Team (HOST) geographical area conceptual framework, will not be impacted. County staff are also currently undertaking a redesign of homeless services to conform to HOST principles. | 1 | 1.0 | $84,235 |
| Reduce One Mental Health (MH) Forensic Staff Position at the Adult Detention Center | This reduction eliminates one of 11 forensic staff and will impact incarcerated persons who have serious mental illness as well as persons who are at risk of decompensating psychiatrically while incarcerated. Elimination of this position will result in a reduction of approximately 400 inmate MH intakes per year (approximately 15 percent of current capacity), as well as a reduction of approximately 750 inmate MH follow up appointments per year (approximately 15 percent of current capacity). This may lead to increased risk of suicide or self injury for inmates with mental illness, and increased likelihood of individuals being released to the community in an unstable condition. | 1 | 1.0 | $80,497 |
| Reduce Operating Expenses for Alcohol and Drug Services (ADS) Cornerstones Program | This reduction reduces operating expenses for contracted residential treatment services in the Cornerstones Program. As a result, the waiting list for such services will increase to four months and approximately seven high-risk individuals will go unserved. Most clients have previous outpatient treatment failure, are court involved and are receiving services through multiple human services agencies. Individuals present with severe medical complications, psychiatric disorders, histories of abuse and neglect and a myriad of other problems. While waiting for services, individuals often cycle through inappropriate yet expensive services which do not meet their needs, including hospitalizations, detoxification centers, emergency rooms, and crisis care programs. | 0 | 0.0 | $80,000 |
| Reduce One Substance Abuse Counselor Position in Alcohol Drug Services Jail Services | This reduction eliminates one Substance Abuse Counselor II position, leaving eight ADS staff at the ADC. This will result in the elimination of Intensive Addictions Program treatment services for 40 clients/inmates annually, as well as an increased wait for 30 court-ordered intakes annually. These intakes will be provided by other staff, but the waiting period will increase by approximately 2-3 weeks. | 1 | 1.0 | $73,075 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Reduce One Substance Abuse Counselor Position in the Cornerstones Program | This reduction eliminates one of six Substance Abuse Counselors and three of 16 beds at Cornerstones, and will impact five to seven individuals annually as the waiting time for services will increase to approximately 4 months. Some individuals will likely experience hospitalizations, incarcerations and homelessness while waiting for services.<br><br>Individuals served at Cornerstones are disabled with both severe mental illness and severe substance abuse disorders, and are often at high risk for suicide. Most have been hospitalized multiple times, have a history of homelessness and present with chronic medical conditions. Their medical conditions often render them fragile and at risk of serious ongoing medical complications. They are unable to live safely in the community without first receiving appropriate stabilization at Cornerstones. Most individuals are prescribed three to four psychotropic medications to help stabilize their psychiatric symptoms. Individuals receiving services often lack family and social support and are typically unable to work due to their disability. Individuals in need of this service often cycle through other expensive services which do not meet their needs, including hospitals, crisis care programs, detoxification centers and jails. | 1 | 1.0 | $73,075 |
| Streamline Program Management of the Senior Plus Program | This reduction eliminates a Senior Clinician, or one of three program management positions in the Senior Plus program by streamlining the County Coordinating Team (CCT) management structure. The Senior Plus program is an innovative inclusion program for seniors with minor cognitive and physical disabilities and allows seniors with disabilities to enjoy the wide range of programming found at the County's full-service senior centers. The CCT was created when the Senior Plus program was contracted out after expanding from two sites to seven sites. The team provides guidance, helps develop policies associated with the Senior Plus program, and provides quality assurance and oversight for the contractor. The need for a team of three positions to serve as an oversight and advisory body was vital in the first two years, but as the seven Senior Plus sites became established and the contract manager became more comfortable with the design of the program, there is less of a need for a three-person team. | 1 | 1.0 | $71,404 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Implement Alternative Overnight Emergency Services Coverage for Woodburn | This reduction eliminates clinical services requiring a physician (i.e. psychiatric evaluation, medication evaluation and medication prescription/dispensation) between 12:00 a.m. and 8:00 a.m. at Woodburn Center. Woodburn Center Emergency Services provides comprehensive psychiatric services 24/7 to individuals who are experiencing acute distress and in need of emergency/crisis intervention and quick, accessible support related to their mental health, substance abuse and intellectual development concerns. In addition to crisis intervention, services include psychiatric evaluations and psychotropic medication, preadmission evaluations for voluntary and involuntary hospitalization, and crisis residential services. Emergency services (i.e., crisis intervention) would still be provided 24/7, but this reduction will result in 156 individuals no longer receiving face to face medical/psychiatric services between 12:00 a.m. and 8:00 a.m. from an M.D. psychiatrist. If services are critically needed, a consumer will have to wait until 8:00 a.m. when a psychiatrist comes on duty. | 0 | 0.0 | $66,904 |
| Reallocate HIDTA Reimbursement Funding for Alcohol and Drug Services (ADS) Crossroads Adult Program | This reduction reduces the flexibility to provide additional residential treatment services at the Crossroads Residential facility. Crossroads Adult is a long-term therapeutic alcohol and drug residential treatment program. Clients complete the residential phase of the program then enter a continuing care phase to allow them to make a smooth transition back into the community. As a result of this reduction, wait times for such services are likely to increase as there currently is a wait list. | 0 | 0.0 | $50,000 |
| Reduce Contracted Services for Infant and Toddler Connection (ITC) Therapeutic Services | This reduction reduces the total number of contracted therapeutic services purchased by Infant and Toddler Connection by slightly over 6 percent. During FY 2009, ITC served a total of 2,374 children and continues to see an annual average growth rate of over 10 percent in the number of kids served per year. This reduction may affect approximately 12 children enrolled in ITC services per month. At present, ITC is a sub-recipient of economic stimulus funding available as part of the American Recovery and Reinvestment Act of 2009 (ARRA) that can potentially mitigate the impact of this reduction in local funding in the short-term. As a result of this ARRA funding, ITC was recently able to regain its position of being in compliance with federal mandates for timeliness in service provision, and currently does not have a wait list for services. The ARRA funding is anticipated to end during FY 2011. At that time, unless the funding is replaced, ITC will once again have difficulty serving the rapidly growing number of kids birth to three years requiring early intervention services (i.e., ITC will need to implement wait lists), which would necessitate an increased need for more lifelong intervention in the long-run. | 0 | 0.0 | $49,256 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding that Supports Three Positions Providing Juvenile Forensics BETA Services | This reduction replaces County funding with alternative revenue sources such as CSA fee revenue, redeploys 1/1.0 SYE CSB position, and eliminates 2/2.0 SYE positions. The BETA program is an intensive day treatment program located within the Juvenile Detention Center (JDC). It serves approximately 50 youth who are on suspended commitments to the state correctional facilities in a secure setting that allows for public safety to be achieved as well as providing intensive treatment and psychiatric services. At present, the CSB does not receive reimbursement for its services provided to youth in the BETA program. However, the CSB and the JDRDC are involved in discussions of service delivery design to ensure remaining staff resources will be directed at JDRDC's priority service areas, as well as fee-for-service options, including agreements with the CPMT in order to generate sufficient revenue to maintain the services. Currently, all the youth served are CSA eligible for non-mandated services. If an agreement is finalized, the CSB will monitor CSA referrals and fee revenue to assess the sustainability of the service beyond FY 2011.<br><br>In the absence of a fee-for-service agreement with the CPMT or sufficient alternative revenue, the reduction or elimination of BETA program services will impact the ability to treat some of the County's most at-risk youth while maintaining public safety. Juvenile Court judges and probation officers will be unable to place youth in a secure, locked community-based treatment program and will result in probation officers seeking CSA funding for secure residential placements at a much greater cost to the County. This will affect short and long-term outcomes for the youth, significantly increase the likelihood of criminal recidivism, and negatively impact the County's System of Care Initiative of maintaining youth in the community and with their families. As a result of the actions above, there is a savings of $238,795 to the General Fund Transfer. | 2 | 2.0 | NA |
| Increase Revenue for Alcohol and Drug Services Provided to Probation and Parole | This reduction and revenue enhancement eliminates one grant Substance Abuse Counselor II position at South County Outpatient Site and increases revenue in the Probation and Parole program. ADS will continue to provide the treatment required to fulfill the Memorandum of Agreement (MOA) requirements by existing merit staff. In addition, a second MOA designed to provide relapse prevention services for Probation and Parole, which was implemented by staff working overtime, will now be provided within regular budgeted hours. These efficiencies will result in an increase of revenue without a commensurate increase in expenditures. As a result of the actions above, there is a savings of $74,592 to the General Fund Transfer. | 0 | 0.0 | NA |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Increase Fee Revenues in Targeted Mental Health Services | This revenue enhancement increases fee revenues in Mental Health Services by $843,912 or 11.5 percent. The additional revenue is attributed to providing monthly case management services to Medicaid consumers who are currently not being seen on a monthly basis, licensing the Community Readiness program as a psycho-social rehabilitation program, increasing Children's Health Insurance and Sojourn Level B Residential Medicaid revenue targets, collecting a fee for all consumers coming into Access, and increasing collection of on-site fees and past due balances. As a result of the actions above, there is a savings of $843,912 to the General Fund Transfer. | 0 | 0.0 | NA |
| Increase Client Fee Collection Revenues in Alcohol and Drug Services | This revenue enhancement increases client fee collection revenue and impacts staff resources as staff will be required to absorb the work associated with processing the additional volume of payment collection and follow-up correspondence with clients. In particular, these increased fees will affect consumers in Crossroads Adult and Vanguard Contract Residential Treatment programs. Staff will be responsible for increased notification of and discussions with clients to ensure that fees are paid. There is also the potential of fees being collected through income tax returns using the debt set-off services. In conjunction with the increase already reflected in the FY 2011 CSB fee revenue base request, this reduction would increase ADS client fees by 19.5 percent. As a result of the actions above, there is a savings of $125,000 to the General Fund Transfer. | 0 | 0.0 | NA |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding at the Crossroads Youth Residential Treatment Program | This reduction replaces County funding with alternative revenue sources such as Comprehensive Services Act (CSA) fee revenue, and redeploys 3/3.0 SYE CSB positions that otherwise would have been eliminated. Crossroads Youth is a residential treatment facility for youth with co-occurring disorders. At present, the CSB does not receive CSA reimbursement for substance abuse residential services, but received reimbursement for youth referred to the former Sunrise II program. The CSB is exploring a fee-for-service agreement with the Community Policy and Management Team (CPMT) in order to generate sufficient revenue to maintain the services. The CSA local match requirement will range from 23 percent to 58 percent based on the eligibility of the youth. Currently, all the youth served are CSA eligible for non-mandated services. If an agreement is finalized, the CSB will monitor CSA referrals and fee revenue to assess the sustainability of the service beyond FY 2011.<br><br>In the absence of a fee-for-service agreement with the CPMT or sufficient alternative revenue, this reduction will eliminate up to three of 14 Substance Abuse Counselor direct service staff and impact up to 17 youth annually who would not be served due to loss of staff required by licensure standards to maintain full bed capacity. The 20-bed capacity would be reduced to 13. In 2007, the 11-bed Sunrise youth residential program for co-occurring disordered youth was eliminated due to low utilization. Along with this reduction, a total of 18 residential beds for youth with co-occurring disorders will have been eliminated, equivalent to a 58 percent loss of capacity. Other impacts may include increased service wait time from 4 to 12 weeks, increased criminal behavior in the community, increased out-of-county placements through CSA at an increased cost, and reduced consumer satisfaction. As a result of the actions above, there is a savings of $223,876 to the General Fund Transfer. | 0 | 0.0 | NA |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding for the Mental Health Adolescent Day Treatment Program (Teen Alternative Program) | This reduction replaces County funding with alternative revenue sources such as CSA fee revenue, and redeploys 7/7.0 SYE CSB positions that otherwise would have been eliminated. The Mental Health Adolescent Day Treatment Program is the County's only adolescent day treatment program, located in Reston, and serves challenging youth with serious emotional disturbance in the community. The program partners with Fairfax County Public Schools and provides an in-house school and serves youth and their families 5 days a week, 8:00 a.m. to 3:00 p.m. It receives the majority of its referrals as discharges from psychiatric hospitals or is being "stepped down" to the community from intensive residential treatment facilities. It also serves as a primary alternative to residential placement, allowing youth who are symptomatic and struggling to remain in the community and with their families, instead of requiring hospitalization. At present, the CSB does not receive CSA reimbursement for adolescent day treatment services. However, the CSB is exploring a fee-for-service agreement with the CPMT in order to generate sufficient revenues to maintain the services. The CSA local match requirement will range from 23 percent to 58 percent based on the eligibility of the youth and the definition of the service. Currently 50 percent of the youth served are CSA eligible, of which half are eligible for mandated services. If an agreement is finalized, the CSB will monitor CSA referrals and fee revenue to assess the sustainability of the service beyond FY 2011.<br><br>In the absence of a fee-for-service agreement with the CPMT or sufficient alternative revenue, this reduction will result in a reduction or possible elimination of services to approximately 38 youth and their families. Most of these youth may then enter the system elsewhere either seeking placements through the Schools' contract services unit or by requesting CSA funding for more intensive and expensive services. As a result of the actions above, there is a savings of $312,941 to the General Fund Transfer. | 0 | 0.0 | NA |

# Fund 106
# Community Services Board (CSB) - Overview

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$8,087,423**

As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a net increase of $8,087,423, comprised of $1,602,305 in Personnel Services, $6,162,574 in Operating Expenses, $300,545 in Recovered Costs and $22,000 in Capital Equipment. This includes $3,266,876 in adjustments to current grants and programs, $2,603,510 for encumbered carryover, $2,249,292 in new grant awards comprised of: $589,400 for the new federal stimulus American Recovery and Reinvestment Act of 2009 (ARRA) allocation to support the Part C program, $397,790 for Mental Health Law Reform grant, $278,033 for the new federal stimulus American Recovery and Reinvestment Act of 2009 (ARRA) allocation for the Diversion to Detoxification program in Alcohol and Drug Services, $259,000 for the State Jail Diversion grant, $163,243 for the MH Ryan White grant, $153,516 from the Medicaid grant to support the clinical and therapeutic services of the Infant and Toddler Connection Program, $127,020 for Intellectual Disabilities Services Residential program, $75,000 for the Mental Health Children's Outpatient program, $73,074 for Intellectual Disabilities Services Medicaid Case Management, $68,216 for the Alcohol and Drug Services Al's Pals grant and $65,000 for the Cooperative Employment Program, $536,645 for unexpended grant balances, $111,538 for work to support the Josiah H. Beeman Commission offset by decreases of $561,066 in baseline adjustments and $119,372 associated with the transfer of 1/1.0 SYE position to Department of Administration for Human Services (DAHS) to support a newly created leasing team that will manage residential and commercial leases among human service agencies.

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 106, Fairfax-Falls Church Community Services Board**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,428,562** | **$111,538** | **$6,969,641** | **$2,257,522** |
| Revenue: | | | | |
| Local Jurisdictions: | | | | |
| Arlington County | $1,422,261 | $1,309,902 | $1,309,902 | $1,309,902 |
| Fairfax City | 644,647 | 593,720 | 593,720 | 593,720 |
| Falls Church City | 0 | 0 | 0 | 0 |
| Subtotal - Local | $2,066,908 | $1,903,622 | $1,903,622 | $1,903,622 |
| State: | | | | |
| State DBHDS | $17,374,966 | $19,939,594 | $21,922,508 | $18,722,740 |
| State Other | 214,646 | 118,028 | 202,808 | 262,839 |
| Subtotal - State | $17,589,612 | $20,057,622 | $22,125,316 | $18,985,579 |
| Federal: | | | | |
| Block Grant | $4,685,833 | $4,685,085 | $4,722,814 | $4,563,073 |
| Direct/Other Federal | 1,905,310 | 1,278,026 | 2,433,440 | $1,670,205 |
| Federal ARRA[1] | 0 | 0 | 867,433 | 0 |
| Subtotal - Federal | $6,591,143 | $5,963,111 | $8,023,687 | $6,233,278 |
| Fees: | | | | |
| Medicaid Waiver | $2,701,749 | $1,741,273 | $1,868,293 | $2,176,359 |
| Medicaid Option | 9,782,560 | 10,664,397 | 9,728,307 | 11,005,310 |
| Program/Client Fees | 4,962,492 | 3,914,553 | 4,078,069 | 4,535,956 |
| CSA Pooled Funds | 812,605 | 785,625 | 785,625 | 2,224,745 |
| Subtotal - Fees | $18,259,406 | $17,105,848 | $16,460,294 | $19,942,370 |
| Other: | | | | |
| Miscellaneous | $160,401 | $155,624 | $167,584 | $155,624 |
| Subtotal - Other | $160,401 | $155,624 | $167,584 | $155,624 |
| Total Revenue | $44,667,470 | $45,185,827 | $48,680,503 | $47,220,473 |
| Transfers In: | | | | |
| General Fund (001)[2] | $101,430,831 | $97,519,271 | $97,399,899 | $91,993,809 |
| Total Transfers In | $101,430,831 | $97,519,271 | $97,399,899 | $91,993,809 |
| **Total Available** | **$148,526,863** | **$142,816,636** | **$153,050,043** | **$141,471,804** |

# Fund 106
# Community Services Board (CSB) - Overview

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds**

**Fund 106, Fairfax-Falls Church Community Services Board**

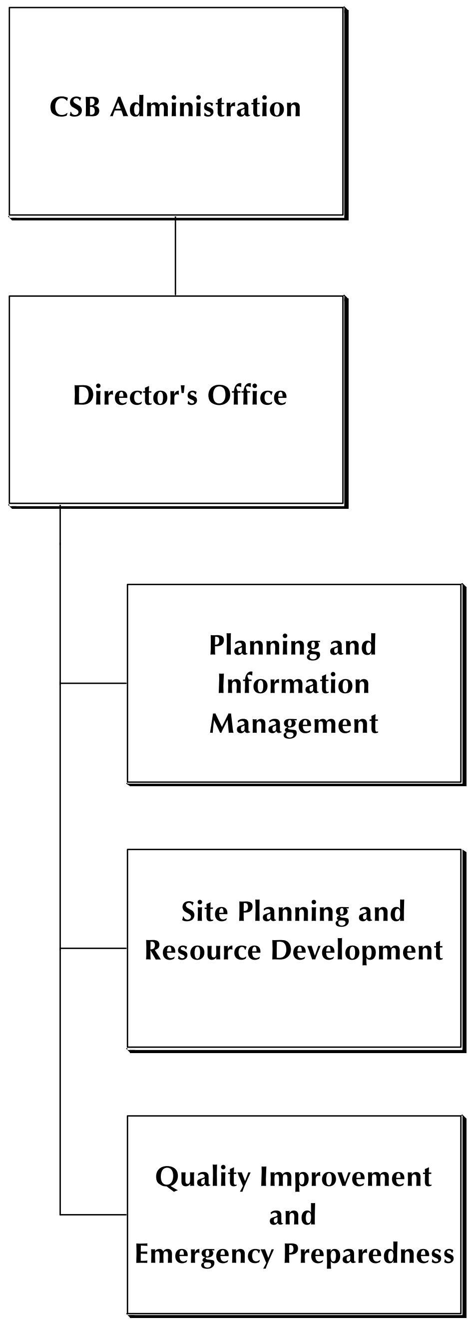
| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Expenditures: | | | | |
| CSB Administration | $2,997,340 | $4,174,066 | $4,873,871 | $3,676,841 |
| Mental Health Services | 64,196,760 | 65,743,921 | 70,296,259 | 63,350,082 |
| Intellectual Disability Services | 39,777,824 | 37,166,787 | 38,539,976 | 37,179,202 |
| Alcohol and Drug Services | 29,122,221 | 30,071,059 | 30,796,401 | 29,068,511 |
| Early Intervention Services | 5,463,077 | 5,549,265 | 6,286,014 | 5,939,646 |
| Total Expenditures | $141,557,222 | $142,705,098 | $150,792,521 | $139,214,282 |
| **Total Disbursements** | **$141,557,222** | **$142,705,098** | **$150,792,521** | **$139,214,282** |
| **Ending Balance**[3] | **$6,969,641** | **$111,538** | **$2,257,522** | **$2,257,522** |
| Josiah H. Beeman Commision Reserve | $111,538 | $111,538 | $500,000 | $500,000 |
| **Available Balance** | **$6,858,103** | **$0** | **$1,757,522** | **$1,757,522** |

[1] The *FY 2010 Revised Budget Plan* reflects American Recovery and Reinvestment Act (ARRA) funding in the amount of $867,433 appropriated as follows: $589,400 for Early Intervention Services as part of the Part C Grant and $278,033 for Alcohol and Drug Services as part of the Diversion to Detox Program.

[2] The FY 2011Advertised Budget Plan General Fund Transfer is a reduction of $5.5 million from the FY 2010 Adopted Budget Plan amount as a result of reductions discussed in the FY 2011 Funding Adjustments section and reconciliation to existing revenue levels.

[3] The *FY 2010 Revised Budget Plan* Ending Balance of $2,257,522 is a decrease of 68 percent from the FY 2009 Actual and reflects utilization to offset FY 2010 program requirements.

# Fund 106
# CSB - Administration



# Fund 106
# CSB - Administration

## Mission
To provide strategic management and direction to programs and services of the Fairfax-Falls Church Community Services Board (CSB), as well as to provide support services to the 16 members of the CSB Board.

## Focus
CSB Administration provides leadership and strategic management for CSB services and supports the 16 citizen members of the CSB Board. CSB Administration also serves as the liaison between the CSB; Fairfax County; the cities of Fairfax and Falls Church; the Department of Behavioral Health and Developmental Services (DBHDS); Northern Virginia Regional Planning; and the federal government. It is responsible for oversight of service delivery, compliance with human rights, quality assurance and risk management, information management and strategic planning, site planning and development, and leasing and renovations for 160 commercial, residential and County properties. Two cost centers, CSB Central Services Unit (CSU) and CSB Pooled Funds are included.

The CSU supports over 21,000 consumers and their families, 1,100 staff and more than 70 non-profit partners with strategic leadership, fiscal analysis, and compliance activities that ensure health and safety. It monitors current and future requirements of persons served and informs the CSB Board and County and state leadership. CSU staff work closely with elected and appointed officials, consumers, families, staff, contractors, public and private sector partners, Human Services Leadership Team, Virginia DBHDS, and other state agencies. The CSU guides the provision of person-centered services based on sound therapeutic practice and ensures revenue maximization from services delivered.

The CSU includes the Director's Office, the Planning and Information Management team, a Site Planning and Resource Development position, and the Quality Improvement and Emergency Preparedness team. The Director's Office provides overall leadership, policy direction and oversight of all programs and services while supporting advocacy efforts at the state level to promote policy changes and increased funding. This includes identification and implementation of best practice programming throughout the service system. CSB leadership works closely with the Department of Human Resources and the Department of Management and Budget through the semi-annual workforce planning processes. Workforce planning has ensured a staffing plan that aligns with evolving consumer requirements. The Planning and Information Management staff promote the use of technology that maximizes efficiency in service delivery and statewide benchmarking of services to evaluate and adjust approaches. A major initiative is the CSB Electronic Health Record (EHR), which provides for comprehensive electronic connectivity to health information. The CSB is evaluating future agency business and compliance needs for the EHR, including *ePrescribing* and technology to support an increasingly mobile workforce. In addition, system-wide strategic planning efforts for both the agency and the CSB Board are staffed from this office. There is one staff position responsible for all system-wide residential and facility service site development, including consumer housing, leasing, space planning, handicapped accessible renovations, related land use and zoning, community relations, and identification of revenue. The two other positions previously on the Site Planning and Resources Development team were eliminated as part of the FY 2010 budget reductions.

The Quality Improvement and Emergency Preparedness team focuses on two essential support functions. The first key function is implementing a system-wide quality improvement plan with an emphasis on risk management; training and staff development; compliance with local, state and federal laws and regulations; health information management; outcome measures; quality and appropriateness of services; provider network management; and human rights. The second essential support function is to lead and liaison with the state and County offices of emergency management to ensure the CSB's Continuity of Operations Plan and All Hazards Plan remain current. Under this function the CSB remains prepared to respond to a disaster, either by continuining operations of essential services, and/or deploying staff as needed under the operations section of the County's incident command structure.

# Fund 106
# CSB - Administration

As part of both the implementation of the recommendations from the Josiah H. Beeman Commission and in response to the continuing budget reductions at both the state and County levels, the CSU is in the process of implementing a full reorganization of its function and structures to enable the entire CSB system to operate more effectively and efficiently. To that end, all of the necessary functions noted above will continue to be addressed but within the context of more focused structure. Among the changes that will be implemented during FY 2010 and FY 2011 will be reorganizing the management of all operational and support functions, as well as raising the level of strategic input of the financial leadership. This new reorganization structure will be reflected in the FY 2011 Adopted Budget Plan.

The CSB Pooled Funds cost center reflects centralized business costs associated with supporting all CSB programs and services, such as information technology, travel/training and insurance premiums for workers' compensation, as well as general liability, furniture, fixtures, appliances and property maintenance and repair for CSB program sites.

The rate of CSU staff to CSB merit and exempt status positions is 1:76. The CSU budget is 1.2 percent and the CSB Pooled Funds budget is 1.5 percent of the overall Fund.

## FY 2011 Budget Reduction Impact Summary

In FY 2011, there are no reductions planned for CSB Administration.

In FY 2010, budget reductions included a decrease of $505,220 and were primarily associated with the elimination of 2/2.0 SYE positions and a reduction to Consumer Housing Development, Service Site Planning, Centralized Leasing Operations, Resource Development and Funds for Residential Repairs.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 16/ 16 | 14/ 14 | 13/ 13 | 13/ 13 |
| Expenditures: | | | | |
| Personnel Services | $1,752,224 | $1,252,049 | $1,252,049 | $1,252,049 |
| Operating Expenses | 1,245,116 | 2,922,017 | 3,599,822 | 2,424,792 |
| Capital Equipment | 0 | 0 | 22,000 | 0 |
| **Subtotal** | **$2,997,340** | **$4,174,066** | **$4,873,871** | **$3,676,841** |
| Less: | | | | |
| Recovered Costs | $0 | $0 | $0 | $0 |
| **Total Expenditures** | **$2,997,340** | **$4,174,066** | **$4,873,871** | **$3,676,841** |
| Revenue: | | | | |
| Fairfax County | $2,302,193 | $3,860,584 | $3,741,212 | $3,363,359 |
| Fairfax City | 155,131 | 155,131 | 155,131 | 155,131 |
| Falls Church City | 71,356 | 71,356 | 71,356 | 71,356 |
| State DBHDS | 76,995 | 76,995 | 76,995 | 76,995 |
| Federal Block Grant | 10,000 | 10,000 | 10,000 | 10,000 |
| Fund Balance | 381,665 | 0 | 819,177 | 0 |
| **Total Revenue** | **$2,997,340** | **$4,174,066** | **$4,873,871** | **$3,676,841** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Contract Rate Increases** **$3,542**
  An increase of $3,542 in Operating Expenses is associated with a 2.71 percent contract rate increase for providers of contracted CSB-wide services.

- **Miscellaneous Adjustments** **($500,767)**
  A decrease of $500,767 in Operating Expenses is associated with $381,395 for one-time start-up costs for the newly renovated New Horizons/Gregory Drive Treatment Center and Mount Vernon Mental Health Center, and $119,372 for the transfer of 1/1.0 SYE position to Department of Administration for Human Services (DAHS) to support a newly created leasing team that will manage residential and commercial leases among human service agencies.

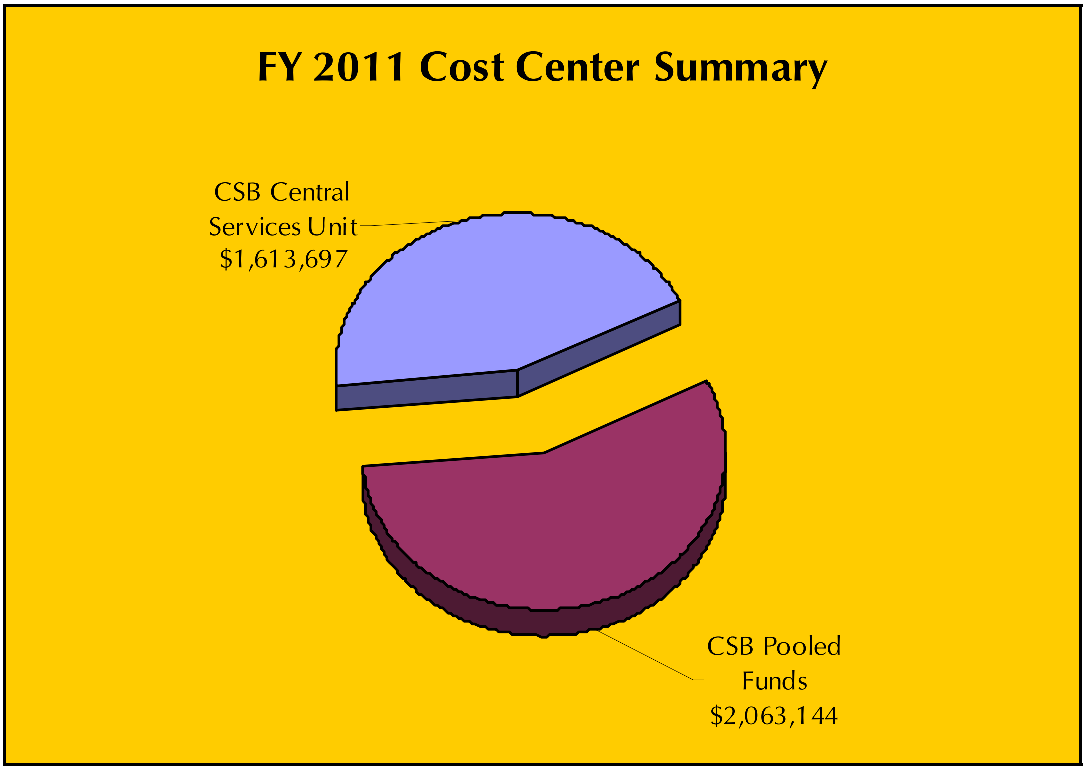
## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$699,805**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a net increase of $699,805, comprised of $677,805 in Operating Expenses and $22,000 in Capital Equipment. This includes $819,177 for encumbered items, offset by a decrease of $119,372 associated with the transfer of 1/1.0 SYE position to DAHS to support a newly created leasing team that will manage residential and commercial leases among human service agencies.

# Fund 106
# CSB - Administration

## Cost Centers




## CSB Central Services Unit

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 16/ 16 | 14/ 14 | 13/ 13 | 13/ 13 |
| **Total Expenditures** | **$2,009,448** | **$1,653,265** | **$1,702,564** | **$1,613,697** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| | **Director's Office** | | **Planning and Information Management** | | **Site Planning and Resource Development** |
| 1 | Executive Director | 1 | CSB Planning/Development Director | 1 | Residential and Facilities Dev. Mgr |
| 1 | Deputy Director | 1 | Business Analyst IV | | |
| 1 | Information Officer III | 2 | Business Analysts III | | |
| 1 | Communications Specialist I | 1 | Business Analyst II | | |
| 1 | Administrative Assistant V | 1 | Mental Health Manager | | |
| 1 | Administrative Assistant IV | | | | |
| **TOTAL POSITIONS** | | | | | |
| **13 Positions / 13.0 Staff Years** | | | | | |

# Fund 106
# CSB - Administration

## Key Performance Measures

### Goal
To provide overall leadership, policy direction and oversight of all programs and services supported by Fund 106, Fairfax-Falls Church Community Services Board (CSB).

### Objectives
- To provide direction and management support to CSB programs so that 80 percent of service quality and outcome goals are achieved.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent of CSB service quality and outcome goals achieved | 77% | 81% | 80% / 74% | 80% | 80% |

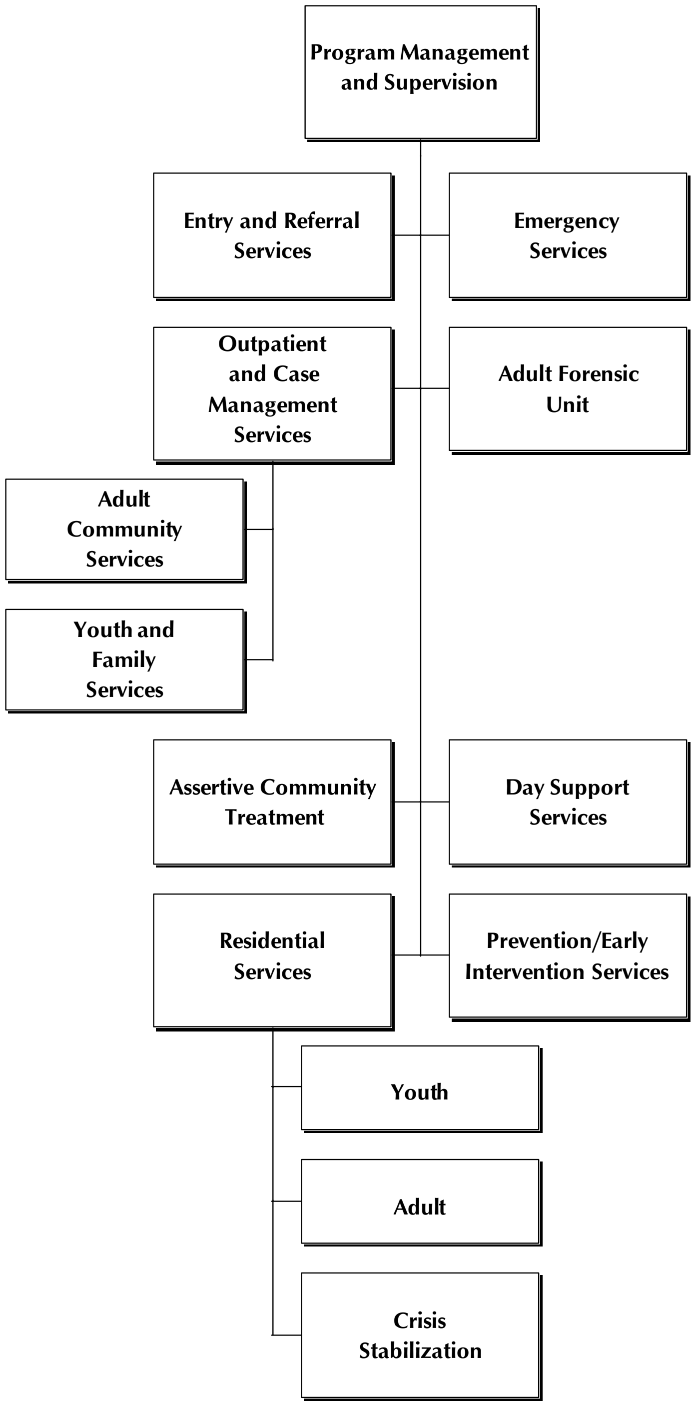
## Performance Measurement Results
In FY 2009, CSB met 28 of 38, or 73.7 percent of the service quality and outcome performance goals through the CSB system, below the target of 80 percent. Continuing from last year, the overall consumer satisfaction with CSB services met or exceeded the target in nearly all areas.

Outcome targets were negatively impacted by several factors. These include changes in MH Law Reform resulting in broader criteria for psychiatric hospitalization, and the impact of the economy on housing alternatives, employment opportunities and wages. Several of the outcome targets were only slightly below the target, including the percent of individuals receiving PACT services that remained in the community, and the number of days from referral to completion of a plan for ITC. ADS achieved all but one of the Outcome and Quality goals. A number of initiatives were implemented to improve data quality during FY 2009 as well. In addition, the CSB developed short term focused strategic goals for FY 2010 which included a number of measureable targets for program outcomes and business process changes to improve services.

## CSB Pooled Funds

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| **Total Expenditures** | **$987,892** | **$2,520,801** | **$3,171,307** | **$2,063,144** |

# Fund 106
# CSB - Mental Health Services



# Fund 106
# CSB - Mental Health Services

## Mission

To partner with residents and service providers of Fairfax County and the cities of Fairfax and Falls Church in establishing a network of integrated, accessible, and recovery oriented mental health services that will ensure safety and promote wellness, compassion, respect and dignity for individuals and families. The goals of these services are to assist consumers to:

- Stabilize mental health crises and symptoms;
- Promote recovery in the community with the least restrictive setting;
- Prevent relapse of symptoms; and,
- Acquire adaptive living skills.

## Focus

Mental Health Services (MHS) provides leadership in the management, supervision, planning, evaluation and resource allocation of local, state, federal and grant funds to ensure that individuals and families of persons with serious mental illness and serious emotional disturbance receive quality clinical and community support services. MHS manages service delivery at seven directly-operated community outpatient mental health sites, more than ten 24-hour residential treatment facilities, and a 24-hour emergency services program. In addition, in partnership with private vendors, MHS contracts for employment services, mental health services for outpatient treatment for language needs and culturally competent care, residential support services and psychosocial services. Services are provided through seven cost centers: Program Management and Supervision, Regional Inpatient Services, Emergency, Day Support, Residential, Outpatient and Case Management, and Program for Assertive Community Treatment.

Program Management and Supervision Services provides clinical and administrative management, programming, financial monitoring, training and general support services and the other cost centers provide directly-operated and contracted mental health services to clients.

Regional Inpatient Services utilizes six local hospitals for state funding of Local Inpatient Purchase of Services (LIPOS). Staff from Emergency Services place at-risk individuals with no financial resources into beds at these local hospitals when beds are unavailable at the Northern Virginia Mental Health Institute (NVMHI). Aftercare Coordinators then monitor individuals' stays and facilitate transfers to NVMHI as needed.

Emergency Services serves high-risk adults, adolescents and children who are in a psychiatric crisis. Through emergency walk-in sites and the Mobile Crisis Unit, Emergency Services takes crisis intervention into the community, working closely with individuals, families and public safety. The Mobile Crisis Unit includes a 24-hour-per-day rapid deployment team that responds to hostage/barricade incidents with the Special Weapons and Tactics (SWAT) team and police negotiators. The Adult Forensic Unit addresses the needs of Adult Detention Center inmates who have serious mental illnesses by providing forensic evaluations, risk screenings, crisis intervention, placement recommendations, and medication and release planning. The Court Independent Evaluators program provides the services of clinical psychologists to evaluate individuals who have been involuntarily hospitalized prior to a final commitment hearing, as required by the Code of Virginia. They assist the court in reaching decisions about the need and legal justification for longer-term involuntary hospitalization. The Entry and Referral Unit serves as the primary point of contact for individuals seeking services. Staff members gather information from callers, assess for immediate risk, connect persons with emergency needs to immediate care and make appointments for those requiring continuing services.

Day Support Services provides an intensive, highly-structured stabilization, evaluation and treatment setting for adults with serious mental illness and adolescents with serious emotional disturbance, including those who are experiencing the co-occurring disorders of mental illness and addiction. In addition to a directly-operated Comprehensive Day Treatment program, Day Support includes contracted all-day rehabilitative programs and independent vocational vendors which place special emphasis on vocational preparation and placement.

For those requiring more support, Residential Services provides residential treatment and support services to adults with serious mental illness and youth with serious emotional disturbance. The level of support in these programs is provided along a continuum ranging from 24-hour care to drop-in support once a week. Services are provided in the community where the individual is residing. An important part of residential services is its work with the homeless. Adult Residential Services (ARS) has staff that provide services at each of the homeless shelters in Fairfax County. ARS also has a Project to Assist Transition from Homelessness (PATH) team and a Homeless Healthcare team that works with the unsheltered homeless of Fairfax County. In addition to traditional residential services, Residential Services includes two acute care programs seeking to divert individuals from more restrictive and costly psychiatric hospitalization. These programs, Adult Crisis Care and Youth Crisis Care, provide short-term intensive crisis intervention and stabilization services in a residential setting. The Youth Crisis Care program began providing services in January 2007, serving 25 clients in FY 2007; 60 clients in FY 2008, and 61 clients in FY 2009.

Outpatient and Case Management Services provides recovery-oriented individual and group treatment, case management and medication services to adults, children and their families. In FY 2009, the Men's Program (ADAPT), the Victim Assistance Network (VAN) and the Women's Shelter programs, formerly provided by Prevention/Early Intervention, moved to the Department of Family Services/Office for Women as part of a multi-agency effort to streamline the County's domestic violence services.

For those individuals with symptoms and impairments who, for reasons related to their mental illness, resist or avoid involvement with traditional office-based outpatient services, the Program of Assertive Community Treatment (PACT) team and the Intensive Case Management staff offer intensive outreach and mental health treatment and case-management services. These services are typically provided to individuals in their homes, work places or other environments of need. Additionally, active hospital discharge planning and discharge planning for jailed individuals who suffer from mental illnesses are available. Historically, many people with severe mental illness are arrested for offenses such as disorderly conduct or trespassing. The Jail Diversion Program is helping to break the cycle of criminalizing these individuals and to connect or re-connect them with intensive mental health services. In FY 2009, the Jail Diversion Program received new jail diversion funding from the Commonwealth, designated to support new and ongoing jail diversion initiatives. Initiatives include a focus on increased collaboration with partners and stakeholders at initial court hearing and detention intercept stages. Specifically, an Intensive Case management team will work closely with General District Court's Services, the Fairfax County Public Defender's Office and the Fairfax County Sheriff's staff. A peer counselor will join the jail diversion team to partner with individuals around engagement and linking with community resources.

The CSB is serving an increased number of persons with co-occuring mental health and complex medical needs. One in every four individuals receiving mental health services is over the age of 55; this baby boom cohort brings along with it the kinds of physical health problems that are often part of aging. In 2009, a primary care initiative was successfully piloted at one of the CSB mental health sites in partnership with Community Health Network to begin addressing the primary care needs of those adults with mental illness who have no medical home. The effort is designed to provide individuals with medical engagement, wellness education and linking with community health resources. The CSB Outpatient and Case Management service unit is coordinating with Community Health Network to provide this service at multiple mental health sites. In the Youth and Family Services division, Intensive Care Coordination (ICC) services are under development for those youth most at risk for out of home placements and will be an expanded service as adopted by the 2008 General Assembly session. Funding for these services will come from the Comprehensive Services Act (CSA) and has been approved by the Community Policy and Management Team (CPMT). There is also planning being done to establish a 24/7 urgent care response for families receiving ICC services while looking at capacity issues to serve other youth populations as well.

The division has continued partnering with other agencies and is developing specified youth and family groups to meet the needs identified by the other child serving agencies.

# Fund 106
# CSB - Mental Health Services

**Trends in Mental Health Services**

Concurrent with the Josiah H. Beeman Commission work, Mental Health Services has been undergoing significant system transformations. Individual satisfaction surveys, feedback via focus groups, treatment surveys and workgroups will continue to provide input towards a blueprint for ongoing changes in treatment services that are both effective and responsive to the needs and preferences of those individuals receiving services.

A major factor in mental health system transformation is the concept of recovery and recovery-oriented services. Individuals with mental illness can build or restore a meaningful sense of belonging in the community and build a life that is positive and fulfilling despite or within the limitations imposed by mental illness. Some individuals can fully recover, others can achieve recovery with the assistance of ongoing medication and support, and still others can develop the skills they need to manage symptoms and define themselves beyond having a disability. All of these individuals can engage in meaningful activities, interests, and relationships. Mental health services are designed to support that recovery process with the consumer as a collaborative partner in treatment. There is a strong emphasis on employment goals and the integration of peer supports into the workforce.

There is also a growing emphasis in mental health on the recognition of co-occurring disorders (mental illness and substance use disorders) and the provision of integrated treatment as an effective intervention for both disorders. System transformation requires that no matter where or when an individual with co-occurring disorders enters mental health or addiction treatment, they receive competent assessment and treatment that addresses the full range of services needed.

In addition, the continuing reduction of available psychiatric beds, due to lack of profitability for hospitals, requires that hospitalizations focus on acute stabilization, which increases the importance of alternatives to psychiatric hospitalization being available in the community. Within this context, case management becomes an essential service to assist individuals with serious mental illness to manage in the community, receive needed medical and psychiatric services and begin their recovery process.

**Factors That May Impact How Business is Conducted**

To ensure that Mental Health Services meets current and future community needs, the Board of Supervisors established the Josiah H. Beeman Commission in FY 2007. This Commission consisted of locally and nationally recognized mental health service administrators/providers and community stakeholders and was tasked with recommending a vision and framework for a future mental health delivery system grounded in best practices. The Commission began its work in February 2007, and issued a final report and recommendations on October 27, 2008. The CSB provided a proposed implementation plan to the Board of Supervisors at their Human Services Committee in September 2009 which outlined a management plan for integrating a recovery and self-determination model in all aspects of the MHS system. The success of this plan will be measured by, in addition to the traditional management tools for efficiency and satisfaction, the number of people whose quality of life is improved because of the support the system provides. The Implementation Planning Process was developed with the idea of generating implementation ideas from the broadest number of stakeholders in a relatively short period of time. Rather than create an extensive study of the Commission results, the group that coordinated the process, the Implementation Steering Committee, solicited the ideas of the variety of content experts and community partners who would be able to identify how to realistically implement the strategies identified by the Commission.

Over the course of a 30-day period, 12 distinct workgroups, staffed by over 100 key stakeholders, met simultaneously to generate the ideas for implementation identified in the report. Each of the workgroups was organized based on the key categories of recommendations from the Beeman Commission. Each workgroup met several times during that 30-day period and developed an extensive number of action steps to implement each of the recommendations and related strategies.

The report was structured to provide an overview of the key elements and strategies that will be implemented, using timelines, key business processes and key elements of the Board's original requests, as the organizing framework.

- Caseloads are at or exceed the maximum standard in the Comprehensive Treatment and Recovery (CTR Adult Outpatient) service unit due to increased demand and decrease in staff resources. Referrals continue to be triaged with an effort to minimize the wait time for high risk individuals needing ongoing mental health services. Adult caseloads average 40 to 50 persons per clinician, depending on worksite. From July 2008 to June 2009, 607 new cases have been referred for ongoing adult mental health services. Activities related to documentation in the Electronic Health Record (EHR) have increased administrative time allotment for staff. The increased demand for community based case management services will continue to tax limited staff resources.

- As a result of mental health law reform during the 2008 and 2009 sessions of the General Assembly, Fairfax County has experienced a 28 percent increase in the demand for beds to serve persons referred to a hospital through the Commonwealth of Virginia's Civil Commitment process. In FY 2008, Fairfax County involuntarily hospitalized 715 persons under Temporary Detention Orders, while in FY 2009, the total jumped to 916. The increased demand has been accommodated by shortening the length of stay at the Northern Virginia Mental Health Institute and by expanding Residential Crisis Stabilization Services. During FY 2009, Fairfax-Falls Church CSB Emergency Services admitted a total of 311 County consumers to Woodburn Place, the Fairfax County Crisis Stabilization program. Given the continued weak economic conditions, it is still possible that another 44 psychiatric beds for adults operated by the private sector will close in the future.

- The lack of affordable housing remains one of the most critical issues facing low-income consumers who have a serious mental illness. Currently there are 621 individuals on the residential waitlists. The length of wait ranges from several months to several years depending on the program. The level of support needed varies from 24-hour supported group homes, to intensive and partially supported and supervised apartments. The lack of affordable housing stock as well as the lack of indicated residential supports frequently interfere with discharge planning, disrupt individuals' ability to progress in their recovery and can put persons at risk of becoming homeless, incarcerated and/or hospitalized.

- While bed availability has diminished, needs have increased. People seeking care from Mental Health Services are coming with increasingly severe illnesses and this magnifies the inpatient bed shortage crisis. When individuals need psychiatric hospitalization, they may be placed in beds at great distances from their homes or forced to manage in the community when there are no beds available, which impedes the recovery process for many individuals. CSB Emergency Services has the local responsibility, previously held by the Northern Virginia Mental Health Institute (NVMHI), for finding post-hearing commitment beds. Emergency Services is responsible for coordinating these hospital placements for over 685 insured and uninsured consumers a year to both the private and state hospital systems.

- The viability of Medicaid continues to remain critical to mental health service delivery. The CSB has examined its business practices to ensure that Medicaid dollars can be brought in and maximized for funding clinical service delivery. Medicaid regulations have changed recently and have become more stringent in how they require services to be delivered, documented and monitored. One of these changes, authorizations, was released in March of 2009. Authorizations have been required for therapy and medication services for some time but will be required starting in August 1, 2009 for Mental Health Support Services, Adult Partial Hospitalization, Adolescent Day Treatment, Intensive Community Treatment, and Psychosocial Rehabilitation. They will be required for Case Management starting January 1, 2010. These authorizations are submitted to a third party vendor and that vendor reviews the submission and makes a determination. This has required Mental Health Services to design a new business process to collect the information required, learn the mechanism for submitting the information to the vendor, and develop quality review procedures to ensure as many approvals as possible. Mental

Health Services has had to examine the entire processes around these services to ensure that the documentation of these services will meet the requirements of an audit. In addition to Medicaid, the CSB continues to maximize the utilization of Medicare Part D, of Patient Assistance Programs through drug companies, and of reduced price prescription programs through area pharmacies to reduce overall medication cost and provide cost savings to the County.

## FY 2011 Budget Reduction Impact Summary

To address the projected FY 2011 budget shortfall, a reduction of $1,964,793 has been included in the FY 2011 Advertised Budget Plan. This reduction was accomplished through the elimination of 9/9.0 SYE positions and elimination of the Adult Partial Hospitalization program, contracted evaluator services, Emergency Services at Mount Vernon Community Mental Health Center and Northwest Community Mental Health Center, and overnight direct psychiatric services at Woodburn Center. Mental Health Services has identified potential new revenues of $1,156,853 in order to maintain core services.

In FY 2010, budget reductions included a decrease of $3,077,412, elimination of 10/9.0 SYE positions, and elimination of the purchase of FASTRAN Services for Mental Health (MH) Medicaid Recipients, elimination of the psychology intern program, reduction of outpatient and case management services, consolidation of Western Fairfax treatment sites, reduction of psychotropic medications and psychiatric staffing levels, elimination of the Psychosocial Day Support contract at Reston/Faraday Site, reduction of court evaluation funding, generation of additional revenue through maximizing billable services, reduction of psychiatry emergency services funding, reduction of the purchase of contracted Family Preservation Services, reduction of personnel services expenditures, reduction of funding for administrative contracts, and reduction to the INOVA Mount Vernon psychiatric services contract for one year.

# Fund 106
# CSB - Mental Health Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 426/ 425 | 416/ 415 | 421/ 420 | 412/ 411 |
| Grant | 43/ 42 | 43/ 42 | 45/ 44 | 45/ 44 |
| Expenditures: | | | | |
| Personnel Services | $43,062,117 | $43,322,531 | $44,092,106 | $42,666,719 |
| Operating Expenses | 22,973,901 | 23,928,403 | 27,410,621 | 21,699,874 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$66,036,018** | **$67,250,934** | **$71,502,727** | **$64,366,593** |
| Less: | | | | |
| Recovered Costs | ($1,839,258) | ($1,507,013) | ($1,206,468) | ($1,016,511) |
| **Total Expenditures** | **$64,196,760** | **$65,743,921** | **$70,296,259** | **$63,350,082** |
| Revenue: | | | | |
| Fairfax County | $40,646,115 | $38,916,814 | $38,916,814 | $36,156,591 |
| Fairfax City | 481,159 | 481,159 | 481,159 | 481,159 |
| Falls Church City | 241,483 | 190,556 | 190,556 | 190,556 |
| State DBHDS | 12,710,389 | 15,591,590 | 17,973,887 | 14,508,012 |
| State Other | 0 | 0 | 65,000 | 65,000 |
| Federal Block Grant | 1,421,938 | 1,414,967 | 1,404,967 | 1,273,952 |
| Federal Other | 226,993 | 134,417 | 424,484 | 134,417 |
| Medicaid Option | 6,484,212 | 6,305,641 | 5,684,886 | 6,512,282 |
| Program/Client Fees | 2,320,076 | 1,867,028 | 1,877,028 | 2,043,028 |
| CSA Pooled Funds | 806,890 | 785,625 | 785,625 | 1,928,961 |
| Miscellaneous | 60,901 | 56,124 | 68,084 | 56,124 |
| Fund Balance | (1,203,396) | 0 | 2,423,769 | 0 |
| **Total Revenue** | **$64,196,760** | **$65,743,921** | **$70,296,259** | **$63,350,082** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

♦ **Intensive Care Coordination Services** **$730,395**

An increase of $730,395, comprised of $634,832 in Personnel Services and $95,563 in Operating Expenses, is associated with 7/7.0 SYE redeployed CSB positions for services to support the County's System of Care reform goals. The System of Care Initiative is a new approach to how services, funded via the Comprehensive Services Act (CSA), are delivered to youth and their families. This approach is child-centered and family-focused, and services are designed around the youth and his/her family's strengths and needs, and, when possible, delivered in the community. The Intensive Care Coordination services include the development of individualized service plans and implementation of community-based services to safely address risk factors and meet at-risk youth and family needs in their own community. This adjustment is offset by a commensurate increase in revenue.

- **Contract Rate Increases** **$289,560**
  An increase of $289,560 in Operating Expenses is associated with a 2.71 percent contract rate increase for providers of contracted mental health services.

- **Miscellaneous Adjustments** **($1,449,001)**
  A decrease of $1,449,001 is associated with necessary grant adjustments and the elimination of domiciliary care offered through Medicaid psychosocial rehabilitation.

- **Reductions** **($1,964,793)**
  A decrease of $1,964,793 and 9/9.0 SYE positions reflects reductions utilized to balance the FY 2011 budget. In addition, there are revenue enhancements totaling $1,156,853. As a result of these adjustments, there is a savings of $3,121,646 to the General Fund Transfer. The following chart provides details on the specific reductions approved, including funding and associated positions.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding for Mental Health Law Reform Services | This reduction eliminates County funding for Mental Health Law Reform services (Emergency Services and Crisis Stabilization) and replaces it with reallocated Mental Health Law Reform State General Funds. The State has reallocated the remaining FY 2010 Mental Health Law Reform State General Funds by bringing all existing residential crisis stabilization programs up to a minimum of $100,000 of state funds per staffed bed. Fairfax-Falls Church Community Services Board will receive an ongoing allocation of new funding in the amount of $601,077 which will result in these programs requiring less County funding. This reduction indefinitely postpones service delivery enhancements or growth in Emergency Services and in the Crisis Stabilization Program that could have been funded by the additional Mental Health Law Reform State General Funds if the County funding had not been eliminated. As a result of the actions above, there is a savings of $601,077 to the General Fund Transfer. | 0 | 0.0 | $601,077 |
| Eliminate County Funding for the Mental Health Adult Day Treatment Site at Northwest/Reston Community Mental Health Center | This reduction eliminates the availability of Adult Partial Hospitalization program services for individuals with serious mental illness and/or co-occurring substance abuse issues who reside in the North County service area and some who live in the central portion of the County. This may create a real hardship that impedes their access to needed local day support services, will decrease availability of day treatment services slots to the County, and will increase wait time for access to alternative Adult Partial Hospitalization Program services in South County. The Northwest/Reston program served 81 individuals in FY 2009 and provided more than 9,000 hours of service. Transportation of consumers residing in Mid and North County to the South County program, which will be the only remaining County site, will also be challenging. | 2 | 2.0 | $497,244 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate Purchase of Contracted Independent Evaluator Services | This net reduction eliminates contract funds for independent psychiatric evaluations and funds more cost-effective Exempt Limited Psychologist positions. These contracted Independent Evaluators are licensed clinical psychologists who provide comprehensive in-hospital mental health evaluations pursuant to Code of Virginia §37.2-817. The clinical findings of these evaluations are provided at Court-run civil commitment hearings where a Special Justice is rendering a decision about a possible commitment to psychiatric hospitalization. Effective July 1, 2008, §37.2-817 was amended to expressly allow Community Services Boards to provide these evaluations directly, permitting these business practice improvements and efficiencies. Minimal negative impact is anticipated with the elimination of contract funds for evaluations. The CSB intends to request the establishment of Exempt Limited Term Psychologist positions and individuals will be hired into these positions to provide the same service but at a substantially lower hourly rate. | 0 | 0.0 | $210,428 |
| Eliminate Emergency Services at Mount Vernon Center for Community Mental Health | This reduction eliminates Emergency Services at the Mount Vernon Center for Community Mental Health, and two clinical positions. Mount Vernon Emergency Services provides comprehensive psychiatric emergency services to individuals who are experiencing acute distress and in need of emergency/crisis intervention and quick, accessible support related to mental health, substance abuse and intellectual development. In addition to crisis intervention, services include psychiatric evaluations and psychotropic medication; preadmission evaluations for voluntary and involuntary hospitalization and crisis residential services. This reduction impacts 382 individuals who would no longer receive 547 emergency psychiatric services in their community. The only remaining alternative for walk-in Emergency Services is the Woodburn Center Emergency Services site, which is approximately 45 to 60 minutes by car or 2 hours by bus from the southern part of the County. | 2 | 2.0 | $172,619 |
| Eliminate One Supervisory Position in the Juvenile Forensics Program | This reduction eliminates one supervisory position in the Juvenile Forensics Program and impacts the clinical and administrative oversight of the joint Mental Health Services (MHS) and Alcohol and Drug Services (ADS) team at Juvenile & Domestic Relations District Court (JDRDC). This position supervises seven staff who provide evaluations, crisis intervention and emergency services to JDRDC and youth housed in the Juvenile Detention Center. This position also provides site management coverage for the entire Juvenile Forensics Program that includes the seven MHS staff and four ADS staff. The work of this position will be transferred to another manager in MHS and the CSB will continue to work with JDRDC to ensure their highest priority service needs are met.<br><br>Please note that this reduction is also referenced in the Alcohol and Drug Services narrative. | 1 | 1.0 | $92,000 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate Emergency Services at Northwest Center for Community Mental Health | This reduction eliminates Emergency Services at the Northwest Center for Community Mental Health, and one Emergency/MCU Supervisor position. Northwest Emergency Services provides comprehensive psychiatric emergency services to individuals who are experiencing acute distress and in need of emergency/crisis intervention and quick, accessible support related to mental health, substance abuse and intellectual development. In addition to crisis intervention, services include psychiatric evaluations and psychotropic medication, preadmission evaluations for voluntary and involuntary hospitalization and crisis residential services. The elimination of Emergency Services at the Northwest Center for Community Mental Health and one Emergency/MCU Supervisor position impacts approximately 177 individuals who would no longer receive 243 emergency psychiatric services in their community. The only remaining alternative for walk-in Emergency Services is the Woodburn Center Emergency Services site, which is approximately 45 to 60 minutes by car or 2 hours by bus from the northern part of the County. | 1 | 1.0 | $88,385 |
| Reduce One Position in the Sheltered Homeless Services Program | This reduction eliminates one of 16 Mental Health Services positions in the CSB's Homeless Services Program and will impact on-site treatment and counseling services being provided at County homeless shelters. Case management services, which are identified as the top priority service under the Housing Opportunity Support Team (HOST) geographical area conceptual framework, will not be impacted. County staff are also currently undertaking a redesign of homeless services to conform to HOST principles. | 1 | 1.0 | $84,235 |
| Reduce One Mental Health (MH) Forensic Staff Position at the Adult Detention Center | This reduction eliminates one of 11 forensic staff and will impact incarcerated persons who have serious mental illness as well as persons who are at risk of decompensating psychiatrically while incarcerated. Elimination of this position will result in a reduction of approximately 400 inmate MH intakes per year (approximately 15 percent of current capacity), as well as a reduction of approximately 750 inmate MH follow up appointments per year (approximately 15 percent of current capacity). This may lead to increased risk of suicide or self injury for inmates with mental illness, and increased likelihood of individuals being released to the community in an unstable condition. | 1 | 1.0 | $80,497 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Streamline Program Management of the Senior Plus Program | This reduction eliminates a Senior Clinician, or one of three program management positions in the Senior Plus program by streamlining the County Coordinating Team (CCT) management structure. The Senior Plus program is an innovative inclusion program for seniors with minor cognitive and physical disabilities and allows seniors with disabilities to enjoy the wide range of programming found at the County's full-service senior centers. The CCT was created when the Senior Plus program was contracted out after expanding from two sites to seven sites. The team provides guidance, helps develop policies associated with the Senior Plus program, and provides quality assurance and oversight for the contractor. The need for a team of three positions to serve as an oversight and advisory body was vital in the first two years, but as the seven Senior Plus sites became established and the contract manager became more comfortable with the design of the program, there is less of a need for a three-person team. | 1 | 1.0 | $71,404 |
| Implement Alternative Overnight Emergency Services Coverage for Woodburn | This reduction eliminates clinical services requiring a physician (i.e. psychiatric evaluation, medication evaluation and medication prescription/dispensation) between 12:00 a.m. and 8:00 a.m. at Woodburn Center. Woodburn Center Emergency Services provides comprehensive psychiatric services 24/7 to individuals who are experiencing acute distress and in need of emergency/crisis intervention and quick, accessible support related to their mental health, substance abuse and intellectual development concerns. In addition to crisis intervention, services include psychiatric evaluations and psychotropic medication, preadmission evaluations for voluntary and involuntary hospitalization, and crisis residential services. Emergency services (i.e., crisis intervention) would still be provided 24/7, but this reduction will result in 156 individuals no longer receiving face to face medical/psychiatric services between 12:00 a.m. and 8:00 a.m. from an M.D. psychiatrist. If services are critically needed, a consumer will have to wait until 8:00 a.m. when a psychiatrist comes on duty. | 0 | 0.0 | $66,904 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding that Supports Three Positions Providing Juvenile Forensics BETA Services | This reduction replaces County funding with alternative revenue sources such as CSA fee revenue, redeploys 1/1.0 SYE CSB position, and eliminates 2/2.0 SYE positions. The BETA program is an intensive day treatment program located within the Juvenile Detention Center (JDC). It serves approximately 50 youth who are on suspended commitments to the state correctional facilities in a secure setting that allows for public safety to be achieved as well as providing intensive treatment and psychiatric services. At present, the CSB does not receive reimbursement for its services provided to youth in the BETA program. However, the CSB and the JDRDC are involved in discussions of service delivery design to ensure remaining staff resources will be directed at JDRDC's priority service areas, as well as fee-for-service options, including agreements with the CPMT in order to generate sufficient revenue to maintain the services. Currently, all the youth served are CSA eligible for non-mandated services. If an agreement is finalized, the CSB will monitor CSA referrals and fee revenue to assess the sustainability of the service beyond FY 2011.<br><br>In the absence of a fee-for-service agreement with the CPMT or sufficient alternative revenue, the reduction or elimination of BETA program services will impact the ability to treat some of the County's most at-risk youth while maintaining public safety. Juvenile Court judges and probation officers will be unable to place youth in a secure, locked community-based treatment program and will result in probation officers seeking CSA funding for secure residential placements at a much greater cost to the County. This will affect short and long-term outcomes for the youth, significantly increase the likelihood of criminal recidivism, and negatively impact the County's System of Care Initiative of maintaining youth in the community and with their families. As a result of the actions above, there is a savings of $238,795 to the General Fund Transfer.<br><br>Please note that the position eliminations and savings associated with this reduction are captured in the narrative for Alcohol and Drug Services. | NA | NA | NA |
| Increase Fee Revenues in Targeted Mental Health Services | This revenue enhancement increases fee revenues in Mental Health Services by $843,912 or 11.5 percent. The additional revenue is attributed to providing monthly case management services to Medicaid consumers who are currently not being seen on a monthly basis, licensing the Community Readiness program as a psycho-social rehabilitation program, increasing Children's Health Insurance and Sojourn Level B Residential Medicaid revenue targets, collecting a fee for all consumers coming into Access, and increasing collection of on-site fees and past due balances. As a result of the actions above, there is a savings of $843,912 to the General Fund Transfer. | 0 | 0.0 | NA |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding for the Mental Health Adolescent Day Treatment Program (Teen Alternative Program) | This reduction replaces County funding with alternative revenue sources such as CSA fee revenue, and redeploys 7/7.0 SYE CSB positions that otherwise would have been eliminated. The Mental Health Adolescent Day Treatment Program is the County's only adolescent day treatment program, located in Reston, and serves challenging youth with serious emotional disturbance in the community. The program partners with Fairfax County Public Schools and provides an in-house school and serves youth and their families 5 days a week, 8:00 a.m. to 3:00 p.m. It receives the majority of its referrals as discharges from psychiatric hospitals or is being "stepped down" to the community from intensive residential treatment facilities. It also serves as a primary alternative to residential placement, allowing youth who are symptomatic and struggling to remain in the community and with their families, instead of requiring hospitalization. At present, the CSB does not receive CSA reimbursement for adolescent day treatment services. However, the CSB is exploring a fee-for-service agreement with the CPMT in order to generate sufficient revenues to maintain the services. The CSA local match requirement will range from 23 percent to 58 percent based on the eligibility of the youth and the definition of the service. Currently 50 percent of the youth served are CSA eligible, of which half are eligible for mandated services. If an agreement is finalized, the CSB will monitor CSA referrals and fee revenue to assess the sustainability of the service beyond FY 2011.<br><br>In the absence of a fee-for-service agreement with the CPMT or sufficient alternative revenue, this reduction will result in a reduction or possible elimination of services to approximately 38 youth and their families. Most of these youth may then enter the system elsewhere either seeking placements through the Schools' contract services unit or by requesting CSA funding for more intensive and expensive services. As a result of the actions above, there is a savings of $312,941 to the General Fund Transfer. | 0 | 0.0 | NA |

# Fund 106
# CSB - Mental Health Services

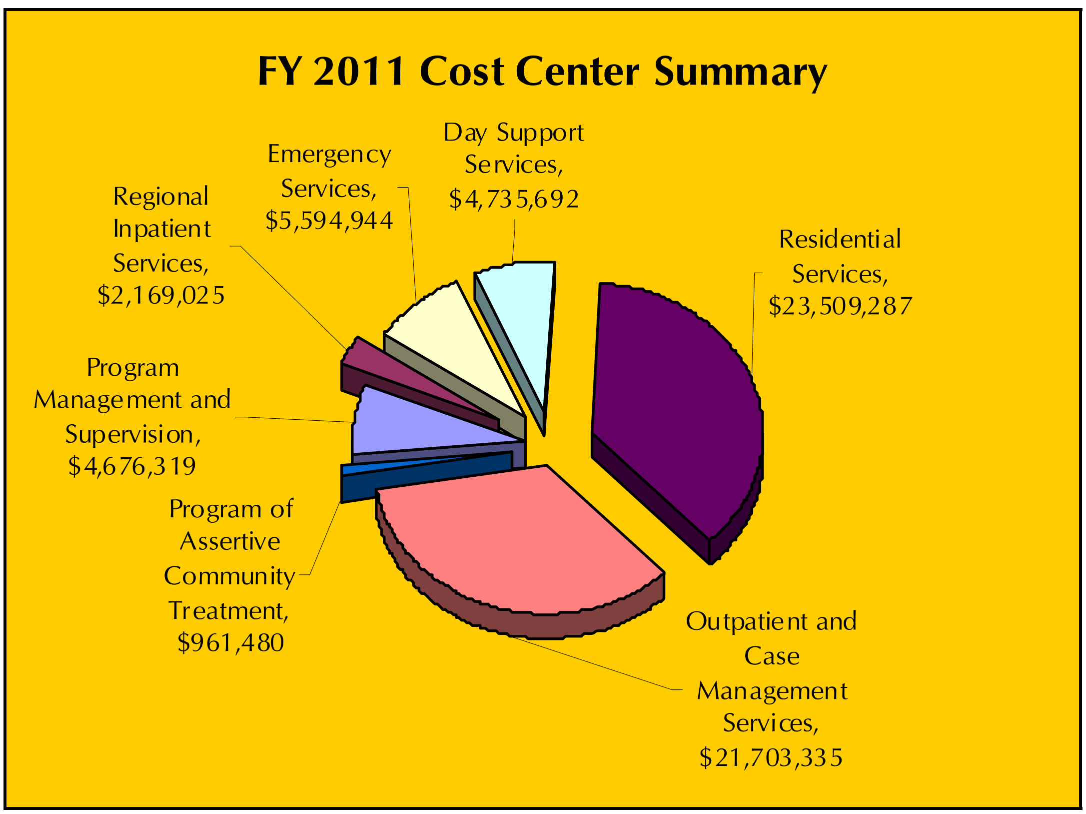
## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$4,552,338**

As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a net increase of $4,552,338 comprised of $769,575 in Personnel Services, $3,482,218 in Operating Expenses and $300,545 in Recovered Costs. This includes $3,710,928 in adjustments to current grants and programs, $960,033 in new grant awards including $397,790 for Mental Health Law Reform grant, $259,000 for the State Jail Diversion grant, $163,243 for the MH Ryan White grant, $75,000 for the Mental Health Children's Outpatient program and $65,000 for the Cooperative Employment Program; $315,159 for encumbered carryover, $111,538 for work to support the Josiah H. Beeman Commission, $63,475 in unexpended FY 2009 grant balances, offset by a decrease of $608,795 in baseline adjustments including increases of $179,418 in Mental Health Medicaid State Plan Option (SPO) revenue for Woodburn Place with a commensurate increase in expenditures due to increased work performed for others in the regional crisis stabilization program, $11,960 in Mental Health Physician's Institute contract for tobacco cessation that deferred unspent revenue to FY 2010; offset by a decrease of $800,173 in Mental Health Medicaid SPO psychosocial rehabilitative revenue with a commensurate decrease in program contract expenditures due to a change in DMAS reimbursement for services.

## Cost Centers



# Fund 106
# CSB - Mental Health Services

## Program Management and Supervision

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 72/ 72 | 70/ 70 | 68/ 68 | 68/ 68 |
| Grant | 5/ 5 | 5/ 5 | 5/ 5 | 5/ 5 |
| **Total Expenditures** | **$6,666,073** | **$4,883,430** | **$5,058,227** | **$4,676,319** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Director - Mental Health Programs | 3 | Mental Health Managers | 2 | Administrative Assistants V |
| 1 | Director - CSB Planning and Development | 2 | Financial Specialists II | 8 | Administrative Assistants IV |
| | | 2 | Business Analysts II | 7 | Administrative Assistants III |
| 1 | Senior Supervisory Psychiatrist | 1 | Program Manager | 30 | Administrative Assistants II |
| 6 | Mental Health Division Directors | 1 | Medical Records Administrator | 1 | Administrative Associate |
| 1 | Director of Clinical Operations | 1 | Volunteer Services Coordinator II | | |
| | | | **Grant Positions** | | |
| 1 | Mental Health Division Director | 1 | Management Analyst II | 1 | Administrative Assistant III |
| 1 | Senior Clinician | 1 | Mental Health Supv./Specialist | | |

**TOTAL POSITIONS**
**68 Positions / 68.0 Staff Years**
**5 Grant Positions / 5.0 Staff Years**

## Key Performance Measures

### Goal
To provide management, programming, financial monitoring, training, and general support services to ensure that treatment interventions are delivered in an efficient and effective manner throughout Mental Health Services.

### Objectives
- To provide direction and management support to Mental Health programs so that 70 percent of service quality and outcome goals are achieved.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent of mental health performance indicators (service quality and outcome) achieved | 67% | 67% | 70% / 55% | 70% | 70% |

## Performance Measurement Results
In FY 2009, 6 out of 11, or 55 percent of service quality and outcome goals were met or exceeded by Mental Health programs. Four out seven of the outcomes goals, and 3 of the 4 service quality goals were met or exceeded. Changes in data collection approach, Mental Health Law Reform, and the general downturn in the economy all negatively impacted meeting some of the targets during FY 2009.

# Fund 106
# CSB - Mental Health Services

## Regional Inpatient Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| **Total Expenditures** | **$1,958,277** | **$2,917,209** | **$3,018,930** | **$2,169,025** |

Regional Inpatient Services utilizes six local hospitals for state funding of Local Inpatient Purchase of Services (LIPOS). Staff from Emergency Services place at-risk consumers with no financial resources into beds at these local hospitals when beds are unavailable at the Northern Virginia Mental Health Institute (NVMHI). Discharge planners then monitor consumers' stays and facilitate transfers to NVMHI as needed.

## Emergency Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 43/ 43 | 43/ 43 | 45/ 45 | 41/ 41 |
| Grant | 2/ 2 | 2/ 2 | 3/ 3 | 3/ 3 |
| **Total Expenditures** | **$6,657,766** | **$5,906,498** | **$6,323,076** | **$5,594,944** |

**Position Summary**

**General Emergency**
- 1 Mental Health Manager
- 1 Emergency/Mobile Crisis Supervisor (-1)
- 12 Mental Health Supervisors/Specialists (-1)
- 6 Psychiatrists

**Forensic Services**
- 2 Mental Health Manager
- 3 Senior Clinicians (-1)
- 1 Mental Health Supervisor/Specialist
- 2 Clinical Psychologists
- 1 Psychiatrist
- 1 Public Health Nurse III

**Mobile Crisis Unit**
- 1 Mental Health Manager
- 1 Emergency/Mobile Crisis Supervisor (-1)
- 4 Mental Health Supervisors/Specialists

**Entry Services**
- 1 Mental Health Manager
- 3 Mental Health Therapists
- 1 Mental Health Counselor

**Grant Positions**
- 2 Mental Health Supervisor/Specialists
- 1 MH/ID/ADS Aide

**TOTAL POSITIONS**
**41 Positions (-4) / 41.0 Staff Years (-4.0)**
**3 Grant Positions / 3.0 Staff Years**
**(-) Denotes Abolished Positions Due to Budget Reductions**

## Key Performance Measures

### Goal

To provide 24-hour per day comprehensive psychiatric emergency services to individuals experiencing acute distress and in need of emergency/crisis intervention and quick, assessable support related to mental health, substance abuse and mental retardation issues. Every effort is made to promote self determination and honor personal choice with the least restrictive intervention while maintaining the safety of the individual and/or the community. In addition to crisis intervention, services include psychiatric evaluations and psychotropic medication; preadmission evaluations for voluntary and involuntary hospitalization and crisis residential services; evaluations for persons who have been temporarily detained at a hospital because they are a danger to themselves or others; and provision of Mobile Crisis Unit services to assist individuals in crisis in the community.

# Fund 106
# CSB - Mental Health Services

## Objectives

- To provide stabilization services outside of the hospital to 85 percent of clients seen in General Emergency Services.

- To conduct 80 percent of evaluations within 24 hours after initial contact.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| General Emergency - Service hours provided | 28,479 | 28,980 | 26,000 / 22,925 | 26,000 | 22,995 |
| General Emergency - Persons seen | 5,086 | 4,828 | 5,000 / 4,795 | 4,750 | 3,408 |
| Independent Evaluators - Persons seen[1] | 540 | 688 | 500 / 710 | 650 | NA |
| Independent Evaluators - Service hours provided[1] | 1,594 | 1,850 | 1,500 / 2,073 | 1,850 | NA |
| **Efficiency:** | | | | | |
| General Emergency - Annual cost per client | $708 | $767 | $633 / $725 | $641 | $557 |
| Independent Evaluators - Annual cost per client[1] | $673 | $586 | $795 / $377 | $324 | NA |
| **Outcome:** | | | | | |
| General Emergency - Percent of consumers who receive stabilization services without admission to a psychiatric hospital | 97% | 97% | 95% / 84% | 85% | 85% |
| Independent Evaluators - Percent of evaluations conducted within 24 hours of contact[1] | 82% | 87% | 80% / 82% | 80% | NA |

[1]In FY 2011, as result of budget reductions, contracted evaluator services are eliminated. As such, an "NA" is displayed to reflect that this indicator is no longer a needed measure.

## Performance Measurement Results

General Emergency Services provided 22,925 hours of service to 4,795 consumers in FY 2009. Both the hours of service and number of consumers did not meet the estimate provided for FY 2009. This reduction is partially related to changes in the way medication services are being provided including providing medication services through Outpatient Services rather than Emergency Services for ongoing consumers. Additionally, changes in data coding protocols that were implemented in December 2008, impacted the reporting of the data and caused a decrease in the final service figures and persons served. The service hours goals will be monitored and revised if necessary in the next year.

Independent evaluators are licensed Clinical Psychologists who evaluate persons temporarily detained at a hospital because they have been judged by staff to be a danger to themselves or others due to their mental illness. Independent evaluators make recommendations to a Special Justice at Commitment Hearings as to whether or not individuals should be committed to a hospital (against their will) for treatment of their mental illness. In FY 2009, independent evaluators provided 2,073 hours of service to 710 consumers, exceeding both estimates. The increase in these numbers is related to changes in the law due to Mental Health Reform, resulting in a broadening of the criteria for involuntary hospitalization which resulted in an increased number of individuals receiving an evaluation in FY 2009. The Independent Evaluators surpassed the estimate of 80 percent of all evaluations being completed within 24 hours.

With regard to outcomes, General Emergency Services did not meet the estimate of 95 percent of all people receiving stabilization services not requiring admission to a psychiatric hospital. Changes in the law related to Mental Health Law Reform broadened the criteria for involuntary hospitalization from "imminent risk of dangerousness" to one of "substantial likelihood of dangerousness" resulting in more people being hospitalized than would have been required in past years. It is recommended that in future years this estimate be reduced to 85 percent.

## Day Support Services

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 19/ 19 | 19/ 19 | 19/ 19 | 17/ 17 |
| Grant | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$5,647,020** | **$5,970,491** | **$5,235,318** | **$4,735,692** |

**Position Summary**

| | **Adult Day Treatment** | | **Adolescent Day Treatment** |
|---|---|---|---|
| 1 | Mental Health Manager | 1 | Mental Health Manager |
| 1 | Mental Health Supervisor/Specialist (-1) | 2 | Senior Clinicians |
| 4 | Senior Clinicians (-1) | 1 | Mental Health Supervisor/Specialist |
| 1 | Mobile Clinic Driver | 1 | Mental Health Therapist |
| 2 | Nurse Practitioners | 1 | BHN Clinician/Case Manager |
| 1 | Mental Health Therapist | 1 | MH/ID/ADS Aide |

**Grant Position**

1 Mental Health Therapist

**TOTAL POSITIONS**
**17 Positions (-2)/ 17.0 Staff Years (-2.0)**
**1 Grant Position / 1.0 Staff Year**

**(-) Denotes Abolished Positions Due to Budget Reductions**

## Key Performance Measures

### Goal

To provide a continuum of services that will improve the community stabilization and functional capacity of adults who have serious mental illness (SMI) and children who have serious emotional disturbance (SED). Services include Adult Day Treatment, Adolescent Day Treatment, Adult Psychosocial Rehabilitation programs, Sheltered Employment, Supported Employment and Transitional Employment. Services will be coordinated seamlessly in partnership by the CSB and contract providers.

### Objectives

- To enable 80 percent of consumers in adult day treatment services for more than 30 days to avoid hospitalization for at least 6 months.

- To improve functioning of 70 percent of consumers served by the Adolescent Day Treatment Program.

# Fund 106
# CSB - Mental Health Services

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Adult Day Treatment - Consumers served | 193 | 170 | 190 / 197 | 190 | 109 |
| Adult Day Treatment - Service hours provided | 31,553 | 31,517 | 33,000 / 28,491 | 31,500 | 22,272 |
| Adolescent Day Treatment - Consumers served | 27 | 46 | 38 / 31 | 38 | 38 |
| Adolescent Day Treatment - Service hours provided | 15,168 | 14,883 | 15,000 / 13,522 | 14,500 | 14,500 |
| **Efficiency:** | | | | | |
| Adult Day Treatment - Annual cost per consumer | $4,908 | $6,533 | $7,366 / $6,008 | $7,490 | $4,389 |
| Adolescent Day Treatment - Annual cost per consumer | $19,634 | $11,811 | $20,597 / $16,197 | $20,909 | $20,909 |
| **Service Quality:** | | | | | |
| Adolescent Day Treatment - Percent of clients and family members satisfied with services | 86% | 89% | 90% / 90% | 90% | 90% |
| **Outcome:** | | | | | |
| Adult Day Treatment - Percent of consumers not hospitalized within 6 months of receiving more than 30 days of treatment. | 92% | 87% | 80% / 97% | 80% | 80% |
| Adolescent Day Treatment - Percent of consumers that demonstrate improvements in school, family and community behaviors. | 53% | 81% | 70% / 100% | 70% | 70% |

## Performance Measurement Results

In FY 2009, the Adult Day Treatment Program provided 28,491 hours of service to 197 people. This exceeds the number of individuals served estimate while not meeting the hours of service estimate. The reduction in hours is in part a result of staff vacancy. Due to staff vacancies, the Adolescent Day Treatment Program was not able to meet the service hours or individuals served estimates providing 13,522 hours of service to 31 individuals. The program census was reduced by 20 percent due to staff vacancies related to budget decisions that required some positions be left vacant to meet budget targets.

In FY 2009 Adult Day Treatment exceeded the outcome estimate of consumers who receive more than 30 days of treatment and are not hospitalized within the following 6 months. Adolescent Day Treatment exceeded the Outcome estimate and met the service quality estimate, reflecting a positive outcome for the adolescents receiving services.

# Fund 106
# CSB - Mental Health Services

## Residential Services

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 126/ 125.5 | 126/ 125.5 | 127/ 126.5 | 126/ 125.5 |
| Grant | 15/ 14 | 15/ 14 | 15/ 14 | 15/ 14 |
| **Total Expenditures** | **$22,006,862** | **$24,465,848** | **$25,469,923** | **$23,509,287** |

**Position Summary**

**Supervised Apartments**
1 Mental Health Manager
4 Mental Health Supv./Specialists
9 Mental Health Therapists
1 Mental Health Counselor

**Res. Treatment Center - Adult Crisis Care**
1 Mental Health Manager
3 Mental Health Supv./Specialists
18 Mental Health Therapists
5 Mental Health Counselors
1 Cook
1 Nurse Practitioner

**New Horizons**
2 Mental Health Supv./Specialists
4 Mental Health Therapists
6 Mental Health Counselors
1 BHN Clinician/Case Manager
1 Cook

**Community Living**
1 Mental Health Supv./Specialist
1 Mental Health Therapist
1 Mental Health Counselor

**Group Home - Sojourn House**
1 Mental Health Supv./Specialist
3 Mental Health Therapists
1 Senior Clinician
4 Mental Health Counselors

**Homeless Services - Shelter**
1 Mental Health Manager
3 Mental Health Supv./Specialists (-1)
10 Mental Health Therapists
1 Psychiatrist
1 Nurse Practitioner

**Transitional Group Home - Patrick Street**
1 Mental Health Manager
1 Mental Health Supv./Specialist
2 Mental Health Therapists
3 Mental Health Counselors
1 BHN Clinician/Case Manager

**Transitional Group Home - Beacon Hill**
2 Mental Health Therapists
2 Mental Health Counselors
1 Mental Health Supv./Specialist
1 BHN Clinician/Case Manager

**Cornerstones Dual Diagnosis Facility**
1 Mental Health Supv./Specialist
1 Mental Health Therapist
3 Mental Health Counselors
1 Nurse Practitioner

**Residential Intensive Care**
1 Mental Health Manager
4 Mental Health Supv./Specialists
3 Mental Health Therapists
2 Mental Health Counselors, 1 PT
1 BHN Supervisor
1 Licensed Practical Nurse

**PACT Residential Assistance**
1 Mental Health Counselor

**Supportive Services**
1 Mental Health Supv./Specialist
3 Mental Health Therapists

**Extension Apartments**
3 Mental Health Therapists

**Grant Positions**

**Supportive Services**
2 Mental Health Therapists
1 MH Counselor, PT

**Adult Crisis Care**
3 Mental Health Therapists

**Residential Intensive Care**
3 Mental Health Counselors, 1 PT
3 Mental Health Therapists

**PATH/Homeless Services - Outreach**
3 Mental Health Therapists

**TOTAL POSITIONS**
**126 Positions (-1) / 125.5 Staff Years (-1.0)**
**15 Grant Positions / 14.0 Staff Years**

**PT Denotes Part-Time Positions**
**(-) Denotes Abolished Position Due to Budget Reductions**

## Key Performance Measures

### Goal

To provide treatment and support to adults with serious mental illness residing in group homes, apartments, domiciliary care and homeless shelters and to assist them with community living.

# Fund 106
# CSB - Mental Health Services

## Objectives

- ♦ To enable 35 percent of consumers served in the Supervised Apartment program to move to a more independent residential setting within one year.

- ♦ To enable 90 percent of consumers served by Supportive Services to maintain stable housing for at least one year.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Supervised Apartments - Consumers served | 642 | 633 | 600 / 398 | 600 | 600 |
| Supervised Apartments - Service days provided | 100,317 | 100,314 | 95,000 / 93,808 | 95,000 | 95,000 |
| Supportive Living - Consumers served | 516 | 430 | 425 / NA | NA | NA |
| Supportive Living - Service hours provided | 23,194 | 11,835 | 11,500 / NA | NA | NA |
| **Efficiency:** | | | | | |
| Supervised Apartments - Annual cost per consumer | $2,391 | $2,545 | $2,953 / $4,361 | $2,992 | $2,992 |
| Supportive Living - Annual cost per consumer | $2,381 | $3,008 | $2,995 / NA | NA | NA |
| **Service Quality:** | | | | | |
| Supervised Apartments - Number of new consumers receiving services | 61 | 72 | 50 / 163 | 50 | 50 |
| Supportive Living - Number of new consumers receiving services | 33 | 62 | 45 / NA | NA | NA |
| **Outcome:** | | | | | |
| Supervised Apartments - Percent of consumers able to move to a more independent residential setting within one year | 35% | 41% | 35% / 9% | 35% | 35% |
| Supportive Living - Percent of consumers that maintain stable housing for one year or more | 95% | 89% | 90% / NA | NA | NA |

## Performance Measurement Results

A major goal for individuals with serious mental illness is to have their own home and live in the community with the appropriate clinical and residential supports. Supervised Services provides residential treatment in a stable, supportive, therapeutic setting in which consumers with a serious mental illness learn and practice the life skills needed for successful community living. The ultimate goal is for these consumers to transition into the most manageable independent living environment. Supportive Services provides services that support consumers to acquire their own long-term permanent housing and maintain their independent long-term permanent residential arrangement.

The Supervised Apartments program, in FY 2009, provided 93,808 hours of service to 398 individuals not meeting the individuals served or service hours estimates. Due to proposed budget cuts one of the supervised apartment programs began holding beds vacant which led to a decrease in the total number of individuals served during the year. Staff were able, however, to increase the amount of support services for individuals that were in supervised programs. These estimates may need to be revised in future years once the long term impact of changes to the budget are determined. The Outcome estimate related to consumers being able to move to a more independent residential setting within one year was not met, primarily due to the ongoing lack of affordable housing in Fairfax County which negatively impacts consumers moving to independent housing. The service quality estimate was exceeded serving 163 new individuals.

In January 2008 the Mental Health Homeless Services program combined with the Alcohol and Drug Services Homeless Program and became a CSB wide program. Services are not separated out by disability area and therefore Supportive Living information will no longer be reported in this document.

## Outpatient and Case Management Services

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 155/ 154.5 | 147/ 146.5 | 151/ 150.5 | 149/ 148.5 |
| Grant | 20/ 20 | 20/ 20 | 21/ 21 | 21/ 21 |
| **Total Expenditures** | **$20,006,854** | **$20,640,548** | **$24,230,888** | **$21,703,335** |

**Position Summary**

| | **Adult Community Services** | | **Youth and Family Services** | | **Intensive Care Coordination** |
|---|---|---|---|---|---|
| 5 | Mental Health Managers | 5 | Mental Health Managers (-1) | 1 | Mental Health Division Director |
| 14 | Mental Health Supv./Specialists | 6 | Mental Health Supv./Specialists | 1 | Senior Clinician |
| 33 | Senior Clinicians (-1) | 19 | Senior Clinicians, 1 PT | 2 | Substance Abuse Counselors III |
| 22 | Mental Health Therapists | 6 | Mental Health Therapists | 2 | Substance Abuse Counselors II |
| 1 | Licensed Practical Nurse | 2 | Psychiatrists | 1 | MH/ID/ADS Aide |
| 2 | Nurse Practitioners | 5 | Clinical Psychologists | | |
| 8 | Psychiatrists | 1 | BHN Clinical Nurse Specialist | | |
| 5 | BHN Supervisors | | | | |
| 4 | BHN Clinicians/Case Managers | | | | |
| 4 | BHN Clinical Nurse Specialists | | | | |
| | | | **Grant Positions** | | |
| | **Adult Outpatient & Case Management Access Team** | | **Jail Diversion** | | |
| | | 1 | Mental Health Manager | | |
| 5 | Mental Health Therapists | 4 | Senior Clinicians | | |
| 6 | Senior Clinicians | 5 | Mental Health Therapists | | |

**TOTAL POSITIONS**
**149 Positions (-2) / 148.5 Staff Years (-2.0)**
**21 Grant Positions / 21.0 Staff Years**

**(-) Denotes Abolished Position Due to Budget Reductions**
**PT Denotes Part-Time Position**

## Key Performance Measures

### Goals

**Adults:** To stabilize mental health crises and symptoms, facilitate optimal community integration, assist in managing reoccurrence of symptoms and building resilience, and promote self-management, self-advocacy and wellness.

**Youth and Family:** To provide assessment, evaluation, multi-modal treatment, case management, psycho-educational and pharmacological services to the children, youth and families (ages 3 to 18) of Fairfax County. These services will be provided though interagency collaboration and practice as mandated by the Comprehensive Services Act.

### Objectives

- To schedule 100 percent of consumers referred for an assessment within 7 days of discharge from the hospital.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Consumers served | 3,174 | 2,988 | 3,100 / 2,677 | 3,100 | 3,100 |
| Service hours provided | 34,250 | 30,153 | 36,000 / 22,018 | 36,000 | 36,000 |
| **Efficiency:** | | | | | |
| Annual cost per consumer | $4,081 | $4,620 | $5,249 / $5,247 | $5,629 | $4,869 |
| **Service Quality:** | | | | | |
| Percent of consumers satisfied with services | 85% | 85% | 85% / 80% | 85% | 85% |
| **Outcome:** | | | | | |
| Percent of consumers scheduled for an assessment within 7 days of discharge | 77% | 51% | 100% / 89% | 100% | 100% |

## Performance Measurement Results

In FY 2009, Outpatient and Case Management Services provided 22,018 hours of service to 2,677 individuals, falling short of the consumer served estimate and the service hours provided estimate. Most of this reduction can be accounted for by the loss of positions due to budget cuts.

Outpatient Services utilizes a state-mandated consumer satisfaction instrument, in addition to focus groups, to solicit information from consumers about their experiences. The FY 2009 Consumer Satisfaction Survey (MHSIP) results indicate an 80 percent overall satisfaction with services. Further review of this data and projections for the upcoming years will be based on an analysis of the change in approach utilized by the state to get this information that resulted in a significant reduction in the number of surveys completed in FY 2009. Eighty-nine percent of the individuals discharged from state hospital beds were seen within 7 days of discharge. This is a 38 percent improvement over FY 2008 but still falls short of the outcome estimate. Mental Health Services will continue to work to improve this result.

# Fund 106
# CSB - Mental Health Services

## Program of Assertive Community Treatment (PACT)

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 11/ 11 | 11/ 11 | 11/ 11 | 11/ 11 |
| **Total Expenditures** | **$1,253,908** | **$959,897** | **$959,897** | **$961,480** |

| Position Summary | | |
|---|---|---|
| 1 Mental Health Manager | 3 Mental Health Therapists | 1 Administrative Assistant III |
| 1 MH/ID/ADS Senior Clinician | 3 Public Health Nurses III | |
| 2 Mental Health Supervisors/Specialists | | |
| **TOTAL POSITIONS**<br>**11 Positions / 11.0 Staff Years** | | |

## Key Performance Measures

### Goal
To provide assertive, out of the office treatment, rehabilitation, crisis intervention and support services 365 days per year to adults with severe and persistent mental illness resulting in lowered hospitalization, incarceration and homelessness rates.

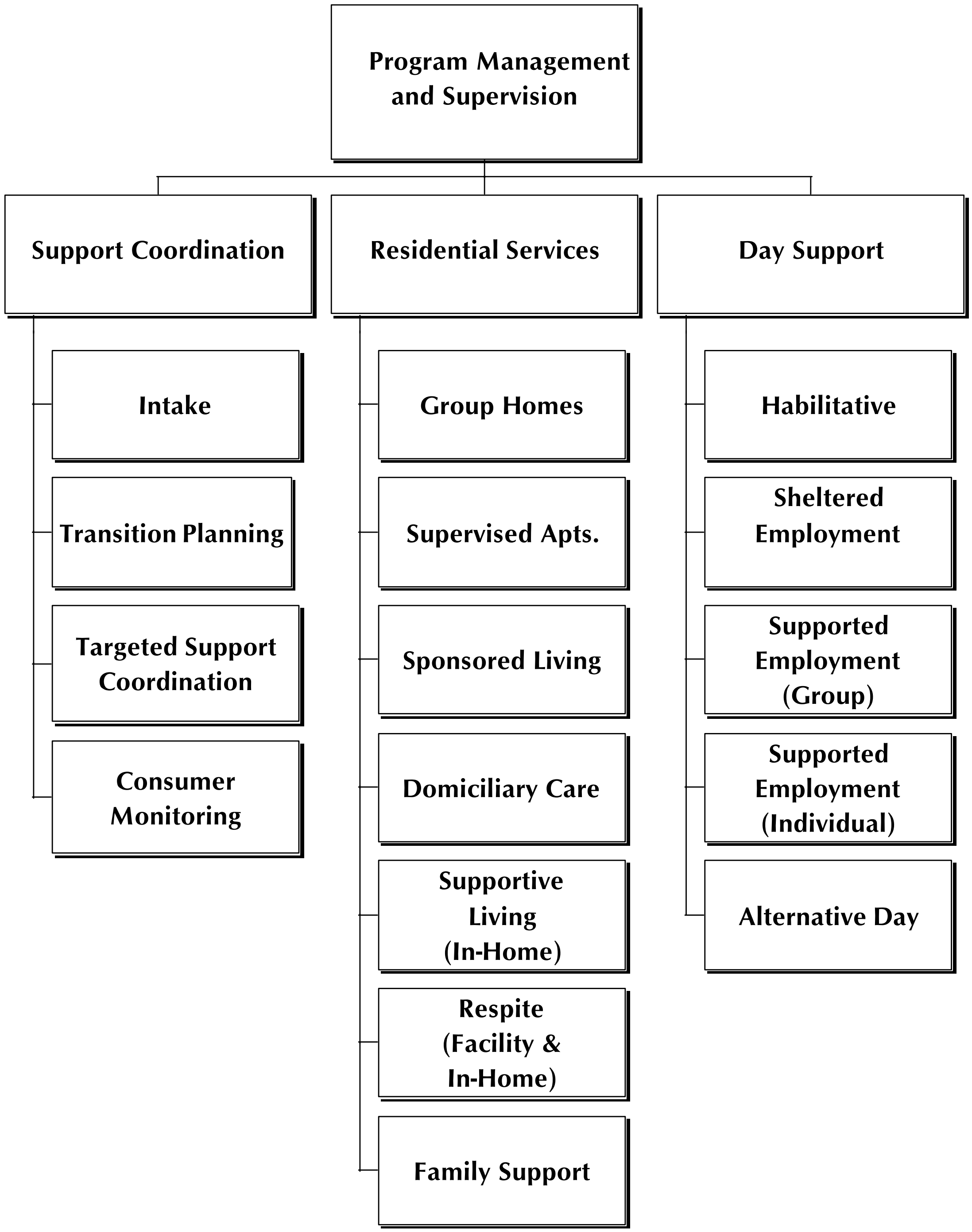
### Objectives
- To improve community tenure for PACT consumers so that 90 percent reside outside of the jail or hospital for at least 330 days in a year.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Consumers served | 108 | 112 | 100 / 118 | 100 | 100 |
| Service hours provided | 15,574 | 16,552 | 15,779 / 12,410 | 15,779 | 15,779 |
| **Efficiency:** | | | | | |
| Annual cost per consumer | $10,177 | $10,094 | $9,442 / $10,626 | $9,599 | $9,599 |
| **Service Quality:** | | | | | |
| Percent of consumers satisfied with services | 98% | 98% | 90% / 95% | 90% | 90% |
| **Outcome:** | | | | | |
| Percent of consumers who remain out of jail or the hospital for at least 330 days in a year | 90% | 91% | 90% / 87% | 90% | 90% |

## Performance Measurement Results

In FY 2009 the PACT program provided 12,410 hours of service to 118 individuals, exceeding the individuals served estimate but not meeting the service hours provided estimate. PACT, like other areas of Mental Health, is refining its data collections procedures. These revised procedures combined with a staff vacancy for half the year, attributed to a lower than projected number of service hours. Eighty-seven percent of the people PACT serves were able to remain out of jails and hospitals for the majority of the year, missing the outcome estimate by only 3 percent. PACT asked consumers to provide feedback through a satisfaction survey. As a result, ninety-five percent of PACT consumers reported they were satisfied with the services they received satisfying the Service Quality target.

# Fund 106
# CSB – Intellectual Disability Services



# Fund 106
# CSB – Intellectual Disability Services

## Mission

It is the mission of Intellectual Disability Services (IDS), formerly called Mental Retardation Services (MRS), to promote services and supports which enable people with intellectual disabilities and their families to attain a personally desired and valued quality of life. IDS will achieve its mission by directly providing individualized services and by building community capacity to provide services that are effective and efficient.

It is the vision of IDS that all people with intellectual disabilities and their families in Fairfax County and the Cities of Fairfax and Falls Church, have access to quality, individualized services, offered locally, and are empowered to participate in developing and evolving those services.

IDS values services and supports that:

- Empower individuals/families to maximize their independence and quality of life (with a minimum, but necessary degree of structure to achieve their desired independence and quality of life);
- Are flexible and diverse to meet existing and changing individual/family needs and preferences;
- Protect individual/family health, safety, and confidentiality;
- Are provided in an integrated, community-based setting; and,
- Are of quality in nature valuing excellence and professionalism in services, supports and workforce.

## Focus

Intellectual Disability Services provides direct services to individuals with intellectual disabilities and oversees services provided by private vendors. Effective July 1, 2009, Mental Retardation Services became known as Intellectual Disability Services. The term "intellectual disability" covers the same population of individuals previously diagnosed with "mental retardation," and it is expected that the Diagnostic and Statistical Manual (DSM) published by the American Psychiatric Association will also change their terminology in the next edition of the DSM to replace the terms "mental retardation" with "intellectual disability."

This change is the result of local, State and national efforts to eliminate the words "retardation, retarded, or retard", which many individuals and families feel are derogatory and devaluing, from general and governmental use. During the 2008 legislative session of the Virginia General Assembly, a bill was passed to change the terminology throughout the entire Code of Virginia, with a reenactment clause approved again during the 2009 legislative session. In addition, the General Assembly also voted to change the name of the Virginia Department of Mental Health, Mental Retardation and Substance Abuse Services (DMHMRSAS) to the Department of Behavioral Health and Developmental Services (DBHDS), effective July 1, 2009.

Intellectual Disability Services are provided through four cost centers: Program Management and Supervision, Support Coordination (formerly known as Case Management), Residential Services and Day Support.

**Program Management and Supervision**

Program Management and Supervision is provided to all intellectual disability programs, whether directly-operated, under contract, or operating through the Medicaid Waiver program, to ensure service quality, customer satisfaction, sound fiscal management and the appropriate allocation of resources. The agency participates in numerous collaborative efforts throughout the region and State, including public policy formulation; program planning and development; interagency collaboration (including efforts to expand the number of Medicaid ID and Day Support Waiver slots); statewide systems transformation and services expansion; transportation services planning for persons with disabilities; long-term care coordination; regional emergency preparedness planning for individuals with special needs; human rights and ethics; and mental health services access, coordination, and quality for individuals who are dually-diagnosed with intellectual disabilities and mental illness. Leadership in these activities demonstrate and ensure that Intellectual Disability Services continues to have significant influence and impact on the provision of services to individuals with disabilities throughout the Northern Virginia region and the entire Commonwealth of Virginia.

**Support Coordination Services (formerly called Case Management)**

Support Coordination Services is the intervention which ensures that service systems and community supports are responsive to the specific, multiple, and changing needs of individuals and families. Support Coordination Services ensure that individuals are properly connected to, and involved in, the appropriate services and supports in order to maximize opportunities for successful community living. Support Coordinators assist in gaining access to needed homes and jobs, social service benefits and entitlement programs, therapeutic supports, social and educational resources, and other supports essential to meeting basic needs. Through face to face contacts, phone contacts, and review of various reports, the Support Coordinator helps assess the needs of the individual and develops a person-centered plan, links the individual to services and supports, coordinates and monitors services, provides technical assistance, and advocates for the individual.

DBHDS regulations require that Support Coordination Services must be provided to all individuals who are enrolled in Medicaid and who request support coordination. These individuals who are recipients of Medicaid benefits receive a full cadre of support coordination support such as interdisciplinary team planning, coordination of services, intake and assessments, advocacy, and resource planning. Those individuals who do not have Medicaid may also receive the same or similar service coordination based on need. In addition, the State mandates Support Coordination Services to those who are in need of emergency assistance pursuant to §37.1-194 of the Code of Virginia. Pre-admission screening and pre-discharge planning from state training centers or hospitals is also required under the Community Services Performance Contract 5.3.1 and 5.3.3 pursuant to the Code of Virginia.

Adults or children age six or older must have a confirmed diagnosis of intellectual disability to be determined eligible for support coordination services. For a child age three to six years old, there must be confirmation of a cognitive developmental delay. Individuals served may be as young as three years of age and range through individuals over age 70. People with intellectual disabilities are now living longer and as a result, many individuals served by IDS experience the same health and aging related issues as the general population. In addition, individuals served are more medically fragile. People may be brittle diabetics, on oxygen, or require gastrointestinal tubes for feeding. Support Coordinators are required to monitor the medications the individual takes and the possible side effects. In addition, the community has become increasingly multi-cultural and multi-linguistic, requiring specialized training for IDS Support Coordinators.

Support Coordination Services were provided to 1,792 persons with intellectual disabilities in FY 2009. Of that total, 1,298 individuals received targeted support coordination, and 494 people received consumer monitoring. Support Coordinators continue to coordinate not only County-funded services, but also approximately $42.5 million in Medicaid-funded services paid directly to private providers providing covered services to residents of Fairfax County, Fairfax City, and the City of Falls Church. For all support coordination services, Medicaid reimbursed the CSB $3.2 million in FY 2009, the same as in FY 2008, and a 14.3 percent increase over the FY 2007 total of $2.8 million.

Transition of youth from schools continues to be a priority activity for Support Coordination Services. A continuing trend is the increasing number of students who are medically fragile or require extensive physical or personal care. In addition to the anticipated transition of these youth, there is an extensive support coordination intake demand from people moving into the County requiring support coordination services. Since Support Coordination Services is the "gate-keeper" for all other Intellectual Disability Services, this intake process is a very significant activity.

Finally, there is a trend toward increasing external documentation requirements necessitating increased quality assurance, training, and specialized administrative and managerial supports. Additionally, some of these requirements involve additional assessment activities that must be performed in person by the Support Coordinator. In order to meet the external requirements imposed by licensure, DBHDS State Performance Contract, DBHDS Performance and Outcome Measurement System, and Medicaid, there is an increased emphasis on monitoring documentation and utilization review. For example, the State began its roll-out of the Person-Centered Planning (PCP)/Supports Intensity Scale (SIS) training in FY 2009. The SIS is a new assessment and evaluation tool developed specifically to measure the supports necessary for an individual to achieve desired life outcomes. Beginning July 1, 2009, all persons with intellectual disabilities who receive Medicaid services will be required to be supported using person-centered planning and the SIS to ensure their services focus on their individual gifts, talents, hopes, and dreams, and to assist in identifying the supports that are important to each individual and the supports important for each individual to achieve his or her desired outcomes. The SIS will be utilized and phased-in for all individuals served by IDS and funded by Medicaid over the next three years.

**Residential Services**

Residential Services provide housing and residential support services in the community for individuals with intellectual disabilities. These services provide an array of residential supports designed around individual needs and desires, with an emphasis on providing opportunities for full inclusion in community life. The majority of residential services are provided through CSB partnerships with approved private providers. Contract management oversight is provided by the CSB for all of the residential programs, public or private, through onsite observations, clinical consultations with Support Coordinators and other professionals in the community, review of outcome measures and coordination with quality assurance activities.

- Group Homes provide small-group living arrangements for individuals located in homes that are integrated in surrounding neighborhoods. These programs may be directly operated by the CSB, operated by private providers under contract with the CSB, or by private providers not under contract with the CSB but funded through Medicaid. Approximately 75 percent of group home services are privatized. Staff support services are available on a 24-hour basis and concentrate on developing supportive relationships, independent living skills, and a network of friends and opportunities in the community.

- Intermediate Care Facilities (ICF-MR's) provide group living arrangements for four through 12 individuals located in homes that are integrated in surrounding neighborhoods. These programs are operated by private providers under contract with the CSB and are funded by Medicaid. Staff support services are available on a 24-hour basis and concentrate on developing supportive relationships, independent living skills, and a network of friends and opportunities in the community. Due to the active treatment required in these programs, support services such as doctors, nurses, pharmacists and social workers are required.

- Residential Supported Living provides services to individuals living in their own homes or in shared living arrangements (e.g., apartments and town homes). These services may be provided by the CSB or by private providers. The extent of support provided ranges from daily to drop-in, is based on individual needs, and takes into account individual preference, choice, and independence. Staff support includes individual and group counseling, training and assistance in community living and personal skills, and linkage with other more natural support networks in the community. Support services to individuals living in their own homes are all privatized, and over 90 percent of the drop-in support services for people living in program-leased apartments and town homes are privatized.

- Respite Services provide trained respite care providers (short-term relief), available by telephone referral, who are scheduled for hourly or overnight assistance to families needing time away from caring for their family members with intellectual disabilities. Services are also available at a licensed 24-hour home for longer-term respite and emergency services. Respite services are provided through private providers.

In FY 2009, Residential Services provided housing and residential support to 602 individuals, with 317 of those individuals being served through directly-operated and contracted group homes. A serious challenge confronting Residential Services is the number of individuals who are aging in place and require more physically-accessible, barrier-free living environments. During FY 2009, individuals from three homes were relocated into two completely renovated barrier free homes using Universal Design Concepts. One home was a joint venture with RPJ Housing and the CSB and includes an interior elevator. The other accessible home is owned by Wesley Housing Corporation and has two fully accessible bathrooms, one with a freedom tub. This same need exists for many individuals residing in other group homes, but there is a notable shortage of available, affordable, and accessible housing in Fairfax County. Residential Services continues to explore opportunities for the creation of barrier-free group homes and/or more accessible apartments, which provide better residential options for individuals requiring such living arrangements.

**Day Support**
Day Support provides assistance and training to improve individual independence and self-sufficiency, and/or to obtain vocational training and support to enter and remain in the workforce. Vocational and day support services for individuals with intellectual disabilities are provided primarily through contracts with private, non-profit agencies.

- Developmental Services provide self-maintenance training and nursing care for individuals who are the most severely disabled in areas such as: intensive medical care, behavioral interventions, socialization, communication, fine and gross motor skills, daily living and community living skills, and possibly limited remunerative employment.

- Sheltered Employment provides individuals full-time, remunerative employment in a supervised setting with support services for habilitative development.

- Group Supported Employment provides individuals intensive job placement assistance for off-site, supervised contract work and competitive employment in the community. Job retention services are also provided.

- Individualized Supported Employment provides remunerative employment with necessary support services. This service primarily serves persons with less severe disabilities and stresses social integration with non-disabled workers.

- The Cooperative Employment Program (CEP) provides supported competitive employment services to eligible individuals with developmental disabilities. The CEP is jointly funded and operated by the Department of Rehabilitative Services (DRS) and the CSB. Using an individualized approach, program staff assesses skills, analyze job requirements, and provide on-the-job training for disabled individuals and disability awareness training for employers. Extensive follow-up services are provided to ensure the success of the job placement. In addition to the job-training component, the CEP offers mobility training to enhance individuals' abilities in the use of public transportation.

Transportation for day support services is contracted by the CSB through FASTRAN, providing morning and evening transportation for persons to-and-from employment and vocational training sites throughout the Fairfax-Falls Church service area. Alternative transportation services may be available from other qualified providers, including providers who have been approved by the Virginia Department of Medical Assistance Services as eligible for Medicaid reimbursement. The CSB has a fee policy in effect requiring a monthly flat fee collection for non-Medicaid-funded transportation services.

In FY 2009, day support and employment services were provided to 1,251 individuals with intellectual disabilities. The average annual earnings for the people surveyed in FY 2009 that received community-based group supported employment services were $5,742, a slight decrease from the prior year yet an 11.3 percent increase over the last two years. The average annual earnings for the people surveyed in FY 2009 that received individual supported employment services were $15,925, a slight decrease back to a FY 2007 level. It is believed these decreases were the result of the recession and are minor given the extent of the overall economic downturn. In the directly-operated Cooperative Employment Program (CEP), a total of 145 persons were served and 26 new job placements occurred during FY 2009. Average hourly wages for 119 of these individuals was $10.96/hour, and total wages earned increased to over $1.98 million. The average number of hours worked by these individuals was 28 hours per week. In addition, over 56 percent of the employed individuals served by CEP received full or partial benefits as part of the compensation package offered by their employers.

As directed by the Board of Supervisors in FY 2006, CSB staff (along with representatives from the Office of the County Executive, Office of the County Attorney, Department of Management and Budget, and Department of Administration for Human Services) recommended implementation of Self-Directed (SD) Services as an alternative model to traditional day support and employment services. SD services provide adults with intellectual disabilities and their families (including recent graduates from local public and private school special education programs) the opportunity to self-direct day support or employment services to maximize self-determination, enhance personalized service delivery, promote greater community involvement, and reduce service costs. Initiation of SD Services began in FY 2008 via use of Individualized Purchase of Service contracts for two consumers.

During FY 2009, SD services expanded to a census of six individuals. The annualized savings for these six contracts is estimated to be $19,096. The purchase of 3,917 service hours in FY 2009 reflects a 245 percent increase above the number of SD services hours purchased during FY 2008. The first SD services program evaluation was conducted in January and February 2009. At that time, all families responded to questions about program information, contract development and renewal, contract management, financial management, service management, quality of life, and overall satisfaction with the SD services program. Responses were very positive and suggestions for program and service improvement were provided. Consequently, the continued availability and participation of SD services in FY 2010 and beyond is anticipated.

Working with Fairfax County Public Schools, IDS has determined that there will be 90 special education students with intellectual disabilities in June 2010 who will require day support or employment services. IDS will continue to maximize the provision of services through a combination of new Medicaid Waiver slot allocations, program attrition, efficient use of existing funding, maximization of CEP and SD services, and continuation of management initiatives.

## FY 2011 Budget Reduction Impact Summary

To address the projected FY 2011 budget shortfall, a reduction of $776,763 has been included in the FY 2011 Advertised Budget Plan. This reduction has been accomplished through the elimination of all remaining FASTRAN attendant services for all remaining individuals with intellectual disabilities receiving day services; and the elimination of the purchase of contracted in-home respite services.

In FY 2010, budget reductions included a decrease of $4,595,508 and were associated with the elimination of the purchase of FASTRAN services for persons with intellectual disabilities who have Medicaid coverage; the elimination of the purchase of attendant services; the elimination of the purchase of out-of-zone non-Medicaid FASTRAN Services; the reduction of contracted individual supported employment services; the elimination of FASTRAN services for 41 non-Medicaid funded individuals with Intellectual Disabilities in sheltered and group supported employment services; and the elimination of the purchase of FASTRAN Services for 16 non-Medicaid funded individuals with intellectual disabilities receiving developmental day services.

# Fund 106
# CSB – Intellectual Disability Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 102/ 101.5 | 102/ 101.5 | 104/ 104 | 104/ 104 |
| Grant | 44/ 44 | 44/ 44 | 50/ 50 | 50/ 50 |
| Expenditures: | | | | |
| Personnel Services | $12,231,842 | $13,015,012 | $13,215,106 | $13,372,415 |
| Operating Expenses | 27,545,982 | 24,151,775 | 25,324,870 | 23,806,787 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$39,777,824** | **$37,166,787** | **$38,539,976** | **$37,179,202** |
| Less: | | | | |
| Recovered Costs | $0 | $0 | $0 | $0 |
| **Total Expenditures** | **$39,777,824** | **$37,166,787** | **$38,539,976** | **$37,179,202** |
| Revenue: | | | | |
| Fairfax County | $33,274,355 | $30,674,676 | $30,674,676 | $30,021,622 |
| Fairfax City | 509,234 | 509,234 | 509,234 | 509,234 |
| Falls Church City | 194,817 | 194,817 | 194,817 | 194,817 |
| State DBHDS | 18,610 | 0 | 0 | 0 |
| Medicaid Waiver | 2,701,749 | 1,741,273 | 1,868,293 | 2,176,359 |
| Medicaid Option | 2,977,194 | 3,447,551 | 3,520,625 | 3,677,934 |
| Program/Client Fees | 1,314,163 | 599,236 | 599,236 | 599,236 |
| Fund Balance | (1,212,298) | 0 | 1,173,095 | 0 |
| **Total Revenue** | **$39,777,824** | **$37,166,787** | **$38,539,976** | **$37,179,202** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Contract Rate Increases** **$549,117**
  An increase of $549,117 in Operating Expenses is associated with a 2.71 percent contract rate increase for providers of contracted intellectual disability services.

- **Miscellaneous Adjustments** **$357,403**
  An increase of $357,403 in Personnel Services is associated with necessary grant adjustments.

- **FASTRAN Charges** **($117,342)**
  A decrease of $117,342 in Operating Expenses is associated with anticipated requirements for FASTRAN charges as a result of reductions in the costs for fuel, vehicle replacement, and maintenance charges.

♦ **Reductions** **($776,763)**
A decrease of $776,763 reflects reductions utilized to balance the FY 2011 budget. The following chart provides details on the specific reductions approved.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate Purchase of FASTRAN Attendant Services for All Intellectual Disabilities (ID) Day Services Consumers | This reduction eliminates the purchase of FASTRAN attendant services for all remaining individuals with intellectual disabilities (ID) receiving day services. Following the Fairfax-Falls Church Community Services Board (CSB) FASTRAN reductions implemented during FY 2010, significantly higher than anticipated savings were achieved in expenditures for attendant services because a disproportionate number of FASTRAN attendants became no longer necessary when the CSB's Medicaid consumers with ID were transferred over to Logisticare providers. | 0 | 0.0 | $501,755 |
| Eliminate Purchase of Contracted Intellectual Disability Services In-Home Respite Services | This reduction eliminates contracted in-home respite service hours and will impact approximately 111 families (most with young children) who utilize this program for needed respite from the daily challenges of supporting a family member with an intellectual disability. In most instances, this minimal service (average of 183 hrs/yr) is all the support a family receives from the CSB for their family member with an intellectual disability (ID).<br><br>The IDS in-home respite service is a respite subsidy program that helps families offset the cost of in-home respite care. Qualifying families arrange for and hire their own care providers, and then receive subsidies in the form of cash reimbursement. The respite subsidy program is available only to those families for whom the family member with ID is neither eligible for or on a waiting list for Medicaid ID waiver services; so they cannot access Medicaid respite services. Currently, there is no other County agency or non-profit organization that provides comparable financial assistance for in-home respite care for persons with ID. While these individuals presumably will not lose their respite providers, families will no longer receive any financial assistance and may need to reduce the number of hours of respite services purchased. | 0 | 0.0 | $275,008 |

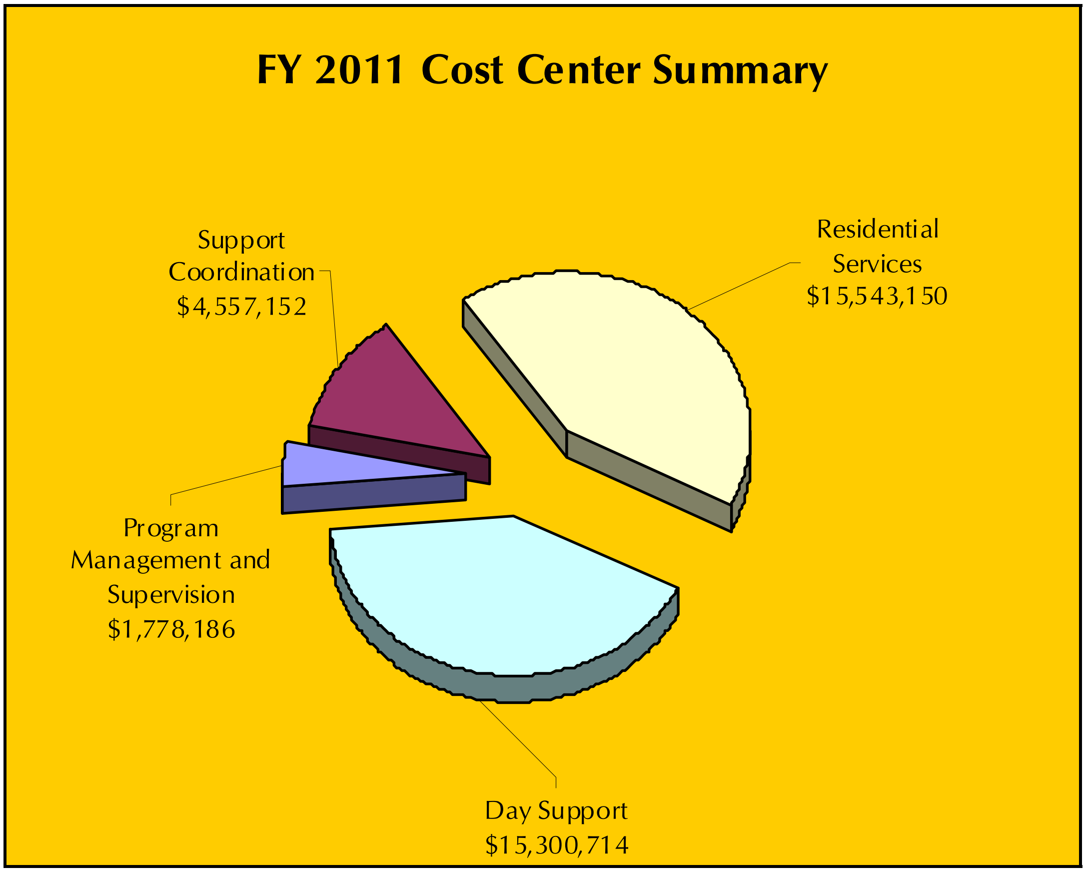
## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$1,373,189**
As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a net increase of $1,373,189, comprised of $200,094 in Personnel Services and $1,173,095 in Operating Expenses. This includes increases of $1,173,095 for encumbered items and $200,094 in new grant program year adjustments including $127,020 for Intellectual Disabilities Services Residential program and $73,074 for Intellectual Disabilities Services Medicaid Case Management.

# Fund 106
# CSB – Intellectual Disability Services

## Cost Centers




## Program Management and Supervision

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 15/ 15 | 15/ 15 | 15/ 15 | 15/ 15 |
| **Total Expenditures** | **$1,588,561** | **$1,778,186** | **$1,675,901** | **$1,778,186** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Director of ID Programs | 2 | ID Specialists III | 1 | Behavioral Nurse Clinician/Case Manager |
| 3 | ID Specialists V | 2 | ID Specialists II | 1 | Administrative Assistant IV |
| | | 1 | Management Analyst III | 4 | Administrative Assistants II |

**TOTAL POSITIONS**
**15 Positions / 15.0 Staff Years**

## Key Performance Measures

### Objectives

- To provide direction and management support to Intellectual Disability programs so that 88 percent of service quality and outcome goals are achieved.

# Fund 106
# CSB – Intellectual Disability Services

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent of intellectual disability program performance indicators (service quality and outcome) achieved | 100% | 100% | 88% / 75% | 88% | 88% |

## Performance Measurement Results

In FY 2009, 75 percent of Intellectual Disability Services' (IDS) service quality and outcome goals were met or exceeded. Overall, these results indicate that ID services are operating effectively and meeting the needs of people receiving services. All service quality indicators in each service area exceeded FY 2009 targets except two related to consumer wage earnings under Day Support, which was expected due to the challenging economic times the County and nation are currently facing. Because these challenging economic conditions are expected to continue for the next fiscal year, these consumer wage earnings projections are adjusted accordingly.

## Support Coordination Services

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 9/ 8.5 | 9/ 8.5 | 11/ 11 | 11/ 11 |
| Grant | 40/ 40 | 40/ 40 | 44/ 44 | 44/ 44 |
| **Total Expenditures** | **$3,986,175** | **$4,326,769** | **$4,502,128** | **$4,557,152** |

**Position Summary**

| | | | |
|---|---|---|---|
| 1 | ID Specialist V | 1 | Management Analyst I |
| 2 | ID Specialists IV | 1 | Business Analyst II |
| 6 | ID Specialists III | | |
| | **Grant Positions** | | |
| 2 | ID Specialists III | 4 | ID Specialists I |
| 38 | ID Specialists II | | |

**TOTAL POSITIONS**
**11 Positions / 11.0 Staff Years**
**44 Grant Positions / 44.0 Staff Years**
**PT Denotes Part Time Position**

## Key Performance Measures

### Goal

To provide service coordination and behavior management consultations to individuals with intellectual disabilities to maximize their independence in the community.

### Objectives

- To support individuals' self-sufficiency in the community by ensuring that clients receiving Targeted Support Coordination Services meet at least 95 percent of their individual service plan objectives.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Targeted Support Coordination - Individuals served | 1,202 | 1,273 | 1,300 / 1,298 | 1,300 | 1,300 |
| **Efficiency:** | | | | | |
| Targeted Support Coordination - Cost per individual served | $2,698 | $2,920 | $2,981 / $3,071 | $3,203 | $3,340 |
| **Service Quality:** | | | | | |
| Targeted Support Coordination - Percent of individuals satisfied with services | 97% | 92% | 90% / 93% | 90% | 90% |
| **Outcome:** | | | | | |
| Targeted Support Coordination - Percent of individual service plan objectives met | 98% | 98% | 95% / 97% | 95% | 95% |

## Performance Measurement Results

In FY 2009, 93 percent of individuals surveyed were satisfied with Support Coordination services, as compared to a goal of 90 percent, an increase from the previous year and evidence that Support Coordinators consistently exceed targeted satisfaction levels despite more complicated and increased caseloads. Ninety-seven percent of individual service plan objectives were achieved versus a goal of 95 percent. In addition, the FY 2009 number of individuals receiving targeted case management services increased by almost 2 percent above FY 2008 levels. A larger increase in the number of individuals served was anticipated but ultimately constrained by higher-than-anticipated staff vacancies.

Annual cost per individual served was $3,071, 3 percent higher than the originally projected amount of $2,981. This increase also represents a 5.2 percent change above the $2,920 annual cost per individual receiving targeted Support Coordination amount incurred in FY 2008, and is reflective of increased spending for assistive technology and environmental modification purchases for Medicaid recipients. These purchases are coordinated by Support Coordination services, and the associated costs are offset by increased Medicaid Waiver revenue collections.

## Residential Services

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 71/ 71 | 71/ 71 | 71/ 71 | 71/ 71 |
| Grant | 4/ 4 | 4/ 4 | 6/ 6 | 6/ 6 |
| **Total Expenditures** | **$14,900,253** | **$15,499,194** | **$15,633,484** | **$15,543,150** |

# Fund 106
# CSB – Intellectual Disability Services

| Position Summary | | | |
|---|---|---|---|
| | **Group Homes** | | **Supervised Apartments** |
| 1 | ID Specialist IV | 3 | ID Specialists I |
| 3 | ID Specialists III | 1 | ID Specialist II |
| 11 | ID Specialists II | | |
| 52 | ID Specialists I | | |
| | **Grant Positions** | | |
| 6 | ID Specialists I | | |
| **TOTAL POSITIONS** | | | |
| **71 Positions / 71.0 Staff Years** | | | |
| **6 Grant Positions / 6.0 Staff Years** | | | |

## Key Performance Measures

### Goal
To provide residential services to individuals with intellectual disabilities to maximize their independence in the community.

### Objectives
- To achieve a level of at least 92 percent of individuals who are able to remain living in group homes rather than more restrictive settings.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Group Homes - Individuals served | 314 | 314 | 305 / 317 | 305 | 305 |
| **Efficiency:** | | | | | |
| Group Homes - Cost per client served | $35,281 | $35,749 | $38,150 / $37,603 | $39,585 | $41,671 |
| **Service Quality:** | | | | | |
| Group Homes - Percent of individuals who are satisfied with support services | 90% | 92% | 88% / 98% | 90% | 90% |
| **Outcome:** | | | | | |
| Group Homes - Percent of individuals living in group homes who maintain their current level of service | 99% | 99% | 90% / 100% | 92% | 92% |

## Performance Measurement Results
In a survey of individuals receiving residential services, 98 percent reported satisfaction with support services, exceeding the FY 2009 goal of 88 percent and the FY 2008 actual of 92 percent. In FY 2009, 100 percent of individuals living in group homes were able to maintain their current level of service despite the fact that those served were more medically or behaviorally challenging. Efforts continue to occur to support individuals living in their own homes.

Overall, 317 individuals were served in group homes in FY 2009 which is more than the projected total of 305 individuals. The average FY 2009 cost to the County per client served in group homes increased to $37,603 due to a variety of cost increases including staff salaries, rents, utilities, transportation/fuel, food, and others. This amount was 5.2 percent above the FY 2008 level, but still slightly below the FY 2009 projected amount of $38,150, reflecting maintenance of efficiencies implemented in recent years in both directly-operated and contracted residential settings and higher than anticipated numbers of individuals served.

## Day Support

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 7/ 7 | 7/ 7 | 7/ 7 | 7/ 7 |
| **Total Expenditures** | **$19,302,835** | **$15,562,638** | **$16,728,463** | **$15,300,714** |

| Position Summary | |
|---|---|
| 1 | Manpower Specialist IV |
| 6 | Manpower Specialists II |
| **TOTAL POSITIONS** | |
| **7 Positions / 7.0 Staff Years** | |

## Key Performance Measures

### Goal

To maximize self-sufficiency and independence for individuals with intellectual disabilities.

### Objectives

- To achieve an annual increase of at least 1 percent in average wage earnings reported for individuals in Supported Employment services (both individual and group-based programs).

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Day Support - Total individuals served | 1,188 | 1,218 | 1,293 / 1,251 | 1,333 | 1,404 |
| Day Support - Non-Medicaid eligible individuals served | 677 | 687 | 735 / 703 | 700 | 749 |
| Supported Employment - Non-Medicaid eligible individuals served | NA | 479 | 570 / 494 | 504 | 552 |
| **Efficiency:** | | | | | |
| Day Support - Cost per individual served with local funds | $16,815 | $18,703 | $19,210 / $17,536 | $18,143 | $18,770 |
| Supported Employment - Cost per individual served with local funds | $11,661 | $11,394 | $11,694 / $11,394 | $11,583 | $11,775 |
| **Service Quality:** | | | | | |
| Day Support - Percent of individuals satisfied with services | 95% | 94% | 90% / 96% | 90% | 90% |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Supported Employment - Average wages reported by individuals in group-based programs | $5,160 | $6,012 | $5,263 / $5,742 | $5,742 | $5,742 |
| Supported Employment - Average wages reported by individuals in individual-based programs | $15,952 | $16,519 | $16,273 / $15,925 | $15,925 | $15,925 |
| Supported Employment - Percent change in average wages reported by individuals in all programs | 4.00% | 8.40% | 1.00% / (1.90%) | 1.00% | 1.00% |

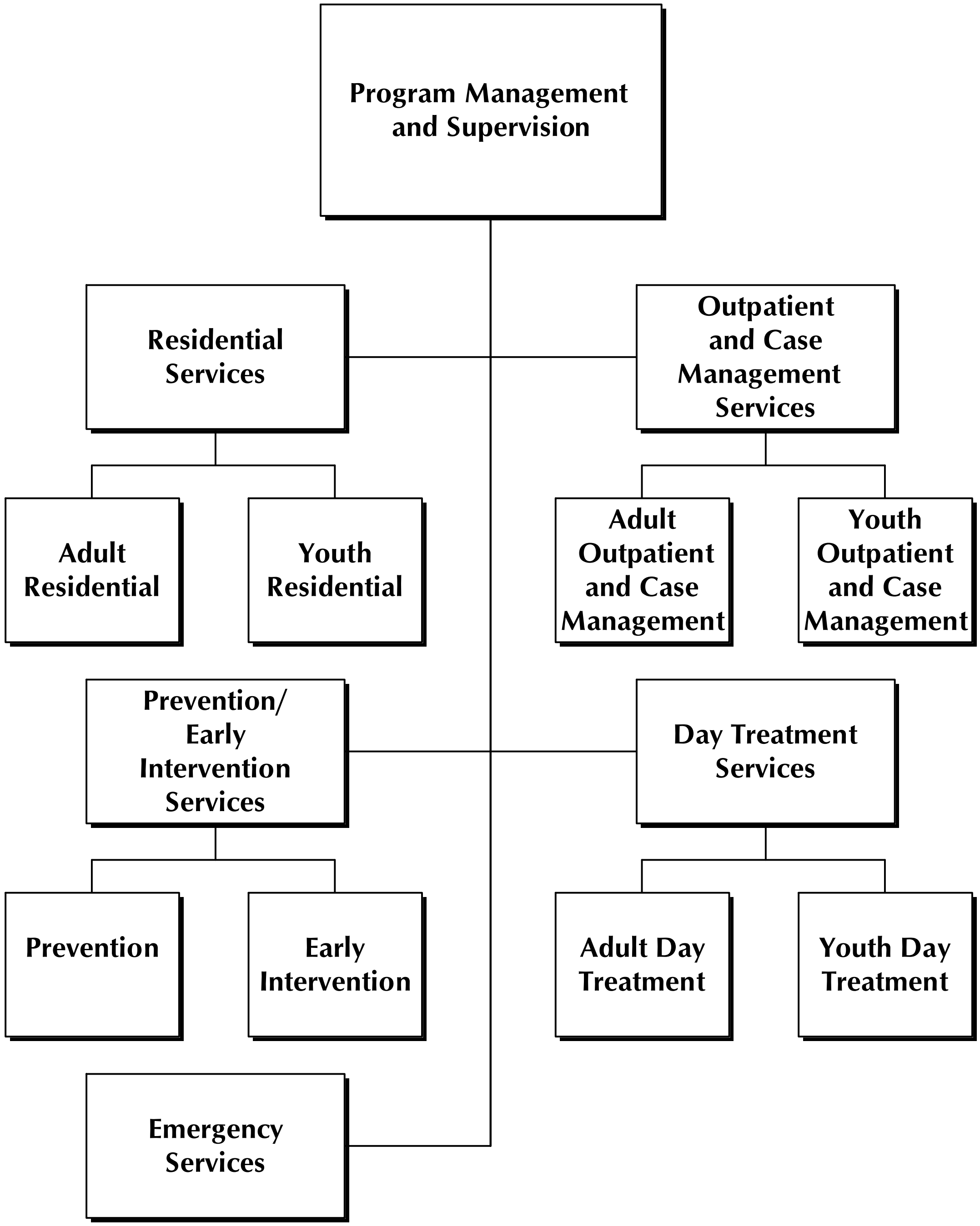
## Performance Measurement Results

According to an annual survey, in FY 2009, 96 percent of individuals receiving day support services reported satisfaction, thereby exceeding the goal of 90 percent. Total wages earned by the 371 people surveyed who received group supported employment services in FY 2009 was $2,130,111, for average annual earnings of $5,742. This FY 2009 average wage total was $270 lower than this group's FY 2008 average wage total of $6,012. Total wages earned by the 198 people surveyed who received individual employment services in FY 2009 were $3,153,165, for average annual wage earnings of $15,925. This group's average annual wage earnings were $594 lower than their FY 2008 average earnings of $16,519. Overall, in FY 2009, the percentage change in average wages reported by individuals in all contracted supported employment programs was a decrease of 1.9 percent versus a target of a 1.0 percent increase.

While a slight overall decrease is apparent, the average wage reduction appears to relatively small within the context of a national recession that began in December 2007. Further, there was only a slight change in the overall net number of individuals employed in the most recent fiscal year 569 in FY 2009 versus 572 in FY 2008. This relatively consistent level of persons served remaining employed is viewed as a success given a national economy with unemployment exceeding 9 percent. These results are significantly below the average wage increases of 8.4 percent seen in FY 2008. Given the susceptibility of employment opportunities for individuals with disabilities to the overall general economy, slower wage growth is anticipated in the near future.

The FY 2009 total of 703 non-Medicaid individuals receiving day support is a slight increase from the FY 2008 total of 687. However, it is also lower than the originally projected number of 735 due to higher than anticipated attrition rates and prioritization of Medicaid-funded services in FY 2009. The cost per individual served with local funds for Day Support was $17,536 in FY 2009, a 6.2 percent decrease from the FY 2008 amount and 8.7 percent below the FY 2009 estimate. This decrease in the annual cost per individual served is attributable to the lack of contract rate adjustments in FY 2009, higher than projected attrition, prioritization of Medicaid-funded services, implementation of a 2-month delay in the start of services for June 2008 special education graduates, and implementation of other management efficiencies. The cost per individual served decreased despite higher expenses for private providers in the following areas: direct-care personnel; increased medical, behavioral and accessibility needs for aging consumers; energy and fuel costs for facilities and vehicles; higher insurance premiums; and, necessary provisions for emergency management. The cost per individual served with local funds for Supported Employment was $11,394 in FY 2009, which represents no change from the FY 2008 cost, but is 2.6 percent below the FY 2009 estimate of $11,694. These estimates are determined up to two years ahead of time based on estimated state and local funding, number of projected consumers, expected program attrition, and foreseeable contract rate adjustments. Since these variables are continuously changing, the cost per individual for IDS/MRS Day Support services is difficult to accurately project.

# Fund 106
# CSB - Alcohol and Drug Services



# Fund 106
# CSB - Alcohol and Drug Services

## Mission

To reduce the incidence and prevalence of alcohol and drug abuse in Fairfax County and in the cities of Fairfax and Falls Church by providing prevention, treatment and rehabilitation services to individuals and their families who abuse and/or are addicted to alcohol and drugs.

## Focus

Alcohol and Drug Services (ADS) provides substance abuse prevention, early intervention and treatment services to residents of Fairfax County and the cities of Fairfax and Falls Church. Services are provided through directly-operated programs and contractual providers through six cost centers: Program Management and Supervision, Residential, Outpatient and Case Management, Prevention/Early Intervention, Day Treatment and Emergency Services.

**Program Management and Supervision** provides leadership in the management of services and staff; planning and development of programs; evaluation; quality assurance; and, resource allocation of local, state, federal and grant funds. This cost center also provides volunteer support services and administrative support.

**Residential Services** provides comprehensive services including individual, group and family therapy; medication management; and case management. Residential treatment settings are matched to the level of care needed by adolescent and adult clients. Treatment services include detoxification, intermediate and long term treatment, supervised apartment programming, supported living services and aftercare services. Specialized care is provided for clients with co-occurring substance use disorders and mental illness, pregnant and post-partum women, persons whose primary language is Spanish, and persons who are homeless. The CSB has utilized fiscally responsive practices to analyze ways Medicaid dollars can be realized and maximized for funding residential service delivery. For example, in FY 2009, Medicaid billing and reimbursement expanded in the Cornerstones Program by billing for a new service.

Residential Services has established a continuum of service between the Recovery Women's Center (day treatment services) and New Generations (residential treatment services). The redesign at the New Generations program allows an intermediate length of stay for pregnant and post-partum women and their children while their needs are addressed by staff clinicians.

**Outpatient and Case Management Services** provides case management and individual, group and family counseling for adult and adolescent clients, with specialized care for the dually diagnosed, pregnant and post-partum women, those whose primary language is Spanish, and those with HIV/AIDS. Psychiatric consultation to assist in treatment planning and case management is provided. The Fairfax Adult Detention Center provides services that include court-ordered assessments, evaluations, referral to community treatment, as well as direct services within the jail. Services are provided through the Intensive Addictions Program and the True Freedom Program, which are designed for persons who have a co-occurring disorder. Education groups are also provided in English and Spanish. Psychiatric treatment and medication management are provided as needed through the psychiatrist assigned to the jail.

**Prevention/Early Intervention Services** seeks to reduce the incidence of substance abuse and other risky behaviors before they become more serious issues. Services include education, consultation, training, screening and referral services, as well as specialized programming to at-risk and high-risk populations. Services are usually offered in community settings and reach those that would not usually seek or access services in traditional manners. Prevention/Early Intervention staff plays a vital role in increasing public knowledge about substance abuse awareness and available resources.

Prevention Services directly implements and trains community partners in the facilitation of the Children's Acknowledge, Care, Tell (ACT) project: Parents Raising Safe Kids (PRSK) program. PRSK is a violence prevention project that focuses on adults who raise, care for, and teach children ages 0 to 8 years. It is designed to prevent violence by providing young children with positive role models and environments that teach nonviolent problem-solving. In FY 2010 Prevention/Early Intervention Services is also continuing to implement the Substance Abuse and Mental Health Administration (SAMHSA) model program, Too Good For Drugs (TGFD). This multi-week program uses interactive teaching methods and is designed to develop five essential life skills: (1) goal setting; (2) decision making; (3) bonding with pro-social others; (4) identifying and managing emotions; and (5) communicating effectively.

Prevention Services continues to facilitate the Girl Power (GP) program directly. Prevention Services also builds capacity by providing GP training to community partners and ongoing technical assistance for replication and expansion of this service. Prevention/Early Intervention staff plays a vital role in increasing public awareness of evidenced-based practices, substance abuse prevention and mental health promotion.

Prevention/Early Intervention Services provides the Leadership and Resiliency Program (LRP) and the Student Assistance Program (SAP), which are intensive, school-based programs. The plan, approved by the Board of Supervisors, is to implement both programs in all 28 Fairfax County public high schools in the future, as funding permits. In FY 2011, LRP will be in 11 high schools and SAP will remain in 15 high schools. LRP is a CSB-developed SAMHSA model substance abuse and violence prevention program for high school students. Nearly 100 jurisdictions nationally and in Canada have purchased LRP licenses, materials, and training to replicate the program. Revenue is used to help fund local prevention services. SAP is an alcohol and drug screening, assessment, and early intervention program serving adolescents and their families.

**Day Treatment Services** provides daily intensive case management, individual, group and family counseling to substance abusing adults and adolescents who need more intensive services than the standard outpatient treatment services. Psychiatric consultation to assist in treatment planning and case management is provided. Adolescents served in the Day Treatment Program and Juvenile Detention Center also receive their school services from Fairfax County Public Schools on-site at their treatment program.

In FY 2011, Adult Day Treatment Services will continue a contract with the Virginia Department of Corrections, Department of Parole and Probation to provide relapse prevention services for offenders in need of such specialized service.

**Emergency Services** provides crisis intervention, assessment, evaluation, case management and emergency substance abuse services for all adult Alcohol and Drug Services programs and provides referrals to private treatment programs when needed. Specialized services are offered to those whose primary language is Spanish and those clients with co-occurring substance use disorders and mental illness.

The individuals served throughout these programs include pregnant women, those diagnosed with HIV/AIDS, individuals needing intensive residential treatment services and high-risk youth. These services help the individuals attain recovery from abuse and addiction, increase positive outcomes in pregnancy, reduce homelessness, increase work/school/social productivity, reduce criminal justice involvement and reunite families.

## FY 2011 Budget Reduction Impact Summary

To address the projected FY 2011 budget shortfall, a reduction of $639,416 has been included in the FY 2011 Advertised Budget Plan. This reduction was accomplished through the elimination of 6/6.0 SYE positions; management of position vacancies to achieve savings; and decrease of program expenses. Alcohol and Drug Services has identified potential new revenues of $662,263 in order to maintain core services.

In FY 2010, budget reductions included a decrease of $1,722,399, the elimination of 15/15.0 SYE positions, and the reduction of adult outpatient services at the North County Human Services Center; the elimination of hospital-based medical detoxification services; the elimination of the Diversion to Detoxification program; the elimination of the Leadership and Resiliency Program in four high schools; the reduction of services at the Adult Detention Center; the reduction of quality assurance and compliance services; the reduction of the FASTRAN Budget; the closing of five residential substance abuse and co-occurring treatment beds; the reduction of contract treatment services; the termination of a vacant property lease; and the reduction of Personnel Services expenditures.

# Fund 106
# CSB - Alcohol and Drug Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 316/ 314 | 301/ 299 | 294/ 292 | 288/ 286 |
| Grant | 13/ 13 | 13/ 13 | 14/ 14 | 14/ 14 |
| Expenditures: | | | | |
| Personnel Services | $23,996,211 | $25,729,538 | $25,748,252 | $24,804,369 |
| Operating Expenses | 5,395,396 | 4,341,521 | 5,048,149 | 4,314,142 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$29,391,607** | **$30,071,059** | **$30,796,401** | **$29,118,511** |
| Less: | | | | |
| Recovered Costs | ($269,386) | $0 | $0 | ($50,000) |
| **Total Expenditures** | **$29,122,221** | **$30,071,059** | **$30,796,401** | **$29,068,511** |
| Revenue: | | | | |
| Fairfax County | $22,525,211 | $21,420,732 | $21,420,732 | $19,827,065 |
| Fairfax City | 235,620 | 123,261 | 123,261 | 123,261 |
| Falls Church City | 118,355 | 118,355 | 118,355 | 118,355 |
| State DBHDS | 3,573,154 | 3,275,191 | 3,275,191 | 3,566,914 |
| State Other | 214,646 | 118,028 | 137,808 | 197,839 |
| Federal Block Grant | 3,253,895 | 3,260,118 | 3,307,847 | 3,279,121 |
| Federal Other | 821,055 | 433,419 | 907,908 | 434,739 |
| Federal ARRA | 0 | 0 | 278,033 | 0 |
| Medicaid Option | 42,638 | 500,409 | 112,000 | 112,000 |
| Program/Client Fees | 738,750 | 722,046 | 722,046 | 1,013,933 |
| CSA Pooled Funds | 5,715 | 0 | 0 | 295,784 |
| Miscellaneous | 99,500 | 99,500 | 99,500 | 99,500 |
| Fund Balance | (2,506,318) | 0 | 293,720 | 0 |
| **Total Revenue** | **$29,122,221** | **$30,071,059** | **$30,796,401** | **$29,068,511** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Contract Rate Increases** **$19,110**
  An increase of $19,110 in Operating Expenses is associated with a 2.71 percent contract rate increase for providers of contracted alcohol and drug services.

- **Miscellaneous Adjustments** **($382,242)**
  A decrease of $382,242 is associated with necessary grant and non-grant adjustments.

♦ **Reductions** **($639,416)**

A decrease of $639,416 and 6/6.0 SYE positions reflects reductions utilized to balance the FY 2011 budget. In addition, there are revenue enhancements totaling $662,263. As a result of these adjustments, there is a savings of $1,301,679 to the General Fund Transfer. The following chart provides details on the specific reductions approved, including funding and associated positions.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Manage Position Vacancies to Achieve Savings for Alcohol and Drug Services (ADS) | This reduction impacts the ability to fill regular merit positions. ADS has a targeted number of positions to hold vacant throughout the year; currently ADS maintains an average of 10.5 vacancies. This reduction will add 2.5 vacancies to the turnover target, for a total of 13.0. As a result, ADS consumers are likely to experience longer wait times for services; ADS staff will experience increased caseloads; and ADS may not be able to meet State Performance Contract expectations. | 0 | 0.0 | $194,796 |
| Eliminate One Supervisory Position in the Juvenile Forensics Program | This reduction eliminates one supervisory position in the Juvenile Forensics Program and impacts the clinical and administrative oversight of the joint Mental Health Services (MHS) and Alcohol and Drug Services (ADS) team at Juvenile & Domestic Relations District Court (JDRDC). This position supervises seven staff who provide evaluations, crisis intervention and emergency services to JDRDC and youth housed in the Juvenile Detention Center. This position also provides site management coverage for the entire Juvenile Forensics Program that includes the seven MHS staff and four ADS staff. The work of this position will be transferred to another manager in MHS and the CSB will continue to work with JDRDC to ensure their highest priority service needs are met.<br><br>Please note that the position elimination and savings associated with this reduction are captured in the narrative for Mental Health Services. | NA | NA | NA |
| Reduce One Supervisory Substance Abuse Counselor Position in Prevention Services | This reduction eliminates one of three Substance Abuse Counselor III supervisory positions in the Prevention Division. The position both directly implements services and supervises four SAC II positions in the delivery of evidenced-based substance use prevention and mental health promotion services in school and/or community-based settings in the Region I and II areas (both identified as high need areas). The supervisory duties will be absorbed by other SAC III staff in Region III and IV. In addition, service impacts will be as follows: a) direct services to 250 individuals will be reduced in Regions I and II; b) community collaboration and mobilization of partners for countywide initiatives will be reduced by 30 percent for Region I and II residents; c) reduced quality improvement/program implementation capacity of SAC II staff in Regions I and II due to loss of on site supervision; d) response time to community requests for services will be significantly delayed and some programming and services will be unavailable; e) result in a critical loss to the Prevention strategic realignment plan within the CSB; and f) reduced consumer satisfaction and quality of life. | 1 | 1.0 | $84,235 |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate One Supervisory Substance Abuse Counselor Position at South County Alcohol and Drug Services Adult Outpatient Services | This reduction eliminates one Substance Abuse Counselor III position that conducts direct service evaluations and supervises three staff that provide outpatient services for the Probation and Parole program and High Intensity Drug Trafficking Area (HIDTA) grant. The reduction eliminates on-site evaluation services at South County for 15-25 consumers, will increase the number of evaluations at the Assessment and Referral Center which could result in extended waits for other consumers, and will increase the workload of existing site directors at South County and Fairfax Outpatient sites since they will absorb supervisory duties. | 1 | 1.0 | $84,235 |
| Reduce Operating Expenses for Alcohol and Drug Services (ADS) Cornerstones Program | This reduction reduces operating expenses for contracted residential treatment services in the Cornerstones Program. As a result, the waiting list for such services will increase to four months and approximately seven high-risk individuals will go unserved. Most clients have previous outpatient treatment failure, are court involved and are receiving services through multiple human services agencies. Individuals present with severe medical complications, psychiatric disorders, histories of abuse and neglect and a myriad of other problems. While waiting for services, individuals often cycle through inappropriate yet expensive services which do not meet their needs, including hospitalizations, detoxification centers, emergency rooms, and crisis care programs. | 0 | 0.0 | $80,000 |
| Reduce One Substance Abuse Counselor Position in Alcohol Drug Services Jail Services | This reduction eliminates one Substance Abuse Counselor II position, leaving eight ADS staff at the ADC. This will result in the elimination of Intensive Addictions Program treatment services for 40 clients/inmates annually, as well as an increased wait for 30 court-ordered intakes annually. These intakes will be provided by other staff, but the waiting period will increase by approximately 2-3 weeks. | 1 | 1.0 | $73,075 |
| Reduce One Substance Abuse Counselor Position in the Cornerstones Program | This reduction eliminates one of six Substance Abuse Counselors and three of 16 beds at Cornerstones, and will impact five to seven individuals annually as the waiting time for services will increase to approximately 4 months. Some individuals will likely experience hospitalizations, incarcerations and homelessness while waiting for services.<br><br>Individuals served at Cornerstones are disabled with both severe mental illness and severe substance abuse disorders, and are often at high risk for suicide. Most have been hospitalized multiple times, have a history of homelessness and present with chronic medical conditions. Their medical conditions often render them fragile and at risk of serious ongoing medical complications. They are unable to live safely in the community without first receiving appropriate stabilization at Cornerstones. Most individuals are prescribed three to four psychotropic medications to help stabilize their psychiatric symptoms. Individuals receiving services often lack family and social support and are typically unable to work due to their disability. Individuals in need of this service often cycle through other expensive services which do not meet their needs, including hospitals, crisis care programs, detoxification centers and jails. | 1 | 1.0 | $73,075 |

# Fund 106
# CSB - Alcohol and Drug Services

| Title | Impact | Posn | SYE | Reduction |
| --- | --- | --- | --- | --- |
| Reallocate HIDTA Reimbursement Funding for Alcohol and Drug Services (ADS) Crossroads Adult Program | This reduction reduces the flexibility to provide additional residential treatment services at the Crossroads Residential facility. Crossroads Adult is a long-term therapeutic alcohol and drug residential treatment program. Clients complete the residential phase of the program then enter a continuing care phase to allow them to make a smooth transition back into the community. As a result of this reduction, wait times for such services are likely to increase as there currently is a wait list. | 0 | 0.0 | $50,000 |
| Eliminate County Funding that Supports Three Positions Providing Juvenile Forensics BETA Services | This reduction replaces County funding with alternative revenue sources such as CSA fee revenue, redeploys 1/1.0 SYE CSB position, and eliminates 2/2.0 SYE positions. The BETA program is an intensive day treatment program located within the Juvenile Detention Center (JDC). It serves approximately 50 youth who are on suspended commitments to the state correctional facilities in a secure setting that allows for public safety to be achieved as well as providing intensive treatment and psychiatric services. At present, the CSB does not receive reimbursement for its services provided to youth in the BETA program. However, the CSB and the JDRDC are involved in discussions of service delivery design to ensure remaining staff resources will be directed at JDRDC's priority service areas, as well as fee-for-service options, including agreements with the CPMT in order to generate sufficient revenue to maintain the services. Currently, all the youth served are CSA eligible for non-mandated services. If an agreement is finalized, the CSB will monitor CSA referrals and fee revenue to assess the sustainability of the service beyond FY 2011.<br><br>In the absence of a fee-for-service agreement with the CPMT or sufficient alternative revenue, the reduction or elimination of BETA program services will impact the ability to treat some of the County's most at-risk youth while maintaining public safety. Juvenile Court judges and probation officers will be unable to place youth in a secure, locked community-based treatment program and will result in probation officers seeking CSA funding for secure residential placements at a much greater cost to the County. This will affect short and long-term outcomes for the youth, significantly increase the likelihood of criminal recidivism, and negatively impact the County's System of Care Initiative of maintaining youth in the community and with their families. As a result of the actions above, there is a savings of $238,795 to the General Fund Transfer.<br><br>Please note that this reduction is also referenced in the narrative for Mental Health Services. | 2 | 2.0 | NA |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Increase Revenue for Alcohol and Drug Services Provided to Probation and Parole | This reduction and revenue enhancement eliminates one grant Substance Abuse Counselor II position at South County Outpatient Site and increases revenue in the Probation and Parole program. ADS will continue to provide the treatment required to fulfill the Memorandum of Agreement (MOA) requirements by existing merit staff. In addition, a second MOA designed to provide relapse prevention services for Probation and Parole, which was implemented by staff working overtime, will now be provided within regular budgeted hours. These efficiencies will result in an increase of revenue without a commensurate increase in expenditures. As a result of the actions above, there is a savings of $74,592 to the General Fund Transfer. | 0 | 0.0 | NA |
| Increase Client Fee Collection Revenues in Alcohol and Drug Services | This revenue enhancement increases client fee collection revenue and impacts staff resources as staff will be required to absorb the work associated with processing the additional volume of payment collection and follow-up correspondence with clients. In particular, these increased fees will affect consumers in Crossroads Adult and Vanguard Contract Residential Treatment programs. Staff will be responsible for increased notification of and discussions with clients to ensure that fees are paid. There is also the potential of fees being collected through income tax returns using the debt set-off services. In conjunction with the increase already reflected in the FY 2011 CSB fee revenue base request, this reduction would increase ADS client fees by 19.5 percent. As a result of the actions above, there is a savings of $125,000 to the General Fund Transfer. | 0 | 0.0 | NA |

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Eliminate County Funding at the Crossroads Youth Residential Treatment Program | This reduction replaces County funding with alternative revenue sources such as Comprehensive Services Act (CSA) fee revenue, and redeploys 3/3.0 SYE CSB positions that otherwise would have been eliminated. Crossroads Youth is a residential treatment facility for youth with co-occurring disorders. At present, the CSB does not receive CSA reimbursement for substance abuse residential services, but received reimbursement for youth referred to the former Sunrise II program. The CSB is exploring a fee-for-service agreement with the Community Policy and Management Team (CPMT) in order to generate sufficient revenue to maintain the services. The CSA local match requirement will range from 23 percent to 58 percent based on the eligibility of the youth. Currently, all the youth served are CSA eligible for non-mandated services. If an agreement is finalized, the CSB will monitor CSA referrals and fee revenue to assess the sustainability of the service beyond FY 2011.<br><br>In the absence of a fee-for-service agreement with the CPMT or sufficient alternative revenue, this reduction will eliminate up to three of 14 Substance Abuse Counselor direct service staff and impact up to 17 youth annually who would not be served due to loss of staff required by licensure standards to maintain full bed capacity. The 20-bed capacity would be reduced to 13. In 2007, the 11-bed Sunrise youth residential program for co-occurring disordered youth was eliminated due to low utilization. Along with this reduction, a total of 18 residential beds for youth with co-occurring disorders will have been eliminated, equivalent to a 58 percent loss of capacity. Other impacts may include increased service wait time from 4 to 12 weeks, increased criminal behavior in the community, increased out-of-county placements through CSA at an increased cost, and reduced consumer satisfaction. As a result of the actions above, there is a savings of $223,876 to the General Fund Transfer. | 0 | 0.0 | NA |

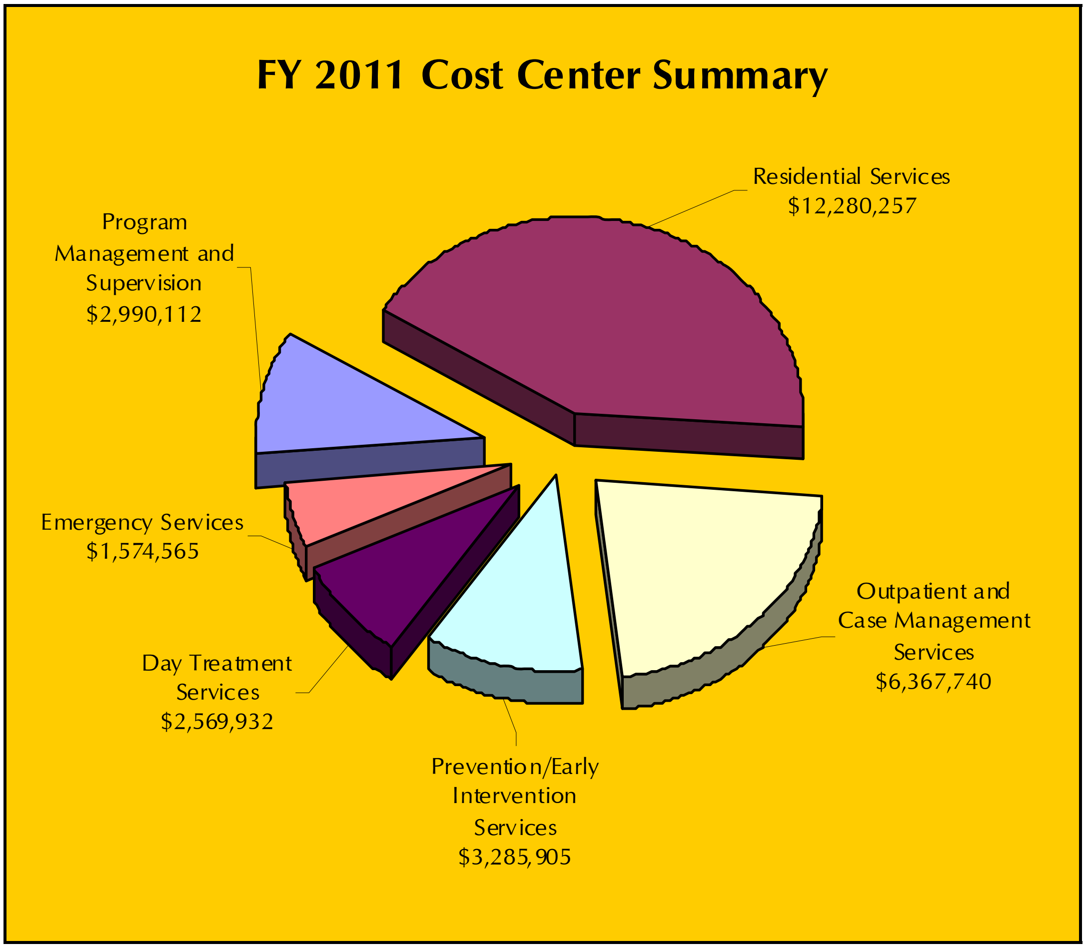
## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$725,342**

As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a net increase of $725,342, comprised of $18,714 in Personnel Services and $706,628 in Operating Expenses. This includes increases of $473,170 in unexpended FY 2009 grant balances; $346,249 in new grant program year adjustments including $278,033 for the new federal stimulus American Recovery and Reinvestment Act of 2009 (ARRA) allocation for the Diversion to Detoxification program in Alcohol and Drug Services and $68,216 for the Alcohol and Drug Services Al's Pals grant; $293,721 for encumbered items; $47,730 in baseline adjustments in deferred revenue for the Regional Co-Occurring Residential federal block grant project offset by a decrease of $435,528 in adjustments to current grant awards.

## Cost Centers




## Program Management and Supervision

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 32/ 32 | 30/ 30 | 31/ 31 | 31/ 31 |
| **Total Expenditures** | **$2,901,696** | **$3,184,113** | **$3,188,110** | **$2,990,112** |

| Position Summary | | | |
|---|---|---|---|
| | **Program Management & Supervision** | | **Office Support** |
| 1 | Director, Alcohol and Drug Programs | 1 | Administrative Associate |
| 5 | Substance Abuse Counselors V | 5 | Administrative Assistants IV |
| 1 | Substance Abuse Counselor IV | 14 | Administrative Assistants III |
| 1 | Business Analyst II | 2 | SAS Aides |
| 1 | Volunteer Services Program Manager | | |
| **TOTAL POSITIONS** | | | |
| **31 Positions / 31.0 Staff Years** | | | |

# Fund 106
# CSB - Alcohol and Drug Services

## Key Performance Measures

### Goal
To provide program management, quality assurance, evaluation, administrative support and volunteer support services for the agency's alcohol and substance abuse treatment programs.

### Objectives
- To provide direction and management support to Alcohol and Drug Services (ADS) programs so that 80 percent of service quality and outcome goals are achieved.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Outcome:** | | | | | |
| Percent of ADS program performance indicators (service quality and outcome) achieved | 94.0% | 88.0% | 80.0% / 93.7% | 80.0% | 80.0% |

## Performance Measurement Results
In FY 2009, 15 out of 16 or 94 percent of service quality and outcome measures were met or exceeded by Alcohol and Drug Services (ADS) thereby exceeding the target of 80 percent. One outcome measure was not met in the directly operated residential program. Residential services experienced a decline in the percentage of clients employed after leaving the program. This decline was most likely attributed to the challenging economy and consumers' inability to attain and maintain employment.

The performance measures are designed to measure service satisfaction, access to services, consumer service delivery, consumer productivity in school and/or work, and reduction of illegal substance use. ADS will use the results of the FY 2009 performance measures to engage in continuous quality improvement activities throughout FY 2011.

## Residential Services

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 144/ 143 | 137/ 136 | 132/ 131 | 131/ 130 |
| Grant | 3/ 3 | 3/ 3 | 7/ 7 | 7/ 7 |
| **Total Expenditures** | **$12,772,142** | **$12,470,953** | **$13,372,267** | **$12,280,257** |

# Fund 106
# CSB - Alcohol and Drug Services

## Position Summary

**Social Detoxification**
1 Public Health Doctor, PT
1 Behavioral Nurse Supervisor
4 Behavioral Nurse Clinicians/Case Managers
1 Nurse Practitioner
1 Substance Abuse Counselor IV
2 Substance Abuse Counselors III
7 Substance Abuse Counselors II
7 Substance Abuse Counselors I
1 SAS Aide
1 Psychiatrist

**Long-Term Rehabilitation - Crossroads**
1 Substance Abuse Counselor IV
3 Substance Abuse Counselors III
10 Substance Abuse Counselors II
3 Substance Abuse Counselors I
1 Assistant Residential Counselor
1 Behavioral Nurse Clinician/Case Manager
2 Nurse Practitioners
1 Administrative Assistant V
1 Food Service Supervisor
2 SAS Aides

**Intermediate Rehabilitation - A New Beginning**
1 Behavioral Nurse Clinician/Case Manager
1 Substance Abuse Counselor IV
3 Substance Abuse Counselors III
6 Substance Abuse Counselors II
6 Substance Abuse Counselors I
1 Food Service Supervisor
4 Cooks
1 Administrative Assistant V
1 SAS Aides

**Steps to Recovery**
1 Substance Abuse Counselor III
3 Substance Abuse Counselors II
1 Substance Abuse Counselor I

**Supported Living**
1 Substance Abuse Counselor IV
2 Substance Abuse Counselors III
6 Substance Abuse Counselors II

**Long-Term Rehabilitation - Crossroads Youth**
1 Substance Abuse Counselor IV
3 Substance Abuse Counselors III
9 Substance Abuse Counselors II
7 Substance Abuse Counselors I

**Dual Diagnosis Facility - Cornerstones**
1 Substance Abuse Counselor IV
1 Substance Abuse Counselor III
3 Substance Abuse Counselors II (-1)
1 Substance Abuse Counselor I
1 Food Service Supervisor
1 Cook
1 SAS Aide
1 Nurse Practitioner
1 Assistant Residential Counselor

**Long-Term Rehabilitation - New Generations**
1 Behavioral Nurse Supervisor
1 Substance Abuse Counselor IV
1 Substance Abuse Counselor III
1 Substance Abuse Counselor II
4 Substance Abuse Counselors I
2 Day Care Center Teachers I, 1 PT
1 SAS Aide

**Grant Positions**

**Crossroads-HIDTA**
1 Substance Abuse Counselor III
2 Substance Abuse Counselors II

**Steps to Recovery – HUD**
1 Substance Abuse Counselor II
2 Substance Abuse Counselors I

**New Generations – HUD**
1 Substance Abuse Counselor II

**TOTAL POSITIONS**
**131 Positions (-1)/ 130.0 Staff Years (-1.0)**
**7 Grant Positions / 7.0 Staff Years**

**PT Denotes Part-Time Position**
**(-) Denotes Abolished Position Due to Budget Reductions**

# Key Performance Measures

## Goal
To provide detoxification services, intermediate and long-term residential substance abuse treatment services for adults, adolescents, pregnant women and mothers with infant children in order to improve their overall functioning in the community.

## Objectives
- To provide substance abuse treatment to clients in the Crossroads program so that 80 percent of clients receiving at least 90 days of treatment are either employed or in school upon leaving the program.

- To provide substance abuse treatment to clients in the Crossroads program so that 80 percent of client receiving at least 90 days of treatment have increased functioning in the community as evidenced by reduction in use of illegal drugs.

- To provide substance abuse treatment to clients in the Intermediate Rehabilitation Services (Phoenix) program so that 80 percent of clients receiving at least 30 days of treatment are either employed or are in school upon leaving the program.

# Fund 106
# CSB - Alcohol and Drug Services

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Crossroads - Clients served | 128 | 120 | 125 / 135 | 125 | 125 |
| Intermediate Rehabilitation - Clients served | 12 | 20 | 18 / 20 | 0 | NA |
| **Efficiency:** | | | | | |
| Crossroads - Cost per client | $11,834 | $8,757 | $13,834 / $9,368 | $12,425 | $12,425 |
| Intermediate Rehabilitation - Cost per client | $3,744 | $2,253 | $10,056 / $6,618 | $0 | NA |
| **Service Quality:** | | | | | |
| Crossroads - Percent of clients satisfied with services | 97% | 95% | 90% / 98% | 90% | 90% |
| Intermediate Rehabilitation - Percent of clients satisfied with services | 85% | 100% | 90% / 90% | 0% | NA |
| **Outcome:** | | | | | |
| Crossroads - Percent of clients participating in at least 90 days of treatment who are either employed or in school upon leaving the program | 92% | 76% | 80% / 82% | 80% | 80% |
| Percent of clients showing reduction in drug use when leaving the program | NA | NA | NA | NA | 80% |
| Intermediate Rehabilitation - Percent of clients receiving at least 30 days of treatment who are either employed or in school upon leaving the program | 100% | 69% | 80% / 66% | NA | NA |

## Performance Measurement Results

In FY 2009, the Crossroads long-term residential treatment program served 135 consumers, exceeding the goal of 125 consumers.

Consumers continue to express high levels of satisfaction with services for both the long term (Crossroads Adult Program) and intermediate residential (Vanguard Services) contracted program. In FY 2009, 98 percent of consumers at Crossroads indicated they were satisfied with services, exceeding the goal of 90 percent. For intermediate rehabilitation services, 90 percent of the consumers indicated they were satisfied with services, meeting the goal of 90 percent.

Clients of both the long term program and the intermediate rehabilitation program have been experiencing greater difficulty finding employment after leaving services. Eighty-two percent of the individuals at Crossroads and 66 percent of the individuals at Vanguard Services were employed or in school upon leaving the program. Prior to the past year when issues of the economy became more apparent, these indicators were significantly higher. Due to their disability, individuals receiving services often have fewer job skills and less employment experience. Given the state of the economy across the County, it is reasonable to expect a reduction in employment among this population. Although the Crossroads program still exceeded it goal of 80 percent employment, the time it took for individuals to get employed was longer than in previous years. Since the nationwide economy is difficult to predict, employment is no longer a reasonable performance measure. For FY 2011, the performance measure for the Crossroads Adult Program is being revised to measure a reduction in drug use and a reduction in criminal behavior. Specifically, 80 percent of the individuals participating in 90 days or more of treatment will display a reduction in drug use after leaving the program. In addition, 80 percent of the individuals participating in 90 days or more of treatment will have no new criminal convictions at follow up after leaving treatment.

## Outpatient and Case Management Services

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 61/ 61 | 57/ 57 | 56/ 56 | 55/ 55 |
| Grant | 4/ 4 | 4/ 4 | 4/ 4 | 4/ 4 |
| **Total Expenditures** | **$6,755,228** | **$6,493,796** | **$6,445,360** | **$6,367,740** |

**Position Summary**

| Adult Outpatient | | Youth Outpatient | | Community Corrections | |
|---|---|---|---|---|---|
| 3 | Senior Clinicians | 6 | Senior Clinicians | 1 | Substance Abuse Counselor V |
| 4 | Substance Abuse Counselors IV | 2 | Substance Abuse Counselors IV | 1 | Substance Abuse Counselor III |
| 4 | Substance Abuse Counselors III | 4 | Substance Abuse Counselors III | 2 | Substance Abuse Counselors II (-1) |
| 17 | Substance Abuse Counselors II | 11 | Substance Abuse Counselors II | | |

**Grant Positions**

| Community Connections | |
|---|---|
| 2 | Substance Abuse Counselors II |
| 1 | Mental Health Therapist |
| 1 | Mental Health Supervisor/Specialist |

**TOTAL POSITIONS**
**55 Positions (-1) / 55.0 Staff Years (-1.0)**
**4 Grant Positions / 4.0 Staff Years**
**(-) Denotes Abolished Position Due to Budget Reductions**

## Key Performance Measures

### Goal

To provide outpatient and case management services that allow people to continue functioning and being productive in their homes, workplace, schools and neighborhoods while receiving treatment.

### Objectives

- To improve the employment and/or school status for 80 percent of adults who participate in at least 30 days of outpatient treatment.

- To improve the employment and/or school status for 90 percent of youth who participate in at least 30 days of outpatient treatment.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Adult Outpatient - Clients served | 1,450 | 1,605 | 1,500 / 1,842 | 1,630 | 1,630 |
| Youth Outpatient - Clients served | 1,004 | 674 | 665 / 478 | 665 | 665 |
| **Efficiency:** | | | | | |
| Adult Outpatient - Cost per client | $1,910 | $1,660 | $1,895 / $1,467 | $1,613 | $1,613 |
| Youth Outpatient - Cost per client | $1,856 | $2,944 | $3,038 / $4,236 | $3,082 | $3,082 |
| **Service Quality:** | | | | | |
| Adult Outpatient - Percent of clients satisfied with services | 95% | 93% | 90% / 90% | 90% | 90% |
| Youth Outpatient - Percent of clients satisfied with services | 92% | 90% | 90% / 93% | 90% | 90% |
| **Outcome:** | | | | | |
| Adult Outpatient - Percent of clients showing improvement in their employment and/or school status after 30 days of treatment | 81% | 83% | 80% / 80% | 80% | 80% |
| Youth Outpatient - Percent of clients showing improvement in their employment and/or school status after 30 days of treatment | 97% | 90% | 85% / 91% | 85% | 90% |

## Performance Measurement Results

In FY 2009, Adult Outpatient served 1,842 consumers, 342 more than the goal. With the increasing number of clients, sites had to establish waiting list groups in addition to increased caseload size for counselors. Youth Outpatient Services served 478 consumers in FY 2009 which is 72 percent of the targeted 665 consumers. A combination of staff vacancies and youth staying longer in treatment caused a decrease in the number served. The average units of service per youth continue to grow. In FY 2007 there were 10 units of service per youth; in FY 2008 there were 14 units of service per youth; and in FY 2009 there were 19 units of service per youth. This change is the result of more intensive treatment and consumers staying longer periods of time in treatment.

Ninety percent of adult consumers and 93 percent of youth consumers were satisfied with services, meeting or exceeding the targets of 90 percent. This can be attributed to continual quality improvement initiatives within the agency that incorporated feedback from narrative portions of previous consumer satisfaction surveys.

Regarding the outcome measures, 80 percent of adults achieved improvement in their employment and/or school status after 30 days of treatment, meeting the target of 80 percent. Ninety-one percent of youth consumers showed improvement, surpassing the target of 85 percent.

# Fund 106
# CSB - Alcohol and Drug Services

## Prevention/Early Intervention Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 36/ 36 | 34/ 34 | 32/ 32 | 31/ 31 |
| **Total Expenditures** | **$2,859,905** | **$3,305,177** | **$3,384,174** | **$3,285,905** |

| Position Summary | | | |
|---|---|---|---|
| | **Alcohol & Drug Prevention** | | **Early Intervention** |
| 1 | Substance Abuse Counselor IV | 2 | Substance Abuse Counselors IV |
| 3 | Substance Abuse Counselors III | 1 | Substance Abuse Counselor III |
| 11 | Substance Abuse Counselors II | 13 | Substance Abuse Counselors II (-1) |
| **TOTAL POSITIONS** | | | |
| **31 Positions (-1) / 31.0 Staff Years (-1.0)** | | | **(-) Denotes Abolished Position Due to Budget Reductions** |

## Key Performance Measures

### Goal
To reduce the incidence of substance abuse, as well as provide community prevention, education, consultation, training and information to business, schools, service providers and residents in order to prevent subsequent alcohol and/or drug abuse.

### Objectives
- To increase knowledge of healthy lifestyles, substance abuse warning signs and available alcohol and drug abuse resources among 90 percent of participants in prevention education programs.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Units of service for prevention education services | 3,598 | 3,487 | 3,800 / 4,970 | 3,700 | 3,500 |
| **Service Quality:** | | | | | |
| Percent of clients satisfied with services | 89% | 91% | 90% / 92% | 90% | 90% |
| **Outcome:** | | | | | |
| Percent of participants with higher post-test scores after completion of prevention education programs | 89% | 85% | 85% / 87% | 90% | 90% |

## Performance Measurement Results
In FY 2009, 4970 units of service for prevention were provided. This accounts for more than 100 percent of the projected goal. This increase reflects an unexpected change in the size and scope of the Too Good For Drugs program, a one-time project made possible from funding from the Virginia Tobacco Settlement.

Eighty-seven percent of clients demonstrated improved knowledge of healthy lifestyles and the warning signs of substance abuse, exceeding the FY 2009 goal of 85 percent. Ninety-two percent of participants reported they were satisfied with services exceeding the goal of 90 percent.

## Day Treatment Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 25/ 24.5 | 25/ 24.5 | 25/ 24.5 | 22/ 21.5 |
| Grant | 6/ 6 | 6/ 6 | 3/ 3 | 3/ 3 |
| **Total Expenditures** | **$2,357,884** | **$3,042,576** | **$2,832,046** | **$2,569,932** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| | **Adult Day Treatment** | | **Youth Day Treatment** | | **Women's Day Treatment** |
| 1 | Substance Abuse Counselor III (-1) | 3 | Senior Clinicians | 1 | Substance Abuse Counselor III |
| 4 | Substance Abuse Counselors II | 1 | Substance Abuse Counselor III | 4 | Substance Abuse Counselors II |
| | | 6 | Substance Abuse Counselors II (-1) | 1 | Day Care Center Teacher I, PT |
| | | 0 | Mental Health Therapists (-1) | | |
| | | 1 | Clinical Psychologist | | |
| | | | **Grant Positions** | | |
| 1 | Substance Abuse Counselor III | | | | |
| 2 | Substance Abuse Counselors II | | | | |

**TOTAL POSITIONS**
**22 Positions (-3)/ 21.5 Staff Years (-3.0)**
**3 Grant Positions / 3.0 Staff Years**

**PT Denotes Part-Time Position**
**(-) Denotes Abolished Positions Due to Budget Reductions**

## Key Performance Measures

### Goal
To provide intensive alcohol and drug day treatment services five days a week to keep people functional and productive in their homes, workplaces, schools and neighborhoods while receiving treatment.

### Objectives
- To improve the employment and/or school status for 80 percent of adults who participate in at least 90 days of day treatment services.

- To improve the employment and/or school status for 80 percent of youth who participate in at least 90 days of day treatment services.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Adult Day Treatment - Clients served | 147 | 150 | 140 / 147 | 140 | 140 |
| Youth Day Treatment - Clients served | 119 | 118 | 130 / 129 | 130 | 130 |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Adult Day Treatment - Cost per client | $3,121 | $3,395 | $3,988 / $3,295 | $4,036 | $4,036 |
| Youth Day Treatment - Cost per client | $5,890 | $6,095 | $7,017 / $5,962 | $7,258 | $7,258 |
| **Service Quality:** | | | | | |
| Adult Day Treatment - Percent of clients satisfied with services | 95% | 90% | 80% / 80% | 80% | 80% |
| Youth Day Treatment - Percent of clients satisfied with services | 92% | 92% | 80% / 93% | 80% | 80% |
| **Outcome:** | | | | | |
| Adult Day Treatment - Percent of adults showing improvement in employment and/or school status after 90 days of treatment | 81% | 83% | 80% / 80% | 80% | 80% |
| Youth Day Treatment - Percent of youth showing improvement in employment and/or school status after 90 days of treatment | 99% | 85% | 85% / 89% | 85% | 80% |

## Performance Measurement Results

In FY 2009, Adult Day Treatment served 147 consumers, exceeding the estimate of 140 by 7 consumers. Youth Day Treatment served 129 consumers, just missing the target of 130 consumers. The Youth Day Treatment Program once again experienced longer periods of consumer service provision which resulted in fewer consumers served.

Eighty percent of adult consumers and 93 percent of youth consumers were satisfied with services, meeting the targets of 80 percent. This can be attributed to continual quality improvement initiatives within the agency that incorporate feedback from narrative portions of previous consumer satisfaction surveys.

Eighty percent of adult consumers and 89 percent of youth consumers served demonstrated improvement in their employment/school status from admission to discharge, therefore meeting or exceeding the goals.

## Emergency Services

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 18/ 17.5 | 18/ 17.5 | 18/ 17.5 | 18/ 17.5 |
| **Total Expenditures** | **$1,475,366** | **$1,574,444** | **$1,574,444** | **$1,574,565** |

# Fund 106
# CSB - Alcohol and Drug Services

| Position Summary | | | |
|---|---|---|---|
| 2 | Senior Clinicians | 4 | Substance Abuse Counselors III |
| 1 | Substance Abuse Counselor IV | 11 | Substance Abuse Counselors II , 1 PT |

**TOTAL POSITIONS**
**18 Positions / 17.5 Staff Years** **PT Denotes Part-Time Position**

## Key Performance Measures

### Goal
To provide prompt responses to adult clients seeking crisis intervention, assessment, evaluation and/or emergency substance abuse services and provide centralized entry to all Alcohol and Drug Services programs, as well as referrals to private treatment programs when needed.

### Objectives
- To improve emergency crisis intervention and assessment services so that 85 percent of assessed clients receive the appropriate level of care based on American Society of Addiction Medicines (ASAM) criteria.

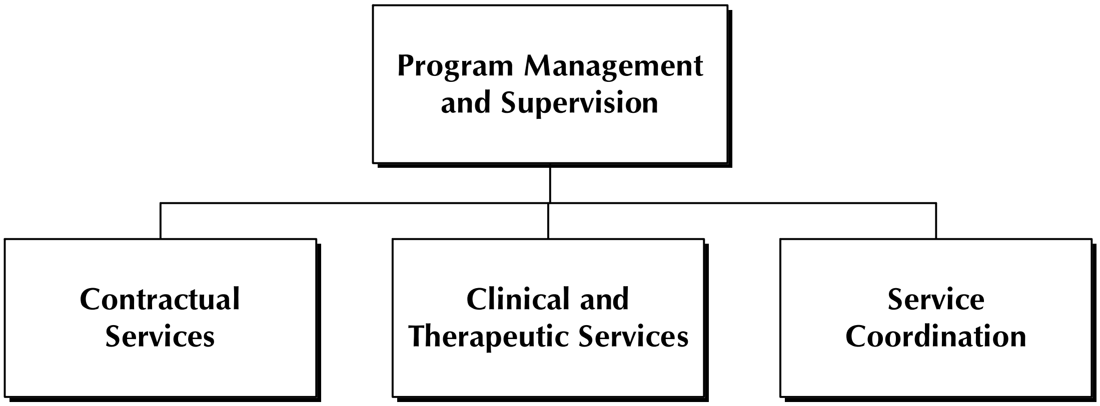
| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Clients served | 2,027 | 2,265 | 2,100 / 2,020 | 2,100 | 2,300 |
| **Efficiency:** | | | | | |
| Cost per client | $314 | $359 | $453 / $395 | $391 | $391 |
| **Service Quality:** | | | | | |
| Percent of clients satisfied with services | 96% | 98% | 95% / 97% | 95% | 95% |
| **Outcome:** | | | | | |
| Percent of clients who access the appropriate level of care based on ASAM criteria | 91% | 85% | 85% / 85% | 85% | 85% |

## Performance Measurement Results
In FY 2009, 2,020 consumers were served; this was 4 percent less than the projected target. Fewer consumers were served than originally estimated due to a requirement to hold positions vacant during FY 2009 to meet required budget reduction targets for savings. The current economic conditions have created increased stressors for at-risk populations and are a catalyst for increased substance abuse use as a coping strategy. Referrals from various sources, such as family services, the criminal justice system, probation and parole, Department of Corrections, detoxification diversion efforts, and self-referrals continue to occur.

In addition, the Assessment and Referral Center has served a greater number of clients with significant medical needs. Consumers with complex medical issues frequently need a higher level of service coordination and may need a period of stabilization prior to accessing services. In general, more consumers are reporting to the Assessment and Referral Center who are poly-drug users (using multiple drugs), substance dependent, and have severe co-occurring disorders. Additionally, many do not have insurance or other healthcare options and are unable to access community care until stabilized in hospitals or crisis care centers.

Ninety-seven percent of consumers reported satisfaction with services, exceeding the goal of 95 percent. The goal for consumers accessing the appropriate level of care based on consumer needs was met at 85 percent.

# Fund 106
# CSB - Early Intervention Services




## Mission
To support and serve eligible children and their families in order to enhance their day to day activities, facilitate community integration, and promote their overall development. Early Intervention Services (also known as Infant and Toddler Connection or ITC) collaborates with community stakeholders to identify every infant and toddler having a developmental delay, a diagnosis with a high probability of delay, and/or atypical development in a timely manner. ITC staff has the expertise to incorporate and advance best practices in the provision of federally-mandated early intervention services and support.

## Focus
Early Intervention Services supports the Infant and Toddler Connection (ITC), a statewide program that provides federally-mandated early intervention services to infants and toddlers as outlined in Part C of the Individuals with Disabilities Education Act (IDEA). ITC provides family-centered intervention to children ages birth to 3 years who need strategies to assist them in acquiring the skills they need such as sitting, crawling, walking and/or talking. Families are entitled to a multidisciplinary evaluation to determine eligibility, service coordination, and development of an Individual Family Service Plan (IFSP) free of charge. Through a public/private partnership, ITC provides federally-mandated services including, but not limited to: physical, occupational and speech therapy; developmental services; medical, health and nursing services; hearing and vision services; assistive technology (e.g., hearing aids, adapted toys and mobility aids); family training and counseling; service coordination; and transportation. A local coordinating council, known as the Fairfax Interagency Coordinating Council, serves to advise and assist the local lead agency, while the Fairfax-Falls Church Community Services Board (CSB) serves as the fiscal agent and local lead agency. There has been significant growth in the demand for early intervention services over the last several years and this growth is expected to continue. From FY 2000 through FY 2009, the program has experienced an average yearly growth rate of over 10 percent. Currently, ITC of Fairfax-Falls Church serves approximately 13 percent of all the children receiving Individuals with Disabilities Act (IDEA) Part C services in the Commonwealth of Virginia. Given the rising incidence of autism in Fairfax County, the ITC maintains ongoing relationships with the Virginia Autism Research Center and Fairfax County Public Schools (FCPS) to address the early identification of children who might need specialized preschool services for this particular disability. In addition, during FY 2009, ITC has been a leader in the Autism Communities of Practice.

During the summer of 2009, there was an unprecedented spike in the numbers of children being served by ITC as a result of a Fairfax County Public Schools (FCPS) change from rolling year-round admissions to Part B school-based services, to annual September admissions. The change in admission policy was a result of FCPS budget reductions that is expected to be continued in the immediate future. Children who, in previous years, would have transitioned to FCPS during the year remained with ITC through the summer. In response to these increased caseloads, ITC partnered with the Therapeutic Recreation Division of the Department of Neighborhood and Community Services (DNCS) to develop a summer program entitled Pathways to Preschool. This program offers group-based services for children who would be transitioning to Part B in the fall. The families were given a choice of whether or not they preferred this type of intervention to the individual services they were previously receiving. Due to the success of the Pathways to Preschool program, it is expected to expand in the summer of 2010 at the same rate of growth as experienced by ITC. In FY 2009, service coordinators also maintained unusually high caseloads at a time when County budget challenges prevented or delayed the filling of positions. Even with the addition of Pathways to Preschool, ITC had a significant waiting list for ongoing therapeutic services during the months of July and August.

ITC continues to provide high-quality assessments, ongoing service delivery, and service coordination to a growing number of Medicaid families. Medicaid reimbursement rates have made home visits to Medicaid families cost prohibitive for private providers who are not employed by the CSB. Consequently, ITC therapeutic staff is the only provider of these services for all new children with Medicaid. Since FY 2006, most Medicaid families served by ITC also had their coverage transferred into one of Virginia's Medicaid managed care organizations. This change drastically reduced the amount of potential revenues receivable by ITC for reimbursement of costs associated with each evaluation and direct intervention session provided to Medicaid families. On October 1, 2009, the implementation of a new Medicaid State Plan amendment for early intervention services helped address this situation. Part C services were moved under the Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) program, and now Medicaid will pay for more types of Part C services that were previously unreimbursable. The State Part C office updated the Part C System of Payments Study completed in 2003, which identified a series of equity and parity issues related to the Commonwealth's implementation of Federal Part C requirements regarding fiscal matters. The implementation of the recommendations from that report established standardized reimbursement for all early intervention services across Virginia, and is expected to bring new opportunities for provider growth necessary to meet the Commonwealth's anticipated growth in child enrollment. It is anticipated that with statewide Medicaid transformation, the distribution of Medicaid children across all vendors will be equal because there will no longer be a reduced reimbursement rate for these children.

The implementation of these new policies poses a significant challenge for ITC in FY 2010. In anticipation of the statewide transformation of Part C services, ITC embarked on a number of cost neutral enhancements to assure that the transition runs smoothly and results in improved service delivery to families. During FY 2009, ITC's educational records also went digital. This Comprehensive Online Date Entry (CODE) system was developed to meet the performance requirements of Part C and be compliant with FERPA regulations. CODE is internet based and allows for providers to access children's educational records in the field and provides for real time communication between providers and service coordinators. In addition, ITC secured a private billing contractor to centralize billing for all providers and contractors who work with Fairfax County. The billing company will streamline billing processes, increase claim appeal filing and facilitate insurance company in-network credentialing to assure that all children will be served regardless of pay source and, ultimately, to increase insurance company reimbursements. Finally, ITC issued a new Request for Proposal for therapy services in 2009, with hopes of increasing the current contractor pool. The resulting new contracts awarded in September 2009 to five contractors included increased measures for quality assurance and helped ITC develop a larger pool of private providers to address provider shortages and ultimately reduce wait times.

ITC staff also continues to strengthen outreach and support efforts by expanding collaborations with the Fairfax County Health Department, INOVA Fairfax Hospital, and FCPS to ensure that infants and toddlers get appropriate services as soon as delays are detected. The growing cultural diversity needs of families requiring ITC services across the County is addressed by a list of 43 interpreters maintained by ITC and under contract to provide translation services. These interpreters are fluent in 10 languages, including Spanish, Urdu, Mandarin Chinese, Korean and American Sign Language.

## FY 2011 Budget Reduction Impact Summary

To address the projected FY 2011 budget shortfall, a reduction of $49,256 has been included in the FY 2011 Advertised Budget Plan. This reduction has been accomplished by a reduction in the purchase of contracted therapeutic services (infant education, and physical, occupational, and speech therapy) by Infant and Toddler Connection.

In FY 2010, there were no budget reductions taken for Early Intervention Services.

# Fund 106
# CSB - Early Intervention Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 20/ 20 | 20/ 20 | 20/ 20 | 20/ 20 |
| Grant | 23/ 23 | 23/ 23 | 29/ 29 | 29/ 29 |
| Expenditures: | | | | |
| Personnel Services | $3,474,871 | $4,116,151 | $4,730,073 | $4,495,753 |
| Operating Expenses | 1,988,206 | 1,693,114 | 1,815,941 | 1,703,893 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$5,463,077** | **$5,809,265** | **$6,546,014** | **$6,199,646** |
| Less: | | | | |
| Recovered Costs | $0 | ($260,000) | ($260,000) | ($260,000) |
| **Total Expenditures:** | **$5,463,077** | **$5,549,265** | **$6,286,014** | **$5,939,646** |
| Revenue: | | | | |
| Fairfax County | $2,682,957 | $2,646,465 | $2,646,465 | $2,625,172 |
| Fairfax City | 41,117 | 41,117 | 41,117 | 41,117 |
| Falls Church City | 18,636 | 18,636 | 18,636 | 18,636 |
| State DBHDS | 995,818 | 995,818 | 596,435 | 570,819 |
| Federal Other | 857,262 | 710,190 | 1,101,048 | 1,101,049 |
| Federal ARRA | 0 | 0 | 589,400 | 0 |
| Medicaid Option | 278,516 | 410,796 | 410,796 | 703,094 |
| Program/Client Fees | 589,503 | 726,243 | 879,759 | 879,759 |
| Fund Balance | (732) | 0 | 2,358 | 0 |
| **Total Revenue** | **$5,463,077** | **$5,549,265** | **$6,286,014** | **$5,939,646** |

### Position Summary

| | **Program Management** | | **Daytime Development Center** | | **Service Coordination** |
|---|---|---|---|---|---|
| 1 | ID Specialist V | 1 | ID Specialist IV | 2 | ID Specialists III |
| 1 | ID Specialist IV | 1 | ID Specialist III | 2 | ID Specialists II |
| 1 | ID Specialist II | 3 | ID Specialists II | | |
| 1 | Administrative Assistant IV | 2 | Physical Therapists II | | |
| | | 2 | Occupational Therapists II | | |
| | | 2 | Speech Pathologists II | | |
| | | 1 | Administrative Assistant II | | |

**Grant Positions**

| | **Program Management** | | **Daytime Development Center** | | **Service Coordination** |
|---|---|---|---|---|---|
| 1 | Administrative Assistant III | 3 | Physical Therapists II | 20 | ID Specialists II |
| | | 4 | Speech Pathologists II | | |
| | | 1 | Occupational Therapist II | | |

**TOTAL POSITIONS**
**20 Positions / 20.0 Staff Years**
**29 Grant Positions / 29.0 Staff Years**

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Miscellaneous Adjustments** **$411,674**
  An increase of $411,674, comprised of $379,602 in Personnel Services and $32,072 in Operating Expenses, is associated with necessary grant adjustments.

- **Contract Rate Increases** **$27,963**
  An increase of $27,963 in Operating Expenses is associated with a 2.71 percent contract rate increase for providers of contracted early intervention services.

- **Reductions** **($49,256)**
  A decrease of $49,256 reflects reductions utilized to balance the FY 2011 budget. The following chart provides details on the specific reductions approved.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Reduce Contracted Services for Infant and Toddler Connection (ITC) Therapeutic Services | This reduction reduces the total number of contracted therapeutic services purchased by Infant and Toddler Connection by slightly over 6 percent. During FY 2009, ITC served a total of 2,374 children and continues to see an annual average growth rate of over 10 percent in the number of kids served per year. This reduction may affect approximately 12 children enrolled in ITC services per month. At present, ITC is a sub-recipient of economic stimulus funding available as part of the American Recovery and Reinvestment Act of 2009 (ARRA) that can potentially mitigate the impact of this reduction in local funding in the short-term. As a result of this ARRA funding, ITC was recently able to regain its position of being in compliance with federal mandates for timeliness in service provision, and currently does not have a wait list for services. The ARRA funding is anticipated to end during FY 2011. At that time, unless the funding is replaced, ITC will once again have difficulty serving the rapidly growing number of kids birth to three years requiring early intervention services (i.e., ITC will need to implement wait lists), which would necessitate an increased need for more lifelong intervention in the long-run. | 0 | 0.0 | $49,256 |

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$736,749**

As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a net increase of $736,749, comprised of $613,922 in Personnel Services and $122,827 in Operating Expenses. This includes increases of $742,916 in new grant program year adjustments including $589,400 for the new federal stimulus American Recovery and Reinvestment Act of 2009 (ARRA) allocation to support the Part C program and $153,516 from the Medicaid grant to support the clinical and therapeutic services of the Infant and Toddler Connection Program; $2,358 for encumbered items; offset by a decrease of $8,525 in adjustments to current grant awards.

## Key Performance Measures

### Goal

To provide early intervention services to infants and toddlers with disabilities and their families to reduce or eliminate the effects of disabling conditions.

### Objectives

♦ To complete evaluations and develop an Individualized Family Service Plan (IFSP) for 100 percent of families within 45 days from intake call.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Individuals served | 1,850 | 2,044 | 2,223 / 2,374 | 2,536 | 2,778 |
| **Efficiency:** | | | | | |
| Annual cost per individual served | $1,467 | $1,590 | $1,633 / $1,356 | $1,416 | $1,478 |
| **Service Quality:** | | | | | |
| Percent of families who agreed that early intervention services made them feel more confident in meeting their child's needs | 96% | 96% | 95% / NA | 95% | 95% |
| **Outcome:** | | | | | |
| Percent of families who received completed IFSP within 45 days of intake call | 94% | 81% | 100% / 100% | 100% | 100% |
| Average number of days from referral to completion of IFSP | 38 | 34 | 32 / 34 | 32 | 32 |

## Performance Measurement Results

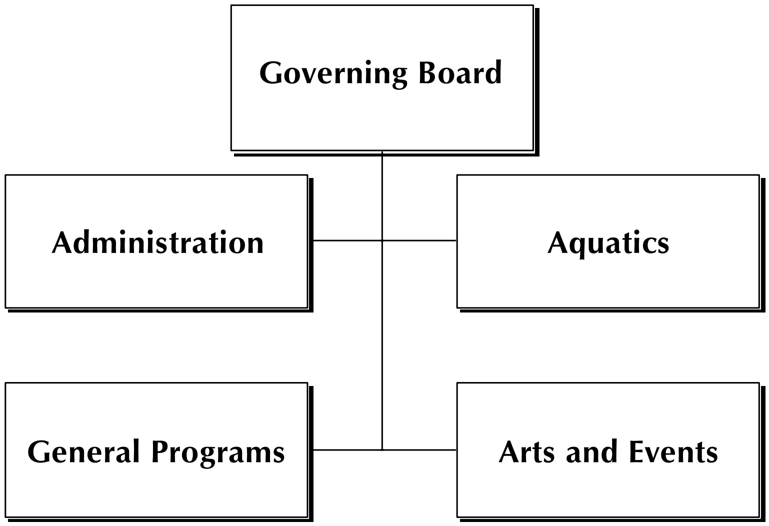
In FY 2009, one of three of ITC's service quality and outcome goals was met or exceeded. The FY 2009 survey results indicating the percentage of families who agreed that early intervention services made them feel more confident in meeting their child's needs will be available in early calendar year 2010.

ITC served 2,374 infants and toddlers in FY 2009, a 16.1 percent increase over the FY 2008 level of 2,044. This continued increase in the number of children served is reflective of the very large and rapid growth in demand for early intervention services consistently seen over the past several years. This upward trend is expected to continue for the foreseeable future. Consequently, the average number of days from referral to completion of an Individualized Family Service Plan (IFSP) was 34 days in FY 2009, versus a goal of 32 days. The rapidly increasing demand for early intervention services and staff vacancies arising from insufficient reimbursement rates or revenues from other sources continues to contribute significantly to this slight shortfall. In addition, the rapid growth in service demands and unavailability of pediatric therapists is not only being seen by ITC, but also by the private providers with whom ITC contracts locally and in local Part C systems throughout the State. However, as a result of the new Medicaid State Plan amendment for EI services, it is expected to create new opportunities for provider growth necessary to meet this increase in demand.

For FY 2009, the percentage of families receiving a completed IFSP within 45 days of intake call was 100 percent, which is compliant with a federally-mandated goal of 100 percent on this measure. Prior difficulties in complying with this mandated requirement were seen in the early part of FY 2008. However, since February 2008, ITC has been in 100 percent compliance with this requirement.

The actual annual cost per individual served with local dollars in FY 2009 was $1,356, $277 or 17.0 percent lower than the target. This variance was due primarily to ITC receiving additional one-time Part C grant funds, greater than anticipated collection of non-County revenues from Medicaid and other third-party insurance carriers, and economies of scale accomplished by serving a significantly higher number of kids (i.e., distributing ITC's fixed costs among more kids). Unfortunately, the availability of both local and non-County revenue sources are expected to remain extremely limited for the foreseeable future.

# Fund 111
# Reston Community Center




## Mission

To create positive leisure experiences which enhance the quality of life for all people living and working in Reston by providing a broad range of programs in arts, aquatics, enrichment and life-long learning, and creating and sustaining community traditions through special events, outreach activities, and facility rentals.

## Focus

Reston Community Center (RCC) is a community leader, bringing the community together through enriching leisure time experiences that reach out to all and contribute to Reston's *sense of place*.

RCC provides four 'lines of programming' to the Reston community: Arts and Events, Aquatics, General Programs (i.e., programming designed by age cohort), and Facility Rentals. Average program participation rates for both programming and rentals are well over 80 percent and facility utilization is near or in the case of the aquatics and fitness programs, significantly exceeds capacity. Programming is recognized both throughout the community and beyond for its excellence, creativity and innovations.

RCC operations are supported by revenues from a special property tax collected on all residential and commercial properties within Small District 5. As part of their deliberations on the FY 2007 Adopted Budget Plan, the Board of Supervisors reduced the Small District 5 tax rate for FY 2007 to $0.047 per $100 of assessed property value, a decrease of $0.005 from the FY 2006 rate of $0.052 per $100 of assessed value. In addition, the Board passed a resolution in March 2006 that changed the boundaries of Small District 5, resulting in a reduction of 274 parcels. Since these adjustments, the Small District 5 tax rate has remained constant at $0.047 per $100 of assessed property value within the revised boundaries. In FY 2010, total property assessments in Small District 5 are projected to decrease by 7.9 percent and are projected to remain at that reduced level in FY 2011.

RCC also collects internal revenues generated by program registration fees, theatre box office receipts, gate admissions and facility rental fees. These activity fees are set at a level substantially below the actual costs of programming and operations since Small District 5 property owners have already contributed tax revenues to fund RCC. Consequently, Small District 5 residents and employees enjoy RCC programs at reduced rates. RCC patrons residing outside Small District 5 pay higher, non-resident fees. In 1986, the RCC Board of Governors adopted a policy that internally generated revenues will not recover more than 25 percent of RCC operating costs. The RCC Board reaffirmed that policy in February 2007. In FY 2009, internally generated revenues supported 13.35 percent of operating costs (excluding capital project costs) - well within the Governing Board's established limit.

# Fund 111
# Reston Community Center

Beginning in 2002, the RCC Board of Governors adopted a managed reserve structure to provide long-term fiscal security and stability for the fund. In FY 2009 the RCC Board of Governors adapted the reserves to include an increase in the allocation to the Capital Projects Reserve and to establish an Economic and Program Contingency Reserve in light of the economic conditions affecting the nation and region. The available fund balance is divided now into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, future capital projects and economic and program contingencies.

Although the current economic climate presents challenges to maintaining revenues from taxes and fees, the Small District 5 financial outlook appears to be stable. Furthermore, anticipated growth that is predicted to come from revisions to the Reston Master Plan and Metro extension to Dulles International Airport indicate the potential for significant residential population and property growth and commercial property growth in the years ahead. In anticipation of both increased demand and resources, the RCC Board of Governors has embarked on in-depth exploration of community needs and best approaches in anticipation of this long-term growth.

To support the Board in strategic planning, the RCC staff team has been working on balanced scorecard projects that include increased service delivery in electronic formats, outreach to the community's significant organizations and institutions, collaboration with other entities to support improved communication to residents and the business communities, and improving RCC internal processes to continue to deliver outstanding quality services and programs. In coming years, RCC will continue this process by focusing on projects that seek to increase participation by all ages in recreation and leisure time activities. RCC will also strive to identify appropriate community partners to assist in expanding programs and service delivery to residents throughout Small District 5.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 37/ 37 | 37/ 37 | 37/ 37 | 37/ 37 |
| Exempt | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| Expenditures: | | | | |
| Personnel Services | $3,618,681 | $4,171,597 | $4,171,597 | $4,379,896 |
| Operating Expenses | 2,251,538 | 2,897,699 | 3,065,877 | 2,867,245 |
| Capital Equipment | 0 | 0 | 0 | 9,000 |
| **Subtotal** | **$5,870,219** | **$7,069,296** | **$7,237,474** | **$7,256,141** |
| Capital Projects | $2,883,928 | $85,000 | $1,282,511 | $750,000 |
| **Total Expenditures** | **$8,754,147** | **$7,154,296** | **$8,519,985** | **$8,006,141** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Personnel Services Adjustments** **$106,608**
  A net increase of $106,608 is primarily due to increased limited term funding necessary to support increased RCC programmatic requirements. It should be noted that most past RCC contract instructors are now employed by RCC as limited term staff due to the IRS independent contractor definition and regulatory requirements.

- **Other Post-Employment Benefits** **$101,691**
  An increase of $101,691 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

- **Operating Expenses Adjustments** **($30,454)**
  A net decrease of $30,454 associated with the transfer of instructors' labor costs from Operating Expenses to Personnel Services as limited term employees. This decrease is partially offset by increased costs associated with programs added to accommodate increasing demand, as well as increased supply-related expenses.

- **Capital Equipment** **$9,000**
  Funding of $9,000 is required for replacement of the water slide at the Natatorium.

- **Capital Projects** **$750,000**
  Funding of $750,000 is required to support capital improvements in FY 2011. This amount includes $500,000 for Community Room enhancements at the Hunter Woods facility and $250,000 for Art and other classroom enhancements at the Reston Community Center Lake Anne facility.

## Changes to FY 2010 Adopted Budget Plan

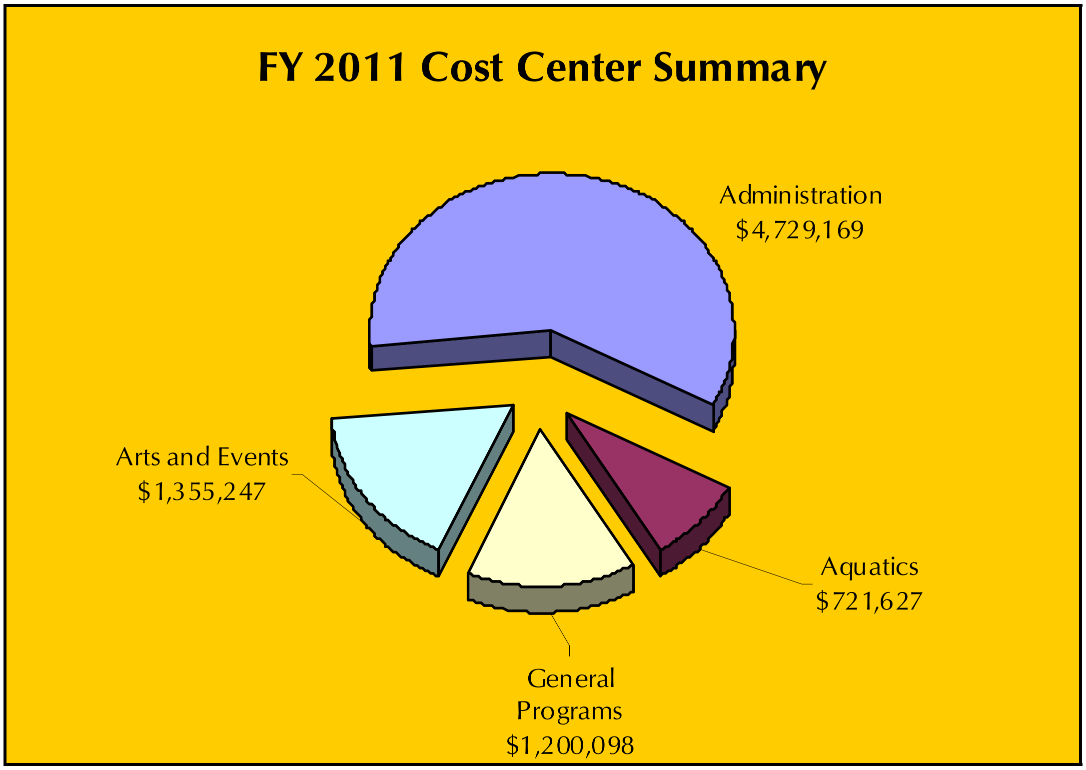
*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$1,365,689**
  As part of the *FY 2009 Carryover Review*, expenditures increased $1,365,689 due to encumbered carryover of $168,178 and unexpended project balances of $1,197,511.

# Fund 111
# Reston Community Center

## Cost Centers

The four cost centers in Fund 111, Reston Community Center are Administration (which includes facility rentals), Arts and Events, Aquatics, and General Programs. These distinct program areas work to fulfill the mission and carry out the key initiatives of the Reston Community Center.




Note: The Administration Cost Center includes an amount of $750,000 for FY 2011 Capital Projects.

## Administration

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 20/ 20 | 20/ 20 | 20/ 20 | 20/ 20 |
| Exempt | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$5,791,097** | **$3,886,324** | **$5,244,613** | **$4,729,169** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Executive Director, E | 1 | Chief, Bldg. Maintenance Section | 1 | Administrative Assistant V |
| 1 | Deputy Director | 2 | Senior Bldg. Maintenance Workers | 3 | Administrative Assistants IV |
| 1 | Accountant II | 3 | Maintenance Workers | 1 | Administrative Assistant III |
| 1 | Network Telecom Analyst I | 1 | Facility Attendant II | 2 | Administrative Assistants II |
| 1 | Communications Specialist II | | | 1 | Graphic Artist III |
| 1 | Management Analyst I | | | | |

**TOTAL POSITIONS**
**21 Positions / 21.0 Staff Years**

**E Denotes Exempt Position**

# Fund 111
# Reston Community Center

## Key Performance Measures

### Goal
To provide effective leadership, supervision and administrative support for Center programs in order to maintain and prepare the facilities of the Reston Community Center for residents of Small Tax District 5.

### Objectives
- Achieve 95 percent public awareness and at least 90 percent patron satisfaction with RCC programs and facilities in Small District 5 of the Reston Community Center and its mission.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Public awareness of the Reston Community Center (1) | NA | 95.00% | 95.00% / NA | 95.00% | 95.00% |
| Patron satisfaction with RCC programs and facilities. (1) | NA | 95.00% | 90.00% / NA | 90.00% | 90.00% |

(1) Reston Community Center did not conduct a satisfaction survey in FY 2009.

## Performance Measurement Results
The University of Virginia Center for Survey Research conducted a survey of Small District 5 in FY 2008. Of note, its findings included that "RCC scored over 95 percent in all measures of satisfaction, including responsiveness to requests for information, accuracy, usefulness of information provided and courtesy." In addition, the survey found that 94 percent of the general population agrees that RCC is fulfilling its mission. In FY 2010 the Center for Survey Research will conduct a telephone survey of residents of Small District 5 to assess the public's interest in an expansion of recreational opportunities within the District commensurate with anticipated growth in demand and resources.

Efforts in Administration in FY 2010 were also directed toward implementation of the agency's Balanced Scorecard and the related projects therein. The RCC Strategic Plan is also scheduled to be reviewed and updated during FY 2011 and will also be adjusted based upon the adoption of recommendations from the Reston Association/RCC Joint Task Force on Indoor Recreation Facilities, the results of the feasibility study of adaptations to the RCC Hunters Woods Community Room and the RCC Lake Anne facility, and public input through the Community Survey conducted in fall 2009. Further strategic planning will be impacted by the results of Balanced Scorecard project implementation; specifically, migration of processes to electronic environments, enhanced community partnership efforts, and communications strategies on both internal and external bases.

## Arts and Events

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 7/ 7 | 7/ 7 | 7/ 7 | 7/ 7 |
| **Total Expenditures** | **$1,281,016** | **$1,355,247** | **$1,384,282** | **$1,355,247** |

# Fund 111
# Reston Community Center

| Position Summary | | |
|---|---|---|
| 1 Theatrical Arts Director | 2 Asst. Theatre Technical Directors | 1 Administrative Assistant IV |
| 2 Park/Recreation Specialists II | 1 Theatre Technical Director | |
| **TOTAL POSITIONS**<br>**7 Positions / 7.0 Staff Years** | | |

## Key Performance Measures

### Goal

To provide Performing Arts, Arts Education and Community Event presentations to the residents of Small Tax District 5 in order to increase the cultural awareness of the community in disciplines of dance, theatre, music and related arts as well as to create and sustain community traditions through community events.

### Objectives

- To achieve attendance for Professional Touring Artist Series performances that averages 60 percent or better of capacity.

- To achieve enrollment in arts education offerings that averages 85 percent or better of capacity.

- To support community arts organization patrons by providing rental of the CenterStage and related art space as measured by audience attendance of 65 percent of capacity or better at these organizations' presentations.

- To provide artist residency and similar outreach activities in Small District 5 schools and related settings as measured by offerings that reach targeted school age populations (elementary, middle and high school). Outreach activity and performance or other artistic/cultural residency efforts will be provided to 75 percent of 8 Small District 5 elementary schools, and the Small District 5 middle and high schools annually. Attendance totals will vary depending on the nature of the artist residency/outreach activity.

- To enhance community identity and build community traditions with community events by offering an enhanced number of significant events that averages 12 events per year that feature collaboration and partnership with other community entities with attendance at significant events achieving 92 percent of capacity.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Professional Artist patrons served | 3,930 | 3,746 | 4,350 / 4,237 | 3,654 | 4,350 |
| Arts' patrons served | 1,478 | 1,498 | 1,411 / 1,574 | 1,636 | 1,639 |
| CenterStage and related art space rental patrons served | NA | NA | 10,420 / 9,791 | 10,420 | 10,420 |
| Small District # 5 number of Elementary Schools | NA | NA | 8 / 0 | 8 | 8 |
| Small District # 5 number of Middle and High Schools | NA | NA | 2 / 2 | 2 | 2 |
| Participation in artist residency and outreach activities | NA | NA | 3,235 / 800 | 3,317 | 3,500 |
| Number of partnered events offered | NA | NA | 11 / 11 | 11 | 11 |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Cost per Professional Artist patron | $95.16 | $101.90 | $88.85 / $68.18 | $69.95 | $80.74 |
| Professional Artist events capacity | 7,830 | 7,250 | 7,250 / 7,740 | 6,090 | 7,250 |
| Cost per Arts' patron | $84.54 | $77.87 | $133.15 / $81.14 | $126.55 | $123.25 |
| Arts' offerings enrollment capacity | 1,700 | 1,698 | 1,660 / 1,660 | 1,925 | 1,925 |
| CenterStage and related arts space capacity | NA | NA | 15,950 / 13,340 | 15,950 | 15,950 |
| **Outcome:** | | | | | |
| Professional Artist events attendance as percent of capacity | 50.20% | 52.00% | 60.00% / 56.00% | 60.00% | 60.00% |
| Arts' offerings enrollment as percent of capacity | 87.0% | 88.2% | 85.0% / 95.0% | 85.0% | 85.0% |
| Attendance as percent of capacity | NA | NA | 65.0% / 73.0% | 65.0% | 65.0% |
| Percent of elementary schools participating | NA | NA | 75% / 0% | 50% | 75% |
| Percent of Middle/High Schools participating | NA | NA | 100% / 100% | 100% | 100% |
| Attendance at significant events as a percent of capacity. | NA | NA | 92% / 90% | 92% | 92% |

## Performance Measurement Results

During FY 2009, the agency introduced two new Pilot Programs. First, RCC participated in the new Reston organization, Initiative for Public Art – Reston (IPAR). In its first year IPAR achieved its goal and unveiled the first-ever public art master plan created for an unincorporated community in December 2008. Second, Arts and Events partnered with the Greater Reston Arts Center (GRACE) to offer four new programs for three different target age groups: Youth, Teens and Seniors. There was strong enrollment in these programs and they will be offered throughout the seasons of programming in FY 2010.

The number of participants in the Professional Touring Artist Series increased by 4 percentage points over the participation rate in FY 2008; capacity increased from 52 percent to 56 percent and is nearing the target participation rate for this program, which is 60 percent. Because of the large number of events in FY 2009, due to the celebration of RCC's 30th Anniversary, revenue for the season was significantly higher than the budgeted target.

The agency did not achieve the targets for artist residency and similar outreach activities in FY 2009. This was a conscious choice in programming planning due to previously unanticipated challenges surrounding the transition of staff in the Arts and Events Department. There will be a renewed focus on achieving outreach goals in FY 2010 and FY 2011.

## Aquatics

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 5/ 5 | 5/ 5 | 5/ 5 | 5/ 5 |
| **Total Expenditures** | **$623,907** | **$712,627** | **$708,499** | **$721,627** |

| Position Summary | | | |
|---|---|---|---|
| 1 | Park/Recreation Specialist II | 1 | Park/Recreation Assistant |
| 1 | Park/Recreation Specialist I | 2 | Administrative Assistants II |
| **TOTAL POSITIONS**<br>**5 Positions / 5.0 Staff Years** | | | |

## Key Performance Measures

### Goal

To provide a safe and healthy professional pool environment and balanced Aquatic program year round for all age groups in Small Tax District 5.

### Objectives

- To achieve 90 percent enrollment/participation for Instructional, Recreational, and Lap Swimming/Competitive lines of programming.

| Indicator | Prior Year Actuals: FY 2007 Actual | Prior Year Actuals: FY 2008 Actual | Prior Year Actuals: FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Patrons served | 72,533 | 43,163 | 69,300 / 59,290 | 69,300 | 69,300 |
| **Efficiency:** | | | | | |
| Cost per patron | $4.16 | $7.12 | $5.31 / $6.79 | $5.46 | $5.45 |
| Enrollment capacity | 77,000 | 77,000 | 77,000 / 77,000 | 77,000 | 77,000 |
| **Outcome:** | | | | | |
| Participation enrollment as percent of capacity | 94.00% | 56.05% | 90.00% / 77.00% | 90.00% | 90.00% |

## Performance Measurement Results

FY 2009 was impacted by the closure of the RCC Terry L. Smith (TLS) Aquatics Center due to Natatorium renovations projects. Partnerships with Reston Association (RA) and the YMCA allowed the department to extend a balanced set of aquatics program formats to the residents and employees of Small District 5 albeit at reduced capacity of approximately one-fifth that of the TLS Aquatics Center. The environmental and physical limitations of the alternate outdoor pool rented by RCC (Vantage Hill) heavily curtailed lessons, open swim and lap swimming. The fall natatorium rentals were adversely affected and unable to hold their usual practice sessions at RCC. The last year that RCC aquatics programs were not impacted by significant capital improvement projects was FY 2007, and overall participation that year numbered 72,533. FY 2009 participation totaled 59,290; a reduction of approximately 18 percent compared to FY 2007. Despite the facility challenges, FY 2009 indicated the tremendous value of the partnerships with RA and the YMCA as demonstrated by the increase in participation from 43,163 in FY 2008 (curtailed availability of the TLS Aquatics Center) to 59,290 in FY 2009.

# Fund 111
# Reston Community Center

FY 2010 will be a rebuilding year to recapture patrons who where displaced during the FY 2009 closure. It will also be the first year the department will implement a training budget for instructors to subsidize the costs associated with maintaining and renewing their professional certifications. This is anticipated to assist with recruitment and retention strategies for this cohort of employees and is part of the agency's Balanced Scorecard Projects related to training.

FY 2011 will begin the establishment of "in house" training seminars and Continuing Education Credits (CEC's) for both RCC lifeguards and instructors to further enhance recruitment and retention of these highly specialized staff members. In FY 2011, RCC will begin the land-based component implementation of the Drowning Education and Prevention (DEAP) program approved by the Board of Governors in FY 2008.

## General Programs

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 5/ 5 | 5/ 5 | 5/ 5 | 5/ 5 |
| **Total Expenditures** | **$1,058,127** | **$1,200,098** | **$1,182,591** | **$1,200,098** |

**Position Summary**

| | |
|---|---|
| 1 Park/Recreation Specialist III | 4 Park/Recreation Specialists II |
| **TOTAL POSITIONS**<br>**5 Positions / 5.0 Staff Years** | |

## Key Performance Measures

### Goal

To provide recreational, educational, and social activities to all age groups in order to provide a community-wide, positive, and meaningful experience in Small Tax District 5.

### Objectives

- To achieve participation rates of 83 percent of maximum enrollment in the Youth, Teen, Adult and Senior registered program offerings.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Enrollment capacity | 9,956 | 10,755 | 8,814 / 8,461 | 9,360 | 9,360 |
| Patrons served | 7,435 | 7,173 | 7,051 / 7,846 | 7,800 | 7,800 |
| **Efficiency:** | | | | | |
| Cost per participant | $73.06 | $63.15 | $98.50 / $80.65 | $79.29 | $78.42 |
| **Outcome:** | | | | | |
| Participation enrollment as percent of capacity | 74.68% | 79.54% | 80.00% / 80.00% | 83.00% | 83.00% |

## Performance Measurement Results

FY 2009 presented its share of challenges as a result of the effort to relocate 45 programs to multiple community sites while the RCC Hunters Woods building underwent significant renovations and repairs. Generous community support, most of it in-kind or donated, allowed the General Programs (GP) Department to plan and offer a wide array of social, enrichment and life-long learning programs. Despite the five-month closure, there was no adverse impact to the number of programs GP offered and overall enrollment as a result of creative planning and design. The FY 2009 registered participation total for General Programs' offerings was 7,846 in 532 registered event offerings. This was a substantial increase of 673 additional filled seats compared to the FY 2008 overall registration. FY 2008 enrollment was previously reported as 8,555 yet this data erroneously included several Point of Sale events (e.g. Seniorcize Series) and did not include patron cancellations; hence the actual FY 2008 enrollment was 7,173.

GP offerings are typically categorized as either registered programs or drop-in programs. RCC has a very robust schedule of drop-in programs with over 700 sessions in which the public can participate at their leisure without advanced registration and, typically, at no cost. As a result of the number of drop-in programs available and the significant number of participants represented, that participation data is reported in this text. Drop-in program participation rates are a function of the capacities of the variety of locations and types of activities. Programs such as Country Western Dances, Sunday Afternoon Dances, Seniorcize Series, Eggnormous Egg Hunt, Halloween Family Fun Day, Tot Time, and a variety of others fall into this category. In FY 2009, overall participation for these efforts equaled 11,247 additional patrons above and beyond the 7,846 noted in the table for the registered Youth, Teen, Adult and Senior program offerings. Registered and drop-in programs taken together show a total number of participants served by the General Programs Cost Center in FY 2009 of 19,093.

Of note in future strategic planning will be efforts to provide for alternative locations and strategies to accommodate the large number of patrons who experienced being placed on wait-lists for the GP department's most popular offerings, particularly those falling in the fitness category.

# Fund 111
# Reston Community Center

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 111, Reston Community Center**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$8,709,757** | **$5,746,787** | **$8,145,370** | **$7,312,506** |
| Revenue: | | | | |
| Taxes | $7,209,073 | $7,035,203 | $6,639,319 | $6,639,319 |
| Interest | 197,212 | 197,602 | 163,099 | 146,250 |
| Aquatics | 191,010 | 296,125 | 296,125 | 288,000 |
| General Programs | 301,689 | 329,888 | 329,888 | 328,920 |
| Rental | 86,627 | 75,000 | 75,000 | 75,000 |
| Arts and Events | 204,149 | 183,690 | 183,690 | 178,098 |
| Total Revenue | $8,189,760 | $8,117,508 | $7,687,121 | $7,655,587 |
| **Total Available** | **$16,899,517** | **$13,864,295** | **$15,832,491** | **$14,968,093** |
| Expenditures: | | | | |
| Personnel Services | $3,618,681 | $4,171,597 | $4,171,597 | $4,379,896 |
| Operating Expenses | 2,251,538 | 2,897,699 | 3,065,877 | 2,867,245 |
| Capital Equipment | 0 | 0 | 0 | 9,000 |
| Subtotal | $5,870,219 | $7,069,296 | $7,237,474 | $7,256,141 |
| Capital Projects | 2,883,928 | 85,000 | 1,282,511 | 750,000 |
| Total Expenditures | $8,754,147 | $7,154,296 | $8,519,985 | $8,006,141 |
| **Total Disbursements** | **$8,754,147** | **$7,154,296** | **$8,519,985** | **$8,006,141** |
| | | | | |
| **Ending Balance[1]** | **$8,145,370** | **$6,709,999** | **$7,312,506** | **$6,961,952** |
| Maintenance Reserve | $983,749 | $993,552 | $922,455 | $765,559 |
| Feasibility Study Reserve | 163,958 | 165,592 | 153,742 | 153,112 |
| Capital Project Reserve[2,3] | 3,000,000 | 3,000,000 | 2,736,309 | 3,000,000 |
| Economic and Program Reserve[3] | 3,500,000 | 2,550,855 | 3,500,000 | 3,043,281 |
| **Unreserved Balance** | **$497,663** | **$0** | **$0** | **$0** |
| | | | | |
| **Tax Rate per $100 of Assessed Value** | **$0.047** | **$0.047** | **$0.047** | **$0.047** |

[1] The fund balance in Fund 111, Reston Community Center, is maintained at adequate levels relative to projected personnel and operating requirements. Available fund balance is divided into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, funds for future capital projects and funds for economic and program contingencies.

[2] Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

[3] The Reston Community Center Board of Governors approved the increase of the Capital Project Reserve from $1,000,000 to a maximum of $3,000,000 and the creation of an Economic and Program Contingency Reserve on March 2, 2009.

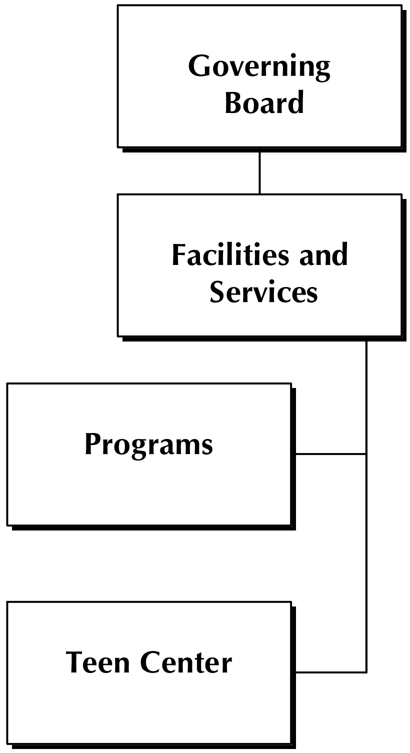
# Fund 111
# Reston Community Center

## FY 2011 Summary of Capital Projects

### Fund: 111 Reston Community Center

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003716 | Reston Community Center Improvements | $1,518,258 | $0.00 | $664,517 | $0 |
| 003717 | RCC HW Facility Renovations | 6,003,784 | 2,883,928.03 | 617,994 | 750,000 |
| **Total** | | **$7,522,042** | **$2,883,928.03** | **$1,282,511** | **$750,000** |

# Fund 113
# McLean Community Center




## Mission

The mission of the McLean Community Center is to provide a sense of community by undertaking programs; assisting community organizations; and furnishing facilities for civic, cultural, educational, recreational, and social activities apportioned fairly to all residents of Small District 1, Dranesville.

## Focus

McLean Community Center (MCC or the Center) fulfills its mission by offering a wide variety of civic, social and cultural activities to its residents including families, local civic organizations, and businesses.

MCC offers classes and activities for all ages at nominal fees such as aerobics, computers, acting and tours. Special events and seasonal activities such as McLean Day, Fourth of July, Summer Camp, and a Craft Show are held at MCC, schools and parks. The Alden Theatre presents professional shows, travel films and entertainment for children. The Old Firehouse is a popular teenage social and recreation center in downtown McLean, operated by the Center. Drop-in activities sponsored by MCC are available such as open bridge games and children's cooperative play.

Facilities and operations of the MCC are supported primarily by revenues from a special property tax collected from all residential and commercial properties within Small District 1, Dranesville. The Small District 1 real estate tax rate for FY 2011 is $0.024 per $100 of assessed property value which is the same as the FY 2010 tax rate. Other revenue sources include program fees and interest on investments. In FY 2009, total property assessments in Small District 1 decreased by 6.8 percent, reflecting an assessment base that is 95.0 percent residential and 5.0 percent non-residential. It is anticipated that total property assessments will decrease by an additional 13.5 percent in FY 2010 and then remain at that level in FY 2011.

# Fund 113
# McLean Community Center

Financial and operational oversight of the Center is provided by the MCC Governing Board, elected annually. MCC receives its expenditure authority from the Fairfax County Board of Supervisors each fiscal year.

The MCC Governing Board and staff have developed and refined a strategic business plan which directs the expansion of the agency's functions for the next three years. MCC will renovate the theatre as part of the continuing improvements. MCC will train staff to provide information to enhance the Center's capability as a "one-stop shop" for printed and online information on community activities. MCC also seeks to develop programs that increase community involvement of all age groups. Residents and businesses will be included in identifying McLean's community needs and MCC staff will analyze those needs to determine potential areas of expanded programming facilities.

Over the last several years, MCC's Governing Board and staff members have been considering a possible expansion of the main facility, and a relocation or renovation of the Teen Center, a satellite program of MCC that provides after school programs, activities, events and a summer camp program for middle-school-age students. In FY 2007, a survey of Small District 1 residents and users was conducted to provide information concerning their experiences taking classes, attending performances and special events and renting meeting rooms at the Center. A follow up survey is scheduled for FY 2010-11 to gather additional residents and users' opinions about the Center's plans to expand its facilities and programs.

Creating greater awareness of and participation in community activities is also a part of MCC's strategic business plan. MCC will continue to support outreach and marketing and community activities.

MCC will maintain fiscal integrity and expand community support by increasing business and neighborhood partnerships, and by obtaining sponsorships for MCC programs and activities.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 31/ 27.45 | 31/ 27.45 | 31/ 27.45 | 31/ 27.45 |
| Expenditures: | | | | |
| Personnel Services | $2,160,486 | $2,470,674 | $2,470,674 | $2,553,632 |
| Operating Expenses | 1,673,316 | 2,281,013 | 2,490,355 | 2,490,908 |
| Capital Equipment | 33,484 | 24,750 | 40,350 | 0 |
| **Subtotal** | **$3,867,286** | **$4,776,437** | **$5,001,379** | **$5,044,540** |
| Capital Projects | $172,984 | $215,826 | $702,597 | $263,500 |
| **Total Expenditures** | **$4,040,270** | **$4,992,263** | **$5,703,976** | **$5,308,040** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

♦ **Other Post-Employment Benefits** **$82,958**
An increase of $82,958 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on

Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

- **Operating Expenses** **$209,895**
  An increase of $209,895 is due primarily to the inclusion of $138,600 in one-time funding for major events including the 35th anniversary of the McLean Community Center. The remaining increase of $71,295 is due primarily to increased contractual services for custodial and grounds and increased utility costs.

- **Capital Projects** **$263,500**
  Total Capital Projects funding of $263,500 is included. Of this total, $93,500 is included to purchase a Fire Curtain for the Alden Theatre, $45,000 is included for design work for replacing the HVAC system, and $125,000 is included for window replacement at the Community Center.

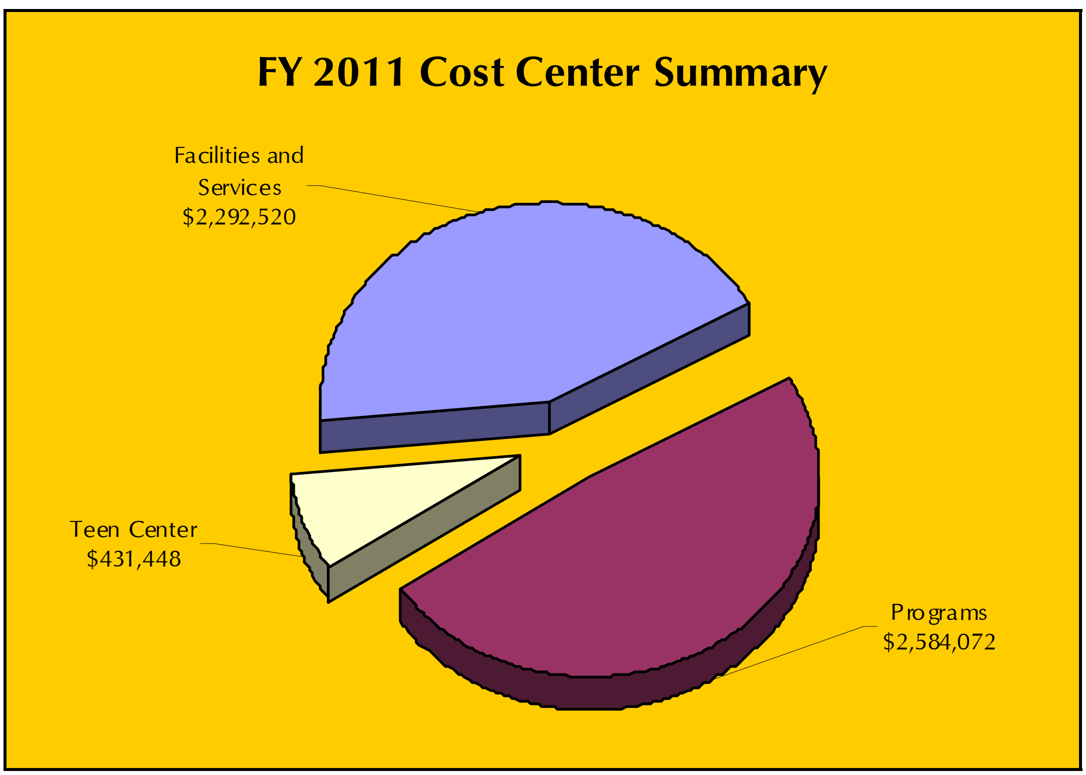
## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$711,713**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $711,713 including encumbered funding of $224,942 associated with professional and consulting contracts supplies, repairs and maintenance, and utilities and $486,771 in unexpended Capital Project balances.

## Cost Centers

The three cost centers in Fund 113, McLean Community Center are Facilities and Services, Programs and Teen Center. These distinct program areas work to fulfill the mission and carry out the key initiatives of the McLean Community Center.



# Fund 113
# McLean Community Center

## Facilities and Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 17/ 13.95 | 17/ 13.95 | 18/ 14.95 | 18/ 14.95 |
| **Total Expenditures** | **$1,864,553** | **$2,140,309** | **$2,747,203** | **$2,292,520** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Executive Director | 1 | Administrative Assistant V, PT | 1 | Administrative Assistant IV |
| 1 | Deputy Community Center Director | 1 | Communications Specialist II | 1 | Administrative Assistant III |
| 1 | Chief Building Maintenance Section | 1 | Facility Attendant II | 3 | Administrative Assistants II |
| 1 | Accountant II | 6 | Facility Attendants I, 6 PT | | |
| **TOTAL POSITIONS** | | | | | |
| **18 Positions / 14.95 Staff Years** | | | | **PT Denotes Part-Time Positions** | |

## Key Performance Measures

### Goal

To administer the facilities and programs of the McLean Community Center, to assist residents and local public groups' planning activities and to provide information to citizens in order to facilitate their integration in the life of the community.

### Objectives

- To increase the number of patrons attending events, activities and classes by 1.6 percent from 186,519 to 189,425.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Patrons served | 175,943 | 180,578 | 183,887 / 179,035 | 186,519 | 189,425 |
| **Efficiency:** | | | | | |
| Cost per patron | $10.24 | $9.73 | $9.53 / $9.79 | $10.42 | $12.10 |
| **Service Quality:** | | | | | |
| Percent satisfied with service | 99% | 99% | 99% / 99% | 99% | 99% |
| **Outcome:** | | | | | |
| Percent change in patrons using the Center | (3.4%) | 4.4% | 1.8% / (0.9%) | 4.2% | 1.6% |

## Performance Measurement Results

The number of patrons calculated as the number of persons participating in classes or other activities in the facility, decreased from 180,578 in FY 2008 to 179,035 in FY 2009 with no change in the number of complaints received through the Taxpayer and Participant Satisfaction Survey. There was a minimal increase in the cost per patron from $9.73 in FY 2008 to $9.79 in FY 2009.

# Fund 113
# McLean Community Center

## Programs

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 11/ 10.5 | 11/ 10.5 | 10/ 9.5 | 10/ 9.5 |
| **Total Expenditures** | **$1,866,689** | **$2,421,622** | **$2,504,710** | **$2,584,072** |

### Position Summary

**Instruction & Senior Adult Activities**
1 Park/Recreation Specialist II

**Special Events**
1 Park/Recreation Specialist II

**Performing Arts**
1 Theatrical Arts Director
1 Theatre Technical Director
1 Asst. Theatre Technical Director
1 Park/Recreation Specialist I
1 Administrative Assistant IV
1 Facility Attendant II
1 Facility Attendant I, PT

**Youth Activities**
1 Park/Recreation Specialist III

**TOTAL POSITIONS**
**10 Positions / 9.5 Staff Years**

**PT Denotes Part-Time Position**

## Key Performance Measures

### Goal
To provide programs and classes to McLean Community Center district residents of all ages in order to promote personal growth and a sense of community involvement.

### Objectives
- To maintain the number of patrons participating in classes and activities at 40,425.
- To increase the number of patrons attending major community Special Events by 6.2 percent to 32,000, while improving the participant satisfaction level.
- To increase the number of patrons served by Performing Arts activities by 3.2 percent to 32,000.
- To maintain the number of patrons participating in Youth Activities at 10,000.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Patrons participating in classes and Senior Adult activities | 39,052 | 35,365 | 38,500 / 37,210 | 40,425 | 40,425 |
| Patrons attending Special Events | 27,371 | 28,358 | 28,000 / 30,145 | 30,145 | 32,000 |
| Patrons at Performing Arts activities | 31,511 | 29,358 | 29,107 / 30,420 | 31,000 | 32,000 |
| Youth Activity patrons | 11,434 | 7,232 | 15,500 / 8,480 | 10,000 | 10,000 |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Cost per patron in classes and Senior Adult activities | $13.63 | $15.42 | $15.85 / $14.01 | $15.69 | $15.50 |
| Cost per patron at Special Events | $9.26 | $11.22 | $12.57 / $9.88 | $12.35 | $16.09 |
| Cost per patron at Performing Arts activities | $20.92 | $23.25 | $27.40 / $24.25 | $32.22 | $29.76 |
| Cost per patron at Youth Activities | $34.93 | $53.52 | $26.51 / $36.47 | $49.95 | $48.99 |
| **Service Quality:** | | | | | |
| Percent satisfied with classes and Senior Adult activities | 95% | 95% | 95% / 95% | 95% | 95% |
| Percent satisfied with Special Events | 99% | 99% | 99% / 99% | 95% | 99% |
| Percent satisfied with Performing Arts activities | 99% | 99% | 99% / 99% | 99% | 99% |
| Percent satisfied with Youth Activities | 85% | 90% | 85% / 85% | 85% | 90% |
| **Outcome:** | | | | | |
| Percent change in participation in classes and Senior Adult activities | 1.4% | (8.1%) | 8.9% / 5.2% | 8.6% | 0.0% |
| Percent change in participation at Special Events | 5.1% | 3.6% | (1.2%) / 6.3% | 0.0% | 6.2% |
| Percent change in participation at Performing Arts activities | 4.7% | (6.8%) | (0.9%) / 3.6% | 1.9% | 3.2% |
| Percent change in participation at Youth Activities | (9.9%) | (36.8%) | 114.3% / 17.3% | 17.9% | 0.0% |

## Performance Measurement Results

The number of patrons attending events at MCC, including classes, performing arts activities, special events and youth programs, continues to increase. This can be credited to a combination of efforts, including increased marketing, program re-design, and facility improvements. Another contributing factor was the economy as many residents found themselves enjoying their leisure time closer to home and participated in more MCC events.

Service Quality is measured by customer satisfaction surveys. These are conducted at the conclusion of the classes and other activities, and on-site at special events. A high level of approval has been noted in every aspect of the operation. The only recent decrease is due to the lack of indoor alternatives to large weather-dependent, outdoor events. Staff members are working to find acceptable auxiliary sites.

# Fund 113
# McLean Community Center

## Teen Center

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 3/ 3 | 3/ 3 | 3/ 3 | 3/ 3 |
| **Total Expenditures** | **$309,028** | **$430,332** | **$452,063** | **$431,448** |

| Position Summary | |
|---|---|
| 2 Park/Recreation Specialists I | 1 Park/Recreation Assistant |
| **TOTAL POSITIONS**<br>**3 Positions / 3.0 Staff Years** | |

## Key Performance Measures

### Goal

To provide a facility for local youth in grades 7 through 12 in order to promote personal growth and provide a safe recreational and productive environment.

### Objectives

- To maintain the yearly number of weekend patrons at 6,233.
- To increase the yearly number of weekday participants by 1,000 or 9.1 percent from 11,000 to 12,000, with a future year goal of 13,000.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Weekend patrons at Teen Center | 4,328 | 4,341 | 6,233 / 5,850 | 6,233 | 6,233 |
| Weekday patrons at Teen Center | 9,900 | 10,000 | 13,000 / 10,325 | 11,000 | 12,000 |
| **Efficiency:** | | | | | |
| Cost per patron (including weekend and weekday) | $37.50 | $26.58 | $22.55 / $19.10 | $26.23 | $22.43 |
| **Service Quality:** | | | | | |
| Percent of satisfied weekend patrons | 93% | 90% | 95% / 95% | 95% | 95% |
| Percent of satisfied weekday patrons | 80% | 90% | 85% / 90% | 85% | 90% |
| **Outcome:** | | | | | |
| Percent change in weekend patrons | (54.8%) | 0.3% | 43.6% / 34.8% | 6.5% | 0.0% |
| Percent change in weekday patrons | 3.0% | 1.0% | 30.0% / 3.3% | 6.5% | 9.1% |

## Performance Measurement Results

The number of patrons participating in Teen Center weekend activities increased dramatically due to hiring new talent and program revisions. Weekend activities increased 34.8 percent from 4,341 in FY 2008 to 5,850 in FY 2009. The number of weekday participants increased 3.3 percent from 10,000 in FY 2008 to 10,325 in FY 2009. The cost per patron decreased from $26.58 in FY 2008 to $19.10 in 2009 due to program restructuring. The projected FY 2010 increase in weekend and weekday participants is attributable to restructuring of the Teen Center programs as well as the addition of new programs.

# Fund 113
# McLean Community Center

## FUND STATEMENT

**Fund G10, Special Revenue Funds** | **Fund 113, McLean Community Center**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$9,794,652** | **$10,576,374** | **$11,745,157** | **$11,736,776** |
| Revenue: | | | | |
| Taxes | $4,672,817 | $4,041,395 | $4,041,395 | $4,041,395 |
| Interest | 225,160 | 430,493 | 430,493 | 225,160 |
| Rental Income | 61,137 | 59,374 | 59,374 | 70,900 |
| Instructional Fees | 476,175 | 597,924 | 597,924 | 613,752 |
| Performing Arts | 192,690 | 142,301 | 142,301 | 225,440 |
| Vending | 657 | 975 | 975 | 975 |
| Special Events | 103,863 | 104,400 | 104,400 | 116,400 |
| Theatre Rentals | 0 | 26,200 | 26,200 | 26,200 |
| Intergenerational Programs | 119,918 | 172,600 | 172,600 | 145,800 |
| Miscellaneous Income | 68,358 | 49,233 | 49,233 | 49,233 |
| Teen Center Income | 70,000 | 70,700 | 70,700 | 70,700 |
| Visual Arts | 0 | 0 | 0 | 18,000 |
| Total Revenue | $5,990,775 | $5,695,595 | $5,695,595 | $5,603,955 |
| **Total Available** | **$15,785,427** | **$16,271,969** | **$17,440,752** | **$17,340,731** |
| Expenditures: | | | | |
| Personnel Services | $2,160,486 | $2,470,674 | $2,470,674 | $2,553,632 |
| Operating Expenses | 1,673,316 | 2,281,013 | 2,490,355 | 2,490,908 |
| Capital Equipment | 33,484 | 24,750 | 40,350 | 0 |
| Capital Projects | 172,984 | 215,826 | 702,597 | 263,500 |
| Total Expenditures | $4,040,270 | $4,992,263 | $5,703,976 | $5,308,040 |
| **Total Disbursements** | **$4,040,270** | **$4,992,263** | **$5,703,976** | **$5,308,040** |
| **Ending Balance[1]** | **$11,745,157** | **$11,279,706** | **$11,736,776** | **$12,032,691** |
| Equipment Replacement Reserve[2] | $921,011 | $1,007,426 | $1,007,426 | $1,007,426 |
| Capital Project Reserve[3] | 7,574,193 | 8,574,193 | 8,574,193 | 8,574,193 |
| Technology Improvement Fund | 200,000 | 200,000 | 200,000 | 200,000 |
| **Unreserved Balance** | **$3,049,953** | **$1,498,087** | **$1,955,157** | **$2,251,072** |
| **Tax Rate per $100 of Assessed Value [4]** | **$0.026** | **$0.024** | **$0.024** | **$0.024** |

[1] The ending balance is being set aside to fund a future expansion of the main facility and potentially a relocation or renovation of the Old Firehouse Teen Center, a satellite program of McLean Community Center, providing after school programs, activities, events and a summer camp program for middle-school-age students. It is anticipated that the funding in the Capital Project Reserve will be directed to the expansion and relocation plans. By building up this reserve, the amount of bond funding required will be reduced accordingly.

[2] Funds reserved for equipment replacement are not encumbered based on normal accounting practices; however, they are allocated for future equipment replacement purchases.

[3] Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

[4] Effective in FY 2010, the tax rate was reduced to $0.024 from $0.026 per $100 of Assessed Value.

# Fund 113
# McLean Community Center

### FY 2011 Summary of Capital Projects

**Fund: 113 McLean Community Center**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003601 | McLean Community Center Improvements | $3,074,159 | $172,983.59 | $702,597.00 | $263,500 |
| **Total** | | **$3,074,159** | **$172,983.59** | **$702,597.00** | **$263,500** |

# Fund 115
# Burgundy Village Community Center

## Mission
To provide and maintain a facility for the citizens of the Burgundy Village district so they may have an opportunity to plan, organize, and implement recreational, social, and civic activities.

## Focus
Fund 115, Burgundy Village Community Center, was established in 1970, along with a special tax district, to finance the operations and maintenance of the Burgundy Village Community Center for use by residents of the Burgundy Community. Residents of this district currently pay an additional $0.02 per $100 of assessed value on their real estate taxes to fund the Center. The subdivisions of Burgundy Village, Somerville Hill, and Burgundy Manor are included in the special tax district. Funding for Center operations and maintenance is derived from the tax district receipts, interest on Center funds invested by the County, and rentals.

The Burgundy Village Community Center is used for meetings, public service affairs, and private parties. Residents of the Burgundy Community rent the facility for $35 per event; non-residents are charged $200 per event. There is no charge for community activities or meetings such as the Burgundy Civic Association, Neighborhood Watch, and community events sponsored by the Operations Board.

The Center is currently governed by a five-member Operations Board elected by the Burgundy Village Community residents.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 0/0 | 0/0 | 0/0 | 0/0 |
| Expenditures: | | | | |
| Personnel Services | $15,248 | $19,687 | $19,687 | $18,419 |
| Operating Expenses | 12,557 | 25,646 | 25,646 | 25,646 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$27,805** | **$45,333** | **$45,333** | **$44,065** |

## FY 2011 Funding Adjustments
*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding for pay for performance or market rate adjustments is included in FY 2011.

- **Personnel Services Adjustment** **($1,268)**
  A decrease of $1,268 based on actual salary requirements for the two limited term positions that support this facility.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- There have been no revisions to this fund since approval of the FY 2010 Adopted Budget Plan.

## Key Performance Measures

### Objectives

- To increase the number of community center rentals to 241 in FY 2010 and FY 2011, in order to create a focal point in the community.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Rentals | 199 | 241 | 241 / 236 | 241 | 241 |
| **Efficiency:** | | | | | |
| Cost per rental (1) | $0.00 | $2.09 | $2.82 / $0.00 | $0.00 | $2.54 |
| **Service Quality:** | | | | | |
| Percent of users satisfied with the use of the facility | 80% | 86% | 85% / 74% | 85% | 85% |
| **Outcome:** | | | | | |
| Percent change in facility use to create a community focal point | 13.1% | 21.1% | 0.0% / (2.1%) | 2.1% | 0.0% |

(1) The methodology to calculate the cost per rental includes operating costs less one time expenditures offset by rental revenue. Small variations in revenue and/or operating expenses can have a significant impact on the cost per rental calculation.

## Performance Measurement Results

In FY 2009, rentals slightly decreased over the prior year, although the center continues to resourcefully engage regularly scheduled weekly rentals throughout the year. The Center's cost per rental has a net effect of zero due to bare minimum operating expenditures and increased rental revenues that off-set the total direct costs. In FY 2009, the customer satisfaction rate decreased as a result of fewer responses including a small percentage of respondents that have actually rented the center which reflects an overrepresentation of people that were less satisfied. FY 2009 rental totals are in close proximity to full capacity for this community center; therefore, rental projections are anticipated to slightly increase in FY 2010 and FY 2011. The Burgundy Community Center Board actively advertises the availability of the center for standard weekly rentals, civic, and social activities by continuing to utilize public media announcements.

# Fund 115
# Burgundy Village Community Center

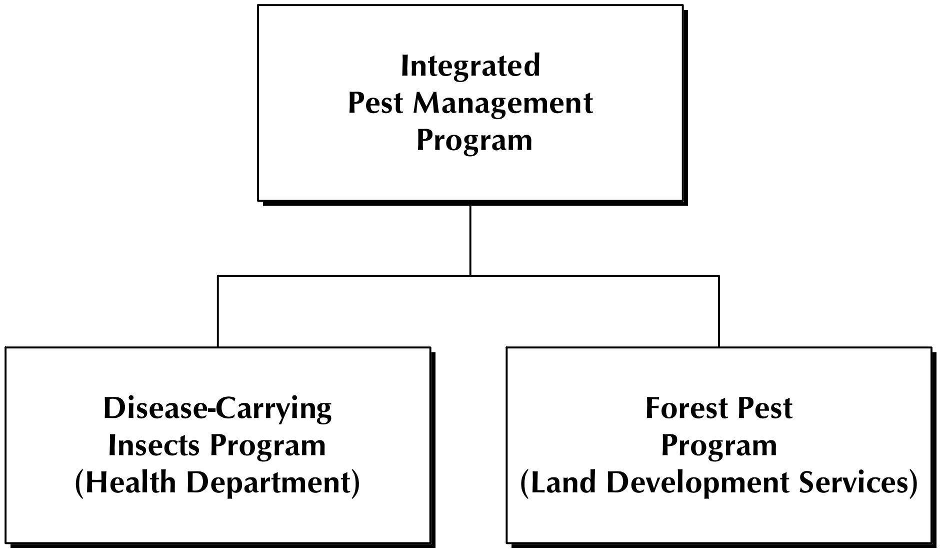
## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 115, Burgundy Village Community Center**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$206,539** | **$223,932** | **$241,841** | **$256,461** |
| Revenue: | | | | |
| Taxes | $29,653 | $24,618 | $24,618 | $23,775 |
| Interest | 4,299 | 6,000 | 6,000 | 4,500 |
| Rent | 29,155 | 29,335 | 29,335 | 29,335 |
| Total Revenue | $63,107 | $59,953 | $59,953 | $57,610 |
| **Total Available** | **$269,646** | **$283,885** | **$301,794** | **$314,071** |
| Expenditures: | | | | |
| Personnel Services | $15,248 | $19,687 | $19,687 | $18,419 |
| Operating Expenses | 12,557 | 25,646 | 25,646 | 25,646 |
| Total Expenditures | $27,805 | $45,333 | $45,333 | $44,065 |
| **Total Disbursements** | **$27,805** | **$45,333** | **$45,333** | **$44,065** |
| **Ending Balance**[1] | **$241,841** | **$238,552** | **$256,461** | **$270,006** |
| **Tax Rate per $100 of Assessed Value** | **$0.02** | **$0.02** | **$0.02** | **$0.02** |

[1] The Burgundy Village Community Center maintains fund balances at adequate levels relative to projected operation and maintenance requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 116
# Integrated Pest Management Program




## Mission
To suppress forest pest infestation and insect transmitted human disease throughout the County through surveillance, pest and insect control, and public information and education, so that zero percent of the County tree cover is defoliated and human morbidity and mortality are minimized while protecting the environment.

## Focus
Fund 116, Integrated Pest Management Program, includes two separate programs – the Forest Pest Program managed by Land Development Services (Department of Public Works and Environmental Services) and the Disease-Carrying Insects Program managed by the Health Department. The Forest Pest Program currently focuses on preventing the spread of gypsy moth caterpillars, cankerworms, hemlock woolly adelgid and emerald ash borers in the County. The Disease-Carrying Insects Program focuses on controlling the spread of the West Nile virus and Lyme disease, as the prevention of epidemics and the spread of disease is one of the core functions of the Health Department.

A countywide tax levy financially supports Fund 116 activities and this levy is subject to change annually due to funding requirements based on the level of infestation. Since FY 2001, the Board of Supervisors-approved tax rate has been $0.001 per $100 assessed value and has provided support for both the Forest Pest and the Disease-Carrying Insects Programs. In FY 2011, the same tax rate, along with the existing fund balance, will continue to support both programs.

**Forest Pest Program**
The Forest Pest Program is a cooperative program with the United States Department of Agriculture (USDA) Forest Service and the Virginia Department of Agriculture and Consumer Services (VDACS). VDACS maintains a list of insects that are eligible for control by this program. Currently, four insects are listed – the gypsy moth, the cankerworm, hemlock woolly adelgid and the emerald ash borer.

The gypsy moth program and the cankerworm program investigate tree damage due to both pests by conducting annual monitoring surveys. The surveys check egg masses and larval densities, have an approximate 20 foot radius, are conducted every 2,000 feet throughout the County and are Forest Service approved. Forested areas with high gypsy moth and cankerworm populations are identified for possible treatment the following spring. The proposed treatment plan and resource requirements for these pests are submitted annually to the Board of Supervisors for approval in February; the County may also be eligible for partial reimbursement for aerial treatment costs from the federal government assuming funding is available.

Treatment is conducted in late April through early May before the gypsy moth and cankerworm can damage trees. Throughout the year, staff conducts public hearings, displays information at fairs and exhibits, and distributes brochures, educating Fairfax County communities about pest suppression methods and measures that they may take to alleviate potential forest pest population infestations.

It is noted that the size of pest populations for gypsy moths and cankerworms is cyclical. Populations will be high for a period of years, and then drop for a period, only to rise again. For example, in the early to mid 1990s, annual treatment requirements for the gypsy moth fluctuated from 3,000 to 45,000 acres.

In recent years, gypsy moth populations have moderated. Since FY 2001 treatment acreage has fluctuated between zero acres and 5,500 acres annually, with the average being 2,100 acres. Based on field surveys conducted in the fall of 2009, staff estimates that very few acres will require treatment in FY 2010. Cankerworm populations also have moderated in recent years. Treatment has not been necessary since 2003, and none is anticipated in FY 2010. The FY 2011 budget provides capacity to treat 2,500 acres for gypsy moths and 2,500 acres for cankerworms, should egg mass surveys conducted between August and January of that fiscal year indicate the need.

The emergence of the emerald ash borer in Fairfax County was identified by VDACS in late 2003. In an effort to ensure that the insects did not spread any further, guidance was given by the USDA Animal Plant Health Inspection Service (APHIS) regarding eradication. Eradication efforts took place in spring 2004 before the adult borers emerged. Those efforts were coordinated among Fairfax County Forest Pest Program staff, APHIS, and VDACS; and, federal funding for eradication efforts was provided to the state. Forest Pest program staff continued to assist in eradication efforts through monitoring and surveying the treated area; however, County financial support for these efforts is not expected to be significant due to the relatively low emerald ash borer populations found in recent years, and due to potential financial assistance from the Commonwealth of Virginia. In July of 2008, staff identified three new infestations in the Newington, Herndon and Bailey's Crossroads areas. As a result of these infestations, USDA and VDACS have an established quarantine in northern Virginia which prohibits ash wood material from leaving the area. Fairfax County staff is implementing an outreach program to inform public and private entities of the state and federal regulations.

***On average, County staff annually treats 2,100 acres to combat the gypsy moth infestations.***

Hemlock woolly adelgid is a recent addition to the VDACS list of insects that can be controlled by the Forest Pest Program. Staff is considering various control options for this pest.

**Disease-Carrying Insects Program**

The West Nile virus (WNV) is transmitted from birds to humans through the bite of infected mosquitoes, and it continues to be a public health concern. The first sign of the virus in Fairfax County was in 2000 when a positive bird was detected; subsequently, the disease was found in mosquitoes, horses and eventually in humans. To date there have been 22 human cases detected in the County (13 in FY 2003, three in FY 2004, one in FY 2005, zero in 2006, three in 2007, one in 2008 and one in 2009), with two fatalities, one occurring in FY 2003 and one in FY 2005.

# Fund 116
# Integrated Pest Management Program

In order to address the presence of emerging diseases, the County established a multi-agency mosquito surveillance and management committee, and the Health Department secured contract services in 2002 to carry out specialized activities in avian (bird) and mosquito surveillance and mosquito control. In FY 2003, the County hired a medical entomologist to further develop and guide the West Nile virus (WNV) program. Currently the program consists of four major components: surveillance, control, emergency preparedness and outreach/education. Inter-jurisdictional cooperation is also a key component of the WNV program, allowing for coordination of surveillance and management activities on public lands and with surrounding jurisdictions.

Since the 2004 WNV season (May to October), avian and mosquito surveillance activities have been performed by County staff in lieu of contracted services. However, contracted services have been retained for the more labor-intensive preemptive control activities that require a significant fleet of vehicles and specialized equipment. A comprehensive larval surveillance program was carried out in FY 2005 and FY 2006 to evaluate the actual extent of breeding sites in the County. The County continues to proactively treat the stormwater catch basins in an effort to reduce the population of *Culex* mosquitoes that transmit WNV. Catch basins are treated in several six week cycles from May through October. Treatment cycles totaling 105,000 catch basins are planned to ensure the aggressive suppression of the disease. Weather conditions are the principal factors that determine the number of catch basins that will be treated any given year. Inspection and larviciding activities are carried out in targeted areas of the County identified as significant mosquito breeding areas.

The outreach and education component of the WNV program is aimed at increasing residents' awareness of actions that can be taken for self protection and reduction of potential mosquito breeding areas on private property. The program continues to produce and distribute outreach material in English, Chinese, Farsi, Korean, Spanish, Urdu and Vietnamese. In FY 2010, the program produced and printed a fifth edition 18-month calendar with complementary captions, facts, figures, important dates, and helpful reminders of things for readers to do around the home to manage mosquitoes and ticks and protect residents from mosquito and tick-borne diseases. General facts, local figures and brief descriptions of the County's efforts were included to educate the public about basic mosquito biology and inform them specifically about mosquitoes, ticks, West Nile virus and Lyme disease in Fairfax County. In FY 2010, the program also prepared, printed and mass mailed to every residence in the County the second edition of a 20 page "Reference Guide to Mosquitoes, Ticks, West Nile virus and Lyme Disease." All the newly produced material is also posted on the web.

In the past few years a new public health awareness has increased in relation to Lyme disease. Lyme disease is a bacterial infection transmitted to man by the bite of an infected black-legged (deer) tick (*Ixodes scapularsi*) and is an increasing problem in Fairfax County as the endemic area of the disease continues to expand southward. A total of 189 human cases were recorded in calendar year 2008 (the most recent year for which statistics are available). A tick identification service was implemented in FY 2005 to determine the distribution and infection rate of the bacterium (*Borerleia burgdorferi*) that causes Lyme disease. In FY 2009, the program expanded to include the sampling of white footed mice (*Peromyscus leucopus*), the reservoir for the bacterium that causes Lyme disease, to determine the distribution and infection rate. In FY 2009, the program initiated a tick identification service for County residents to inform them of the type of tick that had bitten them. These programs and services will continue in FY 2011. In the spring of FY 2010, DCIP will collaborate on a four poster tick control effort with other agencies in the County. The four poster is a bait station that applies an insecticide to the deer that will kill the ticks that are on the deer, therefore, controlling the tick population.

# Fund 116
# Integrated Pest Management Program

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 10/ 10 | 10/ 10 | 10/ 10 | 10/ 10 |
| Expenditures: | | | | |
| Personnel Services | $1,312,767 | $1,168,744 | $1,168,744 | $1,195,505 |
| Operating Expenses | 941,244 | 1,707,847 | 2,078,160 | 1,707,847 |
| Capital Equipment | 9,400 | 0 | 0 | 0 |
| **Total Expenditures** | **$2,263,411** | **$2,876,591** | **$3,246,904** | **$2,903,352** |

| Summary by Program | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| **Forest Pest Program** | | | | |
| Authorized Positions/Staff Years | | | | |
| Regular | 7/ 7 | 7/ 7 | 7/ 7 | 7/ 7 |
| **Expenditures** | **$926,913** | **$1,043,204** | **$1,047,179** | **$1,061,937** |
| **Disease-Carrying Insects Program** | | | | |
| Authorized Positions/Staff Years | | | | |
| Regular | 3/ 3 | 3/ 3 | 3/ 3 | 3/ 3 |
| **Expenditures** | **$1,336,498** | **$1,833,387** | **$2,199,725** | **$1,841,415** |

| Position Summary | | | |
|---|---|---|---|
| | FOREST PEST PROGRAM | | DISEASE-CARRYING INSECTS PROGRAM |
| 1 | Urban Forester III | 1 | Environmental Health Supervisor |
| 4 | Urban Foresters II | 1 | Environmental Health Specialist II |
| 1 | Information Technology Technician III | 1 | Environmental Health Specialist III |
| 1 | Administrative Assistant II | | |
| TOTAL POSITIONS<br>**10 Positions / 10.0 Staff Years** | | | |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding for pay for performance or market rate adjustments is included in FY 2011.

- **Other Post-Employment Benefits** **$26,761**
  An increase of $26,761 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

# Fund 116
# Integrated Pest Management Program

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$370,313**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved encumbered funding of $370,313 in Operating Expenses for contractual agreements and telecommunication needs.

## Key Performance Measures

### Objectives

- To control the infestation of gypsy moths, cankerworms, and emerald ash borers through detection and abatement programs so that no more than 1 percent of the County tree cover is defoliated in a given year.

- To suppress the transmission of West Nile virus from infected mosquitoes to the human population, holding the number of human infections to no more than three.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Gypsy moth/cankerworm field surveys completed annually in areas known or suspected to be infested | 3,200 | 4,000 | 4,000 / 3,200 | 3,200 | 3,200 |
| Mosquito larvicide treatments of catch basins to control West Nile virus | 101,118 | 101,416 | 102,000 / 105,099 | 105,000 | 105,000 |
| **Efficiency:** | | | | | |
| Gypsy moth/cankerworm field surveys conducted per staff | 800 | 800 | 800 / 800 | 800 | 800 |
| Disease-carrying insect program cost per capita | $1.66 | $1.25 | $1.66 / $1.28 | $2.02 | $2.01 |
| **Service Quality:** | | | | | |
| Percent of County households in gypsy moth and cankerworm treatment areas notified of abatement efforts | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of targeted catch basin areas treated with mosquito larvicide within the scheduled timeframe | 100% | 96% | 100% / 90% | 100% | 100% |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent of County tree defoliation resulting from gypsy moth and cankerworm infestation | 0% | 0% | 1% / 0% | 0% | 0% |
| Confirmed human cases of West Nile virus in Fairfax County, Fairfax City and Falls Church City as reported by VDH (1) | 1 | 1 | 1 / 1 | 1 | 1 |

(1) VDH = Virginia Department of Health

## Performance Management Results

**Forest Pest Program:** Aerial treatment for the gypsy moth was 950 acres in the spring of FY 2009. Based on field surveys of the gypsy moth population in the fall of 2008, staff estimates very few acres will require treatment in spring of FY 2010. Based on surveys for the cankerworm no treatment was necessary in spring of FY 2009, and none is required during the spring of FY 2010. Defoliation surveys for both insects conducted in the summer of 2009 indicated that there were no acres of defoliation in Fairfax County during FY 2009, totaling zero percent.

**Disease-Carrying Insects (DCI) Program:** The continuing goal of the DCI Program in FY 2011 is to continue to hold the number of human cases of West Nile virus (WNV) as reported by the Virginia Department of Health to no more than three cases, the same goal as in prior years. In FY 2009, there was only one human case of WNV.

WNV program costs are based on the number and size of treatment rounds in a given year, as well as education, outreach and surveillance activities carried out in-house. Treatment rounds, although dependent on weather conditions, remain relatively constant throughout the years, maintaining a relatively stable program cost. The total DCI program cost per capita was $1.28 in FY 2009. This was lower than the budget of $1.66 per capita. The estimated cost for FY 2010 and FY 2011 provides the capacity for a higher cost per capita; actual spending will depend on environmental factors, insecticide treatments resulting from larval inspections and surveillance activities, as well as follow-up studies for the evaluation of the outreach program.

The tick surveillance program, begun in FY 2005, continues in FY 2010 and FY 2011 in cooperation with other County agencies. This program will increase the understanding of the magnitude of tick-borne diseases in the County and will define the regions of greatest risk. The DCI Program partnered with Johns Hopkins University to test the black-legged ticks for *Borrelia burgdorferi*. The increased testing for the presence of Lyme disease may also impact the DCI Program cost per capita in future years.

# Fund 116
# Integrated Pest Management Program

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 116, Integrated Pest Management Program**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,184,363** | **$2,498,913** | **$3,275,154** | **$2,021,965** |
| Revenue: | | | | |
| General Property Taxes | $2,269,696 | $1,945,042 | $1,945,042 | $1,765,515 |
| Interest on Investments | 69,506 | 48,673 | 48,673 | 48,673 |
| State Reimbursement | 15,000 | 0 | 0 | 0 |
| Total Revenue | $2,354,202 | $1,993,715 | $1,993,715 | $1,814,188 |
| **Total Available** | **$5,538,565** | **$4,492,628** | **$5,268,869** | **$3,836,153** |
| Expenditures: | | | | |
| Forest Pest Program | $926,913 | $1,043,204 | $1,047,179 | $1,061,937 |
| Disease-Carrying Insects Program | 1,336,498 | 1,833,387 | 2,199,725 | 1,841,415 |
| Total Expenditures | $2,263,411 | $2,876,591 | $3,246,904 | $2,903,352 |
| **Total Disbursements** | **$2,263,411** | **$2,876,591** | **$3,246,904** | **$2,903,352** |
| **Ending Balance[1]** | **$3,275,154** | **$1,616,037** | **$2,021,965** | **$932,801** |
| **Tax Rate Per $100 of Assessed Value** | **$0.001** | **$0.001** | **$0.001** | **$0.001** |

[1] Due to the cyclical nature of pest populations, the treatment requirements supported by this fund may fluctuate from year to year. Therefore, Ending Balances may also fluctuate depending on the level of treatment necessary to suppress gypsy moth, cankerworm, emerald ash borer or West Nile Virus - carrying mosquito populations in a given year.

# Fund 118
# Consolidated Community Funding Pool

## Mission

To provide a pool of funds to be awarded on a competitive basis for human service programs offered by community-based agencies. The Department of Administration for Human Services (DAHS) has oversight responsibility for this funding pool.

## Focus

The formation of the Consolidated Community Funding Pool (CCFP) began in FY 1997, when the Board of Supervisors approved the development and the implementation of a competitive funding process to fund services best provided by community-based agencies and organizations. These organizations were formerly funded through a contribution or through a contract with an individual county agency. In accordance with the Board's direction, this process was operational in FY 1998 and was guided by the following goals:

- Provide support for services that are an integral part of the county's vision and strategic plan for human services;
- Serve as a catalyst to community-based agencies, both large and small, to provide services and leverage resources;
- Strengthen the community's capacity to provide human services to individuals and families in need through effective and efficient use of resources; and
- Help build public/private partnerships and improve coordination, especially within the human services regions of the County.

Fund 118 was established in FY 1998 to provide a budget mechanism for this funding process. In FY 2000, Community Development Block Grant (CDBG) funding for community-based organizations was incorporated to form the CCFP.

The CCFP process reflects significant strides to improve services to county residents and to usher in a new era of strengthened relations between the County and community nonprofit and faith-based organizations. First, all programs funded through this process are required to develop and track program outcome measures. To aid agencies in meeting this requirement, the County has provided several performance measurement training opportunities for staff and volunteers from all interested community-based agencies. Second, the criteria used to evaluate the proposals explicitly encourage agencies to leverage County funding through strategies such as cash match from other non-County sources, in-kind services from volunteers or contributions from the business community and others. Third, the criteria encourage agencies to develop approaches which build community capacity and involve residents and the individuals and families in the neighborhoods being served. Fourth, the County has implemented a nonprofit organizational development initiative to strengthen current and potential CCFP applicant organizations.

Continued efforts have been made to streamline the funding process for both County and community-based agencies. FY 2011 will be the twelfth year of a consolidated process for setting priorities and awarding funds from both the CCFP and CDBG processes.

FY 2011 initiatives will include:

- Continue utilization of the two-year contract awards cycle for agencies receiving funds through the CCFP.
- Provide ongoing technical assistance and contract management oversight and support to nonprofit recipients of CCFP funds.
- Promote approaches which build community capacity and leadership and the involvement of residents and, where feasible, the population being served in the targeted communities.

- Review documented service needs and demographic trends and continue to gather relevant information from public meetings, reports and studies, and data from County and nonprofit human service agencies.

FY 2011 is the first year of a two-year funding cycle. The Consolidated Community Funding Advisory Committee (CCFAC) has organized the FY 2011/FY 2012 funding priorities according to four areas, and adopted corresponding outcome statements. The CCFAC also recommended, and the Board approved, target percentage ranges for each priority area for FY 2011/FY 2012, which are intended to be used as guidelines for applicants and for the citizen Selection Advisory Committee.

| Priority Area | Outcome Statement | Target |
|---|---|---|
| Prevention | Families and individuals get help to remain independent and have the tools and resources to prevent future or ongoing dependence. Communities increase their ability to develop and provide preventive services. | 10 – 20% |
| Crisis Intervention | Individuals, families, or communities in crisis overcome short-term (generally less than three months) problems and quickly move back to independence. | 15 – 25% |
| Self-Sufficiency | Families, individuals, neighborhoods, and communities get comprehensive services addressing many facets and needs so that they can attain self-sufficiency over a period of three months to three years. | 45 – 55% |
| Ongoing Assistance | People, neighborhoods, and communities that have continuing and long-term needs achieve or maintain healthy, safe and independent lives to the maximum extent possible. | 10 – 20% |

A Request for Proposal (RFP) was issued in the fall of 2009, utilizing these funding criteria as approved by the Board of Supervisors. Funds will be awarded for a two-year period on a competitive basis after a citizen Selection Advisory Committee reviews responses from all eligible community organizations to the RFP. The citizen Selection Advisory Committee will recommend two-year funding awards to the Board of Supervisors in April 2010. Once approved by the Board of Supervisors, the community organizations receiving funding in FY 2011 will be detailed in the FY 2011 Adopted Budget Plan. For a complete list of the FY 2010 allocations, please refer to the FY 2010 Adopted Budget Plan or the CCFP website at http://www.fairfaxcounty.gov/ccfp/funded/awardsfy10.htm.

The Department of Administration for Human Services has administrative oversight responsibility for the CCFP. Together with the Fairfax County Department of Housing and Community Development, the Department of Family Services and the Department of Neighborhood and Community Services, they are responsible for planning, implementation and oversight of all facets of the CCFP process.

Prior to FY 2000, the CCFP grant process and the CDBG process were similar activities that operated under different time frames, with separate application requirements and different evaluation criteria. With the December 1997 approval of the Board of Supervisors, these two processes were merged under the title of Consolidated Community Funding Pool. The CCFP is funded from federal CDBG funds for Targeted Public Services and Affordable Housing; federal Community Services Block Grant (CSBG) funds; and local Fairfax County General Funds. Although the process for setting priorities and awarding funds has been consolidated, Fund 118 contains only the local Fairfax County General Fund and CSBG portion of the funds. The federal CDBG funds remain in Fund 142, Community Development Block Grant, for grant accounting purposes. It should also be noted that the CSBG funding is not detailed separately from the General Fund Transfer.

# Fund 118
# Consolidated Community Funding Pool

Recognizing the need for the critical services provided by CCFP contractors to the community, families, and individuals, particularly in the current economic downturn, the County Executive proposed to keep the FY 2011 General Fund transfer at the same level as FY 2010. FY 2011 CDBG funding is projected to increase by $7,998, thus increasing the total CCFP FY 2011 funding level to $10,981,477. A breakdown of this funding is shown in the following table:

| Funding Source | FY 2011 Advertised Budget |
|---|---|
| General Fund Transfer<br>(includes estimated CSBG revenue to General Fund) | $8,970,687 |
| CDBG<br>(shown in Fund 142, CDBG)[1] | $2,010,790 |
| **Total CCFP** | **$10,981,477** |

[1] The Fund 142, CDBG award is currently an estimate and allocation of funding will be made as part of the *FY 2010 Carryover Review*.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $8,807,864 | $8,970,687 | $9,266,423 | $8,970,687 |
| **Total Expenditures** | **$8,807,864** | **$8,970,687** | **$9,266,423** | **$8,970,687** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- FY 2011 funding remains at the same level as the FY 2010 Adopted Budget Plan.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$295,736**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $295,736 in Operating Expenses due to $264,956 in encumbered carryover and $30,780 in unencumbered carryover. This funding was required to be carried over to complete and settle all FY 2009 Consolidated Community Funding Pool (CCFP) contracts for nine projects.

# Fund 118
# Consolidated Community Funding Pool

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 118, Consolidated Community Funding Pool**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$132,913** | **$0** | **$295,736** | **$0** |
| Transfer In: | | | | |
| General Fund (001) | $8,970,687 | $8,970,687 | $8,970,687 | $8,970,687 |
| Total Transfer In | $8,970,687 | $8,970,687 | $8,970,687 | $8,970,687 |
| **Total Available** | **$9,103,600** | **$8,970,687** | **$9,266,423** | **$8,970,687** |
| Expenditures: | | | | |
| Operating Expenses | $8,807,864 | $8,970,687 | $9,266,423 | $8,970,687 |
| Total Expenditures | $8,807,864 | $8,970,687 | $9,266,423 | $8,970,687 |
| **Total Disbursements** | **$8,807,864** | **$8,970,687** | **$9,266,423** | **$8,970,687** |
| **Ending Balance**[1] | **$295,736** | **$0** | **$0** | **$0** |

[1] The FY 2010 Ending Balance decreases by more than 10 percent due to the projected expenditure of carryover funds to complete and settle all Consolidated Community Funding Pool (CCFP) contracts.

# Fund 119
# Contributory Fund

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $13,813,986 | $12,935,440 | $12,935,440 | $12,038,305 |
| **Total Expenditures** | **$13,813,986** | **$12,935,440** | **$12,935,440** | **$12,038,305** |

## Contributory Overview

Fund 119, Contributory Fund, was established in FY 2001 to reflect General Fund support for agencies or organizations that receive County contributions. FY 2011 funding totals $12,038,305 and reflects a decrease of $897,135 or 6.94 percent from the FY 2010 Adopted Budget Plan funding level of $12,935,440. The required Transfer In from the General Fund is $12,038,305. Individual contributions are described in detail on the following pages.

Contributory funding is in compliance with the Board of Supervisors' policy to make General Fund appropriations of specified amounts to various nonsectarian, nonprofit or quasi-governmental entities for the purpose of promoting the general health and welfare of the community. Contributory agency positions are not part of the County merit system and funding for all contributory agencies is reviewed annually. Each request is reviewed on the basis of the benefit to Fairfax County citizens, contractual or regional commitments, the responsibilities of state agencies, and a prior County commitment of funding. When appropriate, a nonprofit agency that provides specific contractual partnership services may be referred to Fund 118, Consolidated Community Funding Pool, for funding consideration by the Consolidated Community Funding Advisory Committee.

Since public funds are being appropriated, disbursements provided to designated agencies are currently made contingent upon submission and review of quarterly, semiannual and/or annual reports. This oversight activity includes reporting requirements prescribed by the County Executive, requiring designated agencies to accurately describe the level and quality of services provided to County residents, as well as the overall financial strength and stability of the County's contributory agencies. Various County agencies may be tasked with oversight of program reporting requirements. Contributory agencies that do not file reports as requested, may, at the discretion of the County Executive, have payments withheld until appropriate reports are filed and reviewed.

It should be noted that population is used by several of the organizations as the basis for their requests for FY 2011 funding from Fairfax County. The population figures cited by the individual organizations for Fairfax County may differ somewhat from one another due to the particular projection service utilized.

The chart on the following pages summarizes the FY 2011 funding for the various contributory organizations.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Legislative-Executive Functions/Central Service Agencies:** | | | | |
| Alliance for Innovation | $6,000 | $6,000 | $6,000 | $6,000 |
| Dulles Area Transportation Association | 9,000 | 9,000 | 9,000 | 9,000 |
| Metropolitan Washington Council of Governments | 894,309 | 896,072 | 896,072 | 883,745 |
| National Association of Counties | 19,049 | 19,049 | 19,049 | 19,049 |
| Northern Virginia Regional Commission | 561,079 | 565,421 | 565,421 | 564,382 |
| Northern Virginia Transportation Commission | 177,574 | 179,609 | 179,609 | 186,288 |
| Public Technology Incorporated | 20,000 | 0 | 0 | 0 |
| Virginia Association of Counties | 222,753 | 227,208 | 227,208 | 227,208 |
| Virginia Institute of Government | 20,000 | 20,000 | 20,000 | 20,000 |
| Washington Airports Task Force | 40,500 | 34,425 | 34,425 | 32,704 |
| **Subtotal Legislative-Executive** | **$1,970,264** | **$1,956,784** | **$1,956,784** | **$1,948,376** |
| | | | | |
| **Public Safety:** | | | | |
| NOVARIS | $22,551 | $10,118 | $10,118 | $9,577 |
| Partnership For Youth | 50,000 | 42,500 | 42,500 | 40,375 |
| **Subtotal Public Safety** | **$72,551** | **$52,618** | **$52,618** | **$49,952** |
| | | | | |
| **Health and Welfare:** | | | | |
| GMU Law and Mental Illness Clinic | $51,678 | $51,678 | $51,678 | $51,678 |
| Health Systems Agency of Northern Virginia | 86,750 | 86,750 | 86,750 | 86,750 |
| Medical Care for Children | 270,000 | 166,000 | 166,000 | 237,000 |
| Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence | 1,573,880 | 1,753,315 | 1,753,315 | 1,847,761 |
| Volunteer Fairfax | 305,247 | 305,247 | 305,247 | 305,247 |
| **Subtotal Health and Welfare** | **$2,287,555** | **$2,362,990** | **$2,362,990** | **$2,528,436** |
| | | | | |
| **Parks, Recreation and Cultural:** | | | | |
| Arts Council of Fairfax County | $225,008 | $191,257 | $191,257 | $181,694 |
| Arts Council of Fairfax County - Arts Groups Grants | 120,000 | 102,000 | 102,000 | 96,900 |
| Challenge Grant Funding Pool for the Arts | 550,000 | 467,500 | 467,500 | 444,125 |
| Dulles Air and Space Museum | 240,000 | 150,000 | 150,000 | 100,000 |
| Fairfax Symphony Orchestra | 292,300 | 248,455 | 248,455 | 236,032 |
| Fort Belvoir Army Museum | 240,000 | 150,000 | 150,000 | 100,000 |
| Lorton Arts Foundation | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| Northern Virginia Regional Park Authority | 2,084,140 | 2,083,723 | 2,083,723 | 1,979,537 |
| Reston Historic Trust | 20,000 | 17,000 | 17,000 | 16,150 |
| Claude Moore Colonial Farm | 31,500 | 0 | 0 | 0 |
| Town of Vienna Teen Center | 40,000 | 34,000 | 34,000 | 32,300 |
| Virginia Opera Company | 25,000 | 0 | 0 | 0 |
| Wolf Trap Foundation for the Performing Arts | 125,000 | 106,250 | 106,250 | 100,938 |
| **Subtotal Parks, Recreation & Cultural** | **$4,992,948** | **$4,550,185** | **$4,550,185** | **$4,287,676** |

# Fund 119
# Contributory Fund

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Community Development:** | | | | |
| Architectural Review Board | $3,500 | $2,975 | $2,975 | $2,826 |
| Celebrate Fairfax, Incorporated | 27,760 | 0 | 0 | 0 |
| Center for Chesapeake Communities | 36,000 | 30,600 | 30,600 | 29,070 |
| Commission for Women | 6,916 | 6,916 | 6,916 | 6,916 |
| Convention and Visitors Corporation | 2,853,586 | 2,602,308 | 2,602,308 | 2,378,965 |
| Earth Sangha | 20,000 | 17,000 | 17,000 | 16,150 |
| Fairfax County History Commission | 26,022 | 22,119 | 22,119 | 21,013 |
| Fairfax ReLeaf | 52,000 | 44,200 | 44,200 | 41,990 |
| Greater Reston Incubator | 30,000 | 25,500 | 25,500 | 24,225 |
| Northern Virginia Community College | 92,200 | 91,110 | 91,110 | 90,181 |
| Northern Virginia Conservation Trust | 282,047 | 239,740 | 239,740 | 227,753 |
| Northern Virginia Soil and Water Conservation District | 496,459 | 421,990 | 421,990 | 0 |
| Northern Virginia 4-H Educational Center | 25,000 | 0 | 0 | 0 |
| Occoquan Watershed Monitoring Program | 120,565 | 112,559 | 112,559 | 0 |
| OpenDoor Housing Fund | 32,890 | 31,776 | 31,776 | 31,776 |
| Southeast Fairfax Development Corporation | 203,124 | 192,968 | 192,968 | 183,320 |
| VPI/UVA Education Center | 50,000 | 50,000 | 50,000 | 50,000 |
| Women's Center of Northern Virginia | 29,942 | 28,445 | 28,445 | 27,023 |
| Wildlife Rescue League | 10,000 | 0 | 0 | 0 |
| **Subtotal Community Development** | **$4,398,011** | **$3,920,206** | **$3,920,206** | **$3,131,208** |
| | | | | |
| **Nondepartmental:** | | | | |
| Fairfax Public Law Library | $92,657 | $92,657 | $92,657 | $92,657 |
| **Subtotal Nondepartmental** | **$92,657** | **$92,657** | **$92,657** | **$92,657** |
| | | | | |
| **Total County Contributions** | **$13,813,986** | **$12,935,440** | **$12,935,440** | **$12,038,305** |

## FY 2011 Budget Reduction Impact Summary

Those organizations that receive a County contribution not based on regional or contractual agreements, legal requirements or based on membership dues are being reduced from the FY 2010 Adopted Budget Plan contribution amount. In addition, contributions to two organizations that were previously funded out of Fund 119, the Occoquan Watershed Monitoring Program and the Northern Virginia Soil and Water Conservation District, will now be supported by the newly created Fund 125, Stormwater Services, beginning in FY 2011.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **FY 2011 Baseline Adjustments** **($66,054)**

  A decrease of $66,054 reflects adjustments associated with contributions based on legal requirements, per capita calculations, contractual or regional commitments or based on membership dues. The following summaries describe these adjustments in more detail by program area.

  The **Legislative-Executive Functions/Central Service Agencies** program area decreases $6,687 for several organizations based on per capita requirements and adjusted County population figures for which population is cited and used in the calculation. This reduction includes a decrease of $12,327 or 1.4 percent for Metropolitan Washington Council of Governments (MWCOG) due to a slight decrease in the Water Resources assessment and a decrease of $1,039 or 0.2 percent for the Northern Virginia Regional Commission (NVRC), based on a County population estimate which is slightly decreased from the previous year's estimate. This decrease is offset by an increase of $6,679 or 3.7 percent for the Northern Virginia Transportation Commission (NVTC) based on the share of revenue to be received by NVTC on behalf of the County (calculation based on state statute). It should be noted that population, as determined by the County's own Urban Development Information System (UDIS), maintained by the Fairfax County Department of Systems Management for Human Services, may differ from other particular projection services, e.g., Weldon Cooper Center for Public Service, used by various contributory agencies as the basis for their contributions.

The **Public Safety** program area decreases $541 due to a reduction in the County's share for the Northern Virginia Regional Identification System (NOVARIS) as a result of decreased lease and maintenance requirements based on obligations that have been met, as well as the receipt of additional UASI grant funding in FY 2009 to cover AFIS maintenance. In FY 2008, NOVARIS was awarded additional UASI funds for the maintenance of the new system which reduces member jurisdictions' payments in FY 2010 and FY 2011.

The **Health and Welfare** program area increases $165,446 due to an increase of $94,446 or 5.4 percent for the Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence, known collectively as Birmingham Green. The increase for Birmingham Green is attributable to higher utilization rate calculations for the past five years. In addition, an increase of $71,000 or 42.8 percent for the Medical Care for Children Program Foundation (MCCP). The increase is attributable to MCCP operational requirements of $237,000, an increase over the contribution of $166,000 in FY 2010, for which MCCP used one-time FY 2009 fund balance to subsidize the FY 2010 contribution.

The **Parks, Recreation and Cultural** program area remains at the FY 2010 level other than reductions utilized to balance the FY 2011 budget.

The **Community Development** program area decreases $224,272 primarily associated with a decrease of $223,343 or 8.58 percent for the Convention and Visitors Corporation based on projected transient occupancy tax revenue for FY 2011; and a decrease of $929 or 1.02 percent for Northern Virginia Community College.

♦ **Reductions** **($831,081)**

A decrease of $831,081 reflects reductions utilized to balance the FY 2011 budget. The following chart provides details on the specific reductions approved.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Reduce Contributions to Various Organizations | County contributions for various nonsectarian, nonprofit and quasi-governmental entities have been reduced by a total of $831,081. Of this amount, $534,549 reflects the reallocation of funding responsibility for contributions to the Occoquan Watershed Monitoring Program and the Northern Virginia Soil and Water Conservation District (NVSWCD) from this fund to Fund 125, Stormwater Services. It should be noted that funding of $535,830 is included in Fund 125, Stormwater Services due to an increase of $1,281 for NVSWCD for contractual increases in insurance costs. The remaining reduction of $296,532 reflects decreased contributions to Contributory Agencies that do not receive County funding through legal requirements, contractual or regional commitments or based on membership dues. In general, reductions of 5 percent were included. Please review the preceding chart for specific details. | 0 | 0.0 | $831,081 |

# Fund 119
# Contributory Fund

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments**
  There have been no adjustments to this fund since the approval of the FY 2010 Adopted Budget Plan.

The following pages provide background information and summary budget data for organizations receiving FY 2011 contributory funding.

## FY 2011 Advertised Budget Plan Contributions

### Legislative-Executive Functions/Central Service Agencies:

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Alliance for Innovation | $6,000 | $6,000 | $6,000 | $6,000 |

The Alliance for Innovation – formerly known as the Virginia Innovation Group – is part of the Innovation Groups (IG), a network of local government professionals seeking innovation and governmental excellence. IG, now in its 29th year of service, provides a national forum for those seeking to innovate and learn new approaches to providing public service. IG's purpose is to assist local governments to build and sustain the capacity to be innovative. It provides an 'organizational' membership, meaning that everyone at every level in member jurisdictions can utilize its services. These include an online document library, research inquiry service, national and regional networking opportunities, training and other learning events, two annual conferences, research and publications. Recently IG, the International City/County Management Association and Arizona State University founded the Alliance for Innovation to assist local governments across the country by identifying the major forces that will drive local government in the future; responding to those forces by identifying and accelerating innovations; identifying and documenting best practices; and reducing the time from when an innovation is identified to when it becomes practice.

For FY 2011, the membership dues to the Alliance for Innovation for Fairfax County's share of costs based on population category are $6,000, which is consistent with the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Dulles Area Transportation Association | $9,000 | $9,000 | $9,000 | $9,000 |

The Dulles Area Transportation Association (DATA) is a public-private, nonprofit, 501(c)(3) tax exempt transportation management association dedicated to improving transportation in a 150-square mile area around Dulles Airport including the Route 28, Route 50, Route 7 and Dulles Corridor (the Greater Dulles Area). Its membership is comprised of elected officials of the Commonwealth of Virginia, Fairfax County, Loudoun County, and the towns of Herndon and Leesburg; senior executives of the Metropolitan Washington Area Airports (MWAA) and the Washington Metropolitan Area Transit Authority (WMATA); and other employer firms, property owners and business professionals, with membership open to all. The Board of Supervisors approved the first contribution for DATA in the FY 1993 budget.

DATA currently has over 100 members; 50 are dues-paying individuals corporations and businesses, 10 are dues-paying governmental or quasi-governmental organizations, and the remainder are non-paying local representatives to the General Assembly, representatives of citizen associations, and affiliate members (e.g., Fairfax County Chamber of Commerce), none of whom are obligated to pay dues but allow similar memberships in their organizations.

# Fund 119
# Contributory Fund

DATA provides a neutral public forum for identifying transportation needs within the Greater Dulles Area, as well as generating solutions to meet them. In Calendar Year 2009, DATA planned and conducted transportation seminars in support of efforts to improve transportation in the greater Dulles area in conjunction with regional members of the Commonwealth Transportation Board and other local governing bodies. During Calendar Year 2010, DATA will continue several activities, including conducting transit-oriented development seminars to improve understanding of the concept by citizens and businesses in the region. Other programs will emphasize congestion management and mobility approaches including heavy and light rail, bus rapid transit, and highway improvements and the effects of green house gases and climate change will be explored. DATA staff will also work with the County's Department of Transportation to execute targeted projects aimed at raising employer and citizen awareness of the challenges and possible solutions to traffic congestion in the region.

The FY 2011 Fairfax County funding amount for the Dulles Areas Transportation Association is $9,000, which is the same level as the FY 2010 Adopted Budget Plan contribution amount.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Metropolitan Washington Council of Governments | $894,309 | $896,072 | $896,072 | $883,745 |

The Metropolitan Washington Council of Governments (COG) is the regional planning organization of the Washington, D.C. area's local governments. COG works toward solutions to regional problems such as transportation, affordable housing, emergency preparedness and environmental issues. Currently, 20 area jurisdictions are members, including Fairfax County. Funding for COG is provided through federal and state grants, contributed services, special contributions (fees for services) and local government contributions.

Annual COG contributions are based on the per capita rate multiplied by the population estimates provided by member jurisdictions. The FY 2011 per capita rate is $0.65721, which is consistent with the FY 2010 rate for member contributions.

The FY 2011 Administrative Contribution totals $720,514 and is consistent with the FY 2010 Adopted Budget Plan amount. COG calculates each jurisdiction's share based on the region's estimated population. The total FY 2011 County contribution to COG is $1,151,700. In addition to the Administrative Contribution of $720,514 and Special Contributions of $163,226 ($127,276 for the Regional Environmental Fund and $35,950 for Water Resources), for a total Fund 119 contribution of $883,745, an amount of $13,997 is budgeted in Fund 114, I-95 Refuse Disposal, and $253,958 ($220,863 for Water Resource Planning and $33,095 for Blue Plains Users) is budgeted in Fund 401, Sewer Operation Maintenance Fund - Wastewater Management.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| National Association of Counties | $19,049 | $19,049 | $19,049 | $19,049 |

The National Association of Counties (NACo) is an organization that represents and informs participating governments of current developments and policies that affect services and operations. NACo acts as a liaison with other levels of government, works to improve public understanding of counties, serves as a national advocate for counties and provides them with resources to find innovative methods to meet the challenges they face. NACo is involved in a number of special projects that deal with issues such as homeland security, energy, environment, housing and land use, among others.

An amount of $19,049 is included for FY 2011 dues, which is consistent with the FY 2010 Adopted Budget Plan.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Regional Commission | $561,079 | $565,421 | $565,421 | $564,382 |

The Northern Virginia Regional Commission (NVRC) is a regional council of local governments in Northern Virginia created in 1969 pursuant to the Virginia Area Development Act and a regionally-executed charter. In 1995, the Virginia Area Development Act was amended and renamed the Regional Cooperation Act. It sets forth the purpose of planning district commissions as follows: "...to encourage and facilitate local government cooperation in addressing, on a regional basis, problems of greater than local significance. The cooperation resulting from this Act is intended to assist local governments in meeting their own problems by enhancing their abilities to recognize and analyze regional opportunities and take account of regional influences in planning and implementing their public policies and services."

NVRC's policies and programs are established by a 25-member Board of Commissioners composed entirely of elected council and board members of NVRC's 14 member localities. The work of the Commission is supported in part by contributions from the member local governments and by appropriations from the Virginia General Assembly.

NVRC serves as a neutral forum for decision-making; provides member governments with the information and analyses necessary to make sound local and regionally beneficial decisions; provides professional and technical services to enable member governments to plan for their future individually and as a region; and carries out programs and functions at the request of member governments to supplement their own capacities or to achieve economies of scale through regional approaches. NVRC's services are divided into regional policy programs such as the legislative program; demographics and information services; environmental and land use; and human services programs.

The total FY 2011 Fairfax County contribution of $564,382 is a decrease of $1,039 or 0.2 percent from the FY 2010 Adopted Budget Plan of $565,421. This amount provides for the annual contribution of $490,185, as well as special contributions of $46,919 to support the Occoquan Watershed Management Program, $8,085 for the Northern Virginia Waste Management Program and $19,193 for the Four-Mile Run Watershed Management Program. Consistent with the previous two fiscal years, NVRC is holding the per capita rate at $0.50 for FY 2011. As a result, the decrease is attributable to a slight population decline based on the population estimates generated by the Weldon Cooper Center for Public Service.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Transportation Commission | $177,574 | $179,609 | $179,609 | $186,288 |

The Northern Virginia Transportation Commission (NVTC) is the executive agency of the Northern Virginia Transportation District. It was established by state statute as a political subdivision of the Commonwealth of Virginia. The principal business activity of the Commission is to manage and control the functions, affairs and property of the Northern Virginia Transportation District, as defined in the Transportation Act of 1964. It represents its constituent jurisdictions (Alexandria, Falls Church, Fairfax City, Arlington County, Fairfax County and Loudoun County) on the Metro Board.

Each NVTC jurisdiction is assigned a percentage of the local portion of NVTC's administrative budget based on the jurisdiction's share of state aid received from NVTC in the previous year. This is determined by the application of a subsidy allocation model that projects the total amount of state aid received by the region and local jurisdictions. This model contains seven formulas including such variables as Metro construction costs, Metrorail service costs, ridership volume and population. These calculated percentages for each jurisdiction are applied to NVTC's remaining administrative budget after other revenue sources such as state aid, interest earned and project chargebacks have been applied.

# Fund 119
# Contributory Fund

The NVTC projected expenditure base for FY 2011 is $1,221,880, which is consistent with the FY 2010 budget; however, Fairfax County's contribution will increase by $6,679 based on its share of revenue received by NVTC on behalf of the County. The total FY 2011 Fairfax County funding for this agency is $186,288, an increase of $6,679 or 3.72 percent over the FY 2010 Adopted Budget Plan of $179,609.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Public Technology Incorporated | $20,000 | $0 | $0 | $0 |

Public Technology Incorporated (PTI) is the nonprofit, membership-based technology research, development and commercialization organization for all cities and counties in the United States. As the only technology organization created by and for cities and counties, PTI works with a core network of leading local government officials – the PTI membership – to identify opportunities for technology research, share best practices, offer consultancies and pilot demonstrations, promote technology development initiatives, and develop educational programming. Officials from PTI's member governments participate in councils and forums that address specific technology areas. Through a corporate partner program with leading technology companies and partnerships with federal agencies and other governmental organizations, PTI shares information about these activities, as well as the expertise of its members with the broader audience of thousands of cities and counties across the United States.

County membership in PTI is to be paid by the Department of Vehicle Services in conjunction with the Department of Information Technology in FY 2011. As a result, no funding is included for PTI in the Contributory Fund.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Virginia Association of Counties | $222,753 | $227,208 | $227,208 | $227,208 |

The Virginia Association of Counties (VACo) is an organization dedicated to improving county government in the Commonwealth of Virginia. To accomplish this goal, the association represents Virginia counties regarding state legislation that would have an impact on them. The association also produces conferences, publications and programs designed to improve county government and to keep county officials informed about recent developments in the state, as well as across the nation.

The FY 2011 Fairfax County contribution to VACo is $227,208, which is the consistent with the FY 2010 Adopted Budget Plan amount. The per capita rate remains at $0.22 for member contributions for FY 2011 which is consistent with the FY 2010 rate and is based on FY 2011 budget approval by VACo's governing board.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Virginia Institute of Government | $20,000 | $20,000 | $20,000 | $20,000 |

The Virginia Institute of Government was created by an act of the General Assembly in 1994, and is a nonprofit organization funded half by the Commonwealth of Virginia and half by local government membership contributions. It is part of the University of Virginia and its Weldon Cooper Center for Public Service. Local governments that join the Institute make annual contributions based on membership. While the Institute began with 60 members, it now has a roster of 225 Virginia member localities. The Institute operates with an advisory board of 18 members, each appointed for a single two-year term. It is made up of an equal number of members from the state's legislative and executive branches, as well as local governments.

The Institute is an ongoing informal gathering of organizational development staff from Virginia localities established to exchange ideas and strategies for developing high-performance governments and to help the Institute identify areas of needed assistance. Work products of the Virginia Institute of Government encompass four main areas: training, technical assistance, electronic information services, and select research projects. The Institute also provides staff support to certain state legislative and study committees.

The total Fairfax County FY 2011 funding for this agency is $20,000, which is consistent with the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Washington Airports Task Force | $40,500 | $34,425 | $34,425 | $32,704 |

The Commonwealth of Virginia, Fairfax County and the private sector support the Washington Airports Task Force. Its purpose is to develop markets, as well as promote domestic and foreign usage of the Metropolitan Washington Airports. It has yielded hundreds of millions of dollars in economic return for the Washington region and the Commonwealth of Virginia, including investment, tourism income, trade opportunities and jobs. Both Dulles and National Airports continue their significant impact on Fairfax County's economy. The distribution of local direct tax impact from Dulles and National for Fairfax County in 2005 was in excess of $20 million.

Total Fairfax County funding included for this agency for FY 2011 is $32,704, a decrease of $1,721 or 5 percent from the FY 2010 Adopted Budget Plan contribution of $34,425. Fairfax County's FY 2011 contribution will be used to maintain a comprehensive, proactive marketing and sales program to promote the region's air service opportunities to the world's airlines and other air service providers; encourage improvement of airport access; ensure adequate Air Traffic Control, Homeland Security and Customs support services from the federal government; and support the Metropolitan Washington Airports Authority's Capital Development.

| | | | | |
|---|---|---|---|---|
| **Subtotal Legislative-Executive** | **$1,970,264** | **$1,956,784** | **$1,956,784** | **$1,948,376** |

## Public Safety:

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| NOVARIS | $22,551 | $10,118 | $10,118 | $9,577 |

The Northern Virginia Regional Identification System (NOVARIS) utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. It enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington metropolitan area by comparing the print or partial print with all prints in the database. A new Automated Fingerprint Identification System (AFIS) was installed in FY 2007 that has enhanced technologies, including palm print and biometric recognition capabilities. Funding of $8.65 million was secured through an Urban Areas Security Initiative grant to cover the cost of AFIS system replacements for the National Capital Region, including NOVARIS, the District of Columbia, as well as Prince George's County and Montgomery County.

Participating Washington metropolitan area jurisdictions share costs associated with NOVARIS based on the sworn police population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997. In FY 2008, Montgomery and Prince George's counties no longer participate in NOVARIS as those jurisdictions have joined a Maryland regional fingerprint system. However, Loudoun County and the Virginia State Police joined NOVARIS in FY 2008. The system is housed in Fairfax County and is staffed by personnel contributed by the participating jurisdictions. Fairfax County exercises a fiduciary responsibility for the financial management and operation of NOVARIS, with the County contribution made through the Contributory Fund.

The total Fairfax County FY 2011 contribution of $9,577 is a decrease of $541 or 5.35 percent from the FY 2010 Adopted Budget Plan amount of $10,118. The contribution consists of the County's annual share of costs associated with operations and upgrades of NOVARIS. The decrease is due to reduced lease and maintenance requirements based on obligations that have been met, as well as the receipt of additional UASI grant funding to cover AFIS maintenance.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Partnership For Youth | $50,000 | $42,500 | $42,500 | $40,375 |

The Board of Supervisors first approved funding of $50,000 for the Partnership for Youth's mentoring program in FY 2000. The Fairfax Partnership on Youth was created in 1997 as an outgrowth of the Community Initiative to Reduce Youth Violence (CIRYV). Its mission is to bring the community together to reduce youth violence and promote positive youth development. This agency seeks to reduce youth violence by facilitating a forum for public and private providers to collaborate, evaluate and create programs, activities and services to better integrate activities, fill gaps and avoid duplication of efforts in the provision of services to youth in the community.

Among the types of initiatives undertaken by the Partnership for Youth include coordination of the Fairfax Mentoring Partnership; provision of the Support on Suspension (S.O.S.) effort, a voluntary community-based program designed to provide students in grades 6-12 with an opportunity to stay abreast of academic work while out of school due to suspension; the Fairfax County After-School Network for middle school-aged youth to minimize involvement in violence or other risky behaviors; assistance to the County on youth survey analysis; youth services information to provide the community with needed resources; advocacy for youth on issues affecting them; and the Youth Suicide and Depression Prevention Task Force to study and reduce risk factors for young people.

The Fairfax County contribution for FY 2011 of $40,375 is a decrease of $2,125 or 5 percent from the FY 2010 Adopted Budget Plan contribution of $42,500. The FY 2011contribution will be used to supplement funds received from the Commonwealth of Virginia, as well as corporate and other private funding sources.

| | | | | |
|---|---|---|---|---|
| **Subtotal Public Safety** | **$72,551** | **$52,618** | **$52,618** | **$49,952** |

## Health and Welfare:

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| GMU Law and Mental Illness Clinic | $51,678 | $51,678 | $51,678 | $51,678 |

As part of their deliberations on the FY 2005 budget, the Board of Supervisors approved funding of $51,678 for the George Mason University (GMU) Law and Mental Illness Clinic. In commitment proceedings, the individual against whom the commitment proceeding is brought is invariably represented by appointed counsel, while the family petitioning is rarely represented and is generally not familiar with the rules of evidence or the information required to persuade a judge to order commitment for the individual in severe mental distress. Each County resident who uses this program is assigned a supervising attorney, a third-year law student and a second-year law student to provide legal services. The supervising attorney oversees the general representation and is available to assist the students. The third-year law student acts as the petitioner's advocate, while the second-year student provides paralegal assistance for the third-year student in preparing for the commitment hearing.

# Fund 119
# Contributory Fund

The total Fairfax County FY 2011 funding for this agency is $51,678, which is consistent with the FY 2010 Adopted Budget Plan. This funding addresses the part-time salaries of one supervising attorney and two part-time assistant supervising attorneys who work with second- and third-year law students from George Mason University Law School. Their salaries total $43,678, while the remaining $8,000 is for indirect costs to the University.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Health Systems Agency of Northern Virginia | $86,750 | $86,750 | $86,750 | $86,750 |

The Health Systems Agency (HSA) of Northern Virginia is a regional body charged with coordinating and improving the health care system for Northern Virginia. To accomplish this, the agency establishes short-term objectives and long-range goals, as well as prepares annual implementation plans. In addition, HSA promotes and assists in community-oriented planning among and within local health care systems, documents and evaluates the need for new services in the region, and reviews health service and facility capital expenditure proposals subject to certificate of public need regulation filed by health service provider organizations in the region. Member jurisdictions include the counties of Fairfax, Arlington, Loudoun and Prince William, as well as the cities of Fairfax, Alexandria, Manassas and Falls Church. Funding contributions to HSA from local jurisdictions are encouraged but are not required.

The Health Systems Agency established a per capita contribution standard of $0.10 over ten years ago. Although Fairfax County has grown significantly in population since that time, the Health Systems Agency's local jurisdiction contribution requests have remained constant due to contributions from other sources. In FY 2011, revenue of $368,550 is projected to be received from three sources: grants and contracts, $181,100 or 49.1 percent; local government contributions, $185,600 or 50.4 percent; and interest earnings and miscellaneous income of $1,850 or 0.6 percent. Fairfax County is the largest local government contributor in FY 2011, providing $86,750 or 46.7 percent of the support received from the local government units.

The FY 2011 Fairfax County funding amount for the Health Systems Agency is $86,750, which is the same level as the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Medical Care for Children | $270,000 | $166,000 | $166,000 | $237,000 |

The Medical Care for Children Partnership (MCCP) program provides medical and dental care to children of the working poor in Fairfax County. In January 2009, members of the Medical Care for Children Advisory Council and private citizens concerned about health care for children in Fairfax County formed the Medical Care for Children Partnership which is dedicated to conducting fundraising support on behalf of the County for the care of uninsured children in Fairfax County. Specifically, funds are being raised to support the County's contract with Kaiser Permanente which provides health care for approximately 1,300 qualified children in Fairfax County per year. In addition, the MCCP will seek to raise additional funds to cover the costs of private practice providers.

MCCP receives funding from Fairfax County as its sole local government source. The Fairfax County FY 2011 funding amount for this agency is $237,000, which is an increase of $71,000 over the FY 2010 Adopted Budget Plan contribution of $166,000. This increase is a result of the MCCP utilizing one-time fund balance from FY 2009 to subsidize the FY 2010 contribution.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence | $1,573,880 | $1,753,315 | $1,753,315 | $1,847,761 |

The counties of Fairfax, Fauquier, Loudoun and Prince William, as well as the City of Alexandria established the Northern Virginia Healthcare Center Commission in 1987. Each jurisdiction is represented by a member on the Commission, which operates a long-term healthcare facility with 180 beds that opened in May 1991 known as the Northern Virginia Healthcare Center (Nursing Facility). The Northern Virginia Healthcare Center provides nursing care on a 24-hour basis, which includes professional observation, administration of medications and physician-prescribed treatments. Other services include special diets, recreational activities, physical and occupational therapy, and arrangements for physician, laboratory and radiology services.

The nursing facility is adjacent to the adult care residence, which is operated through an agreement with Birmingham Green Adult Care Residence. This 64-bed facility is also under the auspices of the Commission. This facility primarily provides room and board, along with assistance in activities of daily living for the aged and homeless. Nursing consultation is available and medical services are arranged either through the staff of the nursing home or other community services. Residents are admitted based on sponsorship by their jurisdiction's Public Welfare/Social Services Department. The adult care residence is a shelter for the aged and homeless who are indigent but self-sufficient, mobile and independent in their activities. The combined facilities are commonly known as Birmingham Green.

Construction of a 92-unit facility that will replace the original 64-bed adult care residence began in early FY 2007 and was completed in April 2008. Financing for the new facility was primarily provided through the U.S. Department of Housing and Urban Development. The new apartment-style facility provides an additional 28 beds for adult care residents.

Operating costs for the facility are partially covered through the Medicaid and General Relief programs at the maximum rates established by the state. To the degree that these funds are inadequate to cover the full costs of the operation of the facility, the sponsoring jurisdictions then subsidize the home on a user formula basis. Each jurisdiction pays for Personnel Services and Operating Expenses at a level proportionate to the number of the jurisdiction's residents at the home. Each jurisdiction’s FY 2011 contribution is based on a projected increase in the bed utilization rate due to the first full year of operations for the newly expanded assisted living facility.

The total FY 2011 Fairfax County recommended funding for these facilities is $1,847,761, an increase of $94,446 or 5.39 percent over the FY 2010 Adopted Budget Plan. This increase is due to a higher bed utilization rate by Fairfax County residents over the past five years. It should be noted that Birmingham Green’s overall jurisdictional contribution total remains at the same level as the FY 2010 budget.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Volunteer Fairfax | $305,247 | $305,247 | $305,247 | $305,247 |

Volunteer Fairfax is a private, nonprofit corporation created in 1975 and incorporated in the Commonwealth of Virginia. The center promotes volunteerism through a network of over 700 nonprofit agencies by mobilizing people and other resources to improve the community. Its primary goals are: to assist private nonprofit and public agencies in developing strong, efficiently managed organizations and volunteer programs; to increase corporate and citizens’ direct involvement in the community; to provide programs and services through partnerships that contribute to the resolution of community issues; and to increase the public’s awareness of both the need for and the benefits of volunteer service to the community. The scope of the center’s work also includes active participation in emergency preparedness activities and coordination through its support of the Citizen Corps, the County’s Emergency Management Coordinating Council and

Emergency Operations Center, the Northern Virginia Voluntary Organizations Active in Disaster, and the Metro Coalition of Volunteer Centers.

The center receives funding from Fairfax County as its sole local government source. In addition to the annual contribution, Fairfax County provides in-kind office space to the center at 10530 Page Avenue, the value of which is estimated to be $53,000. The Fairfax County FY 2011 funding amount for this agency is $305,247, which is consistent with the FY 2010 Adopted Budget Plan.

| | | | | |
|---|---|---|---|---|
| **Subtotal Health and Welfare** | **$2,287,555** | **$2,362,990** | **$2,362,990** | **$2,528,436** |

## Parks, Recreation and Cultural:

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Arts Council of Fairfax County | $225,008 | $191,257 | $191,257 | $181,694 |

Established in 1964, the Arts Council of Fairfax County is a private, nonprofit organization whose goals are to encourage, coordinate, develop and meet the needs of County residents and organizations for cultural programs. It develops and maintains a broad range of visual and performing arts programs designed to contribute to the growth of an integrated area-wide cultural community. It also supports and encourages the development of local artists and organizations by providing opportunities to reach new audiences through participation in Arts Council-sponsored activities.

The FY 2011 Fairfax County contribution is $181,694, a decrease of $9,563 or 5 percent from the FY 2010 Adopted Budget Plan of $191,257. The County's contribution represents 12.31 percent of the total projected revenue of $1,475,886. Other revenue sources include program fees, $355,000; the Fairfax County Arts Group funding, $102,000; the Virginia Commission Challenge Grant, $55,040; contributions and other grants, $50,000; video production, $30,000; equipment/space rental, $35,000; the membership fees, $20,000; interest, $17,500; Virginia Commission Government Grant, $5,000; and other miscellaneous charges, $7,500. In addition, as noted below, additional funding of $444,125 for a Challenge Arts Grant program is included for FY 2011.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Arts Council of Fairfax County - Arts Groups Grants | $120,000 | $102,000 | $102,000 | $96,900 |

In 1980, the Arts Council Advisory Panel was established to institute a grant system for County arts organizations. The Advisory Panel is the official entity established by the Arts Council for evaluating and ranking all art requests for funds, support services and facilities support from the Fairfax County government. This panel reviews all applications from local arts organizations, and based on eligibility and evaluating criteria, makes recommendations to the County Board of Supervisors for approving grants. It also encourages County arts organizations to seek contributions from a wide range of sources.

The total FY 2011 funding included for the Arts Council of Fairfax County - Arts Groups Grants is $96,900, a decrease of $5,100 or 5 percent from the FY 2010 Adopted Budget Plan contribution.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Challenge Grant Funding Pool for the Arts | $550,000 | $467,500 | $467,500 | $444,125 |

As part of their deliberations on the FY 2007 Adopted Budget Plan, the Board of Supervisors first included funding for the establishment of a Challenge Grant Funding Pool for the Arts to be administered by the Council on the Arts. Funds are to be used on a competitive basis to community arts organizations, with no more than $50,000 to support administrative costs of the Arts Council of Fairfax County.

The Challenge Grant Funding Pool is intended as a means to further leverage private funding and enable the arts to continue to flourish in the County. The grants are intended to leverage private funds by requiring a 2:1 dollar match. Funding is intended to support both arts in public spaces and the performing arts.

The total FY 2011 funding included for the Challenge Grant Funding Pool for the Arts is $444,125, a decrease of $23,375 or 5 percent from the FY 2010 Adopted Budget Plan contribution.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Dulles Air and Space Museum | $240,000 | $150,000 | $150,000 | $100,000 |

Fairfax County made its first contribution to the Udvar-Hazy Center of the Smithsonian Institute's Dulles Air and Space Museum in FY 2000 and has provided a total of $2,580,000 through FY 2009. Since the museum opened in December 2003, over 4.7 million people have visited the center, which brings income to the area. A sample showed that nearly 9 percent of visitors to this facility come from abroad, while 46 percent of the domestic audience drove over 100 miles to visit the center. This translates into overnight stays in the region, with the increased likelihood of visits to other nearby attractions.

Education is a vital part of the mission of the Center. There are classrooms and expanded programs for educators and students, particularly those from Fairfax County. The goal is to teach young people about America's aviation and space heritage, and emphasize the importance of technology.

The FY 2011 funding included for the Dulles Air and Space Museum is $100,000, a decrease of $50,000 or 33.3 percent from the FY 2010 Adopted Budget Plan. The County's FY 2011 contribution will continue to support the construction of Phase II of the Center, which will include the Restoration Hangar, the Archives and Collections Processing Center, and the Collections Storage area. To date, over $68.6 million has been secured to complete Phase II.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Fairfax Symphony Orchestra | $292,300 | $248,455 | $248,455 | $236,032 |

The Fairfax Symphony Orchestra (FSO) is a nonprofit organization chartered by the Virginia State Commission in 1966. A mixture of public and private contributions supports the orchestra. The FSO provides County residents with the opportunity to hear and learn about symphonic and ensemble music. The orchestra sponsors a variety of programs, including its own concert series, programs in the public schools, master classes for young music students, chamber orchestra for young adults, and the special music collection in the Fairfax County Public Library.

The County's contribution to the FSO supports all facets of the orchestra – Masterworks concerts, educational outreach and special concerts. In addition, County support will allow the orchestra to continue its valuable partnership with the Fairfax County Public Schools and the Fairfax County Park Authority to provide music literacy and outreach programs in FY 2011.

# Fund 119
# Contributory Fund

Funding of $236,032 is included for the FSO which is a decrease of $12,243 or 5 percent from the FY 2010 Adopted Budget Plan contribution.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Fort Belvoir Army Museum | $240,000 | $150,000 | $150,000 | $100,000 |

During adoption of the FY 2005 Budget, the Board of Supervisors approved funding of $240,000 to support construction of the U.S. Army Museum at Fort Belvoir in the southeastern part of Fairfax County. The capital campaign to raise $200 million in private funds has been underway, managed by the Army Historical Foundation, a nonprofit organization dedicated to preserving the Army's heritage. The museum is expected to draw approximately 740,000 visitors annually when it opens. The museum will feature unique educational programs and resources in the areas of technology, history, geography, political science, engineering and civics for students of all ages. The opening date is now tentatively set for June 2013.

All of the branches of the military either already have a centralized museum, or are in the process of building one. The Air Force Museum is at Wright-Patterson Air Force Base, Ohio; the Navy Museum is at the Washington Navy Yard; and the U.S. Marine Corps opened its National Heritage Center at Quantico Marine Base, less than 20 miles south of Fort Belvoir in Prince William County in November 2006. A County contribution of $100,000 has been included for the U.S. Army Museum for FY 2011, which is a decrease of $50,000 or 33.3 percent from the FY 2010 Adopted Budget Plan contribution.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Lorton Arts Foundation | $1,000,000 | $1,000,000 | $1,000,000 | $1,000,000 |

As part of the *FY 2005 Carryover Review*, the Board of Supervisors approved $500,000 to support the Lorton Arts Foundation (LAF) financing and capital renewal plan for operation of a center for the arts at the former Lorton Prison site. The Board had previously approved the negotiation of a lease of the former prison site with the Foundation, which proposed to use funds generated by leasing the various facilities to individual artists and performing arts groups. The Foundation’s plan includes public restaurants, residential facilities for artists in residence, and a prison museum in addition to artist studios and a small theater. Initially LAF believed that the project would be self-sustaining and could operate without additional resources from the County. However, after subsequent review and financial analysis by outside consultants knowledgeable in the creation and operation of facilities of this type, LAF found an underwriter willing to undertake financing of the renovations, but determined that County support would be needed during the first few years of renovation and operational start-up. LAF requested that Fairfax County provide $1,000,000 annually as maintenance support. They also requested that the County agree to lease back a portion of the rental space if other tenants are not available, for a timeframe and lease rate to be negotiated between the County and LAF. The lease will provide for reducing or eliminating the County’s cash support commensurate with the Foundation’s ability to become self-sustaining.

Subsequent contributions are dependent on continuing fund-raising efforts which are evaluated each year. Funding of $1,000,000 is included for FY 2011 so it is available in that fiscal year when the required matching funds have been raised. The FY 2011 funding level is consistent with the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Regional Park Authority | $2,084,140 | $2,083,723 | $2,083,723 | $1,979,537 |

The Northern Virginia Regional Park Authority (NVRPA) is a multi-jurisdictional, special-purpose agency established to provide a system of regional parks for the Northern Virginia area. The NVRPA currently operates 21 regional parks and owns over 11,000 acres of land, of which more than 7,700 acres are in Fairfax

County. Parklands within the system include: Bull Run, Bull Run Marina, Fountainhead, Sandy Run, Pohick Bay, Carlyle House Historic Park, Potomac Overlook, Upton Hill, Algonkian, Red Rock, the W&OD Trail, Occoquan, Hemlock Overlook, Cameron Run, Gateway, Meadowlark Gardens, Ball's Bluff, Temple Hall, Brambleton, Aldie Mill and Blue Ridge Park. In addition, the NVRPA administers extensive regional historic and conservation properties throughout Northern Virginia. These community resources are supported primarily from the annual contributions of its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. Each member jurisdiction's contribution is in direct proportion to its share of the region's population. In the past decade, the entire population served by the NVRPA grew to 1.7 million residents and is expected to approach 2.0 million by 2020.

Current projections indicate that $4,390,829 will be expended from the NVRPA's General Fund and $14,758,920 will be expended from the NVRPA's Enterprise Fund for a total of $19,149,749 in FY 2011. The NVRPA is asking member jurisdictions for $3,440,267 which is consistent with the FY 2010. For FY 2011, NVRPA projects that 81.8 percent of operating costs will be funded with park revenues, with the remaining 18.2 percent coming from member jurisdictions. Fairfax County's contribution to the Northern Virginia Regional Park Authority in FY 2011 is $1,979,537, which is a decrease of $104,186 or 5 percent from the FY 2010 Adopted Budget Plan of $2,083,723.

Fairfax County comprises 60.57 percent of the total population served by this agency projected for FY 2011, which is a decrease from the 61.3 percent figure for the FY 2010 budget. Localities' contributions are based upon their percentage of the total population as provided by the U.S. Bureau of the Census. It should be noted that in addition to the operating contribution, an amount of $2,700,000 has been included in Fund 306, Northern Virginia Regional Park Authority, as the FY 2011 annual capital contribution.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Reston Historic Trust | $20,000 | $17,000 | $17,000 | $16,150 |

The Reston Historic Trust is a community-based 501(c) (3) organization located in the heart of the Lake Anne Revitalization District. It was founded in 1996 as an educational institution to promote the social and economic vitality of Reston through a program of history-based educational activities. Since FY 2000, Fairfax County has provided annual funding of $20,000 to the Reston Historic Trust. This contribution assists in the operational costs of the Reston Museum, located at Lake Anne Plaza. The museum, which is now in its tenth year of operation, has evolved as a focal point in the community, hosting special events, weekend programs and lectures, and providing exhibits that depict Reston's past and future.

In FY 2011, the agency will continue its efforts on education, community outreach, and cultural development, including through collaborative programming and trainings with other area organizations. The County's FY 2011 contribution to the Reston Historic Trust is $16,150, which is a decrease of $850 or 5 percent from the FY 2010 Adopted Budget Plan contribution.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Claude Moore Colonial Farm | $31,500 | $0 | $0 | $0 |

The Claude Moore Colonial Farm at Turkey Run, a designated historical site, is the only privately operated national park in the United States. The park's 18th Century living history family farm site authentically recreates the social, technological, environmental and cultural living conditions faced by Northern Virginians of ordinary means in 1771. For 34 years, it has offered a rare, hands-on learning experience about the basics of life, food, shelter and the environment during the Colonial period. Staff continues to enhance educational materials on colonial Virginia history and makes these materials available free on the Farm's website which is also available in eight different languages, making the Farm experience much more accessible to the County's diverse population. The Farm has received national recognition for its innovative educational programming, which reaches over 55,000 persons a year, including thousands of students in Fairfax County.

Similar to FY 2010, no funding is included in FY 2011 due to actions required to balance the FY 2011 budget. It should be noted that the Farm's has other revenue sources including program fees, pavilion rentals, membership dues, endowment income, contributions from the National Park Service and private donations.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Town of Vienna Teen Center | $40,000 | $34,000 | $34,000 | $32,300 |

The Vienna Teen Center is operated by the Town of Vienna Parks and Recreation Department. The Center, known as Club Phoenix, provides local teenagers with positive, supervised recreational and educational programs and activities. The County's contribution assists the Town of Vienna in the operation and improvement of the Center, and helps provide funding for programs, staffing and the purchase of materials and other supplies.

The Board of Supervisors first approved $40,000 in funding for the Teen Center in FY 2001. The amount included $20,000 to supplement operational expenses at the Center including the purchase of capital equipment and $20,000 for the expansion of teen programs, activities and special events, as well as the staff required to plan, implement and supervise the expanded operations. The FY 2011 contribution of $32,300 is a decrease of $1,700 or 5 percent from the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Virginia Opera Company | $25,000 | $0 | $0 | $0 |

The Virginia Opera Company fosters appreciation of the arts through a variety of educational programs offered to school children. It has grown in recent years to become the eighteenth largest opera in the nation, based on budget and due in large part to its operations in Fairfax County. In the 2007-2008 season, the Virginia Opera Company presented four fully staged operas at the George Mason University Center for the Arts. Furthermore, the Virginia Opera's education program provides County students with access to age-appropriate opera presentations at their schools. In FY 2007, 54 performances were presented to public schools in Fairfax County.

The Virginia Opera Company first received a County contribution of $25,000 in FY 1999. No funding is included in FY 2011 due to actions required to balance the FY 2011 budget.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Wolf Trap Foundation for the Performing Arts | $125,000 | $106,250 | $106,250 | $100,938 |

A private/public partnership was established in 1968 between the Wolf Trap Foundation and the National Park Service for the operation of the Wolf Trap Farm Park for the Performing Arts in Vienna, Virginia. The partnership was founded through a gift of land to the United States Government. The National Park Service maintains the property and conducts parking and audience management.

The Foundation, with a $28.4 million budget, is responsible for all other aspects of running the facility, including the presentation of a wide variety of performances and educational programs. Foundation programs reach approximately 600,000 people in Fairfax County each year at two sites: the Filene Center, a 7,000-seat outdoor amphitheater in a park-like setting, and the Barns of Wolf Trap, two 18th Century barns reconstructed at Wolf Trap using original building materials and techniques.

In FY 1999, Fairfax County began contributing $25,000 to Wolf Trap to support the Foundation's efforts to provide Fairfax County citizens with access to the best possible performing arts, as well as position Fairfax County nationally as a leader in the arts and arts-in-education. Educational programs focusing on Fairfax County's young children and their teachers, parents and caregivers include development workshops for teachers, family involvement workshops, and field trip performances. The amount is not based on a formula, per capita, or any other formal agreement. As part of their deliberations on the FY 2005 budget, the Board of Supervisors approved an increase in the annual contribution from $25,000 to $125,000 to support education programs. The FY 2011 contribution is $100,938 which is a decrease of $5,313 or 5 percent from the FY 2010 Adopted Budget Plan contribution.

| | | | | |
|---|---|---|---|---|
| **Subtotal Parks, Recreation & Cultural** | **$4,992,948** | **$4,550,185** | **$4,550,185** | **$4,287,676** |

## Community Development:

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Architectural Review Board | $3,500 | $2,975 | $2,975 | $2,826 |

The Architectural Review Board (ARB) administers the Historic Overlay District provisions in the County's Zoning Ordinance and advises the Board of Supervisors (BOS) on other properties that warrant historic preservation through historic district zoning, proffers or easements. There are currently 13 Historic Overlay Districts, with the potential for several more. The BOS frequently requests advice on the preservation of historic structures as part of the County's development review process and the Open Space and Historic Preservation Easement program.

The ARB is comprised of 11 members who have demonstrated knowledge and interest in the preservation of historical and architectural landmarks. The amount funded for FY 2011 is $2,826, which is a decrease of $149 or 5 percent from the FY 2010 Adopted Budget Plan contribution.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Celebrate Fairfax, Incorporated | $27,760 | $0 | $0 | $0 |

Celebrate Fairfax, Incorporated was formed to develop educational or entertainment products, services and events that promote a sense of community among those who live or work in Fairfax County and to coordinate the annual Fairfax Fair. This urban fair symbolizes unity among the civic, business and governmental sectors and demonstrates how public and private partnerships can work together to provide the best for the residents of Fairfax County at a low cost. The Corporation also produces "Fairfax Fine ArtsFest," first introduced in 2003, as well as "Fall for Fairfax," Fairfax County's annual environmental festival sponsored by the Fairfax County Board of Supervisors.

No funding is included in FY 2011. It should be noted that Celebrate Fairfax, Inc. also receives a high level of community and corporate support, both financial and in-kind.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Center for Chesapeake Communities | $36,000 | $30,600 | $30,600 | $29,070 |

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, $36,000 was approved for the Center for Chesapeake Communities, a nonprofit organization that helps provide local governments with tools to protect their own natural resources and the Chesapeake Bay, with the recognition that actions at the local level, from land use planning to stream protection, greatly affect the Bay.

The Center's goal is to provide large, small, urban and rural municipalities with the information, education and training that supports sustainable development practices that protect the Bay. The central principle of the Center is that economic, social and environmental goals can be achieved simultaneously if systems, policies and procedures are designed to work interdependently. To help achieve that, the Center maintains a clearinghouse of models, tools and strategies pertaining to stormwater management, site planning, and pollution prevention that local governments are successfully implementing.

The Center also holds topic-specific training sessions where local government officials can hear about the latest environmental protection techniques. The Center also helps to put local government experts in touch with each other to share expertise and experiences on resource protection, planning, and management.

The FY 2011 Fairfax County funding included for this agency is $29,070, which is a decrease of $1,530 or 5 percent from the FY 2010 funding level. County funding will assist the Center in developing a tree canopy tracking mechanism that will be used as a regional model to report tree planning for the regional Air Quality Management Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Commission for Women | $6,916 | $6,916 | $6,916 | $6,916 |

The Commission for Women was created by the Board of Supervisors in 1971 to promote the equality of women and girls in Fairfax County, to advise the Board on the concerns of Fairfax County's women and girls; to present possible solutions; and to effect long-term change through public education, policy reform and building community partnerships. The Commission is comprised of 11 members, nine of whom are appointed by members of the Board of Supervisors and two at-large members appointed by the Board's Chairman. There is also a student representative from a local college or university who is a non-voting member.

In FY 2008, the Commission expanded the circulation of the *Living Health Calendars*, which focuses on tips for ensuring healthy lifestyles. The calendar was printed in both English and Spanish. The Commission also planned and implemented two educational forums – the Mothering Our Mothers, Mothering Ourselves symposium, as well as a panel discussion focusing on Women in the Media. In addition, the Commission operated a booth at the Fall for Fairfax event.

The total FY 2011 Fairfax County contribution to the Commission for Women is $6,916, which is consistent with the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Convention and Visitors Corporation | $2,853,586 | $2,602,308 | $2,602,308 | $2,378,965 |

As a result of enabling legislation approved by the 2004 General Assembly, the County was granted the authority to impose an additional 2 percent Transient Occupancy tax beginning July 1, 2004. As required by the new legislation, no less than 25 percent of the additional revenue is to be designated for and appropriated to a nonprofit Convention and Visitors Corporation located in Fairfax County.

The mission of the Convention and Visitors Corporation, known as Visit Fairfax, is "to create and effectively market exciting products, programs and activities that will distinguish Fairfax County as a premier tourism destination." Visit Fairfax is a 503(c) (3) organization with 25 board members appointed by the Board of Supervisors and the tourism industry.

Based on a reduction of projected transient occupancy tax revenue for FY 2011, funding of $2,378,965 is include for the Convention and Visitors Corporation, which is a decrease of $223,343 or 8.58 percent from the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Earth Sangha | $20,000 | $17,000 | $17,000 | $16,150 |

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, $20,000 was approved for Earth Sangha, an environmental nonprofit organization committed to helping people become better stewards of the planet by providing numerous volunteer opportunities involving environmental work.

Notably, Earth Sangha supports a native forest gardener network which produces, conserves and restores native plants of the Washington, DC metropolitan area. This program aims to produce batches of seedlings that are genetically diverse, locally adapted and representative of the native forest flora. Volunteer opportunities involve planting the native seedlings, shrubs, wild flowers, grasses and trees in local parks, fields, and forests. Earth Sangha partners with the Fairfax County Department of Public Works and Environmental Services and the Fairfax County Park Authority on several planting events throughout the County, including at Wilburdale Park in Annandale, Waverly Park in Vienna, Frying Pan Park in Herndon, and Sully Historic Park in Chantilly, among others.

The FY 2011 Fairfax County funding included for this agency is $16,150, which is a decrease of $850 or 5 percent from the FY 2010 funding level.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Fairfax County History Commission | $26,022 | $22,119 | $22,119 | $21,013 |

The History Commission was created by the Board of Supervisors in 1969 to advise County government and generally promote the public interest in matters concerning the history of Fairfax County. There are 20 members who are appointed by the Board of Supervisors for three-year terms and who may be reappointed. The Commission advises the Board and County on matters involving the County's history; maintains an inventory of historic sites in the County; proposes and monitors historic districts and provides to local groups on matters of historic preservation. Major programs include: educational activities, cooperative ventures with local universities in local history activities, liaison functions with state/national historic preservation organizations, historic record indexing projects, archaeology programs and expansion of photographic archives.

The FY 2011 Fairfax County funding included for this agency is $21,013, which is a decrease of $1,106 or 5 percent from the FY 2010 Adopted Budget Plan amount.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Fairfax ReLeaf | $52,000 | $44,200 | $44,200 | $41,990 |

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, $52,000 was approved for Fairfax ReLeaf, a nonprofit organization of volunteers who plant and preserve trees and restore forest cover on public and common lands in Northern Virginia. Fairfax ReLeaf activities are aimed at preserving trees and offsetting tree loss by planting thousands of trees each year in order to improve air and water quality, reduce noise, preserve wildlife habitats, and reduce surface runoff. Since it's founding in 1992, Fairfax ReLeaf has planted over 79,000 trees in the Counties of Fairfax, Loudon, and Prince William.

Funding provided for Fairfax ReLeaf will support its goals of conserving, restoring, promoting, and sustainable urban forests in Northern Virginia through the provision of volunteer activities, educational programming, and the promotion of tree-friendly policies.

The FY 2011 Fairfax County funding included for this agency is $41,990, which is a decrease of $2,210 or 5 percent from the FY 2010 funding level.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Greater Reston Incubator | $30,000 | $25,500 | $25,500 | $24,225 |

Included for FY 2011 is funding of $24,225 for the Greater Reston Chamber of Commerce's (GRCC) Incubator Program, which is a decrease of $1,275 or five percent from the FY 2010 Adopted Budget Plan contribution. The GRCC's Incubator Program assists entrepreneurs in developing high-growth businesses in various sectors of the regional economy including technology, government services and supporting industries. The program provides business services, technical support and physical space to help emerging businesses grow. Job creation and increased regional prosperity are the program's primary goals. This volunteer-driven program has helped 47 companies over the past 10 years, created over 450 jobs in the region, attracted over $45 million in investment, and occupied in excess of 80,000 square feet of commercial space in Fairfax County.

It should be noted that funding of $25,000 was previously included for the GRCC Incubator Program in the Economic Development Authority budget, but as of FY 2007, funding is now included in Fund 119 as this organization more appropriately fits the status of a contributory agency.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Community College | $92,200 | $91,110 | $91,110 | $90,181 |

Northern Virginia Community College (NVCC) is a comprehensive institution of higher education offering programs of instruction generally extending not more than two years beyond the high school level. The College currently has six campuses (Alexandria, Annandale, Loudoun, Manassas and Springfield for medical education and Woodbridge) with permanent facilities constructed on each site. Each year, the College serves more than 60,000 students in credit-earning courses and more than 20,000 students in continuing education and training activities.

NVCC projects FY 2011 expenditures of $218,819 for base operating requirements to be funded with $187,429 from local jurisdictions. This amount includes $198,792 for General Administration (President's Office, College Board travel and memberships, student scholarships, loans, and grants), $16,000 for Community Services (community information), and $4,027 for a contingency reserve. The base, which is funded by the governing bodies of the local jurisdictions served by the College, as well as any fund balances supports additional services that cannot be provided under the College's annual state fiscal appropriations. For example, local funding provides for increased matching loan funds and support of community service activities. This local funding is for Operating Expenses only and is not applied toward Personnel Services. The local jurisdictions served by the College are requested to contribute their share of the College's base expenditure, which is calculated on a per capita basis as reported by the College using population figures from the Weldon Cooper Center for Public Service.

# Fund 119
# Contributory Fund

The FY 2011 Fairfax County contribution to this agency for operations and maintenance is $90,181, a decrease of $929 or 1.02 percent from the FY 2010 Adopted Budget Plan, due primarily to shifts in population among the contributing jurisdictions. This amount reflects the County's share of the services provided to Fairfax County residents as reported by the College and is 48.1 percent of the local jurisdictions' contributions totaling $187,429 for FY 2011.

In addition, County funding of $1,271,647 is included in Fund 303, County Construction, for an annual capital contribution to the College based on a $1.25 per capita population figure provided by the Weldon Cooper Center. Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Conservation Trust | $282,047 | $239,740 | $239,740 | $227,753 |

During their deliberations on the FY 2001 Advertised Budget Plan, the Board of Supervisors funded a non-recurring (one-time) amount of $209,076 for the Northern Virginia Conservation Trust (NVCT) (formerly the Fairfax Land Preservation Trust). An amount of $170,000 was funded to enable the County to further its conservation efforts and meet public need without creating new County positions. This amount included $80,000 for land costs/purchases directly related to conservation easements, $45,000 for public outreach funding to support staff and material for educating the public about conservation, and $45,000 for administrative support for staff and materials for the management of Fairfax County conservation efforts. It was anticipated that the contribution amount would be partially matched by approximately $75,000 in other contributions to the Trust in FY 2001. The County's total contribution also included $39,076 that was paid to the Park Authority to eliminate the balance of a loan obligation associated with seed money for the Trust and office space provided by the Park Authority. Recurring funding for NVCT began in FY 2002.

The primary purpose of the public/private partnership between NVCT and Fairfax County is for NVCT to assist the County in the preservation of natural areas and historic properties through the use of conservation/open space easements, land gifts and acquisition of open space. The Trust is also tasked with educating the public on the importance of conservation and the County's abundant natural resources through outreach programs. Through this partnership, NVCT has been able to permanently conserve nearly 650 acres in Fairfax County. The most notable recently created preservation area was the permanent protection of 75 acres on the Potomac River Gorge through three conservation easements. This stretch serves as a habitat for a variety of rare species and 30 different vegetation communities. In addition, this stretch provides an important river view shed for National Parks and other public river vistas.

The Trust also operates an "Adventures in Conservation" outreach program to bring hands-on volunteerism and environmental education opportunities to the public. In FY 2011, these activities include planting thousands of native trees, removing invasive plants, and conducting birding trips and guided hikes. FY 2011 funding of $227,753 is included, which is a decrease of $11,987 or 5 percent from the FY 2010 Adopted Budget Plan contribution of $239,740.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Soil and Water Conservation District | $496,459 | $421,990 | $421,990 | $0 |

The Northern Virginia Soil and Water Conservation District (NVSWCD) is an independent subdivision of the Commonwealth of Virginia to provide leadership in the conservation and protection of Fairfax County's soil and water resources. It is governed by a five-member Board of Directors, three of whom are elected every four years by the voters of Fairfax County and two who are appointed by the Virginia Soil and Water Conservation Board. Accordingly, the work of NVSWCD supports many of the environmental efforts set forth in the Board of Supervisors' Environmental Excellence 20-year Vision Plan. Technical assistance and information are provided to state and local government agencies as well as private citizens. The goal of the NVSWCD is to continue to improve the quality of the environment and general welfare of the citizens of Fairfax County by providing them with a means of dealing with soil, water conservation and related natural resource problems. It provides County agencies with comprehensive environmental evaluations for proposed land use changes with particular attention to the properties of soils, erosion potential, drainage and the impact on the surrounding environment.

Beginning in FY 2011, funding of the County share of the base operating requirements for NVSWCD is being provided in the newly created Fund 125, Stormwater Services. The FY 2011 contribution is $423,271 or 65.89 percent of the agency's projected expenditures totaling $642,375 and reflects an increase of $1,281 over the FY 2010 Adopted Budget Plan total.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia 4-H Educational Center | $25,000 | $0 | $0 | $0 |

The Northern Virginia 4-H Educational Center was developed in cooperation with the Virginia Cooperative Extension Service. The Center currently serves 19 localities in Northern Virginia with thousands of the program participants being Fairfax County residents. This educational and recreational complex for youth and adults residing in Northern Virginia is located in Front Royal, Virginia. Similar to FY 2010, no funding is included in FY 2011 due actions required to balance the FY 2011 budget.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Occoquan Watershed Monitoring Program | $120,565 | $112,559 | $112,559 | $0 |

The Occoquan Watershed Monitoring Program (OWMP) and the Occoquan Watershed Monitoring Laboratory (OWML) were established to ensure that water quality is monitored and protected in the Occoquan Watershed. Given the many diverse uses of the land and water resources in the Occoquan Watershed (agriculture, silviculture, urban residential development, commercial and industrial activity, water supply, and wastewater disposal), the OWMP provides a critical role as the unbiased interpreter of basin water quality information. The cost of the OWMP is equally divided between water supply and sewage users. As a result, 50 percent of Operating Expenses is supported by the Fairfax County Water Authority and 50 percent by the participants: Fairfax, Fauquier, Loudoun and Prince William counties, and the cities of Manassas and Manassas Park. The Watershed Monitoring Program Funding Agreement of 1988 requires that Fairfax County provide 12.5 percent of the direct costs.

Beginning in FY 2011, funding of the County share for OWMP is being provided in the newly created Fund 125, Stormwater Services. The total amount included in Fund 125, Stormwater Services for Fairfax County's FY 2011 share is $112,559.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| OpenDoor Housing Fund | $32,890 | $31,776 | $31,776 | $31,776 |

As part of their deliberations on the FY 2005 budget, the Board of Supervisors approved funding of $31,442 to provide a contribution to the OpenDoor Housing Fund – formerly known as the Washington Area Housing Trust Fund. The general membership of the Council of Governments (COG) recommended that local governments support a voluntary per capita assessment of $0.03 to fund WAHTF operations. Capital support is provided through the federal government and private sector grants. Operational funding provided by area local governments will be leveraged to attract capitalization dollars. It also allows the trust fund to loan money at a highly subsidized rate, which helps to lower the cost of housing in this region.

# Fund 119
# Contributory Fund

During a 2006 strategic planning process, WAHTF realized that its lending was not having a significant enough impact on regional affordable development and that there were opportunities to merge with another successful community development financial institution, the Unitarian Universalist Affordable Housing Corporation in order to maximize efficiency and both organizations' impact. In October 2006, boards of both organizations, which included representation by Fairfax County, approved combining both into one, and is renamed the OpenDoor Housing Fund.

The OpenDoor Housing Fund's mission is unchanged, which is to provide flexible capital from a variety of sources including government, philanthropic and corporate entities to increase the supply of affordable and workforce housing for low and moderate income households and housing for various special needs populations in the Washington metropolitan area; to positively impact the delivery of affordable housing finance in this region; and provide technical assistance to help potential borrowers obtain financing and successfully complete affordable housing development.

In FY 2011, Fairfax County's share for the OpenDoor Housing Fund is $31,776, which is consistent with the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Southeast Fairfax Development Corporation | $203,124 | $192,968 | $192,968 | $183,320 |

The Southeast Fairfax Development Corporation (SFDC) is a private, nonprofit organization that operates under a Memorandum of Understanding between the Fairfax County Board of Supervisors and the SFDC. For almost 25 years, the Corporation has promoted, encouraged, facilitated and guided economic development and revitalization on the 7.5 mile length of Richmond Highway from the Capital Beltway to Fort Belvoir, the largest of the County's seven designated revitalization areas. It provides marketing and promotion aimed at business attraction and retention; direct assistance to developers and businesses; and to a lesser degree, land use planning and coordination with the Richmond Highway community. It is this community consensus that makes revitalization/redevelopment possible. SFDC is committed to improving the quality of life, creation and retention of jobs, community appearance and increased tax base. Its 18-member volunteer Board of Directors is representative of the community.

SFDC continues to foster growth in existing businesses, while simultaneously promoting the physical renovation of the area through initiatives involving beautification, developmental planning, and ongoing market studies and needs assessments. SFDC sees an even greater need for its services as a result of the Department of Defense's (DoD) Base Realignment and Closure (BRAC) recommendations announced in May 2005. It is anticipated that over 19,000 DoD employees will be redeployed to Fort Belvoir and the Engineer Proving Grounds, with an additional 23,000 government contracting jobs also expected to relocate to the area. The total FY 2011 Fairfax County contribution for SFDC is $183,320, which is a decrease of $9,648 or 5 percent from the FY 2010 Adopted Budget Plan contribution of $192,968.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| VPI/UVA Education Center | $50,000 | $50,000 | $50,000 | $50,000 |

In FY 1995, Fairfax County entered into an agreement with the City of Falls Church, the Virginia Polytechnic Institute and State University (VPI), and the University of Virginia (UVA) to provide support for a new Education Center to be constructed in Falls Church, offering graduate and continuing professional education services. As part of this agreement, the Board of Supervisors agreed to waive all development/regulatory fees and costs, and provide review and inspection services necessary for the development of this center. In addition to one-time FY 1996 sewer availability and connection charges of $70,881, the County agreed to contribute an annual amount of $50,000 toward the facility, to be paid each year for 20 years, commencing in FY 1995. The total value of this 20-year contribution will be $1,000,000.

The total FY 2011 Fairfax County contribution for the VPI/UVA Education Center is $50,000, which is consistent with the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Women's Center of Northern Virginia | $29,942 | $28,445 | $28,445 | $27,023 |

The Women's Center is a private, nonprofit organization that provides personal and professional development services to women in Northern Virginia. Since FY 1978, the Board of Supervisors has contributed to this center in order to provide free or sliding-fee scale services to Fairfax County female residents who are unemployed, separated, abandoned or divorced, and the head of a household. Services include individual and group workshop sessions for women covering such areas as divorce, separation, financial planning and legal rights. It is anticipated that in FY 2010, 58 percent of requests for service will come from Fairfax County residents.

In FY 2011, the Center anticipates receiving approximately 50,000 requests for services from County residents to meet the interrelated psychological, practical, legal and financial needs of these County residents, many of whom are financially disadvantaged and require low-cost services. Access to these services enables community members to become self-sufficient and ultimately more productive community members.

The total FY 2011 Fairfax County contribution included for this agency is $27,023, which is a decrease of $1,422 or 5 percent from the FY 2010 Adopted Budget Plan.

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Wildlife Rescue League | $10,000 | $0 | $0 | $0 |

The Wildlife Rescue League (WRL) is a non-profit organization that provides care for sick, injured and orphaned wildlife in order to return them to the wild. Its volunteers work with licensed rehabilitators throughout Virginia and suburban Maryland, as well as with animal shelters, humane societies, wildlife groups, nature centers and veterinary hospitals to provide care. WRL estimates 65 percent of the calls received are from Fairfax County residents. The WRL is entirely run by volunteers and has no paid staff. As part of the *FY 2004 Carryover Review*, the Board of Supervisors first approved funding of $10,000 for this organization.

The WRL operates a hotline in Northern Virginia and surrounding areas to assist the public in obtaining information and help in locating a wildlife rehabilitator. They also educate the public about the natural history of native wildlife and how to coexist with it, as well as how to prevent the need for wildlife rehabilitation.

No funding is included for FY 2011 due to actions required to balance the FY 2011 budget.

| | | | | |
|---|---|---|---|---|
| **Subtotal Community Development** | **$4,398,011** | **$3,920,206** | **$3,920,206** | **$3,131,208** |

# Fund 119
# Contributory Fund

## Nondepartmental:

| Fairfax County | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Fairfax Public Law Library | $92,657 | $92,657 | $92,657 | $92,657 |

The mission of the Fairfax Public Law Library is to promote justice by providing all citizens with access to legal information. The legal resources available in the Law Library are not available to the public at any other single location within the County. In 2001, Fairfax County, the Clerk of the Court, and the Fairfax Bar Association (FBA) entered into an agreement with regard to the Law Library. The agreement provides that Fairfax County, through the Fairfax County Public Library (FCPL), shall have primary responsibility for the administration of the Law Library, while the FBA has primary responsibility for its management.

The Law Library receives over 66 percent of its funding from assessments of $4 on civil case filings in the General District and Circuit Courts; projected at $204,000 in FY 2011. The annual contribution of $92,657 from the County is provided to assist the Law Library with operational costs. Other revenue projected for FY 2010 includes $21,000 earned for Library services including copier charges, $20,000 from the Fairfax Bar Foundation, $5,500 in miscellaneous income and $0 from the Friends of the Law Library for a total of $343,157.

Currently located in the recently expanded Fairfax County Judicial Center, the Fairfax Public Law Library assists the public as well as members of the legal community with locating sources for legal information and provides bibliographic instruction. In addition to the collection, the Law Library has four work stations dedicated to providing general information on divorce, immigration, estate planning and employment for patrons, as well as five computer work stations where the public may access legal materials on CD-ROMs and online databases. In recent years, the Law Library has decreased its printed materials and increased subscriptions to online databases as a result of decreased filing fees.

In spring 2008, the Law Library moved from its old location to the the first floor of the expanded court house facility. The Fairfax Public Law Library served over 80,000 patrons in FY 2009, approximately 30 percent of who are legal professionals, while the remaining 70 percent are from the general public. Many are in need of legal information because they are unable to afford legal representation but do not qualify for free legal services.

The total FY 2011 Fairfax County funding for this agency is $92,657, which is unchanged from the FY 2010 Adopted Budget Plan.

| | | | | |
|---|---|---|---|---|
| **Subtotal Nondepartmental** | **$92,657** | **$92,657** | **$92,657** | **$92,657** |

| | | | | |
|---|---|---|---|---|
| **Total County Contributions** | **$13,813,986** | **$12,935,440** | **$12,935,440** | **$12,038,305** |

# Fund 119
# Contributory Fund

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 119, Contributory Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$201,502** | **$201,502** | **$210,569** | **$210,569** |
| Transfer In: | | | | |
| General Fund (001) | $13,823,053 | $12,935,440 | $12,935,440 | $12,038,305 |
| Total Transfer In | $13,823,053 | $12,935,440 | $12,935,440 | $12,038,305 |
| **Total Available** | **$14,024,555** | **$13,136,942** | **$13,146,009** | **$12,248,874** |
| Expenditures: | | | | |
| Legislative-Executive Functions/Central Services Agencies | $1,970,264 | $1,956,784 | $1,956,784 | $1,948,376 |
| Public Safety | 72,551 | 52,618 | 52,618 | 49,952 |
| Health and Welfare | 2,287,555 | 2,362,990 | 2,362,990 | 2,528,436 |
| Parks, Recreational and Cultural | 4,992,948 | 4,550,185 | 4,550,185 | 4,287,676 |
| Community Development | 4,398,011 | 3,920,206 | 3,920,206 | 3,131,208 |
| Nondepartmental | 92,657 | 92,657 | 92,657 | 92,657 |
| Total Expenditures | $13,813,986 | $12,935,440 | $12,935,440 | $12,038,305 |
| **Total Disbursements** | **$13,813,986** | **$12,935,440** | **$12,935,440** | **$12,038,305** |
| | | | | |
| **Ending Balance[1]** | **$210,569** | **$201,502** | **$210,569** | **$210,569** |

[1] For several contributory agencies where Fairfax County funding is based upon actual usage that can fluctuate, unused appropriation falls to fund balance, which is then reappropriated after leaving a nominal balance for flexibility.

# Contributory Fund
# Fund 703 - NOVARIS

## Non-Appropriated Funds

Fairfax County exercises a fiduciary responsibility for the financial management and operation of the Northern Virginia Regional Identification System (NOVARIS). Therefore, this fund is displayed here for information. Participating Washington Metropolitan Area jurisdictions share costs associated with NOVARIS based on the sworn police population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997.

The Fairfax County contribution is made through the Contributory Fund. The total Fairfax County FY 2011 contribution is $9,577, which represents the County's annual share of costs associated with operations and upgrades of NOVARIS. The NOVARIS Fund Statement is shown on the next page.

NOVARIS utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. It enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington metropolitan area by comparing the print or partial print with all prints in the database. In FY 2009, 495,955 fingerprint cards were maintained the system and the database, which helped to make 692 latent fingerprint identifications.

Funding of $4.57 million was secured through an Urban Areas Security Initiative (UASI) grant to cover the cost of Automated Fingerprint Identification System (AFIS) system replacements and maintenance for the National Capital Region, including NOVARIS, the District of Columbia, as well as Prince George's County and Montgomery County. In FY 2010, NOVARIS was awarded additional Urban Areas Security Initiative (UASI) funding for the maintenance of the new system which reduces member jurisdictions' payments in FY 2011 and FY 2012. Future grants will be explored to fund maintenance after FY 2012.

# Contributory Fund
# Fund 703 - NOVARIS

## FUND STATEMENT

**Fund Type G70, Trust and Agency Funds**

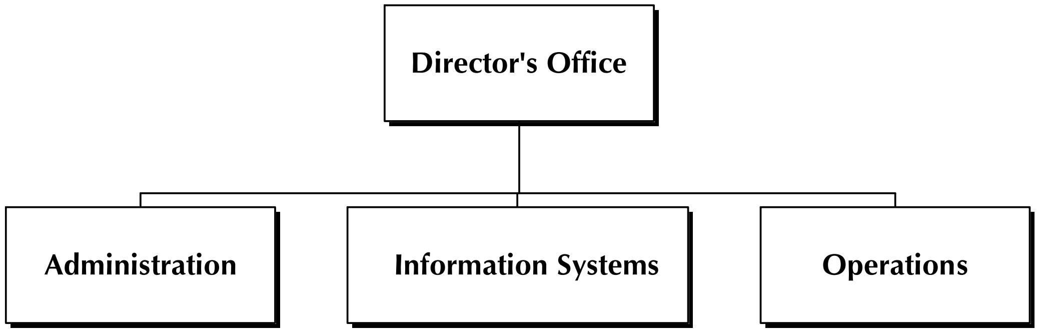
**Fund 703, Northern Virginia Regional Identification System (NOVARIS)**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$12,405** | **$12,405** | **$19,273** | **$19,984** |
| Revenue: | | | | |
| Interest on Investments | $146 | $529 | $529 | $529 |
| Fairfax County (Police and Sheriff) | 22,551 | 10,118 | 10,118 | 9,577 |
| Arlington County | 4,357 | 2,148 | 2,148 | 2,149 |
| Prince William County | 4,155 | 2,049 | 2,049 | 2,395 |
| City of Fairfax | 771 | 380 | 380 | 376 |
| City of Falls Church | 379 | 187 | 187 | 188 |
| City of Alexandria | 3,372 | 1,663 | 1,663 | 1,690 |
| Loudoun County | 4,155 | 2,049 | 2,049 | 2,218 |
| VA State Police/Bureau of Forensic Science | 0 | 187 | 187 | 188 |
| Total Revenue: | $39,886 | $19,310 | $19,310 | $19,310 |
| **Total Available** | **$52,291** | **$31,715** | **$38,583** | **$39,294** |
| Expenditures: | | | | |
| Operating Expenses | $10,969 | $18,599 | $18,599 | $18,599 |
| Capital Equipment | 20,024 | 0 | 0 | 0 |
| Fairfax County Expenses Only[1] | 2,025 | 0 | 0 | 0 |
| Total Expenditures | $33,018 | $18,599 | $18,599 | $18,599 |
| **Total Disbursements** | **$33,018** | **$18,599** | **$18,599** | **$18,599** |
| **Ending Balance[2]** | **$19,273** | **$13,116** | **$19,984** | **$20,695** |

[1] This represents the lease/purchase associated with digital photography equipment, and other maintenance expenses paid for by Fairfax County only.

[2] Ending balances fluctuate due to variable expenditure requirements and the carryover of unspent funds.

# Fund 120
# E-911




## Mission

To provide and maintain highly professional and responsive 9-1-1 emergency and non-emergency communication services to the citizens of Fairfax County, City of Fairfax, Town of Herndon, Town of Vienna, Fort Belvoir and citizens that work in and visit Fairfax County and to the Fairfax County Police, Fire & Rescue and Sheriff departments in a collaborative and supportive work environment that utilizes highly trained and qualified staff. To deliver emergency and non-emergency communications utilizing state of the art technology through a variety of systems integrated to provide 9-1-1 telephone, computer aided dispatch, multi-channel radio and wireless data networks in a cost effective, sustainable, reliable and technologically innovative manner.

## Focus

The activities and programs in Fund 120, E-911 provides support to the operations of the Department of Public Safety Communications (DPSC) and various other public safety information technology projects. The DPSC is the designated 9-1-1 Public Safety Answering Point (PSAP) for all 9-1-1 calls originating within Fairfax County as well as the city and towns therein. The agency also provides Emergency Medical Dispatch (EMD)/Pre-Arrival Instruction (PAI), which is an emergency medical service (EMS) intervention program where DPSC call takers provide emergency medical instructions until fire-rescue-EMS units arrive on the scene. Due to the vital, mission-critical, and time sensitive service provided by DPSC personnel, they are, in many ways, recognized as the first of the first responders. Additionally, DPSC receives all commercial and residential security, fire and medical alarm calls via private alarm companies. Non-emergency services provided include responding to police non-emergency calls received on non-emergency phone lines; reporting of towed vehicles and private vehicle impounds; and calls that ultimately get routed to the Animal Control Unit of the Fairfax County Police Department (FCPD) resolution. DPSC also provides National Crime Information Computer (NCIC) and Virginia Criminal Information Network (VCIN) teletype operations related to property (e.g., stolen guns and vehicles), people (e.g., protective orders and missing persons), events (e.g., fatal accidents and security matters), and queries (e.g., wanted persons/warrant confirmation). These operations ensure information is shared with the appropriate authorities within the County and on a regional, state and federal level. Additionally, DPSC serves as the official custodian of more than 8,700 hours of audio recordings of all telephone calls and radio traffic pertaining to public safety as required by law. DPSC receives and responds to court subpoenas and Freedom of Information Act (FOIA) requests for audio transmissions. Audio recordings are also maintained for quality assurance and training purposes.

***Department of Public Safety Communications***

In FY 2005, the County identified several operational issues within the existing Public Safety Communication Center (PSCC). Issues reviewed and addressed included: organizational placement of the PSCC within County government to ensure an effective representation of its broad public safety service role and broad client base; organizational leadership and management to both reframe the role of the Director position from a sworn officer in the Police Department chain of command structure to a 9-1-1 public safety communications professional civilian position who will work with additional management level staff to support and encourage innovation and improved efficiency and performance; operational and performance measurement to standardize the process for quality control and quality assurance, and to monitor a complex budget of multiple funding streams and the allocation of funds to the attainment of performance objectives; and recruitment and retention issues. Additionally, as a result of this internal review of existing operations, a change plan was developed to provide a framework for facilitating successful implementation of both current and future action steps. The reorganization of the existing Public Safety Communications Center was a first step in the change plan.

In FY 2006, the Center was moved from a division within the Police Department to independent agency status in Fund 120 as Agency 95, Department of Public Safety Communications. This agency now reports to the Deputy County Executive, responsible for other public safety agencies. Early efforts of the new agency centered on reengineering the recruitment program; redesigning the new hire program; promoting programs to encourage retention; improved internal and external communications, enhancing the management structure to provide leadership in the areas of client services and call center operations; and developing of business analyses to measure and monitor performance. The agency will continue to focus on these types of organizational issues into FY 2011 and beyond.

The changes underway within DPSC continue to have a positive impact on operations and agency leadership is focused on maintaining the momentum of positive change, with emphasis on improving performance standards and performance call statistics, recruitment and retention, training, and continued operational adjustments associated with DPSC's move to the McConnell Public Safety and Transportation Operations Center (MPSTOC) that occurred on October 4, 2009. As a critical operation in Fairfax County that affects the lives and safety of residents, the changes underway are intended to ensure that the DPSC is able to provide world-class public safety communication services.

***Public Safety Information Technology Projects***

In 1995, an IT project was established to replace and upgrade the County's Public Safety Communications Network (PSCN) and its components. The PSCN supports emergency communications of the Police Department, Fire and Rescue Department, and Office of the Sheriff. This includes public safety call taking wire line 9-1-1, wireless 9-1-1, Voice over Internet Protocol (VoIP) 9-1-1 and non-emergency, dispatching, and all affiliated communications support. Two of the major technologies utilized are a Computer Aided Dispatch (CAD) system including 20 plus ancillary technologies with an integrated mobile data communications and multi-channel radio network for voice communications. The CAD system is used to dispatch appropriate equipment and personnel to events and emergencies and to communicate and track up-to-date information in a rapidly changing environment. The mobile data communications component of CAD allows the dispatch of resources without voice communications, provides field units direct access to local, state, and national databases, and allows continuous contact with the DPSC.

Installation of the radio network was completed and brought online in October 2000. Subsequent to the September 11, 2001 terrorist attacks, a reevaluation of the network determined that three additional tower sites were needed to be added to ensure proper coverage to areas of the County that had grown more populous since the original radio signal coverage propagation studies were completed. This expansion was funded through a Homeland Defense grant and is now complete.

In FY 2011, IT Projects requirements increase slightly from the FY 2010 Adopted Budget Plan level, but remains below the FY 2009 level. This increase was associated with electrical upgrades necessary to support the Wireless Voice Radio system. Funding is included in FY 2011 to support year three of a five year upgrade to the County's Public Safety Radio System. The Mobile Data Communications System (MDCS) Mobile Computer Terminal (MCT) Replacement project funding which replaces one-fifth of the mobile fleet of equipment for CAD operations was reduced by two-thirds from the original request. A business decision was made to retain older vehicle radio modem equipment for use as a back up to new primary communications system rather than replace this technology as a part of life cycle replacement. Remaining funds were used to purchase MCT and docking station equipment only in FY 2010, and this practice will continue in FY 2011. Vehicle Radio Modem (VRM) replacement equipment will not be purchased in FY 2010 or FY 2011. The County will extend the use of older models to provide back up connectivity to the County infrastructure in the event of primary mobile communications system failure. The actual number of replacement MCTs was also reduced from 300 to 200 for this fiscal year. The replacement and upgrade of these items continues to be critical to the operation of the Public Safety Communications Network (PSCN) and the public safety user agencies.

***Revenues***

Prior to January 2007, Fund 120 was supported by revenue from estimated E-911 fees, Commonwealth reimbursement associated with Wireless E-911, and a General Fund Transfer supporting any difference between revenues and expenditures. The E-911 tax applied to eligible phone lines was adjusted in FY 2006 to match the state authorized maximum charge per line of $3.00 per month. However, effective January 2007, House Bill 568 was enacted by the Virginia General Assembly, replacing many of the previous state and local communications taxes and fees with a centrally administered communications sales and use tax. As part of this restructuring, the $3.00 E-911 tax has been repealed and replaced with a uniform statewide E-911 tax on landline telephone service. The new landline E-911 tax is administered by the Virginia Department of Taxation and is imposed on the end user of each telephone access line at the rate of $0.75 per line. The current tax appears as a line item on customers' bills.

Revenues from the communications sales and use tax, a public rights-of-way use fee imposed on cable television providers, and the landline E-911 tax is collected and remitted monthly by communications services providers into a new statewide fund, to be known as the Communications Sales and Use Tax Trust Fund. Revenue received into the fund is distributed to localities based on their share of the total local revenues received in FY 2010. Revenues generated by the landline E-911 tax, as well as other taxes and fees, are collected by the Department of Taxation, deposited into the Communications Sales and Use Tax Trust Fund, and then allocated and distributed to localities. Since the new tax structure took effect mid-way through FY 2007, County staff has continued to monitor the fiscal impact and has made necessary adjustments to revenue estimates in FY 2010 and FY 2011. In addition, the Wireless E-911 monthly $0.75 surcharge on all wireless/cellular telephones will remain and be distributed to localities as part of the Wireless E-911 State Reimbursement.

The FY 2011 revenue estimate of $23,236,680 reflects a decrease of $1,034,422, or 4.3 percent, from the FY 2010 Adopted Budget Plan level of $24,271,102. This decrease is due to lower estimates for Communication Sales and Use Tax (CST) revenue and decreased interest income partially offset by a small increase in Wireless E-911 state reimbursement.

***General Fund Transfer***

The General Fund Transfer is funded at $14,058,303 in FY 2011, an increase of $3,435,241, or 32.3 percent, over the FY 2010 Adopted Budget Plan level of $10,623,062. Of this total, an amount of $935,037 is required to cover one time fund balances used to support FY 2010 requirements which are no longer available. An additional $1,416,086 is required for increased expenditure requirements, including a net increase of $591,086 is due to increased maintenance and support costs associated with the new Computer Aided Dispatch, 9-1-1 and Public Safety Radio systems at MPSTOC partially offset by agency operating reductions. An increase of $500,000 is necessary to support platform technology and audio visual technology shared by the user agencies of MPSTOC. Additionally, an increase of $325,000 in Information Technology projects is required for electrical upgrades associated with the Wireless Voice Radio project. The remaining portion of the General Fund transfer increase is necessary to accommodate reduced revenue projections in FY 2010 and FY 2011.

# Fund 120
# E-911

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 204/ 204 | 204/ 204 | 204/ 204 | 204/ 204 |
| Expenditures: | | | | |
| Personnel Services | $20,437,787 | $20,879,510 | $20,879,510 | $20,879,510 |
| Operating Expenses | 9,948,284 | 10,645,691 | 15,182,978 | 11,736,777 |
| Capital Equipment | 67,035 | 0 | 0 | 0 |
| IT Projects | 10,405,553 | 4,304,000 | 9,593,240 | 4,629,000 |
| **Total Expenditures** | **$40,858,659** | **$35,829,201** | **$45,655,728** | **$37,245,287** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

- **Operating Expenses Adjustments** **$1,091,086**
  A net increase of $1,091,086 in Operating Expenses is necessary to support additional costs associated with the opening of the McConnell Public Safety and Transportation Operations Center facility. This amount includes a net increase of $591,086 due to increased maintenance and support costs associated with the new Computer Aided Dispatch, 9-1-1 and Public Safety Radio systems at MPSTOC partially offset by agency operating reductions. An increase of $500,000 is necessary to support platform technology and audio visual technology shared by the user agencies of MPSTOC.

- **IT Projects** **$4,629,000**
  Funding of $4,629,000 has been included in IT Projects, including $1,200,000 for the fourth year of a five-year replacement cycle for Mobile Computer Terminals (MCTs), and $3,429,000 for year three of a five-year project funding cycle to upgrade the County's Public Safety Radio System to a newer technology platform in conjunction with the activation of the MPSTOC facility.

## Changes to FY 2010 Adopted Budget Plan

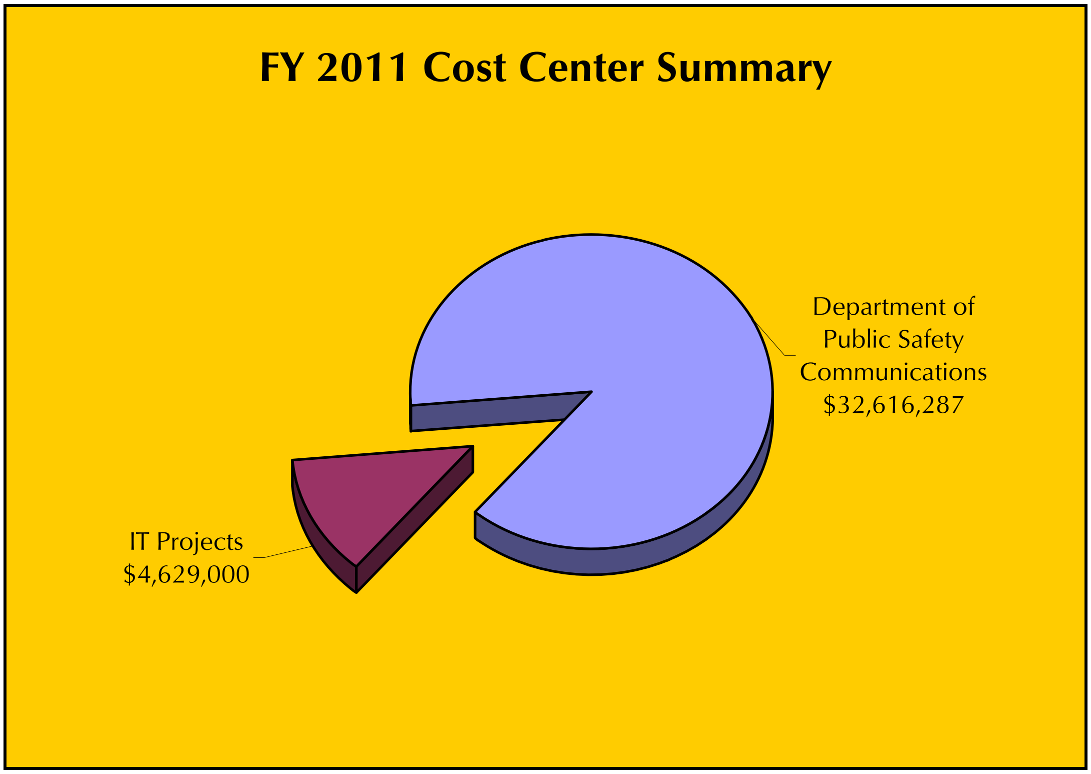
*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$9,826,527**
  As part of the *FY 2009 Carryover Review*, expenditures were increased $9,826,527. This amount includes encumbered carryover of $3,102,381, which includes $1,743,672 for telecommunications charges within Department of Information Technology (DIT) Operating Expenses, $832,662 in funding for the Nextel 800 MHz rebanding initiative, and $526,047 within the Department of Public Safety Communications (DPSC). In addition unexpended project balances of $5,289,240 were carried forward into FY 2010 to complete current projects. Also included is unencumbered carryover of $1,084,906, which is required for one-time telecommunications and other information technology-related costs associated with the relocation of the Department of Public Safety Communications to the McConnell Public Safety and

Transportation Operations Center facility. Additionally, FY 2010 expenditures increased by $350,000 due to the appropriation of revenue received in FY 2009 for the Nextel 800 MHz rebanding initiative.

## Cost Centers

The two cost centers of the Fund include the Department of Public Safety Communications and the Public Safety Information Technology Projects. Both programs work together to fulfill the mission of the Fund.




## Department of Public Safety Communications

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 204/ 204 | 204/ 204 | 204/ 204 | 204/ 204 |
| **Total Expenditures** | **$30,453,106** | **$31,525,201** | **$36,062,488** | **$32,616,287** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Director | 1 | Programmer Analyst III | 1 | PSTOC General Manager |
| 2 | Assistant Directors | 1 | Business Analyst IV | 1 | Geog. Info. Spatial Analyst II |
| 5 | PSC Squad Supervisors | 1 | Business Analyst III | 1 | Geog. Info. Spatial Analyst I |
| 20 | PSC Asst. Squad Supervisors | 2 | Management Analysts III | 1 | Administrative Assistant V |
| 158 | PSCs III | 1 | Management Analyst II | 2 | Administrative Assistants IV |
| 1 | Emergency Watch Officer | 1 | Financial Specialist III | 1 | Administrative Assistant III |
| 1 | Financial Specialist I | 1 | Financial Specialist II | 1 | Info. Tech. Program Manager I |
| **TOTAL POSITIONS** | | | | | |
| **204 Positions / 204.0 Staff Years** | | | | | |

# Fund 120
# E-911

## Key Performance Measures

### Goal

To provide the telecommunications necessary for the rapid dispatch of Police and Fire and Rescue units to the scene of citizen or other agency requests for assistance. To maintain effective command, control, communications, and information support for public safety field personnel required for the safe, orderly conduct of public safety activities 24 hours a day, 365 days a year.

### Objectives

- To meet the National Emergency Number Association (NENA) Call Taking Operational Standard/Model Recommendation of answering 95 percent of all 9-1-1 calls arriving at DPSC within 20 seconds.

- To exceed the National Emergency Number Association (NENA) Call Taking Operational Standard/Model Recommendation of answering 90 percent of all 9-1-1 calls arriving at DPSC within 10 seconds by three percentage points.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Calls received on emergency lines | 608,599 | 610,180 | 611,765 / 575,644 | 581,400 | 587,214 |
| Calls received on non-emergency lines | 509,737 | 499,729 | 489,918 / 526,504 | 531,769 | 537,087 |
| **Efficiency:** | | | | | |
| Cost per call | $26.38 | $28.13 | $35.56 / $37.07 | $32.19 | $35.15 |
| **Service Quality:** | | | | | |
| Founded complaints per 100,000 calls (1) | NA | NA | NA / 1.7 | 1.7 | 1.7 |
| **Outcome:** | | | | | |
| Percent 9-1-1 calls arriving at DPSC answered within 20 seconds (1) | NA | NA | NA / 95% | 95% | 95% |
| Percent 9-1-1 calls arriving at DPSC answered within 10 seconds (1) | NA | NA | NA / 93% | 93% | 93% |

(1) In FY 2010, DPSC revised their performance measurement objectives to reflect National Emergency Number Association (NENA) standards, and measures and estimates have been updated accordingly.

## Performance Measurement Results

In FY 2009, calls received on emergency lines decreased by 5.7 percentage points, while calls received on non-emergency lines increased by 5.4 percentage points, possibly due to increased public awareness of non-emergency phone numbers. Overall, call volume decreased by 0.7 percent. DPSC exceeded the national standard of 90 percent of 9-1-1 calls answered within 10 seconds by 3 percentage points in FY 2009, while meeting the national standard of 95 percent of 9-1-1 calls answered within 20 seconds. DPSC anticipates maintaining this level of performance in FY 2011. It should be noted that in FY 2010, DPSC revised its performance measurement objectives to reflect National Emergency Number Association (NENA) standards, and measures and estimates have been updated accordingly.

# Fund 120
# E-911

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 120, E-911**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$17,300,329** | **$1,045,290** | **$11,037,477** | **$275,913** |
| Revenue: | | | | |
| Communications and Sales Use Tax Fees | $18,690,993 | $19,242,407 | $19,242,407 | $18,456,745 |
| State Reimbursement (Wireless E-911) | 4,384,627 | 4,333,387 | 4,333,387 | 4,384,627 |
| Other Revenue[1] | 577,971 | 195,308 | 195,308 | 195,308 |
| Interest Income | 336,557 | 500,000 | 500,000 | 200,000 |
| Total Revenue | $23,990,148 | $24,271,102 | $24,271,102 | $23,236,680 |
| Transfer In: | | | | |
| General Fund (001) | $10,605,659 | $10,623,062 | $10,623,062 | $14,058,303 |
| Total Transfer In | $10,605,659 | $10,623,062 | $10,623,062 | $14,058,303 |
| **Total Available** | **$51,896,136** | **$35,939,454** | **$45,931,641** | **$37,570,896** |
| Expenditures: | | | | |
| Personnel Services | $20,437,787 | $20,879,510 | $20,879,510 | $20,879,510 |
| Operating Expenses | 9,948,284 | 10,645,691 | 15,182,978 | 11,736,777 |
| Capital Equipment | 67,035 | 0 | 0 | 0 |
| IT Projects | 10,405,553 | 4,304,000 | 9,593,240 | 4,629,000 |
| Total Expenditures | $40,858,659 | $35,829,201 | $45,655,728 | $37,245,287 |
| **Total Disbursements** | **$40,858,659** | **$35,829,201** | **$45,655,728** | **$37,245,287** |
| **Ending Balance[2]** | **$11,037,477** | **$110,253** | **$275,913** | **$325,609** |

[1] This revenue category includes annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz rebanding initiative.

[2] IT projects are budgeted based on the total project costs and most projects span multiple years. Therefore, funding for IT projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

| IT0001 | Public Safety Communications Network/Systems | | | | |
|---|---|---|---|---|---|
| **Total Project Estimate** | **Prior Expenditures** | **FY 2009 Expenditures** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** | **Future Years** |
| TBD | $52,517,548 | $10,405,553 | $9,593,240 | $4,629,000 | TBD |

This project was established in FY 1995 to replace and upgrade the County's critical Public Safety Communications Network (PSCN) and its component systems. The network's component systems are vital for ensuring immediate and systematic response to emergencies, and replacement and enhancement is necessary to maintain performance, availability, reliability, and capacity for growth due to increases in County population and demand for public safety services. The PSCN supports emergency communications of the Police, Fire and Rescue, and Sheriff's departments. This includes public safety call taking (E-911, Cellular E-911, non-emergency), dispatching, and all affiliated communications support. Two of the major technologies utilized are a Computer Aided Dispatch (CAD) system with an integrated mobile data communications component and a wireless digital radio network for voice communications.

The CAD system is used to dispatch appropriate equipment and personnel to events and emergencies and to communicate and track up-to-date information in a rapidly changing environment. The mobile data communications component of CAD allows the dispatch of resources with minimal voice communications, provides field units direct access to local, state, and national databases, and allows continuous contact with the Public Safety Communications Center (PSCC). As needed, this project provides funding for upgrades to the CAD and its mobile data communications component, originally implemented in 1986. The old systems were technologically obsolete, severely undersized, and at the end of their effective, supportable life cycle. Upgrades ensure continued reliable operation of these critical systems, incorporates software, hardware, and user functionality advances made since the 1980's, and allow for future migration in capability as new technologies emerge.

Fairfax County migrated to the new digital radio network in FY 2006 to accommodate growing public safety voice communications requirements and to remedy performance, coverage, fragmentation, and reliability problems associated with an aging, technologically obsolete system at the very end of its sustainable life cycle. Deficiencies in the old system severely impeded critical communications and safety in emergency situations. The new trunked wireless digital voice communications system consolidates all County public safety voice communication and is designed to address coverage, reliability, and operational limitations of the old system used by public safety agencies in the County. It provides capacity for growth and enhancement for the next 20 years.

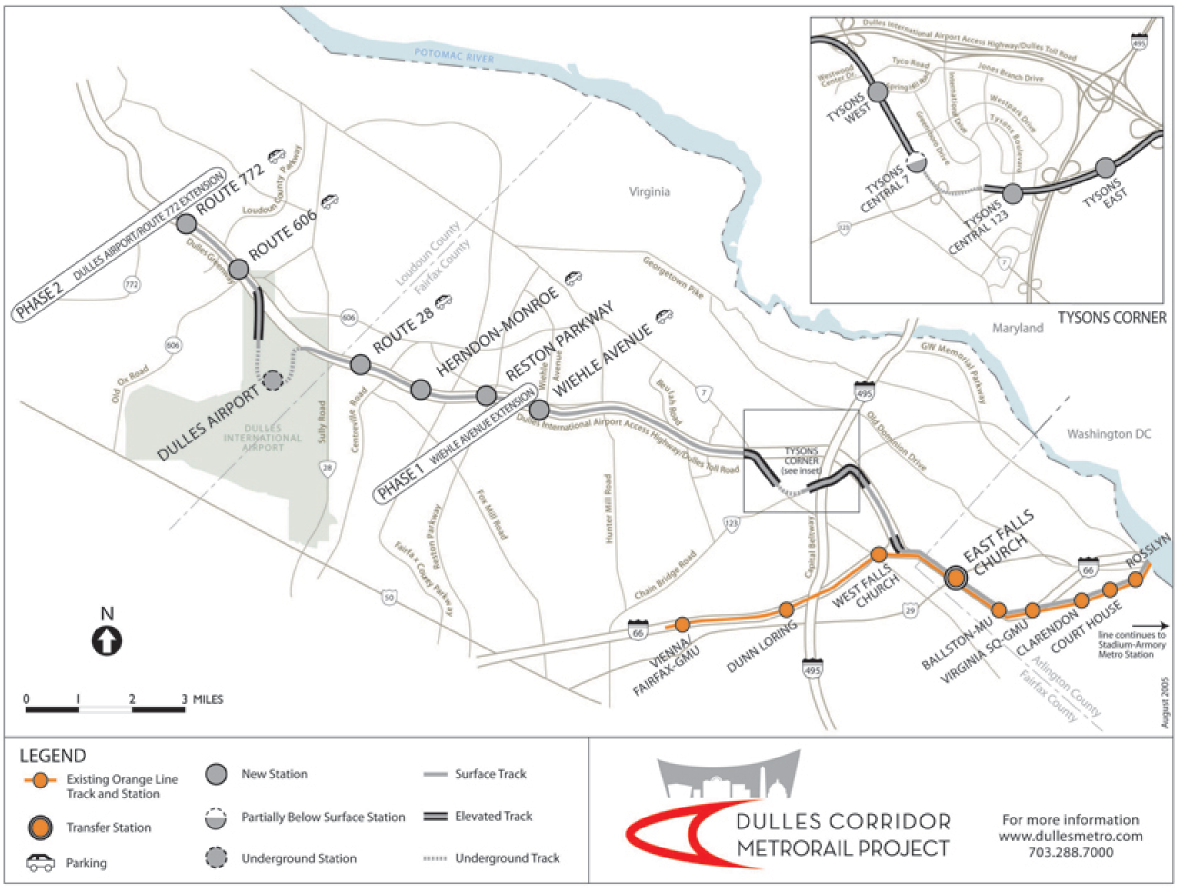
FY 2011 funding is included for the fourth year of a five-year replacement cycle for Mobile Computer Terminals (MCTs) ($1,200,000). Additionally, FY 2011 funding will support upgrading the County's Public Safety Radio System to a newer technology platform ($3,429,000), in conjunction with the activation of the MPSTOC facility. The FY 2010 projection represents project costs and year three of a lease-purchase agreement for the new network infrastructure.

**Return on Investment (ROI):** The return on investment for this project is realized by the performance, productivity, and effectiveness of public safety services in Fairfax County. Replaced and upgraded technology for these systems is critical to the safety of the public and the public safety personnel they support. Upgraded technology preserves the investments in technology that have been made and allow increased functionality, performance, and reliability to be achieved to facilitate responses to, and management of, emergencies. It mitigates the need for extraordinarily large additions of personnel that would be necessary to provide the same level of service and results without this technology. The increased access to important information, improvements to maintenance and reliability, increased capacity for growth, and enhanced functionality for users now and in the future builds upon past investments, responds to critical existing requirements, and sets the stage for the next generation of public safety communications technology.

# Fund 121
# Dulles Rail Phase I Transportation Improvement District

## Focus

Metrorail service is planned to be extended approximately 23 miles from an area east of West Falls Church station, along the median of the Dulles Connector Road (DCR) through Tysons Corner, then further out the Dulles International Airport Access Highway (DIAAH), through Dulles International Airport, to Route 772 in Loudoun County. The total cost of the Rail to Dulles Project currently is estimated to be approximately $5.2 billion. Due to financial constraints imposed by the federal government, the project is currently expected to be completed in two phases. The Phase I cost is approximately $2.64 billion for the segment from the Metrorail Orange line to Wiehle Avenue in Reston, including construction of five new stations. Final cost estimates for Phase II, from Wiehle Avenue, through Dulles Airport to Route 772 in Loudoun County, are expected to be developed during FY 2011.




The Phase I cost of $2.64 billion is being financed by the federal government, the Commonwealth of Virginia, Fairfax County, and revenue from the Dulles Toll Road (DTR). In March 2009, the Federal Transit Administration executed a Full funding Grant Agreement with Metropolitan Washington Airports Authority (MWAA) for $900 million for Phase 1 of the project. Fairfax County's share of Phase 1, $400 million is being financed from the Phase I Tax District; the remaining funding for Phase I is a combination of state and DTR funds.

The total project costs are expected to be shared by the federal government, the Commonwealth, Fairfax County, Loudoun County, MWAA, and operation of the Dulles Toll Road. It should be noted that the County's participation rate is determined on the basis of total project costs and sharing the cost of common features necessary to complete an operational line, such as soft costs, rail yards and power stations.

The primary source of revenue to support construction of the rail line is expected to be tolls from the Dulles Toll Road. Control and operation of the Dulles Toll Road was transferred to MWAA on November 1, 2008.

# Fund 121
# Dulles Rail Phase I Transportation Improvement District

The local funding partners, Fairfax County, Loudoun County, and MWAA have entered into an agreement which specifies the level of funding responsibility for each partner; the Fairfax share is approximately 16.1 percent of total costs and approximately $400.0 million for Phase I. This is also the maximum permitted under the terms of the Phase I Tax District. Additionally, landowners in Phase II have submitted a petition to the Board of Supervisors to form a Phase II tax district which would commit $330 million to the County's share of Phase II funding.

On January 21, 2004, a petition was filed with the Clerk to the Board of Supervisors (the Petition) by owners of commercial and industrial property (the Petitioners) asking the Board of Supervisors to create a Phase I Dulles Rail Transportation Improvement District (the Phase I District), as provided by Chapter 15 of Title 33.1 of the Code of Virginia, as amended (the Act). The Act is similar although not identical to the law that empowered the Board and the Board of Supervisors of Loudoun County to create the Route 28 Highway Transportation Improvement District (the Route 28 District) in both counties in 1988.

The Phase I District was approved and established by the Board of Supervisors on February 23, 2004, following a public hearing. The Phase I District is governed by a District Commission, consisting of four Board members and the Chairman of the Commonwealth Transportation Board (CTB) or his/her designee. The District Commission is advised by a District Advisory Board, composed of landowner representatives. This is the same basic governance structure used for the Route 28 Tax District.

Commercial and industrial property within the district created pursuant to the Act can be taxed to raise funds for transportation improvements in the district. Such a district can be created upon the petition of the owners of at least 51 percent, measured by land area or assessed value, of the real property located within the proposed district that is zoned or used for commercial or industrial purposes. The properties listed on the signature pages of the Petition constituted over 64 percent of such property located within the Phase I District, measured by assessed value.

Per the Code of Virginia § 33.1-435, properties zoned to permit multi-unit residential use but not yet used for that purpose and multi-unit properties primarily leased or rented to residential tenants or other occupants by an owner who is engaged in such a business are deemed to be in commercial use for purposes of the Act. But no other residential properties are subject to any tax that may be levied on behalf of such a district, even if they are within the boundaries of such a district.

The boundaries, as proposed by the Petitioners, encompass most if not all of the Tysons Corner Urban Center, commercial and industrial properties near the proposed Metrorail station at Wiehle Avenue, and the necessary DAAR right-of-way.

The proposed transportation improvements include that portion in the Phase I District of the capital improvements described as the Locally Preferred Alternative (LPA) in a resolution of the Board of Directors of the Washington Metropolitan Area Transit Authority (WMATA), adopted on November 21, 2002.

The Petitioners will contribute up to $400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. Included in the proposal is a provision for full coverage of the long-term financing costs for the County's net share of construction costs. At the maximum contribution, under the current plan, the total expected cost including interest costs over the life of the district to be provided by the tax on behalf of the Phase I District is approximately $882.5 million. As of December 2006, funds for the tax district are expected to fully fund the County's expected share of Phase I costs. Funding requirements in excess of the amount to be provided by the District are expected to be funded by other available revenue sources.

The plan as set forth in the Petition contains specific provisions regarding timing, tax rates, total costs, and percentage of costs to be paid with Phase I District revenue. The plan contemplates the establishment of a Revenue Stabilization Fund (RSF) with early collection of taxes commencing in FY 2005 to build reasonable reserves to help maintain the rate parameters in view of the cyclic pattern of changes in assessed value from year to year. It is anticipated that the RSF and perhaps other rate or coverage covenants will be required by rating agencies to achieve an investment grade rating on bonds issued that are supported by Phase I District tax revenues.

Under the terms of the petition, before any Phase I District revenues are committed the tax rate is capped at 22 cents per $100 of assessed value, and taxes collected accumulate in the RSF. If a federal Full Funding Grant Agreement (FFGA) for Phase I is not executed, then the owners of 51 percent of the commercial and industrial property within the Phase I District may petition for its dissolution, and individual property owners can ask for the return of taxes previously paid and accumulated in the RSF. The Federal Transit Administration (FTA) received the Full Funding Grant Agreement application on October 22, 2008 and approved it and forwarded it to the Secretary of the United States Department of Transportation and the Office of Management and Budget on December 19, 2008 for their approval. Secretary Peters, after reviewing the FFGA application with OMB, approved the FFGA on January 7, 2009, and forwarded it to Congress for their approval. The FFGA between the FTA and the Metropolitan Washington Airports Authority (MWAA) was executed on March 10, 2009.

Before committing Phase I District tax revenues, the District Commission must determine that the District's actual share of the financing will not exceed $400 million of construction funds for Phase I costs, and that a tax rate of no more than 29 cents per $100 of assessed value will be sufficient to meet the Phase I District's obligations at an assumed rate of growth in assessed value of 1.5 percent. If at the time the District Commission expects either of those parameters to be exceeded, then they must seek approval from the owners of 51 percent of the commercial or industrial property within the Phase I District before proceeding to commit the revenues.

However, once Phase I District revenues have been committed, allowing the financing to be put into place for the sale of bonds supported by those revenues, there is no "hard" cap on the Phase I District tax rate other than the statutory cap of 40 cents per $100 of assessed value. Thus there would be full latitude to set the tax rate up to the statutory maximum, if necessary, to meet the obligations of the Phase I District, e.g., if necessary to meet debt service requirements in the event assessed value growth rates cannot be sustained at 1.5 percent or greater.

On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority, there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. At this time a Virginia Supreme Court review is pending. It is anticipated that by the Spring of 2010, all legal issues will be resolved and the bonds for the project may be sold to provide the proportional share of project funding required in accordance with the funding agreement with the Metropolitan Washington Airports Authority and the County.

Prior to the execution of the Full funding Grant Agreement between the Federal Transit Administration (FTA) and the Metropolitan Washington Airports Authority (MWAA) on March 10, 2009, the only construction work occurring on the Dulles Corridor Metrorail Project (DCMP) concerned the relocation of utilities along Route 7 and Route 123 in Tysons Corner. From March, 2009 through the end of November 2009, the following construction activities have begun:

- Eleven (11) piers have been installed at the Dulles Connector Road (DCR) and I-66.

- Three (3) rail bridges are under construction: 2 on the DCR and 1 on the Dulles International Airport Access Highway (DIAAH).

- Pier foundations are being installed for the crossing of Route 123 at the DCR.

- More than 120 linear feet of tunnel have been constructed commencing at Route 123 and International Drive. A second tunnel will commence construction after the first tunnel exceeds 150 linear feet. Each of the dual tunnels will be approximately 2,400 linear feet in length upon completion and will connect the Tysons Central 123 station with the Tysons Central 7 station.

- Utility work along Route 7 and Route 123, which includes installation of duct-bank, jacking and boring, and relocation of existing utilities, is approximately 80 percent complete. Utility companies (Verizon, DVP, Qwest, etc.) are currently relocating their utilities in the underground facilities.

- Station foundations are being installed for the Tysons East station and the Wiehle Avenue station.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Debt Service Payments** **$0**
  Interim debt service payments are anticipated to be $13,350,000 which is no change from the FY 2010 Adopted Budget Plan level based on total project expenditure projections and the percentage share for the District's contribution. A bond sale will be planned for FY 2011 in order to provide the permanent financing.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$10,000,000**
  As part of the *FY 2009 Carryover Review*, debt service payments were increased $10,000,000 from $13,350,000 to $23,350,000 for possible cash payment funding requirements for Fairfax County's share of the total project costs.

# Fund 121
# Dulles Rail Phase I Transportation Improvement District

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** — **Fund 121, Dulles Rail Phase I Transportation Improvement District**

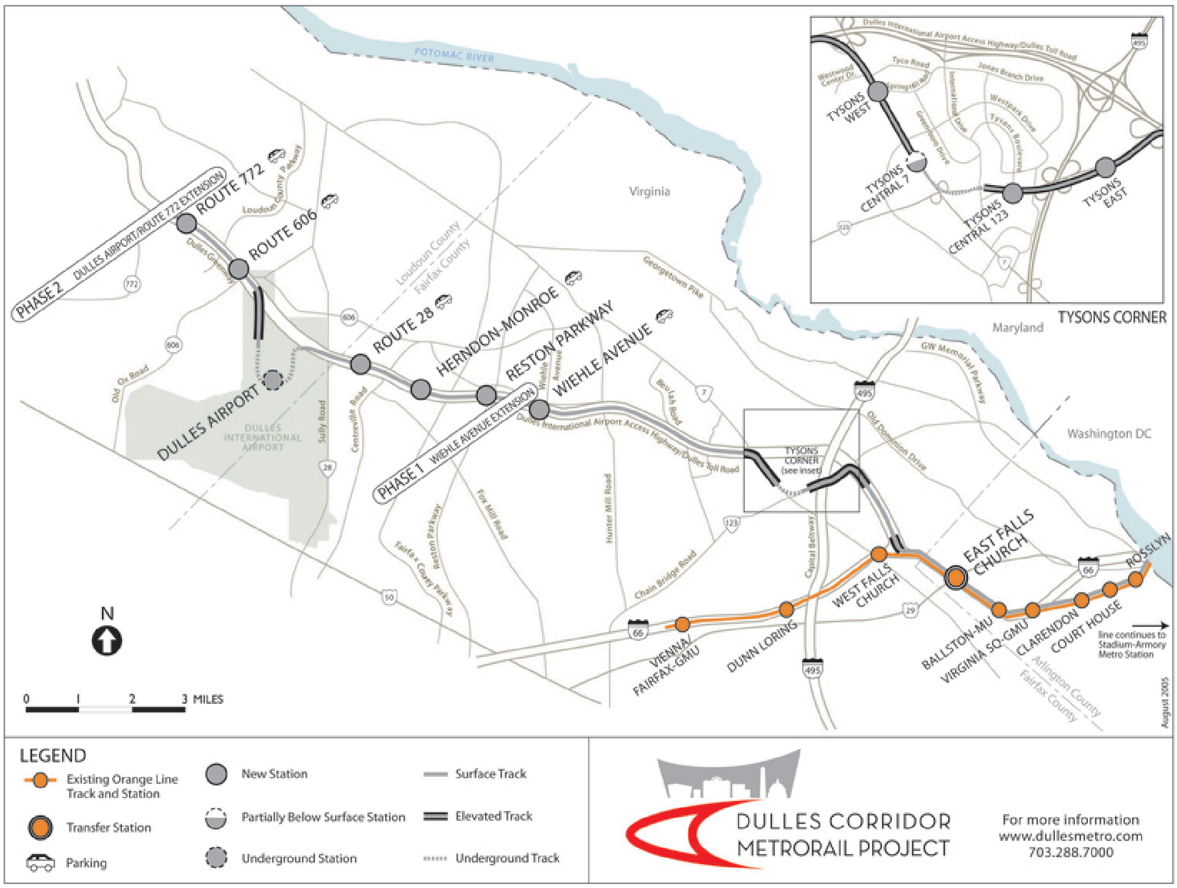
| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$79,457,240** | **$82,936,390** | **$84,573,977** | **$89,120,525** |
| Revenue: | | | | |
| Real Estate Taxes-Current | $28,309,033 | $26,930,109 | $26,930,109 | $22,431,463 |
| Interest on Investments | 1,822,704 | 966,439 | 966,439 | 1,336,808 |
| Total Revenue | $30,131,737 | $27,896,548 | $27,896,548 | $23,768,271 |
| **Total Available** | **$109,588,977** | **$110,832,938** | **$112,470,525** | **$112,888,796** |
| Expenditures: | | | | |
| Debt Service | $0 | $13,350,000 | $13,350,000 | $13,350,000 |
| Construction Payments | 25,000,000 | 0 | 10,000,000 | 0 |
| District Expenses | 15,000 | 0 | 0 | 0 |
| Total Expenditures | $25,015,000 | $13,350,000 | $23,350,000 | $13,350,000 |
| **Total Disbursements** | **$25,015,000** | **$13,350,000** | **$23,350,000** | **$13,350,000** |
| **Ending Balance[1]** | **$84,573,977** | **$97,482,938** | **$89,120,525** | **$99,538,796** |
| **Tax rate/per $100 Assessed Value** | **$0.22** | **$0.22** | **$0.22** | **$0.22** |

[1] The ending balance has been accumulating in anticipation of the start of construction, which has now begun following approval of the Full Funding Grant Agreement on March 10, 2009.

# Fund 122
# Dulles Rail Phase II Transportation Improvement District

## Focus

The purpose of Dulles Metrorail is to provide high-quality, high-capacity transit service in the Dulles Corridor. New Metrorail service in the corridor will result in travel time savings between the corridor and downtown D.C., expand the reach of the existing regional rail system, offer a viable alternative to automobile travel and support future transit-oriented development along the corridor.




On October 9, 2009 a petition (the "Petition") was filed with the Clerk to the Board of Supervisors to create the Phase II Dulles Rail Transportation Improvement District (the "Phase II District"). As required by Code of Virginia Ann. § 33.1-431, the petition was signed by owners of more than 51 percent of the commercial and industrial property within the proposed Phase II District, measured by assessed value, that would be subject to a special tax pursuant to Code of Virginia Ann. § 33.1-435 (a "District Tax"). Pursuant to that statute, following a public hearing on December 7, 2009, the Board created the Phase II District on December 21, 2009. It should be noted that on November 10, 2009, the Town of Herndon approved the creation of the Phase II District.

Phase II of the Dulles Metrorail project (the "Project") will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the Project on either side of the right-of-way of the Dulles Airport Access and Toll Road ("DTR") within Fairfax County, will be taxed to help Fairfax County fund the County's share of the Project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax rate of $0.05 per hundred dollars of assessed value is proposed for FY 2011 for commercial and industrial properties within the Phase II District. This tax rate is expected to yield approximately $3.5 million in revenue for the fund. The Petition also proposed annual increases of $0.05 cents until the rate reaches $0.20 cents per $100 of assessed value in FY 2014. The rate will be held at $0.20 until full revenue operations commence on Phase II, which is expected in late 2016. At that time, the rate may be set at the level necessary to support the District's debt obligations. For planning purposes the Phase II District may not enter into a financing agreement unless it is reasonably believed that it can be accomplished within the maximum rate established by the petition of $0.25 per $100 of assessed value.

The original funding plan was that the federal government (through grants from the FTA) would pay 50 percent of the entire Metrorail Project cost (i.e., both Phases I and II), the Commonwealth would pay 25 percent using DTR revenues, and local governments would pay 25 percent. That plan was based on an early cost estimate made a number of years ago and prior to preliminary engineering and environmental studies that resulted in an improved estimate for the total project cost.

However, the Full Funding Agreement later entered into with the federal government provides for a federal share for Phase I only (Interstate 66 to Wiehle Avenue) and caps that contribution at $900 million, which necessarily changes the percentages for the partners' shares. At this time, no federal funds have been committed to Phase II. The current absence of federal funds for Phase II has resulted in the DTR taking over the share of Phase II costs that the original plan had "assigned" to the federal government.

As a result of increases in estimated project costs and the lack of a federal funding commitment for Phase II, the original funding plan was revised. The current funding structure, based on a projected total project cost of $5.25 billion, is:

- Fairfax County, Loudoun County and Airports Authority contribution is 25 percent.
- Federal contribution is 17.1 percent, which is based upon a fixed FTA grant for Phase 1 of $900 million.
- The Commonwealth contribution is 5.2 percent, which is based upon a fixed contribution of $275 million consisting of non-toll road funding.
- The DTR contribution provides the remaining amount, and is 52.6 percent.

No funds may be expended until certain other conditions are met, among which is completion of the preliminary design and cost estimate for Phase II acceptable to the Board or Supervisors, expected by 2011. Other key conditions include: 1) appropriate commitments from all sources contributing to Phase II are in place to assure completion of the Phase II Transportation Improvements; 2) the Phase II District's share of the aggregate capital cost does not exceed $330,000,000; 3) the County's share of aggregate costs remain reasonably consistent with currently anticipated contributions; and 4) there is no "Supplemental Tax" on the commercial and industrial real estate within in the Phase II District that exceeds $0.11 per $100 of assessed value unless a credit or other benefit is extended substantially equivalent to the Supplemental Tax.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Operating Expenses** **$500,000**

  It is anticipated that funding may be required for start-up fees associated with establishment of this new district. Therefore, $500,000 has been budgeted in Operating Expenses for FY 2011 which is the first year of existence for this fund. However, it should be noted that no funds will be expended unless and until precedent conditions as established by the petition are met. Any unspent funds will return to fund balance to pay for project construction costs.

# Fund 122
# Dulles Rail Phase II Transportation Improvement District

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **New Fund Established** **$0**

  This fund was newly created on December 21, 2009. FY 2011 will be the first year of tax collection for Dulles Rail Phase II. Therefore, there were no funding adjustments during this time period.

# Fund 122
# Dulles Rail Phase II Transportation Improvement District

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds**

**Fund 122, Dulles Rail Phase II Transportation Improvement District**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| Real Estate Taxes | $0 | $0 | $0 | $3,582,035 |
| Interest on Investments | 0 | 0 | 0 | 15,000 |
| Total Revenue | $0 | $0 | $0 | $3,597,035 |
| **Total Available** | **$0** | **$0** | **$0** | **$3,597,035** |
| Expenditures: | | | | |
| Operating Expenses | $0 | $0 | $0 | $500,000 |
| Total Expenditures | $0 | $0 | $0 | $500,000 |
| **Total Disbursements** | **$0** | **$0** | **$0** | **$500,000** |
| **Ending Balance** | **$0** | **$0** | **$0** | **$3,097,035** |
| **Tax rate/per $100 Assessed Value** | **$0.00** | **$0.00** | **$0.00** | **$0.05** |

# Fund 124
# County and Regional Transportation Projects

## Focus

Fund 124, County and Regional Transportation Projects supports the County's implementation of new transportation projects and services funded by the commercial and industrial real estate tax rate for transportation. This taxing authority was authorized under the Transportation Funding and Reform Act of 2007 (HB 3202), approved by the Virginia General Assembly on April 4, 2007, and implemented by the Board of Supervisors as part of the FY 2009 Adopted Budget Plan. This revenue has provided the opportunity for the County to accelerate the implementation of roadway, transit and pedestrian projects on its long-term transportation plan and to address transportation requirements that have been long unfulfilled due to funding constraints.

HB 3202 authorized a County commercial and industrial real estate tax for transportation of up to 25 cents, as well as new regional taxes and fees to be collected by the Northern Virginia Transportation Authority (NVTA). These regional taxes and fees would have raised approximately $300 million annually for transportation funding in Northern Virginia. In February 2008, the Virginia Supreme Court ruled that the General Assembly did not have the ability to delegate its taxing authority to NVTA, thus invalidating this source of revenue. However, the County's authority to implement a commercial and industrial real estate tax for transportation was not affected by the Supreme Court decision. During the 2009 General Assembly session, the maximum rate localities could levy for a commercial and industrial real estate tax was temporarily reduced from 25 cents per $100 assessed value to 12.5 cents per $100 assessed value for four years. The County current rate, set at 11 cents, was not impacted. Discussions will continue at the state level on how best to replace the NVTA regional funding to meet the transportation challenges of Northern Virginia.

The FY 2011 Advertised Budget Plan assumes a tax rate of 11 cents per $100 assessed value for the commercial and industrial real estate tax for transportation. This rate remains unchanged from the rate the Board of Supervisors approved in both the FY 2009 Adopted Budget Plan and the FY 2010 Adopted Budget Plan. The current rate will generate approximately $43.1 million in revenue for FY 2011. This is lower than the annual amount originally expected due to the national economic downturn. In FY 2009, $52.6 million was received in commercial and industrial real estate revenue for transportation.

FY 2011 expenditures in Fund 124 are consistent with the project list approved by the Board of Supervisors on July 13, 2009. For FY 2011 this includes approximately $24.1 million for capital projects, $3.5 million for operating and staff support for project implementation and a $15.5 million transfer to FAIRFAX CONNECTOR bus service (Fund 100, County Transit Systems). The transfer to Fund 100 funds service that was expanded in FY 2010 on priority overcrowded routes (routes 170, 401/402, 950), the Centreville, Chantilly, and Oakton service originating from the West Ox Bus Operations Center, and bus service recommended by the ongoing Transit Development Plan study. These transit services are in keeping with the legislative constraints for commercial and industrial tax funds which must be used to support new transportation initiatives.

Continuing in FY 2011, Fund 124 revenue for project implementation will fund 19/19.0 SYE staff positions in Fund 124 and contractual obligations.

FCDOT continues to redesign the management of transportation capital projects to include the institution of a General Engineering Contract (GEC). The GEC will provide a balance of outside experience and in-house knowledge to swiftly advance the completion of planned projects and provide the necessary coordination with the Virginia Department of Transportation, regional transportation agencies, and local affected communities.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project). FY 2011 capital project funding remains in the construction reserve project. Funds will be moved to individual projects during FY 2011 as they approach implementation.

# Fund 124
# County and Regional Transportation Projects

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 19/ 19 | 19/ 19 | 19/ 19 | 19/ 19 |
| Expenditures: | | | | |
| Personnel Services | $268,987 | $1,775,322 | $1,775,322 | $1,775,322 |
| Operating Expenses | 1,164,321 | 1,500,000 | 1,766,606 | 1,756,871 |
| Recovered Costs | (1,330) | 0 | 0 | 0 |
| Capital Equipment | 639,223 | 0 | 7,700,331 | 0 |
| Capital Projects | 3,719,220 | 32,117,466 | 120,927,852 | 24,066,145 |
| **Total Expenditures** | **$5,790,421** | **$35,392,788** | **$132,170,111** | **$27,598,338** |

| Position Summary | | | |
|---|---|---|---|
| 1 | Engineer V | 1 | Project Coordinator |
| 3 | Engineers IV | 2 | Management Analysts III |
| 3 | Transportation Planners III | 1 | Network Analyst I |
| 3 | Transportation Planners II | 1 | Administrative Assistant III |
| 1 | Assistant Supervisor Facilities Support | 1 | Administrative Assistant II |
| 2 | Planning Technicians II | | |
| **TOTAL POSITIONS** | | | |
| **19 Positions / 19.0 Staff Years** | | | |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- ♦ **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- ♦ **Operating Expenses** **$256,871**
  An increase of $256,871 is necessary to support actual contractual program costs.

- ♦ **Capital Projects** **$24,066,145**
  Funding in the amount of $24,066,145 has been included for FY 2011 priority projects supported by the commercial and industrial tax revenue, consistent with the project list approved by the Board on July 13, 2009.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$96,777,323**

  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $96,777,323 due to unencumbered carryover of $7,966,937 primarily for the purchase of 18 new CONNECTOR buses to enhance service on overcrowded priority routes, the carryover of unexpended project balances in the amount of $58,752,219, and project adjustments of $30,058,167. Project funding adjustments include the appropriation of higher than anticipated FY 2009 revenues for the commercial and industrial tax for transportation in the amount of $867,744, the appropriation of $1,555,759 in FY 2009 fund balance, and a net adjustment of $27,634,664. This adjustment was necessary to reflect $22,365,336 in funds for regional transportation projects anticipated from the Northern Virginia Transportation Authority (NVTA) that were not received in FY 2009 and are not anticipated in FY 2010 due to the February 2008 Virginia Supreme Court ruling that the General Assembly did not have the ability to delegate its taxing authority to NVTA, this invalidating this source of revenue. These funds have been replaced by project support of $50,000,000 from the anticipated issuance of Economic Development Authority (EDA) transportation contract revenue bonds, as approved by the Board of Supervisors on July 13, 2009.

# Fund 124
# County and Regional Transportation Projects

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds**

**Fund 124, County and Regional Transportation Projects**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$46,777,323** | **$0** |
| Revenue: | | | | |
| EDA Bonds[1] | $0 | $0 | $50,000,000 | $0 |
| Commercial Real Estate Tax for Transportation[2] | 52,567,744 | 50,900,000 | 50,900,000 | 43,105,550 |
| Total Revenue | $52,567,744 | $50,900,000 | $100,900,000 | $43,105,550 |
| **Total Available** | **$52,567,744** | **$50,900,000** | **$147,677,323** | **$43,105,550** |
| **Expenditures:** | | | | |
| Personnel Services | $268,987 | $1,775,322 | $1,775,322 | $1,775,322 |
| Operating Expenses | 1,164,321 | 1,500,000 | 1,766,606 | 1,756,871 |
| Recovered Costs | (1,330) | 0 | 0 | 0 |
| Capital Equipment[3] | 639,223 | 0 | 7,700,331 | 0 |
| Capital Projects[4] | 3,719,220 | 32,117,466 | 120,927,852 | 24,066,145 |
| Total Expenditures | $5,790,421 | $35,392,788 | $132,170,111 | $27,598,338 |
| Transfer Out | | | | |
| County Transit (100)[5] | $0 | $15,507,212 | $15,507,212 | $15,507,212 |
| **Total Disbursements** | **$5,790,421** | **$50,900,000** | **$147,677,323** | **$43,105,550** |
| **Ending Balance** | **$46,777,323** | **$0** | **$0** | **$0** |
| **Tax Rate per $100 of Assessed Value** | **$0.11** | **$0.11** | **$0.11** | **$0.11** |

[1] Economic Development Authority (EDA) transportation contract revenue bonds of $50,000,000 in FY 2010 are expected to provide additional support for transportation projects, as endorsed by the Board of Supervisors on May 5, 2008 and July 13, 2009.

[2] The Board of Supervisors implemented this tax in FY 2009 at a rate of 11 cents per $100 of assessed value, and the rate remains constant in FY 2010 and in FY 2011. The Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this new tax. Revenue projections decline for FY 2010 and FY 2011 due to the continuing slowdown in the commercial market.

[3] Capital Equipment provides support for the purchase of 18 new CONNECTOR buses to support an expansion of service on overcrowded high priority routes (Routes 170, 401/402 and 950).

[4] Capital Projects include roadway, pedestrian and transit funding. In FY 2011, the funding is held in a reserve project and adjustments to reflect project funding for specific projects approved by the Board of Supervisors will be made as projects approach implementation.

[5] The FY 2011 transfer of $15,507,212 to Fund 100 is consistent with the use of commercial and industrial real estate tax for transit services approved by the Board of Supervisors on July 13, 2009. This amount will fund: the service that was expanded in FY 2010 on priority overcrowded routes, the Centreville, Chantilly, and Oakton service originating from the West Ox Bus Operations Center, and the bus service recommended by the ongoing Transit Plan study.

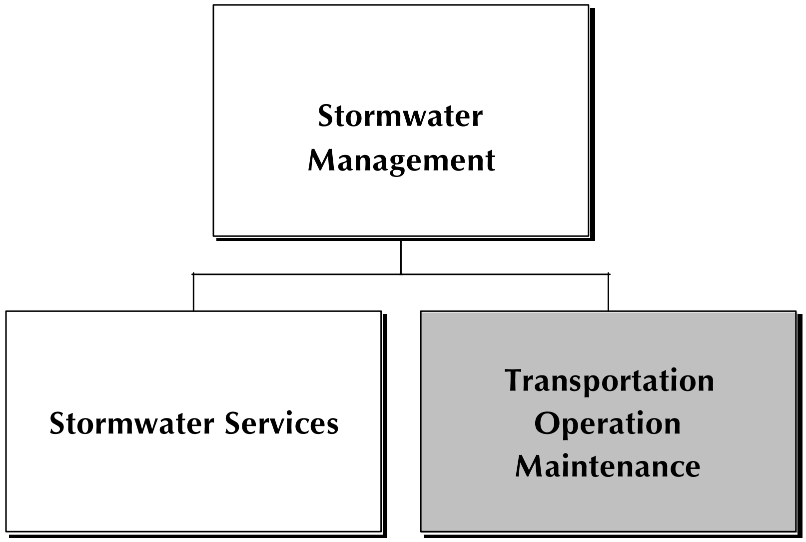
# Fund 124
# County and Regional Transportation Projects

### FY 2011 Summary of Capital Projects

**Fund: 124 County & Regional Transportation Projects**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 01240R | Project Construction Reserve | | $0.00 | $77,304,652.00 | $24,066,145 |
| BUS000 | Bus Stop Improvement Program | 1,000,000 | 0.00 | 1,000,000.00 | 0 |
| P0SR01 | Sidewalk Replacement/VDOT Participation | 600,000 | 0.00 | 600,000.00 | 0 |
| PBFP01 | Bicycle Facilities Program | 1,000,000 | 0.00 | 1,000,000.00 | 0 |
| PEMT01 | Emergency Maint. Existing Trails | | 49,393.98 | 50,606.02 | 0 |
| PPTF01 | Pedestrian Task Force Recommendations | 1,714,700 | 12,389.31 | 1,702,310.69 | 0 |
| R00101 | Route I Widening - Design | 220,000 | 0.00 | 220,000.00 | 0 |
| R00701 | Rt. 7 Widening-Rolling Holly to Reston Ave. | 8,000,000 | 0.00 | 8,000,000.00 | 0 |
| R08681 | Davis Drive Extension | 85,000 | 0.00 | 85,000.00 | 0 |
| R123X1 | Braddock Rd/Route 123 Interchange Study | 952,000 | 404,863.35 | 547,136.65 | 0 |
| R19301 | Georgetown Pike/Walker Rd. RTL | 500,000 | 133,841.04 | 366,158.96 | 0 |
| R29212 | Route 29 Widening - Centerville to Fairfax City | 2,000,000 | 0.00 | 2,000,000.00 | 0 |
| R61113 | Lorton Rd.-Rt. 123/Silverbrook Rd. | 2,284,000 | 3,659.20 | 2,280,340.80 | 0 |
| R61901 | BRAC- Mulligan Road | 12,100,000 | 3,000,000.00 | 9,100,000.00 | 0 |
| R65701 | Walney Rd. at Dallas St. | 1,100,000 | 0.00 | 1,100,000.00 | 0 |
| R75701 | Annandale R-O-W McWhorter Pl. | 3,000,000 | 17,182.10 | 2,982,817.90 | 0 |
| RRVP01 | Road Viewers Program | 100,000 | 0.00 | 100,000.00 | 0 |
| RSPI01 | Spot Improvements | 1,000,000 | 0.00 | 1,000,000.00 | 0 |
| RZ0001 | Eskridge Rd. Extension | 1,000,000 | 86,111.26 | 913,888.74 | 0 |
| TCLPK1 | Columbia Pike Streetcar Planning | 912,000 | 0.00 | 912,000.00 | 0 |
| TDULRL | Weihle Avenue Metrorail Facility | 5,164,720 | 6,273.00 | 5,158,447.00 | 0 |
| TSP001 | Springfield Park and Ride | 4,510,000 | 5,506.59 | 4,504,493.41 | 0 |
| **Total** | | **$47,242,420** | **$3,719,219.83** | **$120,927,852.17** | **$24,066,145** |

# Fund 125
# Stormwater Services




Denotes functions that are included in both the General Fund, Agency 87, Unclassified Administrative Expenses and Fund 125, Stormwater Services.

## Mission

To develop and maintain a comprehensive watershed and infrastructure management program to protect property, health and safety; to enhance the quality of life; to preserve and improve the environment for the benefit of the public. To plan, design, construct, operate, maintain and inspect the infrastructure, and perform environmental assessments through coordinated stormwater and maintenance programs in compliance with all government regulations utilizing innovative techniques, customer feedback and program review; and to be responsive and sensitive to the needs of the residents, customers and public partners.

## Focus

As part of the FY 2010 Adopted Budget Plan, a new service district was created to support the Stormwater Management Program, as authorized by Va. Code Ann. Sections 15.2-2400. The service district levy is currently $0.010 per $100 of assessed real estate value; however, the County Executive has proposed an increase in the levy to $0.015 per $100 of assessed real estate value for FY 2011. Since FY 2006, the Board of Supervisors had dedicated the value of one penny of the real estate tax, or approximately $20 million annually to stormwater capital projects. In FY 2009, due to budget constraints, staff and operating costs began to be charged to the stormwater penny fund, resulting in an approximate 50 percent reduction in funding for capital project support. The service district was created in FY 2010 to provide a dedicated funding source for both operating and capital project requirements. The levy of $0.010 would have generated approximately $18.67 million in revenue in FY 2011, funding staff salaries, fringe benefits, and operational costs of $11.4 million, leaving $7.3 million remaining for capital project support, including regulatory requirements and infrastructure reinvestment. This level of capital project support represents a decrease of approximately $12.7 million or 64 percent from the $20 million annually dedicated in the past, and would have resulted in a reinvestment cycle exceeding 1,000 years. The proposed increase in the service district tax rate to $0.015 is based on increased enforcement by

the Environmental Protection Agency (EPA) and the state to ensure that stormwater programs advance and do not backslide in implementation and provide funding to begin reinvestment for existing storm drainage systems. The County is currently operating under an extension of the existing Municipal Separate Storm Sewer System (MS4) discharge permit that expired in FY 2007. Negotiations between the Commonwealth of Virginia and Fairfax County, as well as negotiations between the state and many surrounding local communities, continue as several issues related to permit compliance are defined and established. It is anticipated that Fairfax County will soon be under new and increased regulatory requirements as a result of these negotiations, and the Chesapeake Bay requirements. Increasing the rate ½ penny at this time will generate an additional $9.3 million for capital projects, infrastructure and reinvestment funding. The district will receive $28 million total, supporting $11.4 million for staff and operational costs and $16.6 million for regulatory requirements and capital projects. An increase in dedicated capital support will allow the County to begin to ramp up capital project efforts in a more efficient manner and demonstrate to the state and EPA that the County is moving forward with much needed infrastructure renewals and improvements. This level of capital project funding will support a reinvestment cycle of approximately 200 years for the existing stormwater infrastructure and less than 200 years for implementation of the watershed plans. Approximately 30 percent of the County infrastructure is over 40 years old, with the remaining infrastructure averaging 30 years old. Rehabilitating infrastructure pipes before failure, is less costly and can be accomplished by installing a new pipe within the existing pipe. Based on the condition of the portion of the existing system that has been inspected to date, Stormwater staff recommends a program that provides for inspection on a 10-15 year cycle and reinvestment or renewal of the infrastructure on a 100 year cycle. Additional capital support will enable Stormwater staff to rehabilitate more of the existing system, and begin to approach the targeted reinvestment cycle.

Fund 125, Stormwater Services, is essential to protect public safety, preserve property values and support environmental mandates, such as those aimed at protecting the Chesapeake Bay and the water quality of other local waterways. Projects include: repairs to stormwater infrastructure, measures to improve water quality, such as stream stabilization, rehabilitation and safety upgrades of dams, repair and replacement of underground pipe systems, surface channels, structural flood proofing and Best Management Practices (BMP) site retrofits. This funding also supports development of watershed master plans, increased public outreach efforts, and stormwater monitoring activities.

There are several program elements in the Stormwater Management Program. The state mandated MS4 permit establishes regulatory requirements pertaining to stormwater management. The over arching guidelines for the MS4 permit are based on the National Pollutant Discharge Elimination Systems (NPDES) federal requirements. Compliance with these mandated regulations define the basis of the Stormwater Management Program. Services provided in all of the program areas are critical for compliance with the state and federal regulations pertaining to stormwater management. Details of the program elements for FY 2011 follow:

<u>Stormwater Regulatory Program</u>
All program elements within the Stormwater Management Program, including maintenance operations, are required components for compliance with the MS4 regulations. It is anticipated that Fairfax County will soon be under new and increased regulatory requirements as a result of MS4 permit negotiations. The increased requirements are expected to impact inspection cycles and monitoring efforts, and enhance restrictions for total maximum daily loads of harmful nutrients entering the streams and rivers within the County. The new regulations are anticipated to affect stormwater maintenance programs and reporting requirements. Funding for this program is specific to permit administration, public outreach programs, stormwater facility inspections and assessment, and stormwater monitoring programs. The County's Stormwater regulatory program also includes the Fairfax County Public Schools (FCPS) MS4 permit requirements. Consolidation efforts continue to focus on updating the inventory of the School's stormwater management facilities, inspection of the facilities, and initiation of joint County/School programs for required permit compliance services. FY 2011 funding of $1,700,000 is included to continue to support regulatory requirements.

Stormwater Management Facility Program

The Stormwater Management Facility Program provides annual inspections and assessments of a projected 1,447 publicly maintained stormwater management ponds and 3,725 privately maintained stormwater management ponds in FY 2011. Inspections and assessment work are required to remain in compliance with MS4 mandated stormwater facility inspection cycles. Additionally, the stormwater inspection program provides enhanced outreach efforts for owners of privately maintained stormwater facilities, to provide useful facility operations and maintenance guidance for these facilities. FY 2011 funding of $1,000,000 is included to continue to support the Stormwater Management Facility Program.

Stormwater Dam Safety Program

In FY 2011, the Dam Safety Program will continue to focus on obtaining and maintaining the six-year maintenance and operating certificates on all state regulated dams in the County. Based on recent revisions in federal and state dam safety standards, this program includes the oversight and funding of required critical upgrades of dams and emergency spillways to four of the six high hazard flood control facilities maintained under the PL566 Dam Maintenance Program. Construction for the upgrade to Lake Royal was initiated in late FY 2008, and will be completed in FY 2010. The upgrade design for Lake Woodglen is expected to be approved in January 2010, with construction anticipated to begin in the spring of 2010. The design for Lake Barton is expected to be approved in the early spring of 2010, with construction anticipated to begin in early FY 2011. The design for Lake Huntsman is anticipated to be approved in FY 2011, with construction expected to be initiated in FY 2012. These are major multi-million dollar projects, which to date, have received approximately $2.4 million in federal joint participation funds, and have been identified for additional federal funding in the amount of $4.3 million, specifically for Lake Woodglen and Lake Barton. Phase 1 of the electronic flood control signalization project for the County's largest hazardous dam facilities and the flood prone areas in New Alexandria/Belleview was completed in FY 2009. Phase II to expand the coverage area for flood control signalization to the remaining state regulated stormwater management facilities in the County will be completed in FY 2010. The signalization process will provide greater flood monitoring capabilities through instantaneous water level condition assessment. This public safety improvement is intended to provide an enhanced warning system that will link to an early notification system for down stream property owners during flood response events. FY 2011 funding of $2,700,000 is included for annual requirements and construction associated with the Dam Safety Program.

Stormwater Infrastructure Reinvestment Program

The infrastructure reinvestment program provides inventory inspection and assessment services for repair and rehabilitation of the 1,575 miles of piped conveyance systems and 41,600 stormwater drainage structures in Fairfax County. The storm drainage program is on a five-year "physical walk" surface inspection cycle, and a 20-year internal system assessment cycle to inspect the conveyance system with closed circuit TV for functionality and integrity. The assessment program allowed for the inspection of 75 miles of drainage systems in FY 2007 and found 5 percent of the inspected systems to be in complete failure, and 15 percent to be in significant need of rehabilitation services. To date, approximately 42,451 linear feet or 8.4 miles of identified storm drainage systems deficiencies have been rehabilitated. Rehabilitation projects have a wide range in scope, varying from repairs of individual structures and single line segments to rehabilitation of entire drainage systems. This program also funds the development of the digital Geographic Information System (GIS) layers related to the storm drainage network and the storm drainage easement layers. At the end of FY 2008, the storm drainage layer was completed. Currently, the layer is being updated to ensure a continuous network of pipes and streams for use in analysis related to the MS4 permit requirements and watershed modeling efforts. The digital storm drainage layer also provides emergency response support via instantaneous electronic imaging of storm drainage system connectivity for response issues such as hazardous material spills. Additionally, the easement layer in the County's GIS database was completed in FY 2009. The GIS database layer maintenance updates for new easement acquisitions and added drainage systems to the network will continue into FY 2011. The GIS management group and digital layers are providing critical asset management support to the stormwater program asset and work flow management system. FY 2011 funding of $5,188,597 has been included for stormwater maintenance, rehabilitation activities, and GIS updates.

Stormwater Project Implementation Program
While the primary driver of this program is the implementation of projects generated by the 30 watershed master plans in Fairfax County, the list of projects also includes flood control projects such as those experienced during the June 2006 flooding, citizen response projects and other special project needs meeting established project implementation criteria. Specific projects include: the design and construction of watershed specific projects within various watersheds throughout the County; the emergency watershed project which supports the correction of unexpected emergency drainage problems; and engineering studies and construction to alleviate flooding problems of a recurring or emergency nature that arise during the fiscal year. The project implementation program ensures that the most current design and construction standards are adhered to, and coordinates with property owners, stakeholders, and regulators on project design and construction requirements. FY 2011 funding of $5,188,597 is included to continue implementation of watershed master plans and other identified projects.

Kingstowne Monitoring
This project supports the Kingstowne environmental program, established by the Board of Supervisors in June 1985 and is intended to continue until completion of the Kingstowne Development. In FY 2002, the program was expanded to include the water quality monitoring requirements of the U.S. Army Corps of Engineers for the development of the South Van Dorn Street extension. An amount of $300,000 is included in FY 2011 to support ongoing monitoring and maintenance requirements.

Stormwater Related Contributory Program
Beginning in FY 2011, funding is provided for contributory agencies, closely related to the Stormwater Program. Contributory funds are provided to the Northern Virginia Soil and Water Conservation District (NVSWCD), and the Occoquan Watershed Monitoring Program (OWMP). The NVSWCD is an independent subdivision of the Commonwealth of Virginia to provide leadership in the conservation and protection of Fairfax County's soil and water resources. It is governed by a five-member Board of Directors, three of whom are elected every four years by the voters of Fairfax County and two who are appointed by the Virginia Soil and Water Conservation Board. Accordingly, the work of NVSWCD supports many of the environmental efforts set forth in the Board of Supervisors' Environmental Excellence 20-year Vision Plan. The goal of the NVSWCD is to continue to improve the quality of the environment and general welfare of the citizens of Fairfax County by providing them with a means of dealing with soil, water conservation and related natural resource problems. It provides County agencies with comprehensive environmental evaluations for proposed land use changes with particular attention to the properties of soils, erosion potential, drainage and the impact on the surrounding environment. NVSWCD has consistently been able to create partnerships and leverage state, federal and private resources to benefit natural resources protection in Fairfax County. FY 2011 funding of $423,271 is included in Fund 125 for the County contribution to the NVSWCD.

The OWMP and the Occoquan Watershed Monitoring Laboratory (OWML) were established to ensure that water quality is monitored and protected in the Occoquan Watershed. Given the many diverse uses of the land and water resources in the Occoquan Watershed (agriculture, urban residential development, commercial, and industrial activity, water supply, and wastewater disposal), the OWMP provides a critical role as the unbiased interpreter of basin water quality information. FY 2011 funding of $112,559 is included in Fund 125 for the County contribution to the OWMP.

Stormwater Watershed Planning Program
One of the original goals of the Watershed Planning Program focused on completing comprehensive master watershed plans for all 30 of the watersheds in Fairfax County by the end of calendar year 2010, in an effort to meet the County's commitment to the 2000 Chesapeake Bay agreement. By FY 2008, watershed planning was completed in approximately 50 percent of the land area in the County and the remaining 50 percent of the land area has been initiated for characterization and modeling. Several program modifications were made to the process to help improve the quality and timeliness of the planning process by providing more focused community involvement processes and by bringing consistency to reporting processes. Based on the modification to the program, Fairfax County will meet the commitment to the Chesapeake Bay 2000 agreement by completing the planning of all 30 watersheds by the end of calendar year 2010, as committed in the original agreement. In addition, the watershed master plans provide a strong basis for management and

control of stormwater runoff related to the overall water quality and conveyance in Fairfax County. The watershed planning program provides support and assistance to the MS4 compliance as well as, stream condition assessments and coordinates continuing education of stormwater issues through a multitude of public outreach opportunities. The Watershed Planning Program oversees and administers the pro rata share drainage program that generates stomwater funding through the County land development process, as well as, coordination with County property owners, developers and state and federal expansion projects in terms of stormwater quality and conveyance requirements in the County. All watersheds planning funding was obligated in previous fiscal years, therefore no funding is required in FY 2011.

Stormwater Services Operational Support
Fund 125 funds staff salaries, fringe benefits, and operating expenses for all stormwater operations. In-house and contracted maintenance services that are initiated through a multitude of citizen requests for service, and internal maintenance programs are funded within this program. Fund 125 also houses funding for 23/23.0 SYE positions related to transportation operations maintenance. All funding is recovered from General Fund Agency 87, Department of Public Works and Environmental Services (DPWES) Unclassified Administrative Expenses. This program includes maintenance at transportation facilities such as commuter rail stations, park-and-ride lots, bus transit stations, bus shelters and roadway segments that have not been accepted by the Virginia Department of Transportation (VDOT). Other transportation operations maintenance services include: maintaining public street name signs, repairing trails and sidewalks, which are upgraded to meet American with Disabilities Act (ADA) code requirements, as well as landscaping services along transportation routes in commercial revitalization districts. In addition, this program provides support during emergency response operations and is responsible for snow removal from all County owned and maintained facilities including fire stations, police stations, mass transit facilities, government centers, libraries, health centers, and recreation centers. The division also provides equipment, labor and technical support to the Fire and Rescue Department, Police Department, Health Department and other agencies in response to other emergencies, such as hazardous material spills, demolition of unsafe structures or removal of hazardous trees. FY 2011 funding of $11,386,967 will support operational requirements.

Recognizing the growth in the Stormwater Management Program, and the projected growth in the number of construction projects generated from the completion of watershed management plans, continued staffing and resource management needs require innovative project management between County staff and contracted services.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 0/ 0 | 139/ 139 | 140/ 140 | 140/ 140 |
| Expenditures: | | | | |
| Personnel Services | $0 | $10,546,709 | $10,987,229 | $10,912,882 |
| Operating Expenses | 0 | 600,000 | 2,520,693 | 2,076,526 |
| Capital Equipment | 0 | 0 | 124,000 | 112,400 |
| Capital Projects | 0 | 617,024 | 4,106,824 | 16,613,024 |
| **Sub Total** | **$0** | **$11,763,733** | **$17,738,746** | **$29,714,832** |
| Less: | | | | |
| Recovered Costs | $0 | ($1,513,733) | ($1,800,779) | ($1,714,832) |
| **Total Expenditures** | **$0** | **$10,250,000** | **$15,937,967** | **$28,000,000** |

# Fund 125
# Stormwater Services

| Position Summary | | | | | |
|---|---|---|---|---|---|
| | **Maintenance and Stormwater Management (MSMD)** | | **Field Operations** | | **Equipment/Specialty Trades** |
| | **MSMD Administration** | 1 | Env. Services Manager | 1 | Heavy Equipment Operator |
| 1 | Assistant Director DPWES | 4 | Env. Services Supervisors | 1 | Carpenter I |
| 1 | Director Maintenance and SW | 3 | Senior Maintenance Supervisors | 1 | Equipment Repairer |
| 2 | Engineers V | 2 | Maintenance Supervisors | 1 | Welder II |
| 1 | Safety Analyst | 9 | Maintenance Crew Chiefs | | **Stormwater Planning Division** |
| 1 | Management Analyst II | 14 | Senior Maintenance Workers | 1 | Director |
| 1 | Communications Specialist II | 6 | Maintenance Workers | 3 | Engineers V |
| 1 | Network/Telecom Analyst I | 8 | Heavy Equipment Operators | 1 | Engineer IV |
| 1 | Administrative Assistant IV | 9 | Motor Equipment Operators | 2 | Senior Engineers III |
| 3 | Administrative Assistants III | 4 | Masons I | 8 | Engineers III |
| 1 | Administrative Assistant II | | | 1 | Project Coordinator |
| | | | **Maintenance Inspections** | 3 | Ecologists III |
| | **Contracting Services** | 1 | Engineer IV | 7 | Ecologists II |
| 1 | Management Analyst III | 1 | Senior Maintenance Supervisor | 1 | Accountant I |
| 1 | Engineering Technician III | 3 | Engineering Technicians III | 1 | Management Analyst II |
| 1 | Engineering Technician II | 3 | Engineering Technicians I | 1 | Administrative Assistant III |
| | | | | 1 | Landscape Architect III |
| | **Engineering/Technical Support** | | **Materials Support** | 1 | Engineering Technician III |
| 1 | Senior Engineer III | 1 | Warehouse Supervisor | 1 | Engineering Technician I |
| 1 | Engineer IV | 1 | Warehouse Specialist | 1 | Project Manager II |
| 3 | Engineers III | 1 | Engineering Aide | 1 | Project Manager I |
| 3 | Engineering Technicians III | 1 | Motor Equipment Operator | | |
| 1 | Engineering Technician II | | | | |
| 1 | GIS Analyst III | | | | |
| 1 | GIS Analyst II | | | | |
| 1 | GIS Analyst I | | | | |
| 1 | GIS Technician | | | | |
| 1 | Ecologist III | | | | |
| **TOTAL POSITIONS** 140 Positions / 140.0 Staff Years | | | | | |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

- **Full Year Funding Adjustment** **$1,722,600**
  An increase of $366,173 in Personnel Services, $1,557,526 in Operating Expenses and $201,099 in Recovered Costs is required to fully fund operational support for the Stormwater Management Program, including funding for limited term staff, overtime, consulting services, operational supplies, materials, and equipment. These operating expenses were restricted in FY 2010 based on the timing of the creation of the new Stormwater Service District. As part of the FY 2010 Adopted Budget Plan, the Board of Supervisors approved a levy of $0.010 per $100 of assessed real estate value for the Stormwater Service District. In a typical budget year, this levy would provide approximately $20 million for both operational and capital project requirements; however, the effective date associated with the creation of the Service District and tax rate was July 1, 2009. Therefore, during the Service District's first year, taxpayers were billed only for the second half of calendar year 2009, generating approximately $10 million for the entire Stormwater Management Program in FY 2010. In order to supplement the restricted operational program in FY 2010, as part of the *FY 2009 Carryover Review*, the Board of Supervisors approved the transfer of $5,325,000 from Fund 318, Stormwater Management Program to Fund 125. This level of funding was anticipated to be unexpended in capital projects at the end of FY 2009 and was redirected to support capital projects and other operational requirements for the Stormwater Management Program. FY 2011 adjustments are required to fully fund the operational requirements and are supported by the full year impact of the new service district revenue collections.

- **Department of Vehicle Services Charges** **($81,000)**
  A decrease of $81,000 for Department of Vehicle Services charges is based on anticipated costs for fuel, vehicle replacement and maintenance costs.

- **Capital Equipment** **$112,400**
  Capital Equipment funding of $112,400 is included for requirements associated with replacement equipment that has outlived its useful life and new equipment required for increased safety at job sites. The equipment includes: $27,800 to replace a tractor mower used to remove silt and debris from detention ponds which has outlived its useful life and is no longer cost effective to repair; $24,100 for a replacement plotter and large format scanner used to produce images for the preparation of construction documents; and $10,500 for a skid loader trailer which transports mowing equipment and enables the agency to dispatch equipment more effectively. In addition, $50,000 is included for a new sewer pipe inspection system used to capture and document the condition of stormwater infrastructure for compliance with the County's MS4 permit. This system allows additional pipe segments to be inspected daily and captures deficiencies for faster evaluation and prioritization of rehabilitation projects.

- **Capital Projects** **$16,613,024**
  Funding in the amount of $16,613,024 has been included in FY 2011 for priority stormwater capital projects. This level of funding will allow for increased efforts to implement and fulfill regulatory requirements and move forward on capital project work.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$5,687,967**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase $5,687,967 due to a transfer of $5,325,000 from Fund 318, Stormwater Management and encumbered carryover of $362,967 associated with Agency 29, Stormwater Management. A transfer from Fund 318 was included based on project timelines and schedules in order to enable much needed operational and capital projects requirements to move forward within the new structure. In addition, encumbrances within Agency 29, Stormwater Management were reflected in Fund 125 to ensure that funding for on-going contracts continued in the new fund structure. The General Fund operating budget, Agency 29, Stormwater Management was eliminated as part of the *FY 2009 Carryover Review*. In addition, based on organization realignments as a result of workload requirements and final FY 2010 budgetary decisions, 1/1.0 SYE position was eliminated within Agency 70, Department of Information Technology, and established in Fund 125, Stormwater Services. Funding to support this position will be charged to Agency 87; therefore, there is no net impact to Fund 125.

## Objectives

- To ensure zero violations in order to maintain compliance with the terms of the federally mandated MS4 Permit, as part of the comprehensive Stormwater Management Program.

- To ensure that 100 percent of Emergency Action plans are updated and operational to minimize impact to Fairfax County citizens, as well as protect property from weather events and other emergency situations.

- To ensure that 100 percent of the Commuter Rail, Park-and-Ride and Bus Transit facilities maintained by the County are functional 365 days per year in support of Fairfax County alternative transportation initiatives in order to reduce air pollution.

- To incrementally initiate and complete development of Fairfax County's 30 watershed management plans in order to support the MS4 permit and meet Fairfax County's commitment of the Chesapeake Bay 2000 Agreement, and contribute to the removal of the Bay from the "Impaired Water" list by the end of calendar year 2010.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Annual private stormwater management facility inventory | 2,441 | 2,772 | 2,820 / 3,125 | 3,425 | 3,725 |
| Public stormwater management facilities inspected and maintained annually | 1,222 | 1,266 | 1,320 / 1,367 | 1,407 | 1,447 |
| Emergency Action plans updated | 16 | 16 | 18 / 18 | 20 | 20 |
| Average weekly private vehicle trips into maintained facilities | 22,770 | 23,470 | 30,511 / 23,212 | 30,177 | 31,082 |
| Average weekly commuter bus trips into maintained facilities | 9,425 | 9,520 | 9,437 / 9,435 | 9,529 | 9,624 |
| Average weekly train trips into maintained facilities | 265 | 265 | 265 / 265 | 265 | 265 |
| Watershed Plans completed | 3 | 6 | 0 / 0 | 0 | 7 |
| **Efficiency:** | | | | | |
| Annual cost per private stormwater management facility | $393 | $622 | $673 / $356 | $350 | $365 |
| Cost of inspection and maintenance per public stormwater management facility | $1,626 | $1,582 | $1,669 / $1,572 | $1,646 | $1,815 |
| Cost of Emergency Response program per 100,000 population | $128,095 | $57,244 | $61,862 / $74,699 | $79,789 | $90,364 |
| Cost per transit trip | $0.52 | $0.46 | $0.42 / $0.34 | $0.30 | $0.34 |
| Average cost per square mile to develop watershed plans | $27,260 | $46,000 | $0 / $0 | $0 | $43,000 |
| **Service Quality:** | | | | | |
| Percent of private facilities inspected within the fiscal year | 19% | 20% | 20% / 17% | 23% | 20% |
| Percent of public facilities inspected and maintained within the fiscal year | 100% | 100% | 100% / 89% | 58% | 58% |
| Dollar loss per 100,000 population for claims paid as a result of annual emergency events | $4,440 | $1,970 | $2,907 / $2,103 | $2,865 | $2,300 |
| Annual commuter facilities complaints received | 93 | 18 | 49 / 32 | 48 | 53 |
| Cumulative percent of watershed plans completed based on drainage area | 35.9% | 51.5% | 0.0% / 0.0% | 0.0% | 100.0% |
| **Outcome:** | | | | | |
| MS4 permit violations received | 0 | 0 | 0 / 0 | 0 | 0 |
| Percent of Emergency Action Plans current | 100% | 88% | 100% / 100% | 100% | 100% |
| Percent of commuter facilities available 365 days per year | 100% | 100% | 100% / 100% | 100% | 100% |
| Annual percent of watershed plans completed | 33.3% | 100.0% | 0.0% / 0.0% | 0.0% | 100.0% |

## Performance Measurement Results

In FY 2009 the "Output" indicator for the inventory of private stormwater management facilities indicates a growth of nearly 10.8 percent over the estimated growth for FY 2009. The increase in private facility growth is based on facilities added to the inventory that were constructed as part of private development being turned over for County maintenance which was greater than anticipated. Another contributing factor to this increase is a concerted effort to improve inventory control by utilizing GIS to identify potential facilities that were either constructed prior to the current inventory, or were not included in the inventory for other reasons. The "Efficiency" indicators for the annual cost of the inspection of private facilities, and the per facility cost for the maintenance and inspection of public facilities were both lower than anticipated. The lower program costs are primarily based on greater than anticipated facilities that were added to the inventory, and less available funding to provide maintenance to these facilities based on budget constraints. The "Service Quality" indicator pertaining to the inspection cycle for both public and private stormwater facilities was not met. This is due to adjustments in the inspection cycles; however, inspections in future years will be increased to compensate for shortfalls, and ensure that the MS4 permit facility inspection requirements for both programs pertaining to the five year inspection cycle are managed and met. The future year estimates related to the Service Quality indicator for "Public" facility inspections was reduced to annually inspecting 58 percent of the entire inventory because of budgetary constraints pertaining to the maintenance of the public facilities. While the full inspection cycle could be completed within the recommended funding levels, the required maintenance resulting from the inspections would have to be deferred to future years based on recent program modifications resulting from budgetary reductions. This deferral would result in compounding and duplicative maintenance work orders for public facilities. These results support compliance with the permit related to stormwater facility inspection cycles, as provided in the "Outcome" indicator, which shows that the "Objective" to ensure zero violations with the MS4 permit was accomplished.

The "Output" indicator for updating 18 Emergency Action Plans (EAP) was met. The "Efficiency" indicator shows that the cost of the emergency response program per 100,000 Fairfax County residents was higher than projected. This can be attributed to a higher number of emergency response events than anticipated, or that resources required for response efforts were higher than average. The "Service Quality" indicator shows that the dollar loss per 100,000 residents was lower than originally estimated for FY 2009. Lower program costs associated with the "Service Quality" indicator can be representative of lower than anticipated claims paid out for stormwater damages. The result of the "Outcome" indicator illustrates that all of the facility EAP's projected to be completed were updated, and ensures the intent of the "Objective" to ensure that 100 percent of the EAP's are updated and operational to minimize impacts to Fairfax County citizens.

The "Output" indicators for the commuter program indicates that there were approximately 1.7 million annual trips from vehicles, busses and trains entering the facilities. The "Efficiency" indicator shows a $0.34 cent maintenance cost per trip, which is lower than the estimated $0.42 cents per maintenance trip that was projected. This can be attributed to lower than anticipated facility maintenance requirements, and can also be attributed to less available program funding. The "Outcome" indicator shows that all facilities were open and functional for 365 days in FY 2009, meeting the "Objective" to ensure that 100 percent of the Commuter Rail, Park-and-Ride and Bus Transit facilities were available and functional all year.

The remaining seven watershed management plans are expected to be completed and submitted to the Board of Supervisors for adoption during FY 2011. Therefore, it is anticipated that the overall objective to meet the commitment of the Chesapeake Bay 2000 Agreement to complete watershed plans in all 30 watersheds in the County will be met, resulting in no new watershed plans required for FY 2012 and beyond.

# Fund 125
# Stormwater Services

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 125, Stormwater Services**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| Stormwater Service District Levy | $0 | $10,250,000 | $10,250,000 | $28,000,000 |
| Total Revenue | $0 | $10,250,000 | $10,250,000 | $28,000,000 |
| Transfer In: | | | | |
| General Fund[1] | $0 | $0 | $362,967 | $0 |
| Stormwater Management Fund (318)[2] | 0 | 0 | 5,325,000 | 0 |
| Total Transfers In | $0 | $0 | $5,687,967 | $0 |
| **Total Available** | **$0** | **$10,250,000** | **$15,937,967** | **$28,000,000** |
| **Expenditures:** | | | | |
| Personnel Serivces | $0 | $10,546,709 | $10,987,229 | $10,912,882 |
| Operating Expenses | 0 | 600,000 | 2,520,693 | 2,076,526 |
| Recovered Costs | 0 | (1,513,733) | (1,800,779) | (1,714,832) |
| Capital Equipment | 0 | 0 | 124,000 | 112,400 |
| Capital Projects | 0 | 617,024 | 4,106,824 | 16,613,024 |
| Total Expenditures | $0 | $10,250,000 | $15,937,967 | $28,000,000 |
| **Total Disbursements** | **$0** | **$10,250,000** | **$15,937,967** | **$28,000,000** |
| **Ending Balance[3]** | **$0** | **$0** | **$0** | **$0** |
| **Tax Rate Per $100 of Assessed Value[4]** | **$0.000** | **$0.010** | **$0.010** | **$0.015** |

[1] Represents encumbrances associated with Agency 29, Stormwater Management which are required within Fund 125. Agency 29, Stormwater Management was eliminated based on the creation of the new Stormwater Service District.

[2] As part of the *FY 2009 Carryover Review* an amount of $5.325 million was transferred from Fund 318, Stormwater Management Program, in order to support capital projects. Capital projects include operations support, regulatory compliance, dam safety, infrastructure reinvestment, project implementation, and watershed planning funding held in a Capital Projects Reserve.

[3] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[4] The FY 2011 Advertised Budget Plan includes a proposed increase to the Stormwater Service District tax rate from $0.010 to $0.015 per $100 of assessed real estate value. This level is proposed to provide increased regulatory and capital projects support.

# Fund 125
# Stormwater Services

## FY 2011 Summary of Capital Projects

**Fund: 125 Stormwater Services**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| DC0800 | Kingstowne Monitoring Program | $300,000 | $0.00 | $0.00 | $300,000 |
| FX0000 | Stormwater Capital Projects Reserve | 4,106,824 | 0.00 | 4,106,824.00 | 0 |
| FX0100 | Project Implementation Program | 5,188,597 | 0.00 | 0.00 | 5,188,597 |
| FX0400 | Dam Safety Program | 2,700,000 | 0.00 | 0.00 | 2,700,000 |
| FX0500 | Stormwater Management Facility | 1,000,000 | 0.00 | 0.00 | 1,000,000 |
| FX0600 | Infrastructure Reinvestment Program | 5,188,597 | 0.00 | 0.00 | 5,188,597 |
| FX0700 | Stormwater Regulatory Program | 1,700,000 | 0.00 | 0.00 | 1,700,000 |
| SP0001 | NVSWCD Contributory | 423,271 | 0.00 | 0.00 | 423,271 |
| SP0002 | Occoquan Monitoring Contributory | 112,559 | 0.00 | 0.00 | 112,559 |
| **Total** | | **$20,719,848** | **$0.00** | **$4,106,824.00** | **$16,613,024** |

# Fund 191
# Public School Food and Nutrition Services

## Focus


Fund 191, Food and Nutrition Services, totals $83.0 million in FY 2011 for all Food and Nutrition Services' operational and administrative costs. This fund is entirely self-supporting and is operated under the federally-funded National School Lunch and Child Nutrition Acts.

The Food and Nutrition Services program:

- Procures, prepares and serves lunches, breakfasts, and a la carte items to over 140,000 customers daily;
- Offers breakfasts in 147 schools and centers;
- Contracts meal provision to day care centers, Family and Early Childhood Education Program (FECEP) centers and private schools, and snack provision to all School-Age Child Care (SACC) programs; and
- Provides meals and dietetic consultation at senior nutrition sites and Meals on Wheels programs.

Other responsibilities include nutrition education, enforcement of sanitary practices, specifications for food and equipment, and layout and design of kitchens in new schools.

No support from Fund 090, School Operating Fund, is required as sufficient revenues are derived from food sales and federal and state aid.

# Fund 191
# Public School Food and Nutrition Services

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds**

**Fund 191, Public School Food and Nutrition Services**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance[2]** | **$8,450,350** | **$7,423,084** | **$10,870,140** | **$11,281,198** |
| Revenue: | | | | |
| Food Sales | $48,247,766 | $46,994,378 | $46,994,378 | $49,038,246 |
| Federal Aid | 21,532,646 | 20,458,075 | 20,727,204 | 21,756,710 |
| State Aid | 769,158 | 815,112 | 815,112 | 805,500 |
| Other Revenue | 147,115 | 260,000 | 260,000 | 135,548 |
| Total Revenue | $70,696,685 | $68,527,565 | $68,796,694 | $71,736,004 |
| **Total Available** | **$79,147,035** | **$75,950,649** | **$79,666,834** | **$83,017,202** |
| Total Expenditures | $68,306,545 | $67,938,171 | $68,385,636 | $71,159,603 |
| Food and Nutrition Services General Reserve[2] | $0 | $8,012,478 | $11,281,198 | $11,857,599 |
| **Total Disbursements** | **$68,306,545** | **$75,950,649** | **$79,666,834** | **$83,017,202** |
| Inventory Change | $29,650 | $0 | $0 | $0 |
| **Ending Balance** | **$10,870,140** | **$0** | **$0** | **$0** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

[2] Any unused portion of the allocated Food and Nutrition Services General Reserve carries forward into the subsequent budget year. Accordingly, the FY 2011 beginning balance is the projected ending balance for FY 2010 of $0 plus the estimated ending balance for the reserve of $11,281,198.

# Fund 192
# Public School Grants and Self-Supporting Programs

## Focus

Fund 192, Public School Grants and Self-Supporting Programs, totals $71.3 million for FY 2011 and consists of two subfunds: the Grants Subfund and the Summer School and Standards of Learning (SOL) Remediation Subfund. FY 2011 revenue reflects federal, state and private industry grants, summer school fees and transfers from Fund 090, School Operating, and Fund 105, Cable Communications.

# Fund 192
# Public School Grants and Self-Supporting Programs

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 192, Public School Grants and Self-Supporting Programs**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$6,558,790** | **$0** | **$5,837,182** | **$0** |
| Revenue: | | | | |
| State Aid | $4,010,048 | $10,627,102 | $16,244,443 | $10,005,768 |
| Federal Aid | 28,915,567 | 32,398,967 | 52,223,557 | 43,183,330 |
| Tuition | 2,728,580 | 2,950,191 | 2,194,913 | 793,868 |
| Industry, Foundation, Other | 954,857 | 111,421 | 712,596 | 26,421 |
| Total Revenue | $36,609,052 | $46,087,681 | $71,375,509 | $54,009,387 |
| Transfers In: | | | | |
| School Operating Fund Grants (090) | $8,759,034 | $8,865,952 | $8,865,952 | $8,865,952 |
| School Operating Fund Summer School (090) | 13,086,935 | 12,836,936 | 11,936,493 | 5,501,757 |
| Cable Communications Fund (105)[2] | 2,927,759 | 2,386,548 | 2,386,548 | 2,927,759 |
| County General Fund (001) | 0 | 0 | 0 | 0 |
| Total Transfers In | $24,773,728 | $24,089,436 | $23,188,993 | $17,295,468 |
| **Total Available** | **$67,941,570** | **$70,177,117** | **$100,401,684** | **$71,304,855** |
| Total Expenditures | $62,104,388 | $70,177,117 | $100,401,684 | $71,304,855 |
| **Total Disbursements** | **$62,104,388** | **$70,177,117** | **$100,401,684** | **$71,304,855** |
| | | | | |
| **Ending Balance** | **$5,837,182** | **$0** | **$0** | **$0** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

[2] The transfer from the County Cable Communications Fund, as well as the corresponding expenditures which it supports, will be adjusted to reflect the final amount from the County, currently anticipated to be $2,517,729.

# Fund 193
# Public School Adult and Community Education

## Focus

Fund 193, Public School Adult and Community Education, provides lifelong literacy and education opportunities for all residents and students of Fairfax County through adult education programs such as basic skill education, high school completion and English for Speakers of Other Languages (ESOL). FY 2011 expenditures are estimated at $11.0 million.

The Fund also provides for pre-kindergarten through grade 12 support programs, including behind-the-wheel driver education, SAT preparation, summer school, before- and after-school enrichment activities and remediation support.

# Fund 193
# Public School Adult and Community Education

## FUND STATEMENT

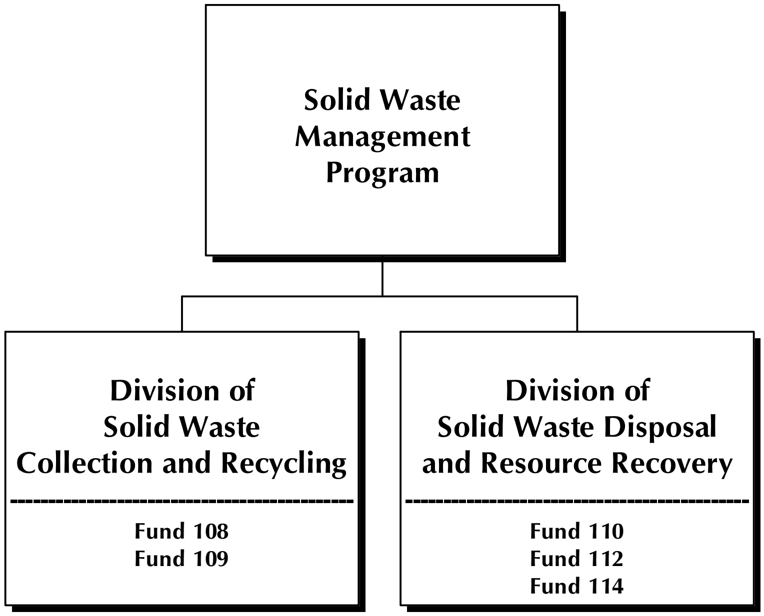
**Fund Type G10, Special Revenue Funds** | **Fund 193, Public School Adult and Community Education**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed[2] |
|---|---|---|---|---|
| **Beginning Balance** | **$1,138,441** | **$0** | **$904,751** | **$558,836** |
| Revenue: | | | | |
| State Aid | $789,240 | $684,016 | $737,891 | $691,778 |
| Federal Aid | 819,082 | 707,329 | 731,215 | 631,216 |
| Tuition | 6,888,650 | 8,807,263 | 8,045,410 | 8,338,012 |
| Industry, Foundation, Other | 427,497 | 1,116,176 | 544,668 | 332,552 |
| Total Revenue | $8,924,469 | $11,314,784 | $10,059,184 | $9,993,558 |
| Transfers In: | | | | |
| School Operating Fund (090) | $1,695,667 | $58,393 | $958,836 | $400,000 |
| Total Transfers In | $1,695,667 | $58,393 | $958,836 | $400,000 |
| **Total Available** | **$11,758,577** | **$11,373,177** | **$11,922,771** | **$10,952,394** |
| Total Expenditures | $10,853,826 | $11,373,177 | $11,922,771 | $10,952,394 |
| **Total Disbursements** | **$10,853,826** | **$11,373,177** | **$11,922,771** | **$10,952,394** |
| | | | | |
| **Ending Balance** | **$904,751** | **$0** | **$0** | **$0** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

[2] The FY 2011 Beginning Balance reflects $0.6 million that will be identified in FY 2010 and carried over to fund FY 2011 requirements.

# Solid Waste Management Program




## Mission

To protect the public interest through solid waste management planning and regulatory oversight of the County's refuse ordinances by providing efficient and effective collection, recycling, and disposal of solid waste for customers in an environmentally responsible manner.

## Focus

The Solid Waste Management Program is responsible for the management and long-range planning for all refuse and recycling within the County. Operations include a County-owned and operated refuse transfer station, a privately owned and operated Energy/Resource Recovery Facility (E/RRF), two closed municipal solid waste landfills, a regional ash landfill operated by the County, two recycling disposal facilities, eight drop-off sites for recyclable materials, and equipment and facilities for refuse collection, disposal, and recycling operations. The operation of the Solid Waste Management Program is achieved through the Division of Solid Waste Collection and Recycling and the Division of Solid Waste Disposal and Resource Recovery in the Department of Public Works and Environmental Services.

**Division of Solid Waste Collection and Recycling**

The Division of Solid Waste Collection and Recycling (DSWCR) manages two funds including Fund 108, Leaf Collection, and Fund 109, Refuse Collection and Recycling Operations.

Fund 108, Leaf Collection, provides curbside vacuum leaf collection within Fairfax County's 36 approved leaf collection districts. For FY 2011, approximately 25,000 homes are included within these districts. Revenue for Fund 108 is derived from a levy charged to homeowners within leaf collection districts. The FY 2011 leaf collection levy will remain at the FY 2009 and FY 2010 rate of $0.015 per $100 of assessed real estate value.

Fund 109, Refuse Collection and Recycling Operations, provides for collection of refuse and recycling from approximately 44,000 individual households within Fairfax County's approved sanitary districts. Revenue to support residential collection operations is derived from the refuse collection fee. In FY 2011, the annual collection fee of $345 for sanitary district customers is recommended to remain at the FY 2009 and FY 2010 levels.

Fund 109 also supports collection of refuse and recycling from properties owned by Fairfax County. Revenue for this service is collected from County agencies to which the service is provided. Fund 109 also provides funds for management of the solid waste collection services for General Fund programs (DSW-GF) including Community Cleanup, Court/Board Directed Cleanups, Evictions and Health Department Referral operations.

The County's Recycling Program is also funded through Fund 109. This program consists of: all outreach and education about the County's entire solid waste management program; operation of the eight County recycling drop off centers; opportunities to recycle items not collected at the curb such as computers, televisions, rechargeable batteries, and compact fluorescent lamps; document shredding opportunities for County residents; and participation in all major County events.

**Division of Solid Waste Disposal and Resource Recovery**

The Division of Solid Waste Disposal and Resource Recovery manages three funds. Fund 110, Refuse Disposal, provides for: delivering refuse collected throughout Fairfax County to the Energy/Resource Recovery Facility (E/RRF), the Prince William County Facility or other appropriate landfill; transferring yard waste to compost facilities; coordinating the facility use agreement between Fairfax and Prince William Counties; operating the County's battery recycling program, white goods recycling program (i.e., refrigerators, dishwashers, washer and dryers, etc.) and Household Hazardous Waste (HHW) program; managing the Recycling and Disposal Centers; and brush mulching services. Fund 112, Energy/Resource Recovery Facility, provides for the disposal of refuse at the E/RRF. Fund 114, I-95 Refuse Disposal, provides management and operational control at the I-95 Landfill for all regional participants.

In the last decade, the County's solid waste disposal program has faced financial pressures, primarily due to law changes that affected waste management practices. In the last year, the system experienced a decrease in waste tonnage, reflecting lower quantities of consumer waste associated with the downturn in the regional and national economy. However, the revenue generated remains adequate to support operational requirements and necessary reserves.

Under the current industry environment, the County's competitive pricing system for Fund 110, Refuse Disposal, has proved to be sufficient to cover the current disposal operation costs and the cost of the non-revenue generating programs, which until FY 2009 were partially supported through a General Fund Transfer. These non-revenue based programs continue to be fully funded through the current disposal rates and include: the countywide recycling program; the Household Hazardous Waste program; maintenance and environmental monitoring of the closed I-66 landfill; and the Code Enforcement Program. In FY 2011, the system disposal charge and the Recycling and Disposal Center fee are set at $60 per ton, the same level as FY 2010. A contractual disposal rate for FY 2011 will be negotiated with private waste haulers, but is anticipated to remain at the FY 2009 and FY 2010 rate of $55 per ton.

Fund 112, Energy/Resource Recovery Facility (E/RRF), funds the County's waste-to-energy facility which annually processes over 1 million tons of waste. This waste is used to generate electrical power in excess of 80 megawatts, enough to power 75,000 homes. The County charges a tip fee to all users of the E/RRF and subsequently pays the contractual disposal fee to Covanta Fairfax, Incorporated (CFI) from these revenues. The formula-driven contract between the County and CFI is based on support requirements for incinerator operations. The yearly estimate is calculated using expenses for plant operations and maintenance costs, bond retirement payments and other pass through costs. Other pass through costs such as landfilling incinerator ash, reagents and utilities are significantly offset by credits derived from the sale of electricity to Dominion Virginia Power and recovery of ferrous and non-ferrous metals from the ash. The FY 2011 budgeted tip fee will remain at the FY 2010 level of $31 per ton based on current operational costs.

Fund 114, I-95 Refuse Disposal, funds the County's I-95 Sanitary Landfill which has served the solid waste disposal needs of the residents of the participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Sanitary Landfill closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Sanitary Landfill continues to operate as a model facility – meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills for final disposal. In FY 2011, the Refuse Disposal fee will remain at the FY 2010 level of $13.50 per ton.

Specific description, discussion, and funding requirements for each fund of the Solid Waste Management Program can be found in the subsequent pages.

## OPERATIONAL FEE STRUCTURE

**Solid Waste Operations Fee Structure[1]**

| | Fund 108, Leaf Collection | Fund 109, Refuse Collection and Recycling Operations | Fund 110, Refuse Disposal | Fund 112, E/RRF | Fund 114, I-95 Refuse Disposal |
|---|---|---|---|---|---|
| **FY 2011 Fee** | $0.015/$100 Assessed Property Value | $345 Curbside | $60/Ton, System Fee<br>$55 Estimate<br>(to be negotiated)<br>Contract/Discount<br><br>$60/Ton, Recycling and Disposal Center | $31/Ton | $13.50/Ton |
| **FY 2010 Fee** | $0.015/$100 Assessed Property Value | $345 Curbside | $60/Ton, System Fee<br>$55<br>Negotiated<br>Contract/Discount<br><br>$60/Ton Recycling and Disposal Center | $31/Ton | $13.50/Ton |
| **Who Pays** | Leaf District Residents | Sanitary District Residents | Private Collectors, Residents and County Agencies through Fund 109 | The County through Fund 110 | E/RRF, Fund 110, and Participating Jurisdictions |

[1] There are numerous special rates that have been negotiated and implemented as needed which are not reflected in the structure above. Examples include varying miscellaneous charges for yard debris (brush, grass, and leaves), tires, and others.

## Key Performance Measures – Division of Solid Waste Collection and Recycling Operations

### Objectives

- To remove at least 95 percent of the leaves placed at the curb by citizens, within each leaf collection district, during the specified leaf collection period.

- To provide high quality refuse collection services ensuring the removal of trash in County sanitary districts while maintaining a customer service rating of good or better at 95 percent or above.

- To provide high quality refuse collection services to designated Fairfax County agencies while limiting program cost increases where possible in FY 2010.

- Within sanitary districts, continue to achieve the state-mandated recycling rate of at least 25 percent.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2007 Actual** | **FY 2008 Actual** | **FY 2009 Estimate/Actual** | **FY 2010** | **FY 2011** |
| **Output:** | | | | | |
| Tons of leaves collected (1) | 7,544 | 8,704 | 8,791 / 6,586 | 8,879 | 8,500 |
| Tons of refuse collected from residential customers (2) | 74,924 | 69,505 | 72,000 / 77,172 | 72,000 | 74,000 |
| Tons of refuse collected from County agencies (3) | 9,557 | 10,244 | 9,557 / 9,237 | 9,300 | 39,300 |
| Total tons recycled (4) (5) | 498,139 | 488,240 | 456,000 / 491,113 | 489,000 | 489,000 |

# Solid Waste Management Program

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Net cost per home for leaf collection | $60.17 | $71.76 | $85.48 / $71.47 | $90.96 | $94.02 |
| Net cost per home per year for residential refuse collection | $266.86 | $267.72 | $289.15 / $274.81 | $302.86 | $300.23 |
| Net cost per ton for refuse collected from County agencies | $101.63 | $96.58 | $109.26 / $106.94 | $108.19 | $108.32 |
| Net cost per home per year for residential recycling collection | $36.72 | $42.87 | $48.95 / $36.43 | $41.92 | $43.11 |
| **Service Quality:** | | | | | |
| Percent of leaf customers rating service good or better | NA | 90.0% | 88.0% / 93.0% | 88.0% | 88.0% |
| Percent of residential refuse customers rating service good or better | 97.3% | 98.6% | 95.0% / 94.9% | 95.0% | 95.0% |
| Percent of County agencies rating services good or better | 100.0% | 100.0% | 95.0% / 95.0% | 95.0% | 95.0% |
| Percent of residential recycling customers rating services good or better (6) | 95.4% | 96.8% | 89.0% / 87.2% | 89.0% | 89.0% |
| **Outcome:** | | | | | |
| Percent of customers' leaves removed from curb | 95.0% | 95.0% | 95.0% / 97.0% | 95.0% | 95.0% |
| Percentage point change in residential refuse customers rating services good or better | (0.6%) | 1.3% | (3.6%) / (3.7%) | 0.1% | 0.0% |
| Percent change in refuse cost per ton for County agencies | (0.92%) | (5.00%) | 13.13% / 10.73% | 1.20% | 0.10% |
| Total County recycling rate (7) | 38.0% | 40.0% | 30.0% / 40.0% | 25.0% | 25.0% |

(1) Due to abnormally dry weather conditions in FY 2009 and a lack of moisture, the leaf development was significantly reduced resulting in less tonnage of vacuumed leaves collected at the curb.

(2) An increase in tons of refuse collected results from increased special collections which include large bulky items.

(3) Due to increased recycling efforts on County Agency Routes, the division realized a decrease in the refuse tonnage collected.

(4) The total tons of recycled materials for Fairfax County includes 12,974 tons of recycled materials collected from the division's sanitary district customers.

(5) The tonnage recycled by private haulers is only reportable on a calendar year (CY) basis. FY 2009 actual reflects CY 2009 data. This figure reflects all recycled tonnage in Fairfax County. It should be noted that reducing the amount of solid waste generated remains the primary goal for the recycling program.

(6) A slight decrease in customer satisfaction primarily results from the initiation of the new single-stream collection system where cans, bottles, paper and cardboard are placed together.

(7) The state mandates a recycling rate of 25 percent, which is the targeted level for this measure. However, it should be noted that the division was able to exceed this rate in FY 2009 by 15 percent for a total of 40 percent.

## Performance Measurement Results

The net cost per home for curbside vacuum leaf collection varies each year based on fluctuations in expenditures, revenues, and weather, which impacts leaf growth and leaf collection efficiencies. The net cost per home for curbside vacuum leaf collection was $71.47 in FY 2009. It is anticipated that costs will continue to increase in FY 2010 and FY 2011 based on rising operating and equipment expenses, and declining revenues. Vacuum leaf collection requires very intensive labor plus uses diesel motors for collection. These two factors have caused leaf collection costs increase.

The net cost per home for residential refuse (trash) collection increased slightly from $267.72 in FY 2008 to $274.81 in FY 2009. Refuse collections are influenced by many factors including fuel costs, equipment requirements, employee costs and weather-related impacts. The net cost per home is projected to increase further in FY 2010 and FY 2011 as these costs continue to rise.

Additionally, the DSWCR is currently developing a computerized route management and customer service system to automate the collection of customer information. This system will be implemented in phases over two years starting in FY 2010 and will integrate route management and customer service functions for the entire fleet. It is anticipated that this will result in savings in both fuel use and maintenance costs over time.

It is important to note that DSWCR took over the collection of recyclables on residential customer routes in January 2009 in order to maximize the use of County staff and equipment. Incorporating recyclables collection into the County organization has contributed to managing, maintaining, and providing stability in the operating costs of the collection service. It should be noted that the method of recyclables collection was also changed when the County started to provide recyclables collection using County staff and equipment. The new method is called single-stream collection where cans and bottles as well and paper and cardboard are placed together in the same container and collected together in the same truck. This new program was supported and accompanied by an outreach and education program. As with the initiation of any new program, it takes some time for residents to fully incorporate the requirements of the new program into daily practice. This may explain the slight drop in customer satisfaction in the FY 2009 *Service Quality* performance measure.

The net cost per ton of refuse collected from County Agency Routes increased primarily due to rising operating costs and a decrease in revenues for the sale of recycled materials. Revenue generated from the sale of recyclables decreased in the second half of FY 2009 due to worldwide economic conditions and their impact on the commodities markets. The prices for the sale of recyclable paper, metals and plastic have been negatively impacted due to downward market pressures. While revenue was generated from the sale of recyclables collected from County Agency Routes, it was less than the revenue generated in the previous year.

DSWCR is responsible for implementing the County's recycling rules (as specified in Fairfax County Code, Chapter 109.1) and ensuring that the County meets the state recycling requirements. Fairfax County is required by state code to recycle at least 25 percent of all solid waste generated within its borders. During FY 2009, the County's recycling rate was 40 percent (as reported to the Virginia Department of Environmental Quality for calendar year 2008). Therefore, Fairfax County continues to exceed the state-mandated recycling rate, as it has for the past decade. DSWCR anticipates that the countywide recycling rate will be maintained into FY 2010 and FY 2011 due to continued education efforts and ever-increasing customer demand for additional recycling services.

DSWCR also focuses significant efforts in providing recycling opportunities for residents for items that are not collected for recycling at the curb, such as obsolete computers and televisions. In FY 2009, DSWCR initiated a program entitled *Electric Sunday*, where residents can bring their unwanted electronics to the I-66 Transfer Station for recycling at no charge. In FY 2009, over 734,000 pounds of obsolete computers and televisions were collected for recycling, including about 6,500 computer monitors and 4,300 televisions. The *Electric Sunday* program is one of Fairfax County's pollution prevention programs designed to reduce the quantity of pollutants that could be emitted into the local environment.

## Key Performance Measures – Division of Solid Waste Disposal and Resource Recovery

### Objectives

- To provide a sanitary facility for receiving, loading and transporting commercial and residential refuse by the most feasible and economical method available, while maintaining a 100 percent satisfactory rating from state inspections at the I-66 Transfer Station.

- To deliver no less than the Guaranteed Annual Tonnage (GAT) amount of 930,750 tons of municipal solid waste to the E/RRF as required under the contractual obligations of the Service Agreement between Covanta Fairfax, Inc. and Fairfax County.

- To manage the I-95 Landfill in an efficient, environmentally safe manner, meeting 100 percent of the regulatory standards; and to provide a permitted site where ash resulting from the E/RRF and other participating jurisdictions can be properly disposed.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Tons of material processed at the I-66 Transfer Station (1) | 914,872 | 840,666 | 857,006 / 742,136 | 772,436 | 772,436 |
| Tons of material delivered to the E/RRF | 1,058,988 | 1,017,855 | 1,020,000 / 991,953 | 1,020,000 | 1,020,000 |
| Tons of ash disposed at the I-95 Landfill (2) | 369,560 | 341,420 | 340,000 / 339,312 | 340,000 | 340,000 |
| **Efficiency:** | | | | | |
| Cost per ton of material processed at the I-66 Transfer Station | $17.24 | $19.04 | $21.72 / $21.97 | $22.22 | $22.30 |
| Cost per ton of material processed at the E/RRF | $33.20 | $33.01 | $36.98 / $34.07 | $37.32 | $33.10 |
| Cost per ton to dispose ash at the I-95 Landfill (3) | $11.50 | $11.50 | $11.50 / $11.50 | $13.50 | $13.50 |
| **Service Quality:** | | | | | |
| Number of satisfactory State DEQ ratings at the I-66 Transfer Station | 4 | 4 | 4 / 4 | 4 | 4 |
| Tons delivered to the E/RRF in excess of GAT | 128,238 | 87,105 | 89,250 / 61,203 | 89,250 | 89,250 |
| Number of satisfactory State DEQ ratings at the I-95 Landfill | 6 | 6 | 4 / 4 | 4 | 4 |
| **Outcome:** | | | | | |
| Percent satisfactory State DEQ inspection ratings at the I-66 Transfer Station | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of GAT met | 113.78% | 109.36% | 109.59% / 106.58% | 109.59% | 109.59% |
| Percent satisfactory State DEQ inspection ratings at the I-95 Landfill | 100% | 100% | 100% / 100% | 100% | 100% |

(1) Material includes combustible waste, yard waste, white goods, tires, debris and brush. Tonnage increase is based upon citizen population increase and anticipated contract fulfillment from neighboring jurisdictions.

(2) The County landfill includes refuse disposed by the County and from the region at large.

(3) Calculation includes operational cost of landfill and estimated cost necessary for landfill closure.

## Performance Measurement Results

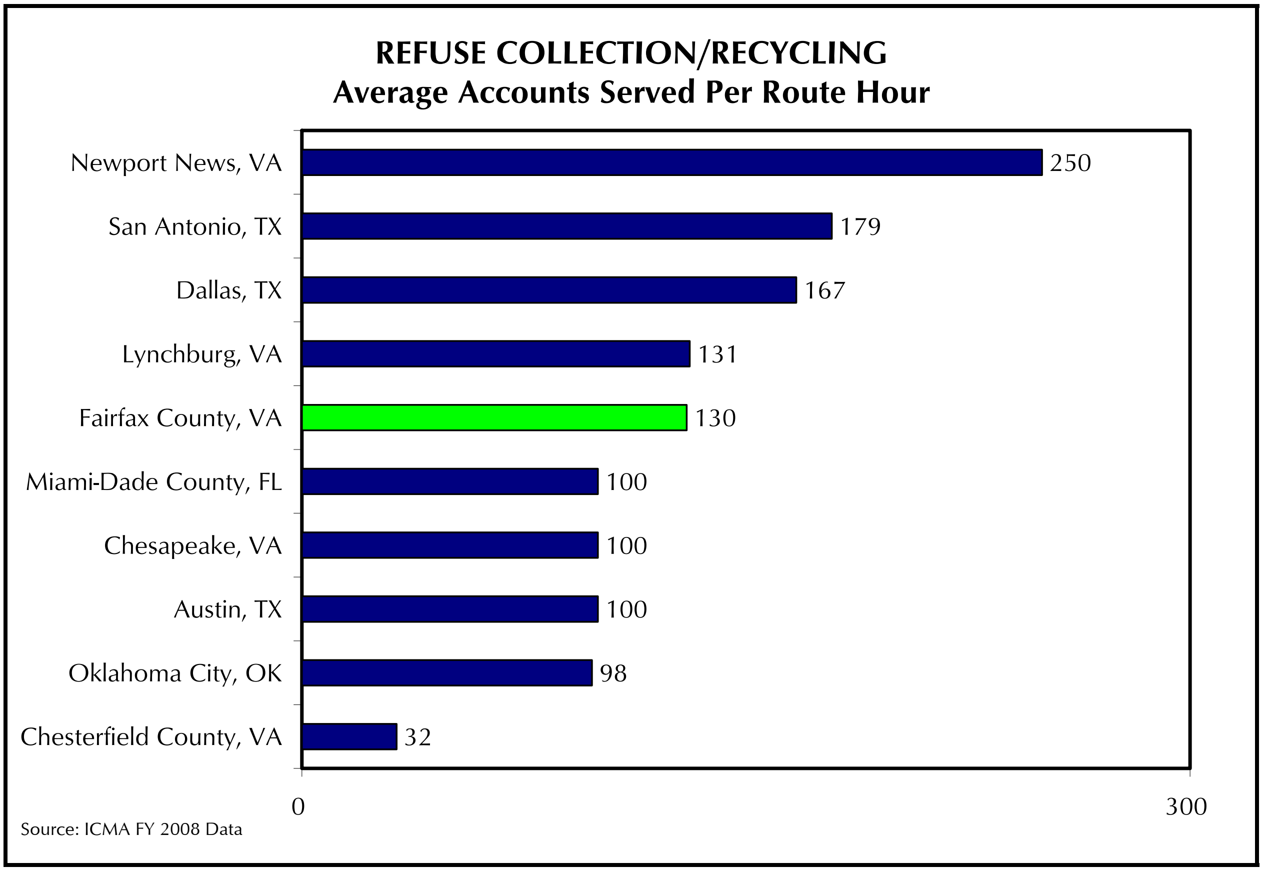
The I-66 Complex received satisfactory ratings, the highest possible, from the Virginia Department of Environmental Quality (DEQ) for all inspections conducted during FY 2009. The facility is in compliance with all provisions of the operating permits and the Virginia Solid Waste Management Regulations. The tonnage processed through the I-66 Complex has decreased from 914,872 tons in FY 2007 to 840,666 tons in FY 2008, to 742,136 tons in FY 2009. The current estimate for FY 2010 and FY 2011 is 772,436 tons. The cost per ton of solid waste processed through the I-66 Transfer Station was just under $22 in FY 2009 and it is estimated to remain around this level in FY 2010 and in FY 2011.

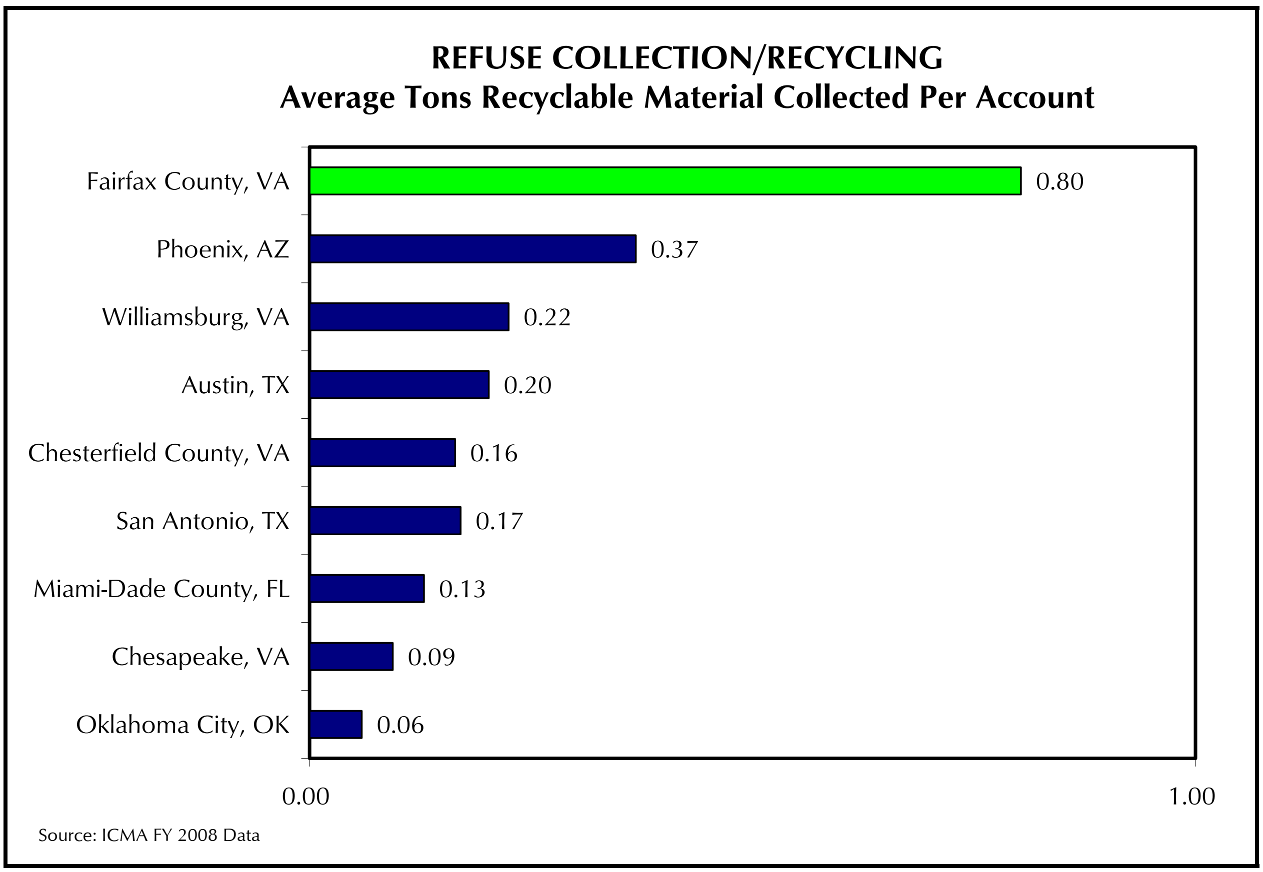
In FY 2009, a total of 991,953 tons were delivered to the Energy/Resource Recovery Facility (E/RRF) exceeding the Guaranteed Annual Tonnage (GAT) of 930,750 tons by 61,203 tons or 6.6 percent above the requirement. The estimated tonnage delivery to the E/RRF is 1,020,000 tons for both FY 2010 and FY 2011. The FY 2011 estimated cost to process waste is $33.10 per ton, based on anticipated operational costs and contractual obligations to Covanta, Inc., who owns and operates the E/RRF, resulting in decreased contractual obligations.

The I-95 Landfill also received the highest satisfactory ratings by DEQ for all inspections conducted during FY 2009. It should be noted that DEQ previously reduced the number of inspections from 12 times per year to four times per year, two of which are waste facility inspections and two are air quality inspections.

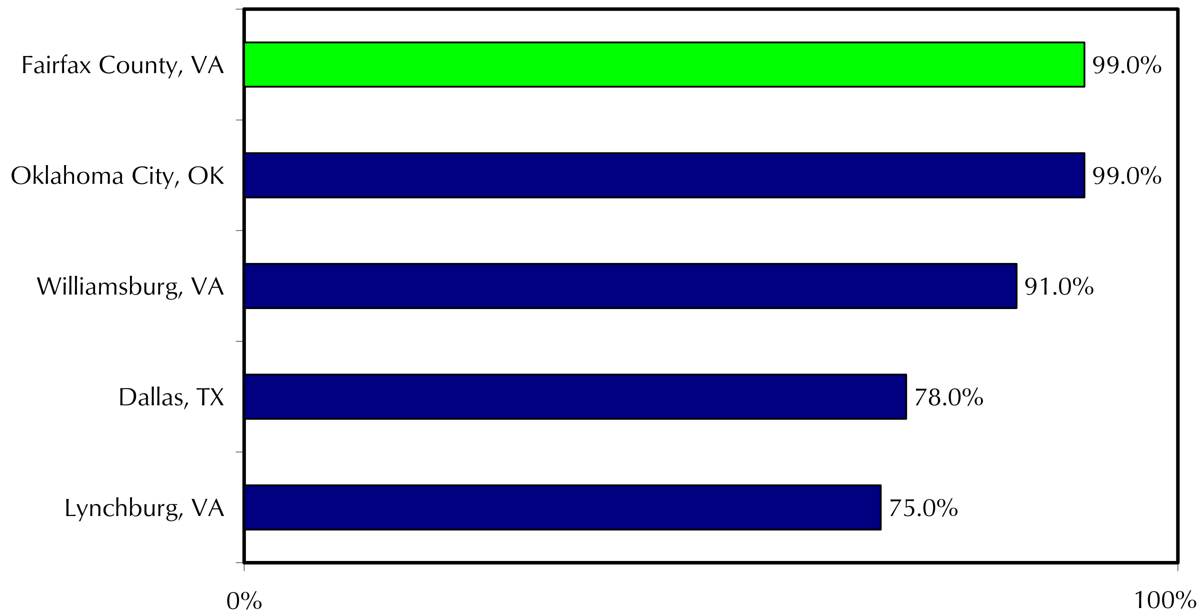
## Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. Since 2000, Fairfax County has participated in the International City/County Management Association's (ICMA) benchmarking effort. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time necessary to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2008 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Refuse Collection/Recycling is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark. However, as shown below, Fairfax County compares favorably in both efficiency and effectiveness.


REFUSE COLLECTION/RECYCLING
Average Accounts Served Per Route Hour
Newport News, VA
250
San Antonio, TX
179
Dallas, TX
167
Lynchburg, VA
131
Fairfax County, VA
130
Miami-Dade County, FL
100
Chesapeake, VA
100
Austin, TX
100
Oklahoma City, OK
98
Chesterfield County, VA
32
0
300
Source: ICMA FY 2008 Data


REFUSE COLLECTION/RECYCLING
Average Tons Recyclable Material Collected Per Account
Fairfax County, VA
0.80
Phoenix, AZ
0.37
Williamsburg, VA
0.22
Austin, TX
0.20
Chesterfield County, VA
0.16
San Antonio, TX
0.17
Miami-Dade County, FL
0.13
Chesapeake, VA
0.09
Oklahoma City, OK
0.06
0.00
1.00
Source: ICMA FY 2008 Data

**REFUSE COLLECTION/RECYCLING**
**Percent of Residents Rating Residential Refuse Collection Services as Good/Excellent**




Source: ICMA FY 2008 Data

# Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

## Mission

To provide funding support for the elimination of unsanitary conditions that present a hazard to the environment and to the health, safety and welfare of County residents.

## Focus

The General Fund provides funding to operate the Community Cleanup Program, Court/Board-directed Cleanups, the Health Department Referral Program, the Eviction Program and Emergency Storm Cleanup.

The Division of Solid Waste Collection and Recycling (DSWCR) through Fund 109, Refuse Collection and Recycling Operations, provides equipment and personnel for program operations. The Community Cleanup Program supports community and civic associations' efforts to enhance and maintain the appearance of neighborhoods and the environment. In addition, the agency eliminates hazardous conditions identified by the Fairfax County Courts, the Fairfax County Board of Supervisors, the Fairfax County Health Department and the Fairfax County Sheriff's Office with regards to evictions.

All charges incurred by Fund 109, Refuse Collection and Recycling Operations, for providing collection and disposal services for these programs are billed to the General Fund. The overall cost to the General Fund is reduced by the cleanup fees recovered from property owners for cleanup work performed on their property at the direction of the Health Department or the County Courts. The recovered funds are returned to the General Fund.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2011 Advertised Budget Plan for those items.

## Budget and Staff Resources

| Solid Waste General Fund Programs | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Solid Waste General Fund Programs | | | | |
| Community Cleanup | $232,223 | $309,785 | $309,785 | $309,785 |
| Health Department Referral | 6,976 | 2,341 | 2,341 | 2,341 |
| Evictions | 5,397 | 14,380 | 14,380 | 14,380 |
| Court/Board-Directed Cleanups | 35,160 | 31,819 | 31,819 | 31,819 |
| **Total Expenditures** | **$279,756** | **$358,325** | **$358,325** | **$358,325** |
| Income | | | | |
| Cleanup Fees[1] | $34,938 | $0 | $0 | $0 |
| **Total Income** | **$34,938** | **$0** | **$0** | **$0** |
| **Net Cost to the County** | **$244,818** | **$358,325** | **$358,325** | **$358,325** |

[1] The overall cost to the General Fund is reduced by fees recovered from property owners, who are charged for cleanup work performed on their property at the direction of the Health Department, or by sanctions imposed at the direction of the County Court for cleanups stemming from zoning violations.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- FY 2011 funding remains at the same level as the FY 2010 Adopted Budget Plan.

# Fund 108
# Leaf Collection

## Mission
To provide vacuum leaf collection service at the streetline for all customers within designated sanitary leaf districts on three separate occasions during the leaf collection season (the period from October through January) in order to enhance the County's aesthetic environment.

## Focus



The Division of Solid Waste Collection and Recycling provides for leaf collection and disposal within 36 Fairfax County Collection Districts. Leaf Districts are established and abolished through a petition process approved by the Board of Supervisors. This process could result in an increase or a decrease in the number of residential or commercial properties within a specific collection district, or a district could be totally eliminated. Petition approvals affect the number of units serviced in a given year.

All leaves collected are either transported to a composting facility in Loudoun County or Prince William County or mulched and provided to citizens. Revenue is derived from a collection levy (service fee) that is charged to homeowners and businesses within the leaf districts. The FY 2011 levy is $0.015 per $100 of assessed real estate value, an amount that is unchanged from the FY 2010 level. This will generate an estimated $1,866,545 in revenue in FY 2011. This level is a decrease from the FY 2010 estimate, due to the continued downturn in the housing market which has affected FY 2011 real estate assessments. The County will continue to monitor the impact of real estate values on this fund, to ensure that sufficient funds and balances are available from leaf assessment revenue to cover future year costs.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2011 Advertised Budget Plan for those items.

# Fund 108
# Leaf Collection

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $1,750,000 | $2,278,520 | $2,278,520 | $2,278,520 |
| Capital Equipment | 612,895 | 155,820 | 155,820 | 22,260 |
| **Total Expenditures** | **$2,362,895** | **$2,434,340** | **$2,434,340** | **$2,300,780** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Capital Equipment** **$22,260**
  Funding of $22,260 in Capital Equipment for the replacement of one leaf vacuum machine based on age, mileage criteria and repair costs.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- There have been no adjustments to this fund since the approval of the FY 2010 Adopted Budget Plan.

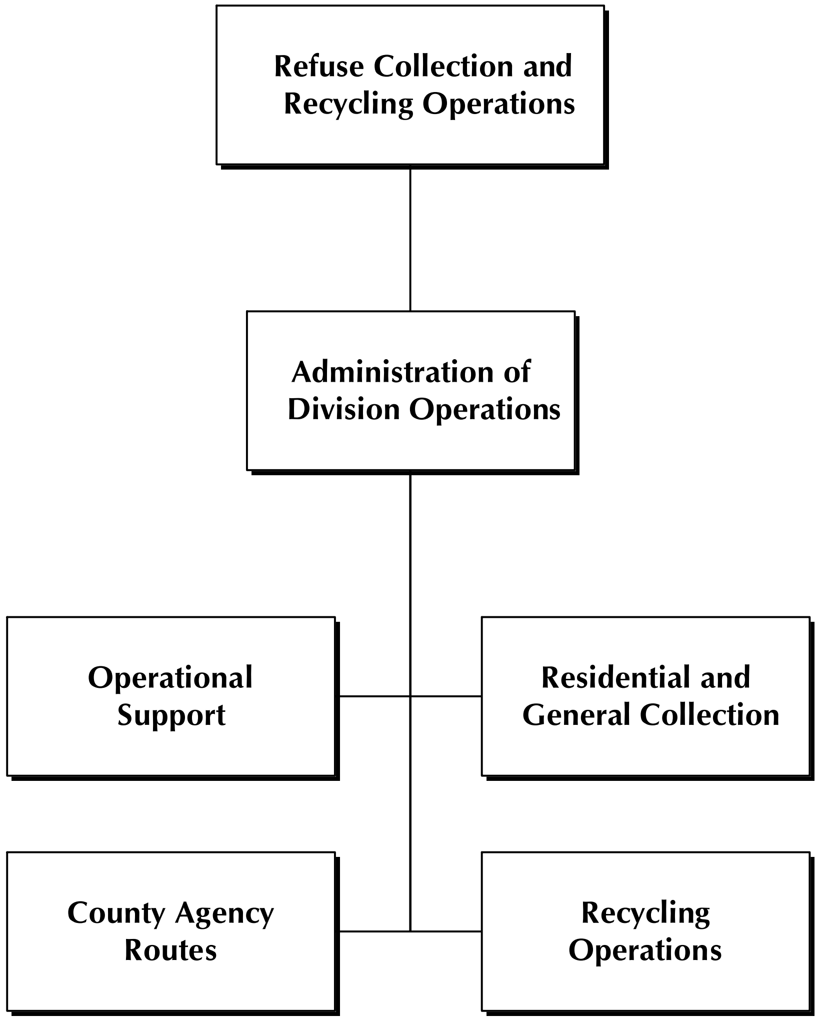
# Fund 108
# Leaf Collection

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 108, Leaf Collection**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,396,902** | **$3,010,374** | **$3,562,806** | **$3,392,117** |
| Revenue: | | | | |
| Interest on Investments | $80,477 | $58,702 | $58,702 | $15,279 |
| Rental of Equipment | 51,338 | 32,400 | 32,400 | 42,262 |
| Sale of Equipment | 32,419 | 5,600 | 5,600 | 0 |
| Leaf Collection Levy/Fee | 2,364,565 | 2,166,949 | 2,166,949 | 1,866,545 |
| Total Revenue | $2,528,799 | $2,263,651 | $2,263,651 | $1,924,086 |
| **Total Available** | **$5,925,701** | **$5,274,025** | **$5,826,457** | **$5,316,203** |
| Expenditures: | | | | |
| Operating Expenses | $1,750,000 | $2,278,520 | $2,278,520 | $2,278,520 |
| Capital Equipment | 612,895 | 155,820 | 155,820 | 22,260 |
| Total Expenditures | $2,362,895 | $2,434,340 | $2,434,340 | $2,300,780 |
| **Total Disbursements** | **$2,362,895** | **$2,434,340** | **$2,434,340** | **$2,300,780** |
| | | | | |
| **Ending Balance** | **$3,562,806** | **$2,839,685** | **$3,392,117** | **$3,015,423** |
| Equipment Replacement Reserve | $850,000 | $846,902 | $846,902 | $846,902 |
| **Unreserved Balance** | **$2,712,806** | **$1,992,783** | **$2,545,215** | **$2,168,521** |
| Leaf Collection Levy/Fee per $100 Assessed Value | **$0.015** | **$0.015** | **$0.015** | **$0.015** |

# Fund 109
# Refuse Collection and Recycling Operations




## Mission
To protect Fairfax County citizens against disease, pollution, and other contamination associated with the improper disposal of refuse, by providing efficient and economical refuse collection services to citizens in 80 refuse collection sanitary districts and to Fairfax County agencies. To reduce the County's municipal solid waste stream through the effective development, implementation and management of comprehensive waste reduction and recycling programs to ensure that Fairfax County meets or exceeds the Commonwealth of Virginia's mandated goal of recycling 25.0 percent of the solid waste stream.

## Focus
The Division of Solid Waste Collection and Recycling (DSWCR), (Fund 109, Refuse Collection and Recycling Operations), is responsible for the collection of refuse and recyclable materials within Fairfax County's sanitary districts and from County agencies. The agency coordinates the County's waste reduction and recycling program. It is also responsible for the administration and program operations of the Solid Waste General Fund Programs (e.g., Health Department Referrals, Community Cleanups, Evictions and Court-Ordered Cleanups) on behalf of the County.

Residents within sanitary refuse collection districts, which are created by the Board of Supervisors upon citizen petition, are charged an annual fee for service through the semi-annual property tax collection system. In FY 2011, the rate of $345 will remain the same as the FY 2010 Adopted Budget Plan level.

# Fund 109
# Refuse Collection and Recycling Operations

During FY 2011 DSWCR will continue to implement a new cost savings initiative for the collection of recyclable materials. This service, previously provided by a contractor was brought in-house in mid FY 2009 due to the availability of new recycling processing facilities that can manage single stream collections. In FY 2009, the worldwide financial climate adversely impacted the value of recyclables traded on the commodities market. A reduction in worldwide output of hard goods reduces the need for recyclables as feedstock in the manufacturing process. Therefore, the revenue generated from the sale of recyclables collected by Fairfax County in FY 2009 was less than half the revenue generated in FY 2008. This trend is expected to continue in FY 2011.

DSWCR is responsible for the collection of refuse from County agencies and several institutions including George Mason University and Northern Virginia Community College, Annandale Campus. Revenue is derived from billings to County agencies and other institutions based on the cubic yard capacity of the containers assigned to individual agencies as needed to provide adequate service. The cost per cubic yard is formula-driven and is based on fiscal year operating expenses. For FY 2011, the calculated rate is $4.52 per cubic yard, a decrease of $0.13 per cubic yard from the FY 2010 Adopted Budget Plan.

Several major operational changes to the County agency routes collection service are anticipated for FY 2011, all intended to improve service and reduce costs. DSWCR will evaluate the cost-effectiveness of operating the eight existing recycling drop off centers in FY 2011. Depending on their findings, the agency may enter into discussions regarding the closure of the least productive or most problematic locations. With the change in market conditions for recyclables, collection of the material costs the program money for recycling processing in some instances. Staff spends significant resources cleaning up other trash at these locations, as some discard waste and furniture there. Since all residents and businesses now have the opportunity and requirement to recycle either curbside or at the business location, the need for separate drop-off centers is greatly reduced. Privatizing this service may also be considered.

DSWCR will continue two programs designed to address oversized piles of waste or through illegal dumping throughout the County. The first program, entitled *MegaBulk*, provides residents with a convenient and cost-competitive way to remove oversized piles of waste while providing a revenue stream for the collection program. Residents request the service from DSWCR and are provided with a cost for the service prior to collection and may pay by check or credit card. This service is provided by DSWCR operational staff and is billed individually to each customer based on the size of the pile of refuse that is placed at the curb.

The second program entitled *Clean Streets Initiative* (CSI), partners with the Fairfax County Health Department to respond to complaints about uncollected waste dumped or illegally placed on properties throughout the County. The Health Department refers the complaint to DSWCR to contact the property owner to compel him/her to remove the waste. If the owner refuses to remove the waste, then DSWCR staff removes the material for disposal and the owner is billed for the service. If the owner still refuses to pay, a lien is placed on the property for the price of the service.

# Fund 109
# Refuse Collection and Recycling Operations

Recycling Operations is responsible for providing the overall management of solid waste reduction and recycling programs that are required by the County and for developing plans for future recycling programs and waste reduction systems. The goal for FY 2011 is to maintain the recycling rate in the municipal solid waste stream at or above the Commonwealth of Virginia mandated goal of 25 percent. Based on Calendar Year 2008, the current rate is 40 percent. (Please note that the annual recycling rate for Fairfax County is calculated in a calendar year basis as required by state rules.) Revenue is generated from the sale of recyclable materials (aluminum cans, newspaper, cardboard, glass and scrap metal) which serves to partially offset expenditure requirements. It is noted that Fund 109 administers and coordinates recycling operations for Fund 110, Refuse Disposal, and it is reimbursed by Fund 110 for performing that service.

Agency performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2011 Advertised Budget Plan for those items.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 138/ 138 | 138/ 138 | 138/ 138 | 138/ 138 |
| Expenditures: | | | | |
| Personnel Services | $9,258,121 | $9,175,127 | $9,175,127 | $9,544,426 |
| Operating Expenses | 8,753,704 | 9,471,202 | 9,482,371 | 9,438,088 |
| Capital Equipment | 2,997,064 | 3,031,900 | 4,520,021 | 1,038,500 |
| Capital Projects[1] | 212,980 | 225,000 | 730,474 | 100,000 |
| **Subtotal** | **$21,221,869** | **$21,903,229** | **$23,907,993** | **$20,121,014** |
| Less: | | | | |
| Recovered Costs | ($734,594) | ($781,978) | ($781,978) | ($843,332) |
| **Total Expenditures** | **$20,487,275** | **$21,121,251** | **$23,126,015** | **$19,277,682** |

**Summary By Cost Center**

| Category[1] | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Administration of Division Operations | $615,130 | $1,048,884 | $1,048,884 | $1,277,568 |
| Operational Support | 1,030,779 | 1,178,630 | 1,684,104 | 1,058,494 |
| Residential and General Collections | 14,872,510 | 15,094,121 | 15,313,480 | 13,369,906 |
| County Agency Routes | 1,380,202 | 1,593,429 | 2,837,490 | 1,316,637 |
| Recycling Operations | 2,588,654 | 2,206,187 | 2,242,057 | 2,255,077 |
| **Total Expenditures** | **$20,487,275** | **$21,121,251** | **$23,126,015** | **$19,277,682** |

[1] Capital Projects' expenditures are shown under the Operational Support Cost Center.

# Fund 109
# Refuse Collection and Recycling Operations

## Position Summary

**Administration of Division Operations**

1 Director of Refuse Collection and Recycling
1 Public Works Environmental Services Manager
1 Management Analyst III
3 Management Analysts II
1 Safety Analyst
1 Network/Telecommunication Analyst I
4 Administrative Assistants IV
1 Administrative Assistant III

**Operational Support**

1 Refuse Superintendent
2 Assistant Refuse Superintendents
1 Public Works Environmental Services Specialist
4 Administrative Assistants II
1 Welder II
1 Senior Maintenance Worker

**Residential and General Collections**

1 Management Analyst II
1 Senior Refuse Supervisor
4 Heavy Equipment Supervisors
9 Heavy Equipment Operators
30 Motor Equipment Operators
46 Maintenance Workers
1 Safety Analyst
1 Senior Maintenance Worker
1 Code Specialist II

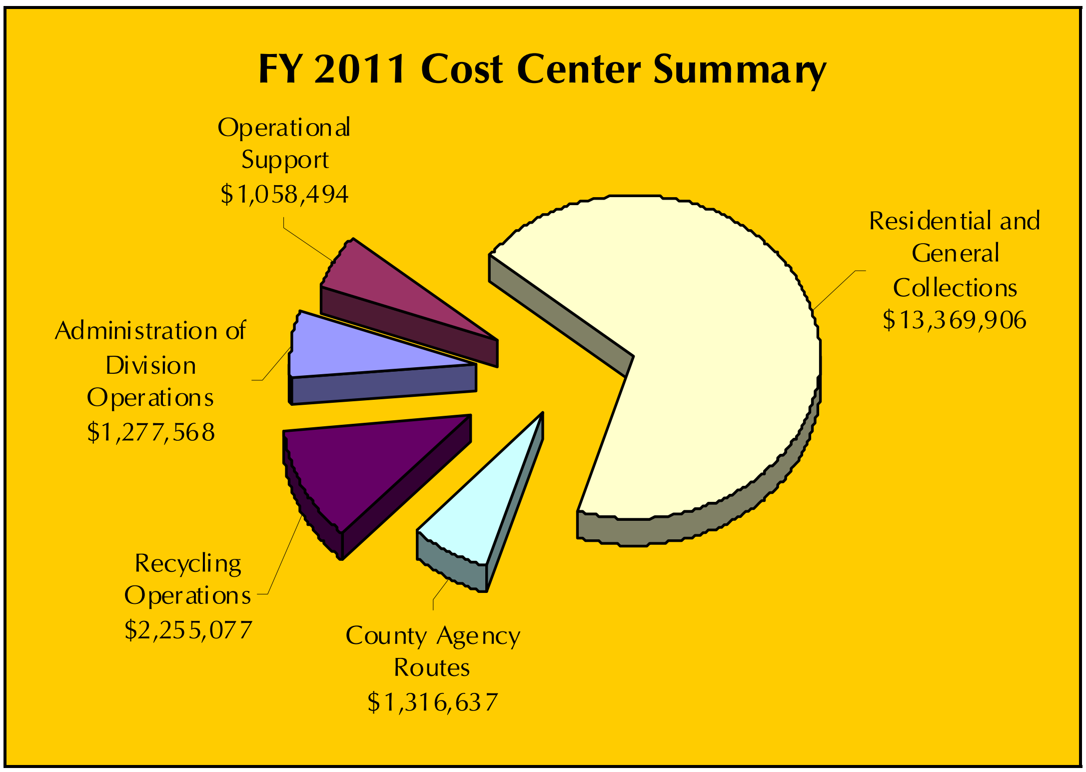
**County Agency Routes**

4 Heavy Equipment Operators
1 Motor Equipment Operator
1 Welder I
1 Engineering Technician I

**Recycling Operations**

1 Management Analyst IV
1 Management Analyst II
1 Internet/Intranet Architect I
2 Management Analysts I
1 Heavy Equipment Supervisor
4 Heavy Equipment Operators
1 Maintenance Worker
1 Communications Specialist II
1 Engineering Technician II
1 Public Works Environmental Services Specialist

**TOTAL POSITIONS**
**138 Positions / 138.0 Staff Years**




## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Other Post-Employment Benefits** **$369,299**
  An increase of $369,299 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

- **Operating Expenses** **$225,164**
  An increase of $225,164 in Operating Expenses is primarily due to $150,000 for the continuing implementation of a new web-based fleet management system that will provide future cost savings through route consolidation, management of drivers and routes, and reduced paperwork and $50,000 for increased Electric Sunday Events for the recycling of electronic equipment.

- **Department of Vehicle Services** **($258,278)**
  A decrease of $258,278 in Operating Expenses is associated with anticipated requirements for fuel, vehicle replacement, and maintenance charges.

- **Recovered Costs** **($61,354)**
  An increase of $61,354 in Recovered Costs is based on salary requirements and projected program changes. These costs reflect the recoverable administrative costs to support the division.

- **Capital Equipment** **$1,038,500**
  Funding of $1,038,500 in Capital Equipment is included to fund the replacement of items that have outlived their useful lifespan based on age, mileage and repair costs. The replacement items include four cranes and five compactors.

- **Capital Projects** **$100,000**
  Funding in the amount of $100,000 has been included for Capital Projects to continue the second phase of the Newington Facility Enhancements project which includes the upgrade of the exterior lighting of the facility and improvements in the fire alarm system to comply with new standards.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$2,004,764**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved encumbered carryover of $499,290 including $11,169 in Operating Expenses and $488,121 in Capital Equipment. In addition, the carryover of unexpended project balances in the amount of $505,474 was approved for the continuation of infrastructure improvements to the Newington Facility. In addition, funding of $1,000,000 was included for the initial start up costs that would be incurred if the County is selected to provide trash and recycling services at Fairfax County Public School (FCPS) sites.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 109
# Refuse Collection and Recycling Operations

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 109, Refuse Collection**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$7,216,260** | **$5,105,443** | **$7,128,417** | **$5,934,052** |
| Revenue: | | | | |
| Interest on Investments | $227,092 | $99,387 | $99,387 | $26,703 |
| **Residential and General Collections:** | | | | |
| Household Levy[1] | $14,554,999 | $14,809,815 | $14,809,815 | $14,809,815 |
| Miscellaneous | 605,266 | 842,710 | 842,710 | 256,516 |
| Sale of Equipment | 86,102 | 80,299 | 80,299 | 106,948 |
| Subtotal | $15,246,367 | $15,732,824 | $15,732,824 | $15,173,279 |
| **County Agency Routes:** | | | | |
| Miscellaneous Agencies | $1,365,691 | $1,366,921 | $2,366,921 | $1,405,594 |
| Sale of Equipment | 0 | 10,800 | 10,800 | 0 |
| Miscellaneous | 201,988 | 161,708 | 161,708 | 177,722 |
| Subtotal | $1,567,679 | $1,539,429 | $2,539,429 | $1,583,316 |
| **General Fund Programs:** | | | | |
| Community Cleanup | $232,223 | $309,785 | $309,785 | $309,785 |
| Health Department Referrals | 6,976 | 2,341 | 2,341 | 7,000 |
| Evictions | 5,397 | 14,380 | 14,380 | 5,540 |
| Court Ordered/Mandated | 35,160 | 31,819 | 31,819 | 36,000 |
| Subtotal | $279,756 | $358,325 | $358,325 | $358,325 |
| **Other Collection Revenue:** | | | | |
| Leaf Collection | $701,184 | $564,426 | $564,426 | $564,426 |
| Miscellaneous | 229,523 | 149,093 | 149,093 | 142,250 |
| State Litter Funds | 122,104 | 0 | 0 | 0 |
| Fairfax Fair | 22,617 | 29,258 | 29,258 | 22,617 |
| Subtotal | $1,075,428 | $742,777 | $742,777 | $729,293 |
| **Recycling Operations:** | | | | |
| Program Support[2] | $1,430,020 | $1,646,416 | $1,646,416 | $1,861,832 |
| Sale of Materials | 198,599 | 446,100 | 446,100 | 203,686 |
| Miscellaneous | 374,491 | 366,392 | 366,392 | 297,539 |
| Subtotal | $2,003,110 | $2,458,908 | $2,458,908 | $2,363,057 |
| Total Revenue | $20,399,432 | $20,931,650 | $21,931,650 | $20,233,973 |
| **Total Available** | **$27,615,692** | **$26,037,093** | **$29,060,067** | **$26,168,025** |

# Fund 109
# Refuse Collection and Recycling Operations

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 109, Refuse Collection**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Expenditures: | | | | |
| Personnel Services | $9,258,121 | $9,175,127 | $9,175,127 | $9,544,426 |
| Operating Expenses | 8,753,704 | 9,471,202 | 9,482,371 | 9,438,088 |
| Recovered Costs[3] | (734,594) | (781,978) | (781,978) | (843,332) |
| Capital Equipment | 2,997,064 | 3,031,900 | 4,520,021 | 1,038,500 |
| Capital Projects | 212,980 | 225,000 | 730,474 | 100,000 |
| Total Expenditures | $20,487,275 | $21,121,251 | $23,126,015 | $19,277,682 |
| **Total Disbursements** | **$20,487,275** | **$21,121,251** | **$23,126,015** | **$19,277,682** |
| | | | | |
| **Ending Balance** | **$7,128,417** | **$4,915,842** | **$5,934,052** | **$6,890,343** |
| Collection Equipment Reserve | $321,051 | $324,954 | $324,954 | $351,720 |
| Recycling Equipment Reserve | 321,325 | 267,480 | 267,480 | 325,000 |
| PC Replacement Reserve[4] | 46,200 | 53,400 | 53,400 | 60,000 |
| Construction and Infrastructure Reserve[5] | 345,820 | 688,848 | 688,848 | 1,680,763 |
| Rate Stabilization Reserve[6] | 531,902 | 888,801 | 888,801 | 2,083,881 |
| Residential/General Equipment Reserve[7] | 1,452,454 | 2,692,359 | 2,692,359 | 2,388,979 |
| **Unreserved Balance** | **$4,109,665** | **$0** | **$1,018,210** | **$0** |
| Levy per Household Unit | $345/Unit | $345/Unit | $345/Unit | $345/Unit |

[1] The FY 2011 levy/collection fee per household unit is set at $345 per unit. Although the Refuse Collection levy is separate and not a Real Estate Tax, it is included on and collected as part of the County's Real Estate Tax bill. This amount does not include approximately 447 units which will be billed directly by the agency.

[2] The Recycling Operations program is supported by Fund 110, Refuse Disposal. The estimate for Program Support is calculated based on the projected level of expenditures for recycling operations as conducted in Fund 109, Refuse Collection and Recycling Operations, partially offset by revenue received from the sale of recycled materials.

[3] Recovered Costs represents billings to Fund 108, Leaf Collection, for its share of the total administrative costs for the Division of Collection and Recycling. Also included is an amount billed to Fund 110, Refuse Disposal, for administrative costs for the recycling program which is coordinated by Fund 109, Refuse Collection and Recycling Operations.

[4] The PC Replacement Reserve provides funding for the timely replacement of obsolete computer equipment.

[5] The Construction and Infrastructure Reserve funds emergency repairs necessary at the Newington Solid Waste Facility.

[6] The Rate Stabilization Reserve provides funds to mitigate against any need for an unusually large rate increase in a future year.

[7] The Residential/General Equipment Reserve provides the Residential and General Collections Cost Center with set aside funds for future anticipated equipment purchases.

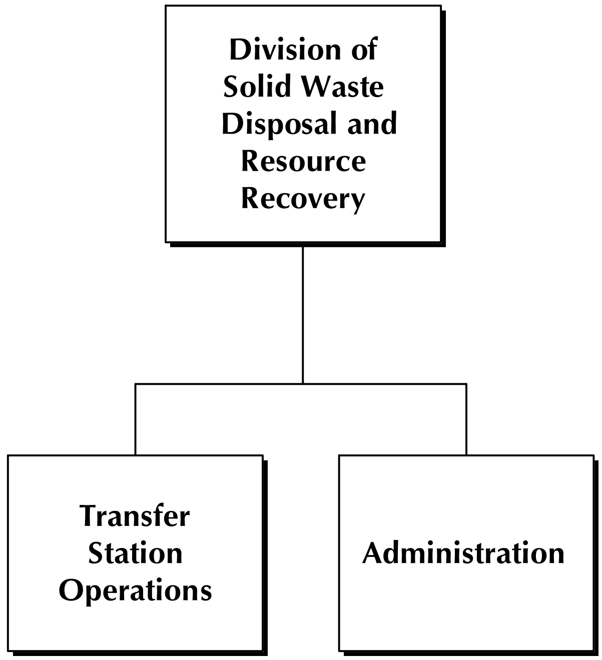
# Fund 109
# Refuse Collection and Recycling Operations

### FY 2011 Summary of Capital Projects

**Fund: 109 Refuse Collection and Recycling Operations**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 109001 | Newington Facility Enhancements | $1,718,038 | $212,980.29 | $730,473.85 | $100,000 |
| **Total** | | **$1,718,038** | **$212,980.29** | **$730,473.85** | **$100,000** |

# Fund 110
# Refuse Disposal




## Mission

To protect Fairfax County citizens against disease, pollution and other contamination associated with the improper disposal of refuse, through safe and sanitary transportation of solid waste from the I-66 Transfer Station to the I-95 Sanitary Landfill and the Energy/Resource Recovery Facility (E/RRF). The agency also transports debris generated through the Yard Waste program to disposal facilities in Prince William and Loudoun Counties. In addition, this agency operates the Household Hazardous Waste program and the Recycling and Disposal Center, including all associated technical and administrative functions.

## Focus

Fund 110, Refuse Disposal, has the primary responsibility for coordinating the disposal of solid waste generated within Fairfax County by channeling the collected refuse to the E/RRF. Refuse that cannot be burned in the E/RRF is directed to a landfill or disposed of through a contractor. Yard debris is transported to Prince William County or a private compost facility. Other operations coordinated within this fund are the Recycling and Disposal Center, the Household Hazardous Waste (HHW) program, the Ordinance Enforcement program, the Bush Grinding program, the White Goods program and the Battery program. The Administrative Cost Center performs the tasks associated with the overall administrative, technical and management functions for those funds that comprise the Division of Solid Waste Disposal and Resource Recovery. These funds are: 110, Refuse Disposal; 112, Energy Resources Recovery Facility; and 114, I-95 Refuse Disposal.

# Fund 110
# Refuse Disposal

In the last year the system has experienced a substantial decrease in waste tonnage, reflecting lower consumer waste associated with the downturn in the regional and national economy. However, disposal refuse revenue in this fund remains adequate to fund operational requirements and reserves because the County has implemented a competitive pricing system.

In FY 2011, both the system disposal charge and the recycling and disposal center fee will remain at $60 per ton, the same as in FY 2010. A contractual discount disposal rate for FY 2011 will be negotiated with private waste haulers, but it is anticipated to remain at $55 per ton as in FY 2010. Based on these adjustments and the current projected decrease in waste tonnage, the total FY 2011 revenue for the fund is projected to be $57,201,639, a decrease of $6,269,044 or 9.88 percent from the FY 2010 Adopted Budget Plan total of $63,470,683.

Agency accomplishments, new initiatives and performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2011 Advertised Budget Plan for those items.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 138/ 138 | 138/ 138 | 136/ 136 | 136/ 136 |
| Expenditures: | | | | |
| Personnel Services | $9,104,382 | $10,303,831 | $10,303,831 | $10,226,781 |
| Operating Expenses | 42,709,157 | 48,315,539 | 48,421,863 | 44,669,651 |
| Capital Equipment | 248,873 | 2,380,000 | 3,960,326 | 1,189,500 |
| Capital Projects | 5,701,306 | 0 | 4,528,642 | 0 |
| **Subtotal** | **$57,763,718** | **$60,999,370** | **$67,214,662** | **$56,085,932** |
| Less: | | | | |
| Recovered Costs | ($586,438) | ($713,134) | ($713,134) | ($688,840) |
| **Total Expenditures** | **$57,177,280** | **$60,286,236** | **$66,501,528** | **$55,397,092** |

**Summary by Cost Center**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Administration | $928,325 | $973,521 | $981,560 | $1,402,560 |
| Transfer Station Operations | 50,547,649 | 59,312,715 | 60,991,326 | 53,994,532 |
| **Subtotal** | **$51,475,974** | **$60,286,236** | **$61,972,886** | **$55,397,092** |
| Capital Projects | $5,701,306 | $0 | $4,528,642 | $0 |
| **Total Expenditures** | **$57,177,280** | **$60,286,236** | **$66,501,528** | **$55,397,092** |

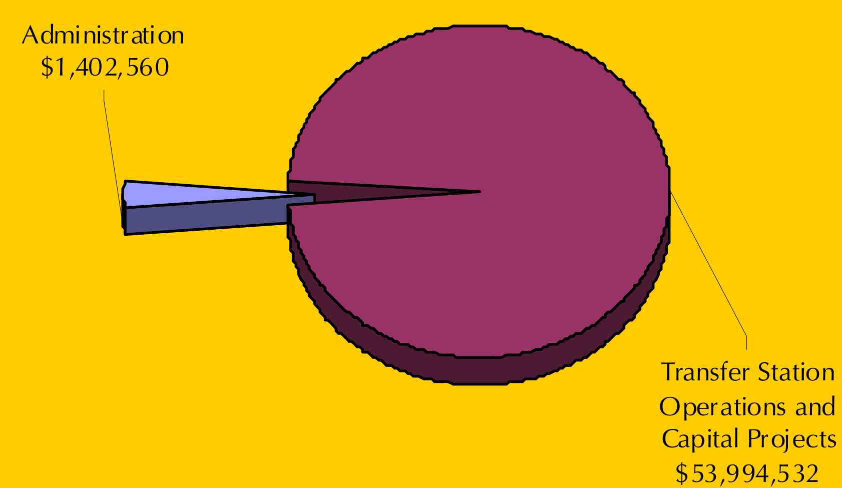
# Fund 110
# Refuse Disposal

## Position Summary

| | | | | | |
|---|---|---|---|---|---|
| | **Administration** | | **Transfer Station Operations** | | |
| 1 | Director, DSWDRR | 5 | Assistant Refuse Superintendents | 1 | Code Specialist II |
| 2 | Public Works Environmental Services Managers | 3 | Heavy Equipment Supervisors | 8 | Lead Refuse Operators |
| 1 | Engineering Technician II | 1 | Management Analyst II | 3 | Maintenance Trade Helpers II |
| 1 | Public Works Environmental Services Specialist | 5 | Engineering Technicians II | 2 | Administrative Assistants II |
| 1 | Management Analyst III | 2 | Engineering Technicians I | 1 | Safety Analyst |
| 1 | Management Analyst II | 3 | Environmental Technicians II | 1 | Welder II |
| 1 | Network/Telecom Analyst II | 8 | Weighmasters | 1 | Welder I |
| 1 | Financial Specialist II | 58 | Heavy Equipment Operators | | |
| 3 | Administrative Assistants IV | 1 | Motor Equipment Operator | | |
| 2 | Administrative Assistants III | 3 | Senior Maintenance Workers | | |
| 1 | Administrative Assistant II | 15 | Maintenance Workers | | |

**TOTAL POSITIONS**
**136 Positions / 136.0 Staff Years**

## FY 2011 Cost Center Summary




## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Personnel Services** **($446,349)**
  A decrease of $446,349 primarily associated with lower overtime and regular salary requirements associated with Transfer Station operations. A contributing factor to this decrease is that 2/2.0 SYE Heavy Equipment Operator positions were transferred to Fund 114, I-95 Refuse Disposal, in FY 2010.

♦ **Other Post-Employment Benefits** **$369,299**
An increase of $369,299 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

♦ **Operating Expenses** **($3,108,950)**
A net decrease of $3,108,950 in Operating Expenses includes a decrease of $3,197,542 associated with decreased tipping fees charged by Fund 112, Energy/Resource Recovery Facility, based on lower estimates of waste tonnage in FY 2011, compared to the FY 2010 estimate and $190,000 from payment for professional services related to the disposal of hazardous waste materials. This decrease is offset by increases of $248,879, primarily to support the recycling operation and $29,713 in miscellaneous operating costs.

♦ **Department of Vehicle Services** **($536,938)**
A decrease of $536,938 in Operating Expenses is associated with anticipated requirements for fuel, vehicle replacement, and maintenance charges.

♦ **Recovered Costs** **$24,294**
A decrease of $24,294 in Recovered Costs reflects the anticipated level of recovered administration overhead costs from other Solid Waste funds, based on FY 2011 projections.

♦ **Capital Equipment** **$1,189,500**
Funding of $1,189,500 has been included for Capital Equipment primarily for the replacement of items that have outlived their useful lifespan based on age, mileage and repair costs. Of this total, $864,500 is for the replacement for seven road tractors, $285,000 for the replacement of three refuse trailers, and $40,000 for the replacement of a forklift. It should be noted that purchase expenses will be partially offset by $227,000 in projected revenue associated with sale of the equipment being replaced.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$6,215,292**
As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $6,215,292, including encumbered carryover of $106,324 in Operating Expenses and $1,580,326 in Capital Equipment, and the carryover of $4,528,642 in unexpended project balances.

♦ **Transfer of Positions** **$0**
In order to address increasing requirements of post-closure care at the I-95 landfill, 2/2.0 SYE Heavy Equipment Operators were transferred from Fund 110 to Fund 114, I-95 Refuse Disposal. The costs of these positions are being absorbed within Fund 114's current appropriation.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 110
# Refuse Disposal

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 110, Refuse Disposal**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$13,007,250** | **$3,822,733** | **$11,355,917** | **$8,325,072** |
| Revenue: | | | | |
| Interest on Investment | $179,942 | $84,452 | $84,452 | $70,308 |
| Refuse Disposal Revenue[1] | 54,310,874 | 61,845,363 | 61,845,363 | 56,034,331 |
| Miscellaneous Revenue: | | | | |
| White Goods | $314,675 | $335,006 | $335,006 | $340,000 |
| Rent of Equipment, Space | 279,220 | 320,000 | 320,000 | 302,000 |
| Sale of Equipment[1] | 170,015 | 632,862 | 632,862 | 227,000 |
| Licensing Fees | 54,000 | 60,000 | 60,000 | 55,000 |
| Miscellaneous | 217,221 | 193,000 | 193,000 | 173,000 |
| Subtotal Miscellaneous Revenue | $1,035,131 | $1,540,868 | $1,540,868 | $1,097,000 |
| Total Revenue | $55,525,947 | $63,470,683 | $63,470,683 | $57,201,639 |
| **Total Available** | **$68,533,197** | **$67,293,416** | **$74,826,600** | **$65,526,711** |
| Expenditures: | | | | |
| Personnel Services | $9,104,382 | $10,303,831 | $10,303,831 | $10,226,781 |
| Operating Expenses[2] | 42,709,157 | 48,315,539 | 48,421,863 | 44,669,651 |
| Capital Equipment | 248,873 | 2,380,000 | 3,960,326 | 1,189,500 |
| Recovered Costs | (586,438) | (713,134) | (713,134) | (688,840) |
| Capital Projects | 5,701,306 | 0 | 4,528,642 | 0 |
| Total Expenditures | $57,177,280 | $60,286,236 | $66,501,528 | $55,397,092 |
| **Total Disbursements** | **$57,177,280** | **$60,286,236** | **$66,501,528** | **$55,397,092** |
| **Ending Balance[3]** | **$11,355,917** | **$7,007,180** | **$8,325,072** | **$10,129,619** |
| Reserves: | | | | |
| Equipment Reserve [4] | $1,114,785 | $1,879,285 | $1,879,285 | $2,049,038 |
| Operating & Maintenance Reserve[5] | 0 | 0 | 0 | 928,975 |
| Environmental Reserve[5] | 0 | 0 | 0 | 2,000,000 |
| Construction Reserve[6] | 0 | 5,065,972 | 5,065,972 | 5,065,972 |
| PC Replacement Reserve | 24,495 | 61,923 | 61,293 | 85,634 |
| **Unreserved Balance** | **$10,216,637** | **$0** | **$1,318,522** | **$0** |
| System Disposal Rate/Ton[7] | $57.00 | $60.00 | $60.00 | $60.00 |
| Discounted Disposal Rate/Ton[8] | $55.00 | $55.00 | $55.00 | $55.00 |

# Fund 110
# Refuse Disposal

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $432,908.77 has been reflected as a decrease to FY 2009 Refuse Disposal revenue and an audit adjustment of $170,015.25 has been reflected as an increase to Sale of Equipment revenue to reflect the recording of revenue from the waste exchange with Prince William County. These audit adjustments have been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2010 Third Quarter package.

[2] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $3,122.95 has been refelcted as an increase to FY 2009 expenditures to accurately reflect the recording of expenses associated with the waste exchange with Prince William County. The audit adjustment has been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2010 Third Quarter package.

[3] Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

[4] The Equipment Replacement Reserve provides for the timely replacement of equipment required to operate the I-66 Transfer Station. Funds are transferred from Refuse Disposal revenue to the Equipment Replacement Reserve, as are proceeds from the sale of equipment. The reserve requirement is based on a replacement schedule, comprised of yearly payments to the reserve, which is based on the useful life of the vehicle/equipment.

[5] In FY 2011, limited funding is available for the Operating & Maintenance Reserve and Environmental Reserve after a period of time where funding was not available to fund these reserves.

[6] The Construction Reserve provides for future improvements at the I-66 Transfer Station.

[7] The FY 2011 System Disposal rate is projected to remain at $60 per ton subject to market conditions.

[8] In August 1998 (FY 1999), Fairfax County implemented a contractual rate discount that was offered to any hauler that guaranteed all of its collected refuse or a specified tonnage amount would be delivered to the Energy/Resource Recovery Facility (E/RRF) or other County disposal sites. The FY 2011 discounted rate remains at $55 per ton, the same as FY 2009 and FY 2010.

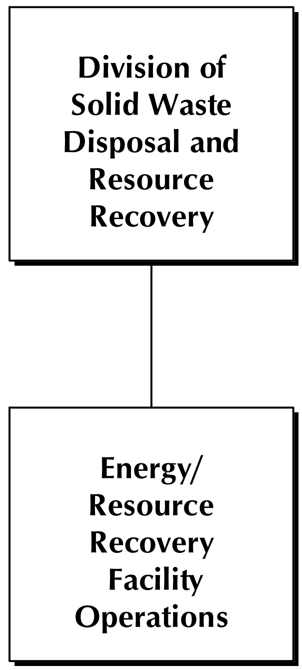
# Fund 110
# Refuse Disposal

### FY 2011 Summary of Capital Projects

**Fund: 110 Refuse Disposal**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 174002 | I-66 Transfer Station Expansion | $20,518,330 | $5,582,117.86 | $73,173.81 | $0 |
| 174006 | Citizens Disposal Facility | 640,840 | 0.00 | 0.00 | 0 |
| 174007 | Workers Facility | 4,574,656 | 119,187.67 | 4,455,468.33 | 0 |
| **Total** | | **$25,733,826** | **$5,701,305.53** | **$4,528,642.14** | **$0** |

# Fund 112
# Energy/Resource Recovery Facility




## Mission

To serve Fairfax County residents by providing effective and environmentally-sound solid waste disposal by converting waste-to-energy; by reducing the need for landfill space through volume reduction of solid waste; by reducing the greenhouse gas emissions both by not landfilling waste and by generating renewal energy; and by recovering ferrous and non-ferrous metal from the ash and recycling them; and by managing the operational contract in the best interests of the residents.

*Aerial view of the I-95 Energy/Resource Recovery Facility*

## Focus

Fund 112 supports the management of the contract for the I-95 Energy/Resource Recovery Facility (E/RRF), owned and operated by Covanta Fairfax, Inc. (CFI). Under the terms of the Service Agreement, the County delivers municipal solid waste (MSW) for which it pays a disposal fee to CFI. With clarifying language included in the facility's new Title V (Air) Permit approved in January 2007, the facility now has the flexibility to operate at a level above its nameplate rating of 3,000 tons per day; this means that more than 3,000 tons per day of waste can be processed each day. Pursuant to an agreement between Dominion Virginia Power and CFI, signed in 1987 and amended in 1996, Dominion Virginia Power purchased up to 80 megawatts of electricity, enough to power about 75,000 homes. An amendment in FY 2008 allows CFI to generate and sell additional electricity over 80 megawatts; this additional electricity sale brings more revenue to the Fund.

With the slowdown in the economy and increased emphasis on recycling in Fairfax County, the tons of waste generated in the County declined in FY 2009 to its lowest level since FY 2001; however, there remained sufficient waste in the system for Fairfax County to easily meet its Guaranteed Annual Tonnage (GAT) requirements under the Service Agreement with CFI. The County also accepts additional MSW from other regional jurisdictions such as the District of Columbia and through the Supplemental Waste program. Refuse is exchanged with Prince William County under a mutually beneficial agreement. These efforts continue to maximize revenues through providing additional MSW to keep disposal rates low for County customers.

The County charges a disposal fee to all users of the E/RRF and subsequently pays the contractual disposal fee to CFI. Revenues from the sale of electricity and recycled ferrous metals are used to offset the cost of the disposal fee charged by CFI. When the E/RRF is not able to handle the amount of waste available, some waste is diverted to Virginia landfills. In FY 2009, 6,478 tons of waste was diverted. The County also receives a host fee for waste arranged for by CFI and disposed when capacity is available. County staff must be constantly vigilant in balancing waste as a commodity to ensure that it is disposed of efficiently, cost-effectively and with few environmental consequences.

Careful management of the Service Agreement with CFI and increasing revenues from both electricity sales and metal recycling has allowed the County to hold down disposal fees charged to customers. The rate will remain $31 per ton for FY 2011. Funding from the Rate Stabilization Reserve is used, if necessary, to supplement any difference between this revenue and actual payments to CFI.

The June 2009 annual stack test indicated that the overall air emissions reductions from the E/RRF, resulting from the Clean Air Act retrofits in 2000, remained well below permit limits and nitrogen oxides were reduced almost 10 percent from the previous year:

| **Energy/Resource Recovery Facility Emissions Results[1] -- June 2009** | | |
|---|---|---|
| **Constituent** | **Permit Limit** | **Average E/RRF Result** |
| Sulfur Dioxide (SO2) | 29 ppm | 8.5 ppm |
| Carbon Monoxide (CO) | 100 ppm | 7.75 ppm |
| Nitrogen Oxides (NOx) | 205 ppm | 175.75 ppm |
| Hydrochloric Acid (HCL) | 29 ppm | 7.87 ppm |
| Particulate Matter (PM) | 27 mg/dscm | 4.23 mg/dscm |
| Mercury (Hg) | 0.080 mg/dscm | 0.00295 mg/dscm |

ppm = parts per million mg = milligram ng = nanogram
Dscm = dry standard cubic meter

[1] Covanta Fairfax Inc. Annual Determination of Compliance with Permitted Emission Limits and 40 CFR, Subpart Cb Report, (COV Report No. 3353 Volumes 1-4), pages12-15 for testing conducted May 29-June 7, 2009.

Ash testing, performed by an independent laboratory during June 2009, characterized the ash from the E/RRF as non-hazardous waste. This means that the ash can continue to be disposed at the I-95 Landfill under its permit for non-hazardous materials. The ash conditioning system that was added to the E/RRF in FY 2005 is providing the stabilization for ash that is placed in the I-95 Landfill.

The E/RRF has helped reduce the overall Fairfax County waste system carbon emissions by about one ton of carbon for every ton of waste processed. This calculation includes the reduction in overall carbon dioxide generated by the waste management system, due to emission reductions that are realized by not transporting waste to a landfill, the actual carbon dioxide that would be generated at the landfill as well as the carbon dioxide that would be emitted to produce electricity using a fossil fuel.

CFI and the County continue to investigate the costs and technical feasibility of innovative projects. One of these could allow the E/RRF to use reclaimed water from the Noman Cole Wastewater Treatment Plant as the cooling water at the E/RRF, saving millions of gallons of potable water each year. The County is always exploring new technology to ensure that the E/RRF continues to provide the required environmental service of waste processing while having the least environmental impact possible.

# Fund 112
# Energy/Resource Recovery Facility

Fairfax County and Covanta Fairfax, Inc. will continue to discuss the possibility of a Service Agreement amendment that would provide for continued use of the E/RRF beyond the timeframe of the existing agreement and planned extension, as recommended in the County's Solid Waste Management Plan. The amended Service Agreement would control what the new tip fee schedule will be from 2016 forward, the point when the planned extension expires.

Execution of Solid Waste Management Plan initiatives has increased recycling and removed additional amounts of cardboard, paper and metals from the waste stream. Future increased recycling is needed so that the capacity at the E/RRF can be maintained for waste that cannot be easily recycled. Throughout FY 2009, the Household Hazardous Waste (HHW) program, the Conditionally Exempt Small Quality Generator program, rechargeable battery collection, "Electric Sundays," and other programs have removed materials with hazardous properties from the waste stream of the E/RRF.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 9/ 9 | 9/ 9 | 9/ 9 | 9/ 9 |
| Expenditures: | | | | |
| Personnel Services | $750,462 | $710,726 | $710,726 | $734,811 |
| Operating Expenses | 34,870,433 | 35,608,917 | 37,360,644 | 31,204,598 |
| Capital Equipment | 0 | 0 | 0 | 36,500 |
| **Total Expenditures** | **$35,620,895** | **$36,319,643** | **$38,071,370** | **$31,975,909** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Management Analyst III | 1 | Heavy Equipment Operator | 3 | Weighmasters |
| 1 | Management Analyst II | 1 | Administrative Assistant IV | | |
| 1 | Engineering Technician II | 1 | Administrative Assistant II | | |

**TOTAL POSITIONS**
**9 Positions / 9.0 Staff Years**

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Other Post-Employment Benefits** **$24,085**
  An increase of $24,085 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

- **Contractor Compensation** **($4,404,319)**
  A decrease of $4,404,319 in Operating Expenses is primarily due to a $4,484,243 reduction in Contractor Compensation for the disposal fees at the E/RRF and from a reduction of $170,076 in services provided from within the County as well as other operating costs. The tipping fees are reduced because the final payments are being made on the construction bonds in February 2011, thus reducing the calculated tip fee. The decrease is partially offset by anticipated increases in payments to attorneys and engineering firms of $250,000 to support monitoring and contract discussions with Covanta Fairfax.

- **Capital Equipment** **$36,500**
  A replacement 4x4 pickup truck is required at a cost of $36,500. The replacement is due to the age, condition, and increased maintenance required on the current vehicle. Excessive downtime is impacting operations.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$1,751,727**
  As part of the *FY 2009 Carryover Review* the Board of Supervisors approved an increase of $1,751,727 primarily due to encumbered carryover of $28,819 in Operating Expenses and an administrative adjustment of $1,722,908 for the costs of the Covanta Inc. tax liability payment, not previously budgeted and funded with a General Fund Transfer.

# Fund 112
# Energy/Resource Recovery Facility

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 112, Energy/Resource Recovery Facility (E/RRF)**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$29,022,161** | **$27,163,040** | **$26,787,310** | **$26,255,426** |
| Revenue: | | | | |
| Disposal Revenue | $30,772,412 | $34,860,173 | $34,860,173 | $33,635,000 |
| Other Revenue: | | | | |
| Interest on Investments | $475,401 | $506,405 | $506,405 | $218,508 |
| Miscellaneous[1] | 578,682 | 450,000 | 450,000 | 500,000 |
| Subtotal Other Revenue | $1,054,083 | $956,405 | $956,405 | $718,508 |
| Total Revenue | $31,826,495 | $35,816,578 | $35,816,578 | $34,353,508 |
| Transfers In: | | | | |
| General Fund (001)[2] | $1,559,549 | $0 | $1,722,908 | $0 |
| Total Transfers In | $1,559,549 | $0 | $1,722,908 | $0 |
| **Total Available** | **$62,408,205** | **$62,979,618** | **$64,326,796** | **$60,608,934** |
| Expenditures: | | | | |
| Personnel Services | $750,462 | $710,726 | $710,726 | $734,811 |
| Operating Expenses[3] | 34,870,433 | 35,608,917 | 37,360,644 | 31,204,598 |
| Capital Equipment | 0 | 0 | 0 | 36,500 |
| Total Expenditures | $35,620,895 | $36,319,643 | $38,071,370 | $31,975,909 |
| **Total Disbursements** | **$35,620,895** | **$36,319,643** | **$38,071,370** | **$31,975,909** |
| **Ending Balance** | **$26,787,310** | **$26,659,975** | **$26,255,426** | **$28,633,025** |
| Tipping Fee Reserve[4] | $1,500,000 | $1,500,000 | $1,500,000 | $1,500,000 |
| Rate Stabilization Reserve[5] | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 |
| Operations and Maintenance Reserve[6] | 15,287,310 | 15,159,975 | 14,755,426 | 17,133,025 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |
| Disposal Rate/Ton | $32/ton | $31/ton | $31/ton | $31/ton |

[1] Miscellaneous Revenue is generated by the excess amount that Covanta Fairfax, Inc. (CFI) charges for the disposal of Supplemental Waste.

[2] The General Fund Transfer offsets Covanta's tax liability to Fairfax County. This expenditure and the offsetting General Fund Transfer support will be funded for FY 2011 as part of the *FY 2010 Carryover Review*.

[3] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $1,830,016 has been reflected as an increase to FY 2009 expenditures to reflect the accrual of expenditures associated with the reimbursement of tipping fees from Covanta. The audit adjustment has been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2010 Third Quarter package.

[4] The Tipping Fee Reserve is used to buffer against sharp annual changes in tip fees. Potential changes could result from issues such as tax changes regarding energy sales, power deregulation, state or EPA environmental fees, and/or contract changes.

[5] The Rate Stabilization Reserve is used to buffer against a long term adjustment to tip fees.

[6] The Operations and Maintenance Reserve is maintained for ongoing improvements and enhancements to the E/RRF including emissions control efforts. Future projects may include additional retrofits to the air pollution control systems for reductions in nitrogen oxides. The reserve will fund the County's share of the initial capital expenditures of the improvements.

# Fund 114
# I-95 Refuse Disposal

**Division of Solid Waste Disposal and Resource Recovery**

**I-95 Refuse Disposal Operations**

## Mission

To manage the I-95 Landfill in a manner to provide a site where solid waste and recyclable materials from County citizens are gathered and properly disposed, and a deposit site where ash from the Energy/Resource Recovery Facility (E/RRF) and other participating municipalities can be properly disposed.

## Focus

The County has operated the I-95 Sanitary Landfill for more than 25 years, and has served the solid waste disposal needs of the residents of the participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Landfill closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Sanitary Landfill continues to operate as a model facility - meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills for final disposal.

The fee in FY 2011 for Fund 114, I-95 Refuse Disposal, will remain at $13.50 per ton as in FY 2010. In the past, the high interest earning rates have provided sufficient funds to accommodate operating expenditures, as well as provide adequate reserve funding required for capital projects and post closure care. It had allowed the fund to maintain at the lower disposal fee of $11.50 per ton from FY 2001 to FY 2009.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2011 Advertised Budget Plan for those items.

# Fund 114
# I-95 Refuse Disposal

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 38/ 38 | 38/ 38 | 40/ 40 | 40/ 40 |
| Expenditures: | | | | |
| Personnel Services | $2,860,392 | $3,071,204 | $3,071,204 | $3,172,038 |
| Operating Expenses | 3,905,637 | 4,261,220 | 4,409,200 | 4,255,570 |
| Capital Equipment | 517,475 | 1,429,000 | 2,713,188 | 1,158,500 |
| Capital Projects | 261,105 | 0 | 14,039,926 | 0 |
| **Total Expenditures** | **$7,544,609** | **$8,761,424** | **$24,233,518** | **$8,586,108** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Engineer V | 2 | Engineer Technicians I | 1 | Administrative Assistant II |
| 1 | Engineer III | 1 | Refuse Superintendent | 1 | Senior Maintenance Worker |
| 1 | Sr. Environmental Specialist | 3 | Assistant Refuse Superintendents | 5 | Maintenance Workers |
| 3 | Public Works Environmental Services Specialists | 2 | Industrial Electricians II | | |
| 1 | PW/ES Technical Specialist | 9 | Heavy Equipment Operators | | |
| 1 | Engineer Technician III | 1 | Motor Equipment Operator | | |
| 4 | Engineer Technicians II | 2 | Weightmasters | | |
| | | 1 | Management Analyst I | | |

**TOTAL POSITIONS**
**40 Positions /40.0 Staff Years**

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **($857)**
  A decrease of $857 is primarily due to a projected decrease in overtime costs. It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Other Post-Employment Benefits** **$101,691**
  An increase of $101,691 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

- **Operating Expenses** **($5,650)**
  A decrease of $5,250 in Operating Expenses is primarily due to a decrease in refuse disposal charges and a reduction in DVS charges associated with anticipated motor pool requirements partially offset by increased costs for copying charges.

- **Capital Equipment** **$1,158,500**
  Funding of $1,158,500 has been included in Capital Equipment for the replacement of items that have outlived their useful lifespan based on age, mileage and repair costs. Of this total, $410,000 is for a wheel loader, $400,000 for a bulldozer, $105,000 for a 4x4 tractor, $80,000 for a Vacstar trailer, $22,000 for a gator, $109,500 for three crewcab trucks, and $32,000 for a light pickup truck. Purchase expenditures will be partially offset by $264,000 in anticipated revenue associated with sale of the equipment being replaced.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$15,472,094**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved encumbered carryover of $1,278,168, including $147,980 in Operating Expenses and $1,130,188 in Capital Equipment; and unencumbered carryover of $154,000 for the delayed ordering of capital equipment. In addition, the Board approved the carryover of $14,039,926 in unexpended project balances.

- **Transfer of Positions** **$0**
  In order to address increasing requirements of post-closure care at the I-95 landfill, 2/2.0 SYE Heavy Equipment Operators were transferred from Fund 110, Refuse Disposal, to Fund 114. The costs of these positions are being absorbed in the fund's current appropriation.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 114
# I-95 Refuse Disposal

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 114, I-95 Refuse Disposal**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$57,323,509** | **$41,953,937** | **$55,631,108** | **$39,088,107** |
| Revenue: | | | | |
| Interest on Investments | $1,078,701 | $1,010,955 | $1,010,955 | $532,523 |
| Refuse Disposal Revenue | 4,530,163 | 5,930,325 | 5,930,325 | 5,571,054 |
| Other Revenue: | | | | |
| Fees, Landfill Permit | $10,190 | $7,200 | $7,200 | $7,200 |
| Sale of Equipment[1] | 16,819 | 541,000 | 541,000 | 264,000 |
| Sale of Methane Gas | 209,129 | 191,600 | 191,600 | 191,600 |
| Miscellaneous Revenue | 7,206 | 9,437 | 9,437 | 9,437 |
| Subtotal Other Revenue | $243,344 | $749,237 | $749,237 | $472,237 |
| Total Revenue | $5,852,208 | $7,690,517 | $7,690,517 | $6,575,814 |
| **Total Available** | **$63,175,717** | **$49,644,454** | **$63,321,625** | **$45,663,921** |
| Expenditures: | | | | |
| Personnel Services | $2,860,392 | $3,071,204 | $3,071,204 | $3,172,038 |
| Operating Expenses | 3,905,637 | 4,261,220 | 4,409,200 | 4,255,570 |
| Capital Equipment | 517,475 | 1,429,000 | 2,713,188 | 1,158,500 |
| Capital Projects[2] | 261,105 | 0 | 14,039,926 | 0 |
| Total Expenditures | $7,544,609 | $8,761,424 | $24,233,518 | $8,586,108 |
| **Total Disbursements** | **$7,544,609** | **$8,761,424** | **$24,233,518** | **$8,586,108** |
| | | | | |
| **Ending Balance** | **$55,631,108** | **$40,883,030** | **$39,088,107** | **$37,077,813** |
| Reserves | | | | |
| Active Cell Closure Liability Reserve[3] | $9,711,118 | $9,711,118 | $9,541,103 | $6,385,829 |
| Environmental Reserve[4] | 5,000,000 | 5,000,000 | 4,255,899 | 4,829,985 |
| Construction Reserve[5] | 1,978,846 | 880,807 | 0 | 0 |
| Capital Equipment Reserve[6] | 0 | 0 | 0 | 570,894 |
| Post-Closure Reserve[7] | 25,208,973 | 25,243,974 | 25,243,974 | 25,243,974 |
| PC Replacement Reserve[8] | 55,000 | 47,131 | 47,131 | 47,131 |
| **Unreserved Ending Balance** | **$13,677,171** | **$0** | **$0** | **$0** |
| Disposal Fee/Ton[9] | $11.50 | $13.50 | $13.50 | $13.50 |

# Fund 114
# I-95 Refuse Disposal

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $170,015.25 has been reflected as a decrease to FY 2009 revenue to accurately record revenue accrual. The audit adjustment has been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2010 Third Quarter package.

[2] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[3] The Active Cell Closure Reserve is necessary for the closure of active disposal cells of the Ash Landfill and is necessary for landfillig activities to progress in accord with state requirements.

[4] The Environmental Reserve provides for future Environmental Projects.

[5] The Construction Reserve provides funds to meet the requirements of current and future construction projects necessary for the operation of the I-95 Landfill, such as drainage and roads.

[6] The Capital Equipment Reserve was set up for the timely replacement of equipment required to operate the I-95 Landfill. Funds are transferred from Ash Disposal Revenue to equipment reserve as are proceeds from the sale of equipment. The reserve requirement is based on a replacement schedule comprised of yearly payments to the reserve, which is based on the useful life of the equipment and vehicles.

[7] The Post Closure Reserve is required for a 30-year period after the landfill closes and is mandated by federal and state regulations. The FY 2011 projected reserve of $25,243,974 represents approximately 53 percent of the estimated requirement of $48,082,200 and is not sufficient to cover all identified costs. Additional funds will be set aside in future years.

[8] The PC Replacement Reserve provides for the timely replacement of obsolete computer equipment.

[9] Effective July 1, 2000 the jurisdictional fee was reduced from $14/ton to $11.50/ton. The rate remained at $11.50/ton from FY 2001 to FY 2009, and was increased to $13.50/ton in FY 2010 to meet operating and post closure reserve requirements. The rate for FY 2011 remains at $13.50/ton.

# Fund 114
# I-95 Refuse Disposal

## FY 2011 Summary of Capital Projects

**Fund: 114 I-95 Refuse Disposal**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 174006 | Citizens Disposal Facility | | $0.00 | $1,319.04 | $0 |
| 186420 | Repair/Maint/Wash Facility | 1,026,644 | 0.00 | 36,661.10 | 0 |
| 186435 | Area 3 Lined Landfill Construction | | 52,482.29 | 2,598,682.14 | 0 |
| 186440 | I-95 Landfill Leachate Facility | | 0.00 | 2,450,807.27 | 0 |
| 186450 | I-95 Landfill Rd. Construction | | 0.00 | 7,606.86 | 0 |
| 186455 | Perimeter Fence Construction | | 0.00 | 9,276.83 | 0 |
| 186460 | Area 7 Roadway Construction | 258,000 | 0.00 | 6,126.00 | 0 |
| 186470 | Paved Ditch Extension Areas | | 0.00 | 362,818.00 | 0 |
| 186600 | Methane Gas Recovery | | 227,969.47 | 695,661.12 | 0 |
| 186650 | I-95 Landfill Closure | 66,266,579 | (19,346.65) | 7,870,967.51 | 0 |
| **Total** | | **$67,551,223** | **$261,105.11** | **$14,039,925.87** | **$0** |

# Consolidated County and Schools Debt Service Fund

## Focus

The Consolidated Debt Service Fund accounts for the general obligation bond debt service of the County as well as general obligation bond debt for the Fairfax County Public Schools (FCPS). In addition, debt service expenditures are included for the Economic Development Authority Lease Revenue bonds and Certificates of Participation (COPS) associated with County government and School facilities and payments for Fairfax County Redevelopment and Housing Authority (FCRHA) Lease Revenue bonds. Revenues for the debt service funds are derived principally from a transfer from the General Fund. It should be noted that debt service on sewer revenue bonds is reflected in the Enterprise Funds.

The following is a chart illustrating the debt service payments and projected fiscal agent fees required in FY 2011 as well as the sources of funding supporting these costs:

| | | FY 2011 Advertised Budget |
|---|---|---|
| **Expenses** | | |
| **County Debt Service** | | $106,527,259 |
| Lease Revenue Bonds | | 14,647,231 |
| Park Authority (Laurel Hill Golf Course) | | 827,813 |
| Fiscal Agent Fees/Cost of Issuance | | 910,000 |
| | **Subtotal** | **$122,912,303** |
| **School Debt Service** | | $154,574,126 |
| Lease Revenue Bonds (South County High School) | | 5,699,900 |
| School Administration Building | | 3,773,723 |
| Fiscal Agent Fees/Cost of Issuance | | 615,000 |
| | **Subtotal** | **$164,662,749** |
| **Total Expenses** | | **$287,575,052** |
| **Funding** | | |
| General Fund Transfer | | $278,089,475 |
| School Operating Fund Transfer | | 3,773,723 |
| FCRHA Lease Revenue | | 4,494,041 |
| Park Authority (Laurel Hill Golf Course) | | 827,813 |
| Fairfax City Revenue | | 90,000 |
| Bond Proceeds to Offset Cost of Issuance | | 300,000 |
| **Total Funding** | | **$287,575,052** |

### General Obligation Bonds

The debt service payments associated with FY 2010 bond sales have been incorporated into the FY 2011 projections.

**Capital Leases**

Funding is included for the following Capital Leases which were issued by other entities, but are actually supported by the County and paid through County Debt Service subject to annual appropriation by the Board of Supervisors:

| | |
|---|---|
| **Economic Development Authority**: | |
| Herrity and Pennino Buildings | $ 8,084,250 |
| South County Government Center | 2,068,940 |
| South County High School | 5,699,900 |
| Laurel Hill Golf Course | 827,813* |
| School Administration Building | 3,773,723** |
| **Subtotal** | **$20,454,626** |
| | |
| **Fairfax County Redevelopment and Housing Authority**: | |
| Mott & Gum Springs Community Centers | $531,100 |
| Baileys Community Center | 411,045 |
| Herndon Harbor Adult Day Health Care Center | 68,181 |
| Gum Springs Head Start Facility | 176,429 |
| James Lee Community Center | 1,014,738 |
| Herndon Senior Center | 950,535 |
| Braddock Glen Senior Center and Southgate Community Center | 1,342,013 |
| **Subtotal** | **$4,494,041** |
| | |
| **Total** | **$24,948,667** |

* Reimbursed by a transfer in from the Park Authority.

**Reimbursed by a transfer in from the School Operating Fund.

**Debt Service Ratios**

The Board of Supervisors has adopted specific debt indicators within the *Ten Principles of Sound Financial Management* to effectively manage the County's bonded indebtedness. The *Ten Principles* state that the County's debt ratios shall be maintained at the following levels:

- Net debt as a percentage of estimated market value should always remain less than 3.0 percent; and
- The ratio of debt service expenditures as a percentage of Combined General Fund disbursements should remain under 10.0 percent.

The Board of Supervisors annually reviews the cash requirements for capital project financing to determine the capacity to incur additional debt for construction of currently funded projects as well as capital projects in the early planning stages. In FY 1992 and FY 1994, bond projects were deferred in order to reduce planned sales and remain within capacity guidelines.

During the adoption of the FY 2008 Adopted Budget Plan, the Ten Principles of Sound Financial Management were revised to allow for the use of variable rate debt. Variable rate obligations are debt obligations that are quite frequently used for short-term or interim debt financing and have an interest rate that is reset periodically, usually for periods of less than one year. Variable rate debt is typically used to take advantage of low short-term rates in anticipation of converting to longer-term fixed rate financing for complex projects or to mitigate the impact of volatile markets. Also, variable rate debt reduces interest costs and typically provides the ability to redeem bonds without a prepayment penalty. It is anticipated that the use of variable rate debt will provide opportunities for interest rate savings, reduce arbitrage payments and promote more accurate sizing for long-term bond issues.

# Consolidated County and Schools
# Debt Service Fund

On November 19, 2007 the Board of Supervisors approved the Master Trust Agreement, Bank Note and related documents associated with acquisition of a $200,000,000 revolving line of credit (LOC) from the Bank of America. Any line of credit borrowings will be in conformance with the FY 2010 Adopted Budget Plan, the FY 2010-FY 2014 Capital Improvement Program, or specific Board of Supervisors action approving such use. Variable rate debt will be used when it is most advantageous to the County in comparison to other financing options. A Variable Rate Debt Committee will carefully review each County department's request for use of the LOC and monitor the usage. The County has developed policies and procedures related to the use of variable rate debt and will monitor LOC usage closely.

As a result of the County financial policies, prudent fiscal management and a strong economy the County has been awarded the strongest credit ratings possible from the three major national rating services. The County holds a Aaa from Moody's Investors Service (awarded 1975), a AAA from Standard and Poor's Ratings Service (awarded 1978), and a AAA from Fitch Ratings (awarded 1997). As of December 23, 2009, Fairfax County is one of only 7 states, 24 counties, and 25 cities to hold a triple-A rating from all three services.

The FY 2011 debt service budget has been prepared on the basis of the construction and bond sale limitations set in place by the Board of Supervisors. The FY 2011 capital program supported by general obligation bonds was reviewed in conjunction with the FY 2011 - FY 2015 Advertised Capital Improvement Program (With Future Years to 2020).


The following are ratios and annual sales reflecting debt indicators for FY 2007 - FY 2011:

### Net Debt as a Percentage of Market Value of Taxable Property

| Fiscal Year Ending | Net Bonded Indebtedness[1] | Estimated Market Value[2] | Percentage |
|---|---|---|---|
| 2007 | 2,057,354,682 | 232,347,000,000 | 0.89% |
| 2008 | 2,264,295,513 | 241,313,000,000 | 0.94% |
| 2009 | 2,281,335,444 | 242,500,000,000 | 0.94% |
| 2010 (est.) | 2,235,917,500 | 218,502,200,000 | 1.02% |
| 2011 (est.) | 2,289,339,848 | 199,510,200,000 | 1.15% |

[1] The amount includes outstanding General Obligation Bonds and other tax supported debt obligations as of June 30 in the year shown and is from the Fairfax County Department of Management and Budget.

[2] Source: Fairfax County Department of Tax Administration and the Department of Management and Budget.

# Consolidated County and Schools
# Debt Service Fund

## Debt Service Requirements as a Percentage of Combined General Fund Disbursements

| Fiscal Year Ending | Debt Service Requirements[1] | General Fund Disbursements[2] | Percentage |
|---|---|---|---|
| 2007 | 253,433,433 | 3,223,705,072 | 7.9% |
| 2008 | 267,615,830 | 3,320,946,120 | 8.1% |
| 2009 | 276,104,740 | 3,352,656,206 | 8.2% |
| 2010 (est.) | 288,797,893 | 3,427,466,489 | 8.4% |
| 2011 (est.) | 286,050,052 | 3,294,107,674 | 8.7% |

[1] The amount includes debt service expenditures from July 1-June 30 for each year shown above, excluding bond issuance costs and other expenses and is from the Fairfax County Department of Management and Budget.

[2] Source: Fairfax County Department of Management and Budget.

## Annual Bond Sales

| Fiscal Year Ending | Sales (millions) | Total for the Five-Year Period Ending FY 2011 |
|---|---|---|
| 2007 | 234.60 | - |
| 2008 | 234.475 | - |
| 2009 | 199.51 | - |
| 2010 | 268.90 | - |
| 2011 (est.)[1] | 243.73 | 1,181.215 |

[1] Actual County and School bond sale amounts are based on the cash requirements for each project and municipal bond market conditions. Based on Board policy, annual sales will be $275.0 million per year or $1.375 billion over a five-year period with a technical limit of $300.0 million in any given year.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Disbursement Adjustment** **$7,888,342**
  An increase in disbursements of $7,888,342 or 2.82 percent is primarily attributed to scheduled requirements for existing debt service.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$10,449,630**
  As part of the *FY 2009 Carryover Review,* the Board of Supervisors approved an increase of $10,449,630 or 3.4 percent over the FY 2010 Adopted Budget Plan due to increased debt service requirements and to provide flexibility for additional 2009 Bond Sale debt service requirements.

# Consolidated County and Schools
# Debt Service Fund

## FUND STATEMENT

**Fund Type G20, Debt Service Funds** **Fund 200 and 201, Consolidated Debt Service**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$8,737,893** | **$0** | **$10,449,630** | **$0** |
| Revenue: | | | | |
| Miscellaneous Revenue | $539,803 | $0 | $0 | $0 |
| Bond Proceeds | 6,910,953 | 300,000 | 300,000 | 300,000 |
| Revenue from Fairfax City | 86,815 | 105,000 | 105,000 | 90,000 |
| Total Revenue | $7,537,571 | $405,000 | $405,000 | $390,000 |
| Transfers In: | | | | |
| County Debt Service: | | | | |
| General Fund (001) for County | $108,468,160 | $106,333,392 | $106,333,392 | $117,380,449 |
| FCRHA Lease Revenue Bonds (001) | 4,699,514 | 4,598,503 | 4,598,503 | 4,494,041 |
| Neighborhood Imp.(Fund 314) | 0 | 0 | 186,553 | 0 |
| Park Authority Lease Revenue Bonds (170) | 784,063 | 806,563 | 806,563 | 827,813 |
| Subtotal County Debt Service | $113,951,737 | $111,738,458 | $111,925,011 | $122,702,303 |
| General Fund (001) for Schools | $154,633,175 | $163,767,929 | $163,767,929 | $160,709,026 |
| School Admin Building (090) | 3,775,873 | 3,775,323 | 3,775,323 | 3,773,723 |
| Subtotal Schools Debt Service | $158,409,048 | $167,543,252 | $167,543,252 | $164,482,749 |
| Total Transfers In | $272,360,785 | $279,281,710 | $279,468,263 | $287,185,052 |
| **Total Available** | **$288,636,249** | **$279,686,710** | **$290,322,893** | **$287,575,052** |

# Consolidated County and Schools
# Debt Service Fund

## FUND STATEMENT

**Fund Type G20, Debt Service Funds** **Fund 200 and 201, Consolidated Debt Service**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Expenditures: | | | | |
| General Obligation Bonds: | | | | |
| County Principal | $68,374,364 | $62,606,051 | $62,606,051 | $72,422,055 |
| County Interest | 34,253,046 | 30,697,274 | 32,654,260 | 34,105,204 |
| Debt Service on Projected County Sales | 0 | 3,946,987 | 4,686,556 | 0 |
| Subtotal County Debt Service | $102,627,410 | $97,250,312 | $99,946,867 | $106,527,259 |
| Schools Principal | $94,830,636 | $90,723,954 | $90,723,954 | $98,622,945 |
| Schools Interest | 53,343,144 | 48,033,258 | 52,819,987 | 55,951,181 |
| Debt Service on Projected School Sales | 0 | 17,009,476 | 20,162,375 | 0 |
| Subtotal Schools Debt Service | $148,173,780 | $155,766,688 | $163,706,316 | $154,574,126 |
| Subtotal General Obligation Bonds | $250,801,190 | $253,017,000 | $263,653,183 | $261,101,385 |
| | | | | |
| Other Tax Supported Debt Service (County): | | | | |
| EDA Lease Revenue Bonds/COPS | $10,155,727 | $10,158,821 | $10,158,821 | $10,153,190 |
| FCRHA Lease Revenue Bonds | 4,699,513 | 4,598,503 | 4,598,503 | 4,494,041 |
| Park Authority Lease Revenue Bonds | 784,063 | 806,563 | 806,563 | 827,813 |
| Other Tax Supported Debt Service (Schools): | | | | |
| EDA Schools Lease Revenue Bonds | 9,664,247 | 9,580,823 | 9,580,823 | 9,473,623 |
| Subtotal Other Tax Supported Debt Service | $25,303,550 | $25,144,710 | $25,144,710 | $24,948,667 |
| Other Expenses | $2,081,879 | $1,525,000 | $1,525,000 | $1,525,000 |
| Total Expenditures | $278,186,619 | $279,686,710 | $290,322,893 | $287,575,052 |
| **Total Disbursements** | **$278,186,619** | **$279,686,710** | **$290,322,893** | **$287,575,052** |
| | | | | |
| **Ending Balance[1]** | **$10,449,630** | **$0** | **$0** | **$0** |
| | | | | |
| **Unreserved Ending Balance** | **$10,449,630** | **$0** | **$0** | **$0** |

[1] The change in ending fund balance is the result of use of fund balance to offset projected debt service requirements.

# COUNTY OF FAIRFAX, VIRGINIA
## SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2011
## COUNTY DEBT SERVICE

| Bond | Original Issue Amount | Issue Date | Category | Principal Outstanding as of 6/30/2010 | Interest Outstanding as of 6/30/2010 | Total Outstanding as of 6/30/2010 | Principal Due FY 2011 | Interest Due FY 2011 | Total Payment Due FY 2011 | Principal Outstanding as of 6/30/2011 | Interest Outstanding as of 6/30/2011 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Series 2002A Refunding | 26,149,000 | 6/1/2002 | Adult Detention | 162,663 | 20,466 | 183,129 | 33,391 | 6,787 | 40,178 | 129,272 | 13,678 |
| | | | Commercial and Redevelopment | 180,181 | 22,669 | 202,850 | 36,987 | 7,518 | 44,505 | 143,194 | 15,151 |
| | | | Human Services | 175,177 | 22,040 | 197,217 | 35,959 | 7,310 | 43,269 | 139,218 | 14,731 |
| | | | Juvenile Detention | 300,299 | 37,783 | 338,082 | 61,645 | 12,530 | 74,175 | 238,654 | 25,253 |
| | | | Library | 410,410 | 51,637 | 462,047 | 84,248 | 17,125 | 101,373 | 326,162 | 34,512 |
| | | | Neighborhood Improvement | 855,855 | 107,681 | 963,536 | 175,687 | 35,712 | 211,399 | 680,168 | 71,969 |
| | | | Parks | 908,408 | 114,293 | 1,022,701 | 186,475 | 37,905 | 224,380 | 721,933 | 76,388 |
| | | | Public Safety | 940,939 | 118,386 | 1,059,325 | 193,153 | 39,262 | 232,415 | 747,786 | 79,123 |
| | | | Storm Drainage | 873,372 | 109,885 | 983,257 | 179,283 | 36,443 | 215,726 | 694,089 | 73,442 |
| | | | Transportation | 4,441,939 | 558,870 | 5,000,809 | 911,827 | 185,348 | 1,097,175 | 3,530,112 | 373,523 |
| **2002A Refunding Total** | | | | **9,249,243** | **1,163,710** | **10,412,953** | **1,898,655** | **385,941** | **2,284,596** | **7,350,588** | **777,769** |
| Series 2003A Refunding | 82,407,000 | 6/1/2003 | Adult Detention | 564,000 | 37,550 | 601,550 | 377,000 | 28,200 | 405,200 | 187,000 | 9,350 |
| | | | Commercial and Redevelopment | 112,000 | 7,450 | 119,450 | 75,000 | 5,600 | 80,600 | 37,000 | 1,850 |
| | | | Correctional Camp | 242,000 | 16,100 | 258,100 | 162,000 | 12,100 | 174,100 | 80,000 | 4,000 |
| | | | Human Services | 468,000 | 31,150 | 499,150 | 313,000 | 23,400 | 336,400 | 155,000 | 7,750 |
| | | | Jail & Work Release Facilities | 6,000 | 400 | 6,400 | 4,000 | 300 | 4,300 | 2,000 | 100 |
| | | | Juvenile Detention | 34,000 | 2,250 | 36,250 | 23,000 | 1,700 | 24,700 | 11,000 | 550 |
| | | | Neighborhood Improvement | 1,454,000 | 96,800 | 1,550,800 | 972,000 | 72,700 | 1,044,700 | 482,000 | 24,100 |
| | | | Parks | 1,673,000 | 111,400 | 1,784,400 | 1,118,000 | 83,650 | 1,201,650 | 555,000 | 27,750 |
| | | | Parks - NVRPA | 30,000 | 2,000 | 32,000 | 20,000 | 1,500 | 21,500 | 10,000 | 500 |
| | | | Prim/2nd Road | 1,465,000 | 97,550 | 1,562,550 | 979,000 | 73,250 | 1,052,250 | 486,000 | 24,300 |
| | | | Public Library Facilities | 856,000 | 57,000 | 913,000 | 572,000 | 42,800 | 614,800 | 284,000 | 14,200 |
| | | | Public Safety | 744,000 | 49,550 | 793,550 | 497,000 | 37,200 | 534,200 | 247,000 | 12,350 |
| | | | Storm Drainage | 323,000 | 21,500 | 344,500 | 216,000 | 16,150 | 232,150 | 107,000 | 5,350 |
| | | | Transportation | 3,035,000 | 202,100 | 3,237,100 | 2,028,000 | 151,750 | 2,179,750 | 1,007,000 | 50,350 |
| **2003A Refunding Total** | | | | **11,006,000** | **732,800** | **11,738,800** | **7,356,000** | **550,300** | **7,906,300** | **3,650,000** | **182,500** |
| Series 2003B | 66,490,000 | 5/15/2003 | Adult Detention | 900,000 | 284,125 | 1,184,125 | 100,000 | 39,625 | 139,625 | 800,000 | 244,500 |
| | | | Commercial and Redevelopment | 1,485,000 | 468,806 | 1,953,806 | 165,000 | 65,381 | 230,381 | 1,320,000 | 403,425 |
| | | | Juvenile Detention | 60,000 | 15,606 | 75,606 | 10,000 | 2,681 | 12,681 | 50,000 | 12,925 |
| | | | Neighborhood Improvement | 450,000 | 142,063 | 592,063 | 50,000 | 19,813 | 69,813 | 400,000 | 122,250 |
| | | | Parks | 10,370,000 | 3,269,638 | 13,639,638 | 1,155,000 | 456,588 | 1,611,588 | 9,215,000 | 2,813,050 |
| | | | Public Safety | 15,950,000 | 5,031,213 | 20,981,213 | 1,775,000 | 702,263 | 2,477,263 | 14,175,000 | 4,328,950 |
| | | | Storm Drainage | 315,000 | 99,444 | 414,444 | 35,000 | 13,869 | 48,869 | 280,000 | 85,575 |
| | | | Transportation | 360,000 | 113,650 | 473,650 | 40,000 | 15,850 | 55,850 | 320,000 | 97,800 |
| **2003B Total** | | | | **29,890,000** | **9,424,544** | **39,314,544** | **3,330,000** | **1,316,069** | **4,646,069** | **26,560,000** | **8,108,475** |
| Series 2004A | 63,530,000 | 4/14/2004 | Adult Detention | 440,300 | 147,158 | 587,458 | 40,000 | 20,514 | 60,514 | 400,300 | 126,645 |
| | | | Commercial and Redevelopment | 2,347,700 | 786,985 | 3,134,685 | 210,000 | 109,084 | 319,084 | 2,137,700 | 677,901 |
| | | | Juvenile Detention | 506,600 | 169,832 | 676,432 | 45,000 | 23,528 | 68,528 | 461,600 | 146,304 |
| | | | Neighborhood Improvement | 1,033,100 | 350,360 | 1,383,460 | 90,000 | 47,908 | 137,908 | 943,100 | 302,451 |
| | | | Parks | 17,382,000 | 5,823,520 | 23,205,520 | 1,545,000 | 807,290 | 2,352,290 | 15,837,000 | 5,016,230 |
| | | | Storm Drainage | 2,220,000 | 739,717 | 2,959,717 | 200,000 | 103,244 | 303,244 | 2,020,000 | 636,473 |
| | | | Transportation | 11,839,800 | 3,973,172 | 15,812,972 | 1,050,000 | 549,810 | 1,599,810 | 10,789,800 | 3,423,362 |
| **2004A Total** | | | | **35,769,500** | **11,990,742** | **47,760,242** | **3,180,000** | **1,661,378** | **4,841,378** | **32,589,500** | **10,329,365** |
| Series 2004A Refunding | 67,200,000 | 4/14/2004 | Adult Detention | 13,909,000 | 2,431,096 | 16,340,096 | 2,427,900 | 715,770 | 3,143,670 | 11,481,100 | 1,715,326 |
| | | | Commercial and Redevelopment | 416,900 | 71,960 | 488,860 | 73,900 | 21,496 | 95,396 | 343,000 | 50,464 |
| | | | Human Services | 517,100 | 89,403 | 606,503 | 91,500 | 26,656 | 118,156 | 425,600 | 62,747 |
| | | | Jail & Work Release Facilities | 68,300 | 12,360 | 80,660 | 11,400 | 3,495 | 14,895 | 56,900 | 8,866 |
| | | | Juvenile Detention | 1,868,900 | 333,337 | 2,202,237 | 317,900 | 95,868 | 413,768 | 1,551,000 | 237,470 |
| | | | Library | 516,300 | 92,533 | 608,833 | 87,300 | 26,464 | 113,764 | 429,000 | 66,069 |
| | | | Neighborhood Improvement | 1,155,600 | 197,590 | 1,353,190 | 207,200 | 59,671 | 266,871 | 948,400 | 137,919 |
| | | | Parks | 3,333,500 | 584,637 | 3,918,137 | 579,400 | 171,453 | 750,853 | 2,754,100 | 413,184 |
| | | | Public Safety | 3,546,900 | 628,790 | 4,175,690 | 608,100 | 182,120 | 790,220 | 2,938,800 | 446,670 |
| | | | Storm Drainage | 752,200 | 135,484 | 887,684 | 126,300 | 38,524 | 164,824 | 625,900 | 96,960 |
| | | | Transit | 175,300 | 31,783 | 207,083 | 29,200 | 8,968 | 38,168 | 146,100 | 22,815 |
| | | | Transportation | 6,132,400 | 1,068,246 | 7,200,646 | 1,074,900 | 315,745 | 1,390,645 | 5,057,500 | 752,501 |
| **2004A Refunding Total** | | | | **32,392,400** | **5,677,220** | **38,069,620** | **5,635,000** | **1,666,229** | **7,301,229** | **26,757,400** | **4,010,991** |

## COUNTY OF FAIRFAX, VIRGINIA
## SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2011
## COUNTY DEBT SERVICE

| Bond | Original Issue Amount | Issue Date | Category | Principal Outstanding as of 6/30/2010 | Interest Outstanding as of 6/30/2010 | Total Outstanding as of 6/30/2010 | Principal Due FY 2011 | Interest Due FY 2011 | Total Payment Due FY 2011 | Principal Outstanding as of 6/30/2011 | Interest Outstanding as of 6/30/2011 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Series 2004B | 69,120,000 | 10/19/2004 | Commercial and Redevelopment | 2,504,700 | 807,462 | 3,312,162 | 225,000 | 110,791 | 335,791 | 2,279,700 | 696,671 |
| | | | Parks | 7,756,400 | 2,504,873 | 10,261,273 | 695,000 | 343,036 | 1,038,036 | 7,061,400 | 2,161,837 |
| | | | Public Safety | 28,218,700 | 9,097,108 | 37,315,808 | 2,535,000 | 1,248,200 | 3,783,200 | 25,683,700 | 7,848,907 |
| **2004B Total** | | | | **38,479,800** | **12,409,443** | **50,889,243** | **3,455,000** | **1,702,028** | **5,157,028** | **35,024,800** | **10,707,415** |
| Series 2004B Refunding | 30,375,000 | 10/19/2004 | Adult Detention | 4,240,000 | 909,850 | 5,149,850 | 495,000 | 198,025 | 693,025 | 3,745,000 | 711,825 |
| | | | Commercial and Redevelopment | 300,000 | 64,100 | 364,100 | 35,000 | 14,025 | 49,025 | 265,000 | 50,075 |
| | | | Human Services | 470,000 | 101,938 | 571,938 | 50,000 | 22,075 | 72,075 | 420,000 | 79,863 |
| | | | Juvenile Detention | 1,145,000 | 244,575 | 1,389,575 | 135,000 | 53,425 | 188,425 | 1,010,000 | 191,150 |
| | | | Library | 1,485,000 | 317,675 | 1,802,675 | 175,000 | 69,325 | 244,325 | 1,310,000 | 248,350 |
| | | | Neighborhood Improvement | 870,000 | 188,350 | 1,058,350 | 100,000 | 40,650 | 140,650 | 770,000 | 147,700 |
| | | | Parks | 1,855,000 | 398,575 | 2,253,575 | 215,000 | 86,675 | 301,675 | 1,640,000 | 311,900 |
| | | | Public Safety | 2,160,000 | 463,050 | 2,623,050 | 255,000 | 100,825 | 355,825 | 1,905,000 | 362,225 |
| | | | Transit | 3,585,000 | 768,975 | 4,353,975 | 420,000 | 167,400 | 587,400 | 3,165,000 | 601,575 |
| | | | Transportation | 5,935,000 | 1,273,400 | 7,208,400 | 695,000 | 277,125 | 972,125 | 5,240,000 | 996,275 |
| **2004B Refunding Total** | | | | **22,045,000** | **4,730,488** | **26,775,488** | **2,575,000** | **1,029,550** | **3,604,550** | **19,470,000** | **3,700,938** |
| Series 2005A | 85,655,000 | 8/16/2005 | Adult Detention | 4,010,000 | 1,394,763 | 5,404,763 | 290,000 | 177,700 | 467,700 | 3,720,000 | 1,217,063 |
| | | | Human Services | 3,270,000 | 1,142,694 | 4,412,694 | 235,000 | 144,863 | 379,863 | 3,035,000 | 997,831 |
| | | | Library | 6,890,000 | 2,401,831 | 9,291,831 | 495,000 | 305,363 | 800,363 | 6,395,000 | 2,096,469 |
| | | | Parks | 13,010,000 | 4,529,381 | 17,539,381 | 940,000 | 576,513 | 1,516,513 | 12,070,000 | 3,952,869 |
| | | | Transportation | 32,595,000 | 11,357,600 | 43,952,600 | 2,355,000 | 1,444,225 | 3,799,225 | 30,240,000 | 9,913,375 |
| **2005A Total** | | | | **59,775,000** | **20,826,269** | **80,601,269** | **4,315,000** | **2,648,663** | **6,963,663** | **55,460,000** | **18,177,606** |
| Series 2005A Refunding | 117,505,000 | 8/16/2005 | Adult Detention | 3,592,700 | 825,184 | 4,417,884 | 390,000 | 168,423 | 558,423 | 3,202,700 | 656,761 |
| | | | Commercial and Redevelopment | 1,368,700 | 316,384 | 1,685,084 | 145,000 | 64,248 | 209,248 | 1,223,700 | 252,136 |
| | | | Human Services | 1,944,400 | 434,391 | 2,378,791 | 215,000 | 91,583 | 306,583 | 1,729,400 | 342,809 |
| | | | Jail & Work Release Facilities | 213,200 | 46,780 | 259,980 | 25,000 | 10,035 | 35,035 | 188,200 | 36,745 |
| | | | Library | 4,895,000 | 1,251,778 | 6,146,778 | 460,000 | 227,100 | 687,100 | 4,435,000 | 1,024,678 |
| | | | Neighborhood Improvement | 3,242,800 | 779,389 | 4,022,189 | 330,000 | 151,453 | 481,453 | 2,912,800 | 627,936 |
| | | | Parks | 20,972,700 | 5,205,915 | 26,178,615 | 2,065,000 | 976,010 | 3,041,010 | 18,907,700 | 4,229,905 |
| | | | Public Safety | 24,411,900 | 6,489,001 | 30,900,901 | 2,190,000 | 1,127,633 | 3,317,633 | 22,221,900 | 5,361,369 |
| | | | Transportation | 43,456,700 | 10,959,630 | 54,416,330 | 4,190,000 | 2,019,410 | 6,209,410 | 39,266,700 | 8,940,220 |
| **2005A Refunding Total** | | | | **104,098,100** | **26,308,451** | **130,406,551** | **10,010,000** | **4,835,893** | **14,845,893** | **94,088,100** | **21,472,559** |
| **2007A** | 107,780,000 | 1/18/2007 | Commercial and Redevelopment | 1,700,000 | 662,250 | 2,362,250 | 100,000 | 75,625 | 175,625 | 1,600,000 | 586,625 |
| | | | Library | 11,526,000 | 4,490,055 | 16,016,055 | 678,000 | 512,738 | 1,190,738 | 10,848,000 | 3,977,318 |
| | | | Human Services | 2,125,000 | 827,812 | 2,952,812 | 125,000 | 94,531 | 219,531 | 2,000,000 | 733,281 |
| | | | Parks | 8,236,500 | 3,208,601 | 11,445,101 | 484,500 | 366,403 | 850,903 | 7,752,000 | 2,842,198 |
| | | | Parks - NVRPA | 4,250,000 | 1,655,625 | 5,905,625 | 250,000 | 189,063 | 439,063 | 4,000,000 | 1,466,563 |
| | | | Prim/2nd Road | 5,100,000 | 1,986,750 | 7,086,750 | 300,000 | 226,875 | 526,875 | 4,800,000 | 1,759,875 |
| | | | Public Safety | 40,995,500 | 15,970,159 | 56,965,659 | 2,411,500 | 1,823,697 | 4,235,197 | 38,584,000 | 14,146,462 |
| | | | Public Safety -capital renewal | 1,700,000 | 662,250 | 2,362,250 | 100,000 | 75,625 | 175,625 | 1,600,000 | 586,625 |
| | | | Transit | 9,350,000 | 3,642,375 | 12,992,375 | 550,000 | 415,938 | 965,938 | 8,800,000 | 3,226,438 |
| | | | Transportation | 6,630,000 | 2,582,775 | 9,212,775 | 390,000 | 294,938 | 684,938 | 6,240,000 | 2,287,838 |
| **2007A Total** | | | | **91,613,000** | **35,688,652** | **127,301,652** | **5,389,000** | **4,075,431** | **9,464,431** | **86,224,000** | **31,613,221** |
| **2008A** | 99,155,000 | 1/15/2008 | Parks | 45,021,000 | 19,498,150 | 64,519,150 | 2,502,000 | 2,075,850 | 4,577,850 | 42,519,000 | 17,422,300 |
| | | | Transit | 33,802,000 | 14,640,308 | 48,442,308 | 1,879,000 | 1,558,760 | 3,437,760 | 31,923,000 | 13,081,548 |
| | | | Library | 2,700,000 | 1,169,625 | 3,869,625 | 150,000 | 124,500 | 274,500 | 2,550,000 | 1,045,125 |
| | | | Public Safety | 3,906,000 | 1,692,057 | 5,598,057 | 217,000 | 180,110 | 397,110 | 3,689,000 | 1,511,947 |
| | | | Transportation | 1,106,000 | 477,847 | 1,583,847 | 62,000 | 51,055 | 113,055 | 1,044,000 | 426,792 |
| | | | Public Safety -capital renewal | 2,700,000 | 1,169,625 | 3,869,625 | 150,000 | 124,500 | 274,500 | 2,550,000 | 1,045,125 |
| **2008A Total** | | | | **89,235,000** | **38,647,612** | **127,882,612** | **4,960,000** | **4,114,775** | **9,074,775** | **84,275,000** | **34,532,837** |
| 2009A | 49,000,000 | **1/23/2009** | Library | 4,275,000 | 1,808,438 | 6,083,438 | 225,000 | 183,656 | 408,656 | 4,050,000 | 1,624,782 |
| | | | Human Services | 9,595,000 | 4,058,938 | 13,653,938 | 505,000 | 412,206 | 917,206 | 9,090,000 | 3,646,731 |
| | | | Parks | 14,535,000 | 6,148,687 | 20,683,687 | 765,000 | 624,431 | 1,389,431 | 13,770,000 | 5,524,256 |
| | | | Parks - NVRPA | 3,420,000 | 1,446,750 | 4,866,750 | 180,000 | 146,925 | 326,925 | 3,240,000 | 1,299,825 |
| | | | Prim/2nd Road | 13,775,000 | 5,827,187 | 19,602,187 | 725,000 | 591,781 | 1,316,781 | 13,050,000 | 5,235,406 |
| | | | Public Safety | 950,000 | 401,875 | 1,351,875 | 50,000 | 40,813 | 90,813 | 900,000 | 361,063 |
| **2009A Total** | | | | **46,550,000** | **19,691,875** | **66,241,875** | **2,450,000** | **1,999,813** | **4,449,813** | **44,100,000** | **17,692,063** |

# COUNTY OF FAIRFAX, VIRGINIA
## SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2011
## COUNTY DEBT SERVICE

| Bond | Original Issue Amount | Issue Date | Category | Principal Outstanding as of 6/30/2010 | Interest Outstanding as of 6/30/2010 | Total Outstanding as of 6/30/2010 | Principal Due FY 2011 | Interest Due FY 2011 | Total Payment Due FY 2011 | Principal Outstanding as of 6/30/2011 | Interest Outstanding as of 6/30/2011 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Series 2009B | | | | | | | | | | | |
| Refunding | 31,883,500 | 1/23/2009 | Adult Detention | 1,141,800 | 78,675 | 1,220,475 | 329,400 | 34,254 | 363,654 | 812,400 | 44,421 |
| | | | Commercial and Redevelopment | 14,900 | 1,026 | 15,926 | 4,300 | 447 | 4,747 | 10,600 | 579 |
| | | | Correctional Camp | 46,200 | 3,186 | 49,386 | 13,300 | 1,386 | 14,686 | 32,900 | 1,800 |
| | | | Neighborhood Improvement | 1,749,500 | 120,561 | 1,870,061 | 504,700 | 52,485 | 557,185 | 1,244,800 | 68,076 |
| | | | Human Services | 1,317,900 | 90,810 | 1,408,710 | 380,200 | 39,537 | 419,737 | 937,700 | 51,273 |
| | | | Jail & Work Release Facilities | 90,700 | 6,249 | 96,949 | 26,200 | 2,721 | 28,921 | 64,500 | 3,528 |
| | | | Juvenile Detention | 29,800 | 2,055 | 31,855 | 8,600 | 894 | 9,494 | 21,200 | 1,161 |
| | | | Library | 1,497,800 | 103,197 | 1,600,997 | 432,200 | 44,934 | 477,134 | 1,065,600 | 58,263 |
| | | | Parks | 2,602,100 | 179,289 | 2,781,389 | 750,800 | 78,063 | 828,863 | 1,851,300 | 101,226 |
| | | | Prim/2nd Road | 1,631,200 | 112,395 | 1,743,595 | 470,600 | 48,936 | 519,536 | 1,160,600 | 63,459 |
| | | | Public Safety | 2,210,700 | 152,325 | 2,363,025 | 637,800 | 66,321 | 704,121 | 1,572,900 | 86,004 |
| | | | Storm Drainage | 702,100 | 48,375 | 750,475 | 202,600 | 21,063 | 223,663 | 499,500 | 27,312 |
| | | | Transit | 280,100 | 19,302 | 299,402 | 80,800 | 8,403 | 89,203 | 199,300 | 10,899 |
| | | | Transportation | 11,383,000 | 784,335 | 12,167,335 | 3,284,100 | 341,490 | 3,625,590 | 8,098,900 | 442,845 |
| **2009B Refunding Total** | | | | **24,697,800** | **1,701,780** | **26,399,580** | **7,125,600** | **740,934** | **7,866,534** | **17,572,200** | **960,846** |
| Series 2009C | | | | | | | | | | | |
| Refunding | 131,800,000 | 10/28/2009 | Adult Detention | 1,192,600 | 415,910 | 1,608,510 | - | 57,800 | 57,800 | 1,192,600 | 358,110 |
| | | | Commercial and Redevelopment | 2,325,900 | 788,322 | 3,114,222 | - | 113,277 | 113,277 | 2,325,900 | 675,045 |
| | | | Neighborhood Improvement | 520,900 | 158,535 | 679,435 | - | 25,131 | 25,131 | 520,900 | 133,404 |
| | | | Human Services | 507,300 | 203,593 | 710,893 | - | 25,365 | 25,365 | 507,300 | 178,228 |
| | | | Juvenile Detention | 195,700 | 61,059 | 256,759 | - | 9,603 | 9,603 | 195,700 | 51,456 |
| | | | Library | 1,068,500 | 428,818 | 1,497,318 | - | 53,425 | 53,425 | 1,068,500 | 375,393 |
| | | | Parks | 15,083,500 | 5,021,631 | 20,105,131 | - | 733,049 | 733,049 | 15,083,500 | 4,288,582 |
| | | | Prim/2nd Road | 5,077,600 | 2,037,645 | 7,115,245 | - | 253,880 | 253,880 | 5,077,600 | 1,783,765 |
| | | | Public Safety | 18,000,000 | 6,265,182 | 24,265,182 | - | 867,536 | 867,536 | 18,000,000 | 5,397,646 |
| | | | Storm Drainage | 819,100 | 256,388 | 1,075,488 | - | 40,315 | 40,315 | 819,100 | 216,073 |
| | | | Transportation | 3,735,900 | 1,191,054 | 4,926,954 | - | 186,063 | 186,063 | 3,735,900 | 1,004,991 |
| **2009C Refunding Total** | | | | **48,527,000** | **16,828,133** | **65,355,133** | **-** | **2,365,444** | **2,365,444** | **48,527,000** | **14,462,689** |
| Series 2009D | | | | | | | | | | | |
| Refunding | 66,895,000 | 10/28/2009 | Transportation | 53,710,000 | 6,391,066 | 60,101,066 | 10,742,800 | 2,148,360 | 12,891,160 | 42,967,200 | 4,242,706 |
| **2009D Refunding Total** | | | | **53,710,000** | **6,391,066** | **60,101,066** | **10,742,800** | **2,148,360** | **12,891,160** | **42,967,200** | **4,242,706** |
| Series 2009E | | | | | | | | | | | |
| Refunding | 202,200,000 | 10/28/2009 | County Construction | 11,599,500 | 6,803,300 | 18,402,800 | - | 521,591 | 521,591 | 11,599,500 | 6,281,709 |
| | | | Library | 10,200,000 | 5,982,470 | 16,182,470 | - | 458,660 | 458,660 | 10,200,000 | 5,523,810 |
| | | | Road Bond Construction | 14,100,000 | 8,269,885 | 22,369,885 | - | 634,030 | 634,030 | 14,100,000 | 7,635,855 |
| | | | Parks-NVRPA | 2,700,000 | 1,583,595 | 4,283,595 | - | 121,410 | 121,410 | 2,700,000 | 1,462,185 |
| | | | Parks | 11,500,500 | 6,745,235 | 18,245,735 | - | 517,139 | 517,139 | 11,500,500 | 6,228,096 |
| | | | Public Safety | 13,600,500 | 7,976,920 | 21,577,420 | - | 611,569 | 611,569 | 13,600,500 | 7,365,351 |
| **2009E Refunding Total** | | | | **63,700,500** | **37,361,405** | **101,061,905** | **-** | **2,864,399** | **2,864,399** | **63,700,500** | **34,497,006** |
| **Total County GO Debt** | | | | **760,738,343** | **249,574,190** | **1,010,312,533** | **72,422,055** | **34,105,204** | **106,527,259** | **688,316,288** | **215,468,985** |
| | | | | | | - | | | - | | - |
| **Lease Revenue Bonds** | | | | | | | | | | | - |
| 1996H | 6,390,000 | 9/15/1996 | Mott & Gum Springs Comm Ctr | 3,020,000 | 700,150 | 3,720,150 | 365,000 | 166,100 | 531,100 | 2,655,000 | 534,050 |
| 1998H | 5,500,000 | 12/1/1998 | Baileys Community Center | 2,685,000 | 608,145 | 3,293,145 | 285,000 | 126,045 | 411,045 | 2,400,000 | 482,100 |
| 1999H | 1,000,000 | 5/27/1999 | Adult Day Care/Herndon Harbor | 810,000 | 500,919 | 1,310,919 | 25,000 | 43,181 | 68,181 | 785,000 | 457,738 |
| 2000COPS | 29,000,000 | 11/1/2000 | COPS-South Government Center | 25,295,000 | 20,287,842 | 45,582,842 | 590,000 | 1,478,940 | 2,068,940 | 24,705,000 | 18,808,902 |
| 2003EDA-Ref | 85,650,000 | 10/1/2003 | EDA Gov't Ctr Properties Refunding | 57,585,000 | 15,167,875 | 72,752,875 | 5,205,000 | 2,879,250 | 8,084,250 | 52,380,000 | 12,288,625 |
| 2003H | 2,530,000 | 6/1/2003 | Gum Springs Glen Head Start | 1,864,150 | 473,541 | 2,337,691 | 112,652 | 63,777 | 176,429 | 1,751,498 | 409,764 |
| 2003LRL | 15,530,000 | 6/1/2003 | Laurel Hill Golf Course [1] | 15,150,000 | 10,235,537 | 25,385,537 | 150,000 | 677,813 | 827,813 | 15,000,000 | 9,557,725 |
| 2004H | 10,870,000 | 8/26/2004 | James Lee Community Center | 6,520,000 | 1,581,763 | 8,101,763 | 725,000 | 289,738 | 1,014,738 | 5,795,000 | 1,292,026 |
| 2005 | 8,105,000 | 6/22/2005 | Herndon Senior Center | 4,050,000 | 427,275 | 4,477,275 | 810,000 | 140,535 | 950,535 | 3,240,000 | 286,740 |
| 2006 | 8,065,000 | 8/8/2006 | Braddock Glen/Southgate | 3,555,000 | 322,912 | 3,877,912 | 1,185,000 | 157,013 | 1,342,013 | 2,370,000 | 165,900 |
| **Total Lease Revenue Bonds** | | | | **120,534,150** | **50,305,959** | **170,840,109** | **9,452,652** | **6,022,391** | **15,475,043** | **111,081,498** | **44,283,568** |
| **Total County Debt Service Fund 200/201** | | | | **881,272,493** | **299,880,149** | **1,181,152,642** | **81,874,707** | **40,127,595** | **122,002,302** | **799,397,786** | **259,752,553** |

[1] Principal and interest payments will be funded by a transfer in from the Park Authority. -

# COUNTY OF FAIRFAX, VIRGINIA
# SCHEDULE OF GENERAL OBLIGATION AND LEASE REVENUE BONDS FOR FY 2011

## SCHOOLS DEBT SERVICE

| Bond | Original Issue Amount | Issue Date | Category | Principal Outstanding as of 6/30/2010 | Interest Outstanding as of 6/30/2010 | Total Outstanding as of 6/30/2010 | Principal Due FY 2011 | Interest Due FY 2011 | Total Payment Due FY 2011 | Principal Outstanding as of 6/30/2011 | Interest Outstanding as of 6/30/2011 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **G.O. Bonds** | | | | | | | | | | | |
| 2002A Refunding | 34,786,000 | 6/1/2002 | Schools | 15,765,757 | 1,983,596 | 17,749,353 | 3,236,345 | 657,853 | 3,894,198 | 12,529,412 | 1,325,743 |
| 2003A Refunding | 88,758,000 | 6/1/2003 | Schools | 11,859,000 | 789,700 | 12,648,700 | 7,924,000 | 592,950 | 8,516,950 | 3,935,000 | 196,750 |
| 2003B | 128,680,000 | 5/15/2003 | Schools | 57,895,000 | 18,273,238 | 76,168,238 | 6,435,000 | 2,548,988 | 8,983,988 | 51,460,000 | 15,724,250 |
| 2004A | 120,215,000 | 4/14/2004 | Schools | 67,640,500 | 22,672,711 | 90,313,211 | 6,010,000 | 3,141,441 | 9,151,441 | 61,630,500 | 19,531,270 |
| 2004A Refunding | 78,165,000 | 4/14/2004 | Schools | 37,482,600 | 6,543,706 | 44,026,306 | 6,555,000 | 1,929,222 | 8,484,222 | 30,927,600 | 4,614,484 |
| 2004B | 116,280,000 | 10/19/2004 | Schools | 64,710,200 | 20,856,791 | 85,566,991 | 5,815,000 | 2,862,385 | 8,677,385 | 58,895,200 | 17,994,406 |
| 2004B Refunding | 96,035,000 | 10/19/2004 | Schools | 69,685,000 | 14,951,325 | 84,636,325 | 8,150,000 | 3,254,200 | 11,404,200 | 61,535,000 | 11,697,125 |
| 2005A | 104,685,000 | 8/16/2005 | Schools | 73,055,000 | 25,461,469 | 98,516,469 | 5,270,000 | 3,237,088 | 8,507,088 | 67,785,000 | 22,224,381 |
| 2005A Refunding | 235,740,000 | 8/16/2005 | Schools | 210,181,900 | 53,438,818 | 263,620,718 | 20,030,000 | 9,757,095 | 29,787,095 | 190,151,900 | 43,681,723 |
| 2007A | 126,820,000 | 2/7/2007 | Schools | 107,797,000 | 41,993,273 | 149,790,273 | 6,341,000 | 4,795,381 | 11,136,381 | 101,456,000 | 37,197,891 |
| 2008A | 135,320,000 | 1/15/2008 | Schools | 121,780,000 | 52,750,738 | 174,530,738 | 6,770,000 | 5,615,375 | 12,385,375 | 115,010,000 | 47,135,363 |
| 2009A | 150,510,000 | 1/23/2009 | Schools | 142,980,000 | 60,482,388 | 203,462,388 | 7,530,000 | 6,142,481 | 13,672,481 | 135,450,000 | 54,339,907 |
| 2009B | 26,486,500 | 1/23/2009 | Schools | 20,517,200 | 1,413,720 | 21,930,920 | 5,919,400 | 615,516 | 6,534,916 | 14,597,800 | 798,204 |
| 2009C | 83,273,000 | 10/28/2009 | Schools | 83,273,000 | 28,350,417 | 111,623,417 | - | 4,045,956 | 4,045,956 | 83,273,000 | 24,304,461 |
| 2009D | 13,185,000 | 10/28/2009 | Schools | 13,185,000 | 1,568,909 | 14,753,909 | 2,637,200 | 527,390 | 3,164,590 | 10,547,800 | 1,041,519 |
| 2009E | 138,499,500 | 10/28/2009 | Schools | 138,499,500 | 81,232,265 | 219,731,765 | - | 6,227,861 | 6,227,861 | 138,499,500 | 75,004,404 |
| **G.O Bond Total** | | | | **1,236,306,657** | **432,763,062** | **1,669,069,719** | **98,622,945** | **55,951,181** | **154,574,126** | **1,137,683,712** | **376,811,880** |
| **Revenue Bonds** | | | | | | | | | | | |
| EDA 2003 | 55,300,000 | 6/1/2003 | South County High School [1] | 45,710,000 | 15,856,450 | 61,566,450 | 3,520,000 | 2,179,900 | 5,699,900 | 42,190,000 | 13,676,550 |
| EDA 2005 | 60,690,000 | 1/27/2005 | School Admin. Building [2] | 55,400,000 | 38,987,253 | 94,387,253 | 1,255,000 | 2,518,723 | 3,773,723 | 54,145,000 | 36,468,531 |
| **Revenue Bond Total** | | | | **101,110,000** | **54,843,703** | **155,953,703** | **4,775,000** | **4,698,623** | **9,473,623** | **96,335,000** | **50,145,081** |
| **Total Schools Debt Service** | | | | **1,337,416,657** | **487,606,765** | **1,825,023,422** | **103,397,945** | **60,649,804** | **164,047,749** | **1,234,018,712** | **426,956,961** |
| **Total County Debt Service** | | | | **760,738,343** | **249,574,190** | **1,010,312,533** | **81,874,707** | **40,127,595** | **122,002,302** | **678,863,636** | **209,446,595** |
| **Grand Total Debt Current Service Fund 200/201** | | | | **2,098,155,000** | **737,180,955** | **2,835,335,955** | **185,272,652** | **100,777,399** | **286,050,051** | **1,912,882,348** | **636,403,556** |
| **Other County Debt Service** | | | | | | | | | | | |
| Salona 2005 | 12,900,000 | 12/27/2005 | Parks[3] | **9,997,500** | **3,277,535** | **13,275,035** | **645,000** | **391,289** | **1,036,289** | **9,352,500** | **2,886,246** |
| FCRHA BAN 2008A | 37,615,000 | 2/11/2008 | Housing - Crescent[4] | **32,815,000** | **3,581,800** | **36,396,800** | **2,600,000** | **1,286,100** | **3,886,100** | **30,215,000** | **2,295,700** |
| FCRHA Series 2009 | 94,950,000 | 8/20/2009 | Housing - Wedgewood[4] | **94,950,000** | **77,606,831** | **172,556,831** | **1,790,000** | **3,960,263** | **5,750,263** | **93,160,000** | **73,646,568** |
| **Grand Total Debt Service All Funds** | | | | **2,235,917,500** | **821,647,121** | **3,057,564,621** | **190,307,652** | **106,415,051** | **296,722,703** | **2,045,609,848** | **715,232,070** |

[1] Principal and interest will be paid by County Debt Service.

[2] Principal and interest will be paid from a transfer in from the FCPS Operating Fund in connection with a capital lease.

[3] Payments for Salona debt are budgeted in Fund 303, County Construction.

[4] Payments for Crescent and Wedgewood debts are budgeted in Fund 319, The Penny for Affordable Housing.

# Capital Project Funds

## Overview

The Fairfax County Capital Construction Program (other than sanitary sewer construction and resource recovery projects) is primarily financed through transfers from the General Fund and the sale of General Obligation bonds. Supplementing the General Fund and General Obligation bond monies are additional funding sources including Federal and State grants, contributions, and other miscellaneous revenues.

The following pages provide a narrative description of all capital funds, including Capital Construction Contribution Funds. These narratives include a description of each fund, a Fund Statement, and a Summary of Capital Projects.

## Capital Project Funds

- **Fund 301 – Contributed Roadway Improvement Fund**
- **Fund 302 – Library Construction**
- **Fund 303 – County Construction**
- **Fund 304 – Transportation Improvements**
- **Fund 307 – Pedestrian Walkway Improvements**
- **Fund 311 – County Bond Construction**
- **Fund 312 – Public Safety Construction**
- **Fund 314 – Neighborhood Improvement Program**
- **Fund 315 – Commercial Revitalization Program**
- **Fund 316 – Pro Rata Share Drainage Construction**
- **Fund 317 – Capital Renewal Construction**
- **Fund 318 – Stormwater Management Program**
- **Fund 370 – Park Authority Bond Construction**
- **Fund 390 – Public School Construction**

## Capital Contribution Funds

♦ Fairfax County contributes to the Northern Virginia Regional Park Authority Capital Construction Program for maintenance and major renovation projects associated with 21 regional parks. The County also contributes to the Washington Metropolitan Area Transit Authority (WMATA) to support the 106-mile Metrorail System, as well as to maintain and/or acquire facilities, equipment, railcars and buses.

- **Fund 306 – Northern Virginia Regional Park Authority**
- **Fund 309 – Metro Operations and Construction**

# Fund 301
# Contributed Roadway Improvement Fund

## Focus

This fund was created specifically to account for proffered developer contributions received for roadway improvements throughout the County. Developer contributions are based on the developer rate schedule for road improvements in the Fairfax Center, Centreville, and Tysons Corner areas. This schedule is revised periodically by the Board of Supervisors based on the Consumer Price Index.

This fund is also used to provide matching funds to the state for projects identified by the Board of Supervisors in its consideration of the Virginia Department of Transportation (VDOT) Secondary Improvement Budget. Section 33.1-23.05B of the Code of Virginia enables the use of County funds for improvements to the secondary road system, and the Commonwealth Transportation Board has adopted a policy of providing a match of up to $1 million, through its Revenue Sharing Program, for roadway projects designated by a locality for improvement, construction or reconstruction.

In FY 2011, an amount of $110,000 in pooled interest earnings on existing balances is projected for Fund 301, Contributed Roadway Improvement Fund. This amount will be transferred to Fund 309, Metro Operations and Construction to provide the same level of annual support for shuttle bus service in the area of the Franconia/Springfield Metrorail Station.

No project funding is included in Fund 301 for FY 2011. Project funding will be appropriated at the fiscal year-end, consistent with the level of developer proffer revenue received during the fiscal year. This approach is being implemented in FY 2011 to ensure a conservative approach to Fund 301 project budgeting, recognizing that significant fluctuations can occur from year to year in the pace of development with a resulting impact on proffer contributions. In FY 2011, work will continue on existing and previously funded projects using project balances. It is noted that proffer contributions are typically accumulated over a number of years until a sufficient level of revenue support is achieved for a major improvement. In addition, project expenditures cannot begin until the terms of the proffer contribution are met.

A separate reserve project exists for each area for which contributions are received. These reserve projects are described below. As roadway improvement projects are identified that conform to the appropriate funding parameters within each of these areas, funding is reallocated from the reserve project to finance the improvements.

**Fairfax Center (Route 50/I-66) Area** – Commitments from developers in the Fairfax Center area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. The last time the developer rate was adjusted was in October 2008, at which time the rate schedule for road improvements in the Fairfax Center area increased from $5.07 to $5.25 per gross square foot of non-residential building structure and from $1,124 to $1,164 per residential unit. Ten percent of the developer's contribution is paid to the County at the time of the site plan approval. The balance of the amount due is paid as building permits are issued. As negotiated in individual proffer agreements, in-kind contributions of an equivalent value for road improvements can also be made in lieu of cash payments.

**Centreville Area** - Commitments from developers in the Centreville area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. The last time the developer rate was adjusted was in October 2008, at which time the rate schedule for road improvements in the Centreville area increased from $5.45 to $5.65 per gross square foot of non-residential building structure and from $2,153 to $2,230 per residential unit.

**Miscellaneous Contributions** – This project was created to serve as a source of funding for contributions received for miscellaneous roadway improvements throughout the County. Funds are reallocated to specific projects when required. Many different projects throughout the County are supported by this reserve within the following major categories: primary and secondary road improvements, bridge design and construction, intersection/interchange improvements, signal improvements and transit improvements.

# Fund 301
# Contributed Roadway Improvement Fund

**Tysons Corner Reserve** - This project accounts for private sector contributions received for the Tysons Corner area. Projects supported by this reserve include improvements to Dolley Madison Boulevard, proffered projects, and corridor/pedestrian improvements throughout the Tysons Corner area. The last time the developer rate was adjusted was in October 2008, at which time the rate schedule for road improvements in the Tysons area increased from $3.74 to $3.87 per gross square foot of non-residential building structure and from $830 to $859 per residential unit.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $41,811,123 due to the carryover of unexpended balances in the amount of $40,576,496 and a net increase of $1,234,627. This increase was based on higher than anticipated proffers received in the amount of $1,961,550, offset by lower than anticipated interest earnings of $726,923.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 301
# Contributed Roadway Improvement Fund

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 301, Contributed Roadway Improvement Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$36,481,887** | **$0** | **$40,660,701** | **$0** |
| Revenue: | | | | |
| VDOT Revenue[1] | $44,895 | $0 | $758,113 | $0 |
| Federal Transportation Administration[2] | 0 | 0 | 392,309 | 0 |
| Fairfax Center Developer Contributions | 1,174,327 | 750,000 | 750,000 | 0 |
| Centreville Developer Contributions | 142,362 | 50,000 | 50,000 | 0 |
| Miscellaneous Developer Contributions | 2,863,600 | 1,500,000 | 1,500,000 | 0 |
| Tysons Corner Reserve Contributions | 231,261 | 500,000 | 500,000 | 0 |
| Pooled Interest[3] | 858,754 | 765,996 | 765,996 | 110,000 |
| Total Revenue | $5,315,199 | $3,565,996 | $4,716,418 | $110,000 |
| **Total Available** | **$41,797,086** | **$3,565,996** | **$45,377,119** | **$110,000** |
| Total Expenditures | $1,026,385 | $3,455,996 | $45,267,119 | $0 |
| Transfers Out: | | | | |
| Metro Operations and Construction (309)[4] | $110,000 | $110,000 | $110,000 | $110,000 |
| Total Transfers Out | $110,000 | $110,000 | $110,000 | $110,000 |
| **Total Disbursements** | **$1,136,385** | **$3,565,996** | **$45,377,119** | **$110,000** |
| **Ending Balance [5, 6]** | **$40,660,701** | **$0** | **$0** | **$0** |

[1] Represents Virginia Department of Transportation (VDOT) Revenue associated with Project 008803, Route 29 Widening.

[2] Represents revenue associated with Project 009914, Job Access/Reverse Commute Pedestrian Projects in the Tysons Corner Area.

[3] Pooled interest is earned on the contributions as well as the accumulated fund balance in this fund.

[4] Represents funds to be transferred to Fund 309, Metro Operations and Construction, to support Metro shuttle bus service in the Franconia/Springfield area.

[5] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[6] The $40.7 million FY 2009 ending balance will meet capital project requirements in FY 2010 and future years. It is noted that proffered contributions cannot be expended until the terms of the proffer are met and until multiple contributions can be aggregated to meet total estimated costs of a project. As a result, a proffered contribution may be held in balance for several years, earning interest.

### FY 2011 Summary of Capital Projects

### Fund: 301 Contributed Roadway Improvement Fund

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 007700 | Fairfax Center Reserve | | $0.00 | $5,276,535.32 | $0 |
| 007701 | Route 50/Waples Mill Interchange | 4,583,618 | 6,350.03 | 484,211.08 | 0 |
| 007702 | Tall Timbers Drive | 1,450,000 | 25,237.94 | 97,040.20 | 0 |
| 008800 | Centreville Reserve | | 0.00 | 462,030.86 | 0 |
| 008801 | Stone Road | 2,084,903 | 87,477.41 | 90,190.59 | 0 |
| 008802 | Clifton Road | 5,128,595 | 0.00 | 91,954.52 | 0 |
| 008803 | Route 29 Widening | 1,455,771 | 72,464.78 | 607,925.52 | 0 |
| 008804 | Poplar Tree Road | 550,000 | 157,872.60 | 72,241.27 | 0 |
| 009900 | Miscellaneous Contributions | | 149,724.41 | 17,424,857.54 | 0 |
| 009901 | Primary Improvements | 424,584 | 0.00 | 424,584.00 | 0 |
| 009902 | Secondary Improvements | 1,033,765 | 0.00 | 36,297.00 | 0 |
| 009903 | Bridge Design/Construction | 8,369 | 0.00 | 8,369.00 | 0 |
| 009904 | Intersection/Interchange | 385,282 | 0.00 | 311,975.00 | 0 |
| 009906 | Signal Installations | 581,707 | 47,002.19 | 48,135.38 | 0 |
| 009908 | Transit Improvements | 32,325 | 0.00 | 5,381.59 | 0 |
| 009909 | Reston East Park-N-Ride | 103,862 | 0.00 | 103,862.00 | 0 |
| 009911 | Tysons Corner Reserve | | 420,306.00 | 14,309,885.19 | 0 |
| 009913 | Dolley Madison Blvd | 8,945,941 | 0.00 | 1,345,921.95 | 0 |
| 009914 | Job Access/Reserve Commute Pedestrian Improvements | 997,800 | 59,949.34 | 715,720.92 | 0 |
| 009915 | Tysons Corner Grid Concept | 2,500,000 | 0.00 | 2,500,000.00 | 0 |
| 009916 | Tysons Circulator Feasibility Study | 500,000 | 0.00 | 500,000.00 | 0 |
| 009917 | Tysons Metrorail Access Management | 350,000 | 0.00 | 350,000.00 | 0 |
| **Total** | | **$31,116,522** | **$1,026,384.70** | **$45,267,118.93** | **$0** |

# Fund 302
# Library Construction

## Focus

This fund supports the construction and renovation of a network of facilities operated by the Fairfax County Public Library that offer library services according to the needs of the community. Approved library construction projects have been primarily financed with General Obligation Bonds and are based on factors such as age and condition of buildings, long-range space needs, projected population growth, usage and demand for services in underserved areas of the County. New library facilities are designed to utilize new information resources delivery, and existing facilities from the early 1960s are being redesigned and renovated to maximize space, as well as accommodate modern technology.


***The opening of the Oakton Library on September 29, 2007.***

In the fall of 2004 the voters approved a Public Library Bond Referendum totaling $52.5 million for library projects. Funding provided for two new libraries, four renovation projects and prioritized capital renewal of libraries throughout the County. In order to ensure adequate facilities and address demands for services, the Burke Centre and Oakton libraries were constructed. The selection of libraries for renovation was based on the age, condition and usage at each facility. Four of the oldest libraries were included on the bond referendum for renovation and expansion. These libraries are between 30- and 40-years-old, could not readily be adapted to the requirements of modern technology, needed quiet study space and were recommended based on level of usage. Renovation and expansion construction of the Dolley Madison Community, Richard Byrd Community, Martha Washington Community, and Thomas Jefferson Community libraries is underway. Capital renewal, including the replacement of building subsystems such as HVAC, roof repairs, electrical systems and other emergency repairs, is in progress at prioritized libraries throughout the County. Renewal projects are reflected in Fund 317, Capital Renewal Construction.

No funding is included in Fund 302, Library Construction for FY 2011. Work will continue on existing and previously funded projects.

## Changes to <u>FY 2010 Adopted Budget Plan</u>

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the <u>FY 2010 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $31,228,743 due to the carryover of unexpended project balances in the amount of $31,214,466 and an adjustment of $14,277. This adjustment included the appropriation of $10,000 associated with a contribution received in FY 2009 for the Oakton Library and $4,277 in miscellaneous revenue received in FY 2009 associated with the sale of plans for various projects.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 302
# Library Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 302, Library Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$12,458,922** | **$0** | **$9,640,395** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $4,500,000 | $0 | $21,580,000 | $0 |
| Revenue from Fairfax City[2] | 0 | 0 | 8,348 | 0 |
| Developer Contributions[3] | 10,000 | 0 | 0 | 0 |
| Miscellaneous | 4,277 | 0 | 0 | 0 |
| Total Revenue | $4,514,277 | $0 | $21,588,348 | $0 |
| **Total Available** | **$16,973,199** | **$0** | **$31,228,743** | **$0** |
| Total Expenditures | $5,420,010 | $0 | $31,228,743 | $0 |
| Transfers Out: | | | | |
| General Fund (001)[4] | $1,912,794 | $0 | $0 | $0 |
| Total Transfers Out | $1,912,794 | $0 | $0 | $0 |
| **Total Disbursements** | **$7,332,804** | **$0** | **$31,228,743** | **$0** |
| **Ending Balance[5]** | **$9,640,395** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. The fall 2004 Public Library Facilities bond referendum approved by voters on November 2, 2004 included $52.5 million to provide new library facilities, as well as renovate existing libraries. Capital renewal bonds in the amount of $2.5 million are reflected in Fund 317, Capital Renewal Construction. The FY 2009 Actuals reflect an amount of $4.5 million sold in January 2009. In addition, an amount of $10.2 million was sold in October 2009, leaving a balance of $11.38 million in authorized but unissued bonds for this fund.

[2] Total revenue of $100,000 is anticipated to be received from the City of Fairfax as part of the Project Development Agreement to construct a new Fairfax City Regional Library. An amount of $91,652 was received through FY 2009 and $8,348 is anticipated in FY 2010.

[3] Represents revenue of $10,000 associated with a contribution in support of Oakton Library.

[4] The FY 2009 transfer out to the General Fund was based on the County Executive's October 2008 memo to the Board of Supervisors highlighting reductions taken to balance the FY 2009 budget. Several library projects are complete and remaining balances that may have been used to offset potential shortfalls in other projects were returned to the General Fund.

[5] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 302
# Library Construction

## FY 2011 Summary of Capital Projects

**Fund: 302 Library Construction**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 004822 | Library Contingency | | $0.00 | $773,235.73 | $0 |
| 004838 | Burke Centre Library | 10,447,254 | 161,764.97 | 346,092.70 | 0 |
| 004839 | Oakton Community Library | 6,475,000 | 41,316.65 | 374,789.57 | 0 |
| 004840 | Kingstowne Reg. Library | 3,799,302 | 10,738.17 | 176,282.79 | 0 |
| 004841 | Fairfax City Regional Library Renovation - Phase 2 | 674,112 | 9,858.94 | 0.00 | 0 |
| 004842 | Thomas Jefferson Community Library | 8,056,000 | 2,207,270.96 | 4,978,649.76 | 0 |
| 004843 | Richard Byrd Comm. Library | 9,360,081 | 1,762,903.61 | 6,604,567.53 | 0 |
| 004844 | Dolley Madison Comm. Library | 10,970,453 | 398,140.65 | 9,706,401.76 | 0 |
| 004845 | Martha Washington Comm. Library | 8,707,149 | 828,016.07 | 7,221,797.56 | 0 |
| 004850 | Feasibility Studies | | 0.00 | 1,046,925.14 | 0 |
| **Total** | | **$58,489,351** | **$5,420,010.02** | **$31,228,742.54** | **$0** |

# Fund 303
# County Construction

## Focus
This fund provides for critical park maintenance and repairs, as well as athletic field maintenance on both Park Authority and Fairfax County Public School (FCPS) fields. Funding is also provided for on-going initiatives such as development and management of the County's Laurel Hill property, environmental initiatives to support the Board of Supervisors 20-year Vision Plan and revitalization initiatives. In addition, this fund supports payments and obligations such as lease-purchase agreements, the acquisition of properties, construction and renovation projects associated with County facilities, and the County's annual contributions to the School-Age Child Care (SACC) Center Program and the Northern Virginia Community College.

Funding in the amount of $12,937,154 is included in Fund 303, County Construction, in FY 2011. Funding includes an amount of $11,537,154 supported by a General Fund Transfer, $300,000 supported by revenue bonds, and $1,100,000 supported by the Athletic Services Fee. It should be noted that funding has been limited to the most critical priority projects. A summary of those projects funded in FY 2011 follows:

**Park Maintenance Projects**

FY 2011 funding in the amount of $2,182,076 has been included for Park maintenance of both facilities and grounds. The Park facilities maintained with General Fund monies include but are not limited to: rental properties, historic properties, nature centers, maintenance facilities, sheds, shelters, and office buildings. Park priorities are based on the assessment of current repair needs including safety and health issues, facility protection, facility renewal and improved services. In addition, Park maintenance requirements are generated through scheduled preventative maintenance or from user requests for facility alterations. Without significant reinvestment in building and grounds, older facilities can fall into a state of ever decreasing condition and functionality, resulting in increased maintenance and repair costs in the future.

This level of funding is consistent with the FY 2010 Adopted Budget Plan level. Funding is essential to the maintenance and repair of building stabilization, including capital renewal of over 537,000 square feet of buildings. Preventative and repair work is required for roof replacement and repair, HVAC, electrical and lighting systems, fire alarm systems and security systems. Maintenance is also required on over 580 pieces of grounds equipment. Park maintenance funding has not been increased since FY 2007 and does not meet the annual demand. Funding has been extremely limited and must support increases in utility costs, park acreage and park facilities. Any reduction in this funding level would severely impact the ability of the agency to maintain the Park Authority assets. In addition, in FY 2011 proposed reductions in maintenance funding in the Park Authority General Fund operating budget total $1,061,186 including the elimination of 27/27.0 SYE positions. These maintenance reductions will result in delays or elimination of facility repairs, trash collection, mowing schedules, trail maintenance, tree trimming, equipment maintenance, maintenance on park trails, bridges, parking lots, stream banks and stormwater ponds. Reduced maintenance may also result in the closure of some park amenities such as bathroom facilities, water fountains, and picnic shelters. Based on the significant reductions in the Park operating budget, no further reductions are proposed for the park maintenance program within Fund 303.

Specific funding levels in FY 2011 include:

- An amount of $425,000 for general park maintenance at non-revenue supported Park facilities. These maintenance requirements include major non-recurring repairs and stabilization of new properties, as well as repairs/replacements and improvements to roofs, electrical and lighting systems, sprinklers, HVAC systems, and the replacement of security and fire alarm systems. In FY 2011, funding will provide for stabilization and repair work at the Elmore House ($150,000); replacement costs associated with security systems at several nature centers and park sites ($75,000); roof repairs at picnic shelters, maintenance shops, and nature centers throughout the County ($100,000); and roof repairs and painting at the Sully Historic Site ($100,000).

- An amount of $987,076 to fund annual requirements for Parks grounds maintenance at non-revenue supported parks. At present, responsibilities include the care for a total park acreage of over 22,600 acres of land, with 417 park site locations, maintenance and repair of tennis courts, basketball courts, trails, picnic areas and picnic shelters, playgrounds, bridges, parking lots and roadways, and stormwater ponds.

- An amount of $470,000 to provide corrective and preventive maintenance for over 537,000 square feet at non-revenue supported Park Authority structures and buildings. These repairs include the replacement of broken windows and doors, equipment repairs and the scheduled inspection and maintenance of HVAC, plumbing, electrical, security and fire alarm systems. This funding is critical in order to prevent the costly deterioration of facilities due to lack of maintenance.

- An amount of $300,000 to continue the implementation of Americans with Disabilities Act (ADA) compliance at Park facilities. FY 2011 funding will support the mitigation of the Department of Justice (DOJ) audit findings and continued retrofits at the Lake Fairfax Park camp office and bath house and a wheelchair platform lift at the Great Falls Grange.

**Athletic Field Maintenance and Sports Projects**

FY 2011 funding in the amount of $4,872,283 has been included for the athletic field maintenance and sports program. This level of funding is supported by a General Fund transfer of $3,772,283 and revenue generated from the Athletic Services Fee in the amount of $1,100,000. Of the Athletic Services Fee total, $250,000 will be dedicated to maintenance of school athletic fields, $500,000 will be dedicated to the Synthetic Turf Development Program, $275,000 will be dedicated to custodial support for indoor sports organizations and $75,000 will partially fund the Youth Sports Scholarship Program.

The FY 2011 level of support for Athletic Fields has been reduced by 10 percent or $541,365 from the FY 2010 Adopted Budget Plan level. Maintenance of athletic fields generally includes: mowing, trash removal, fertilization, pest management, infield maintenance and grooming, field lighting, fencing, irrigation, aeration, seeding and the provision of amenities and repairs. Of the total reduction, 70 percent or $381,365 is associated with FCPS athletic field maintenance and 30 percent or $160,000 is associated with Park athletic field maintenance. Unlike the maintenance that is performed on contracted FCPS fields, the Park Authority program must fund a comprehensive maintenance program at Park athletic sites to include all staffing, operating, utilities, capital and management costs. Therefore funding for the park program has consistently been higher to account for these support functions, and additional maintenance needs for numerous amenities, structures, benches, bleachers, fencing and field related structures. In addition, Park fields funding supports greater irrigation needs for 132 fields located at 41 park sites as compared to FCPS with 56 irrigated fields at 29 sites.

Reduced funding levels will result in the elimination of aeration and seeding at all elementary, middle schools, High School diamond fields, and all 289 park athletic fields. Repairs to bleachers and player benches; a reduction in mowing from 30 to 29 times per year; the elimination of warning track maintenance; and the elimination of vegetation control on infield skin areas is also proposed at school fields. Aeration and seeding and other general maintenance provide a consistent and safer playing surface. It is expected that field conditions and player satisfaction will decline and reduced playability will occur over time. Increased deterioration and unsafe conditions could result in playing fields being taken off line. In addition, the reductions will result in the loss of years of investment and returning fields to their current condition will be more costly in the future.

An alternative to this reduction in the field maintenance program is to raise the Athletic Service Fee from the current rate of $5.50 per season per participant to $8.00 per season per participant. This fee adjustment would offset the proposed reductions and avoid the deterioration of playing fields. Each $1.00 increase to the fee generates approximately $200,000 in revenue.

Specific funding levels in FY 2011 include:

- Two projects support maintenance efforts at Fairfax County Public School (FCPS) fields, totaling $1,357,283. An amount of $472,283 supports general maintenance including mowing at 505 athletic fields (approximately 176 school sites). This effort is supported entirely by the General Fund and is managed by the Park Authority. An additional amount of $885,000 is also dedicated to maintenance of school athletic fields to supplement general maintenance and directly applies revenue generated by the Athletic Services Fee to the athletic field maintenance program. This program provides twice weekly infield preparation on elementary, middle and high school game fields (107 fields); pre- or post-season infield renovations (219 fields); mowing on high school fields after June 1st (53 fields); and annual maintenance of irrigation systems (58 fields) and lighting systems (5 fields) that were previously installed. All field maintenance is coordinated between the Park Authority and the Department of Community and Recreation Services. Of the total funding, an amount of $250,000 is included for this program based on the FY 2011 projection of revenue generated from the Athletic Services Fee and $1,107,283 is supported by the General Fund. FY 2011 funding represents a decrease of $381,365 from the FY 2010 Adopted Budget Plan level of $1,738,648.

- An amount of $500,000 is included to support the Synthetic Turf Development Program. This program facilitates the development of synthetic turf fields in the County. Fields are chosen through a review process based on the need in the community, projected community use and the field location and amenities. Synthetic turf fields improve the capacity, safety, playability, and availability of existing athletic fields. Artificial fields offer a cost effective way of increasing capacity on fields at existing parks and schools. This effort is coordinated between the Park Authority and the Department of Community and Recreation Services and funding is provided from revenue generated from the Athletic Services Fee. In addition, on November 7, 2006, the voters approved a $25 million Park Bond Referendum of which $10 million was earmarked to fund the conversion of up to 12 fields from natural turf to synthetic turf.

- An amount of $275,000 is included for custodial support for indoor gyms used by sports organizations. The use of FCPS indoor facilities on the weekend requires FCPS to schedule a school system employee to open and close the facility. Revenue generated from the Athletic Services Fee is used to provide payment for FCPS staff, eliminating the need for indoor sports organizations to pay the hourly rate previously charged. This project is entirely supported by revenue generated from the Athletic Services Fee and managed by the Department of Community and Recreation Services.

- An amount of $2,340,000 is included for athletic field maintenance efforts, athletic field lighting and irrigation on 289 Park Authority athletic fields of which 99 are lighted and 132 are irrigated. The fields are used by 174,000 users and 200 user groups. In FY 2011, this amount has been reduced by $160,000 and 2/2.0 SYE General Fund positions within the Turf Management Program, which will eliminate aeration and seeding at all park fields. Remaining funding will support utility costs and general maintenance; with minimal funding for repairs of benches, fields, fences, lighting or irrigation, or for capital equipment replacement. This effort is supported entirely by the General Fund and is managed by the Park Authority.

- An amount of $200,000 is included to continue the replacement and upgrading of Fairfax County Public Schools (FCPS) athletic field lighting systems at middle and high schools used by many County organizations. Prior to FY 2010, two separate projects existed to fund FCPS athletic field lighting; one for boys' athletic fields and one for girls' softball fields. The Department of Community and Recreation Services combined the two field lighting projects in FY 2010 to allow for an improved prioritization and implementation process for field lighting projects throughout the County. Funding supports a replacement and repair schedule, as well as improvements to bring existing lighting systems up to new standards. The school system's Office of Design and Construction Services ensures lighting standards are maintained and FCPS annually prioritizes funding for field lighting. FY 2011 funding supports replacement and repair projects for existing lighting systems only. This project is supported entirely by the General Fund and coordinated by Department of Community and Recreation Services.

- An amount of $50,000 is included for routine maintenance of girls' softball field amenities on select Fairfax County Public School sites. These amenities, such as dugouts, fencing and irrigation systems, were added or constructed by the County based on recommendations from the citizen-led Action Plan Review Team (APRT) in order to reduce disparities in the quality of fields assigned to boys' baseball and girls' softball organizations. Routine maintenance is necessary both to maintain equity and to ensure safety. For five years, funding of $200,000 was provided to support Girls' Fast Pitch Field Maintenance improvements to various girls' softball fields throughout the County as requested by the Fairfax Athletic Inequities Reform (FAIR). Funding for the Girls' Fast Pitch Maintenance project ended in FY 2004. FY 2011 funding will provide maintenance to the improvements and amenities previously made to girls' softball fields. This project is supported entirely by the General Fund and coordinated by Department of Community and Recreation Services.

- An amount of $150,000 is included for the Youth Sports Scholarship Program. The Youth Sports Scholarship program provides support to youth from low-income families who want to participate in community-based sports programs. In FY 2009, youth sports scholarship recipients totaled 2,247. Of the total funding, an amount of $75,000 is included for this program based on the FY 2011 projection of revenue generated from the Athletic Services Fee, and $75,000 is supported by the General Fund.

**On-going Development Efforts**

FY 2011 funding in the amount of $2,049,859 has been included for costs related to on-going development efforts throughout the County, specifically:

- Funding of $1,559,859 is included to address only the most critical aspects of property management at the Laurel Hill property. Laurel Hill was transferred to the County by the federal government in early 2002. The property includes approximately 2,340 acres of land and 1.48 million square feet of building space. Of the amount funded in FY 2011, $1,262,739 will fund the Facilities Management Department's security, maintenance services, grounds maintenance and support staff. The remaining $297,120 will fund Park Authority critical maintenance activities and support staff.

- An amount of $100,000 is included for the Emergency Directives Program. The Emergency Directives Program was established to provide for abatement services of both emergency and non-emergency directives related to health and safety violations, grass mowing violations, and graffiti removal directives. The funds are used to perform corrective maintenance for code violations under Chapter 46, and Chapter 119, of the Fairfax County code, in which cited property owners fail to correct. There are several factors contributing to the recent increase in abatement services such as, development of new abatement requirements, and a significant increase in property foreclosures within the County.

- An amount of $390,000 is included to continue non-routine maintenance in four major commercial revitalization areas (Annandale, Route 1, Springfield and Baileys Crossroads). This funding provides for: fixing benches and furniture, signs that are broken; cutting grass to comply with the grass ordinance (12 inches); fixing broken brick pavers; pruning trees and replacing dead trees; and maintaining appropriate site distances (trimming). This funding partially supports the maintenance effort and does not fully fund the program. Funding for routine maintenance such as: mulching, fertilizing, broadleaf and weed control, edging, crack weed control, pest control, annual or perennial plantings, leaf removal in the fall, litter collection and removal of trash cans will be limited in FY 2011. It should be noted that an amount of $190,000 annually budgeted for revitalization efforts within the Office of Community Revitalization and

Reinvestment (OCRR) for marketing and consultant activities has been redirected in FY 2011 to assist with non-routine maintenance in the commercial areas. This funding is available to be redirected based on actual requirements and project balances within OCRR projects. An increase level of maintenance funding is required to keep pace with maintenance efforts in both existing and new revitalization areas. Annual funding for both programs will be re-evaluated in FY 2012.

### Road Improvement/Developer Default Projects

FY 2011 funding in the amount of $600,000 has been included for on-going developer default and road maintenance projects. Specific funding levels in FY 2011 include:

- Funding of $500,000 to support the Developer Default program. This project is necessitated by economic conditions surrounding the construction industry that result in some developers not completing required public facilities, including acceptance of roads by the state, walkways and storm drainage improvements. Land Development Services (LDS) will identify projects for resolution in FY 2011, as well as respond to requests to prepare composite cost estimates to complete specific developer default projects. Total FY 2011 funding in the amount of $500,000 is included for developer default projects of which $300,000 is projected in developer default revenue, and $200,000 is supported by the General Fund.

- Funding of $100,000 to support the Emergency Road Repairs program and the Road Maintenance program, which were combined in FY 2010. Staff will prioritize funding for projects including emergency safety and road repairs to County-owned service drives and County-owned stub streets which are currently not accepted by the Virginia Department of Transportation (VDOT) into the state highway system for maintenance and other on-going road maintenance work. On-going road maintenance includes, but is not limited to, pothole repair, drive surface overlays, sidewalk and curb repairs, traffic and pedestrian signage, hazardous tree removal, grading, snow and ice control, replacement of substandard materials, patching of existing travelways, minor ditching and stabilization of shoulders, slopes and drainage facilities.

### Environmental Initiatives

FY 2011 funding in the amount of $25,000 has been included for environmental initiatives.

- An amount of $25,000 is included to provide funding for initiatives that directly support the Board of Supervisors Environmental Agenda. The Environmental Excellence 20-year Vision Plan (Environmental Agenda) includes six topic areas: Growth and Land Use; Air Quality and Transportation; Water Quality; Solid Waste; Parks, Trails and Open Space; and Environmental Stewardship. FY 2011 funding provides for continued outreach efforts for air quality awareness in order to fulfill the County's commitment to the State Implementation Plan (SIP) for Clean Air Partners. Funding will support outreach efforts to educate residents, employees and businesses to take voluntary actions that will improve the air quality in the region, as well as to collaborate with Clean Air Partners in their efforts to raise awareness of air pollution and continue the County's participation as a business sponsor in their media campaign. In addition, an amount of $87,210 has been provided in Fund 119, Contributory Fund to continue partnering with three non-profit agencies to support tree planting efforts throughout the County.

**Payments and Obligations**

FY 2011 funding in the amount of $3,207,936 has been included for costs related to annual contributions and contractual obligations.

- Funding of $1,036,289 is included for the sixth of 21 scheduled payments for the Salona property based on the Board of Supervisors' approval of the purchase of the conservation easement on September 26, 2005. The total cost of the property is $18.2 million with payments scheduled through FY 2026.

- Funding of $750,000 is included for the County's annual contribution to offset school operating and overhead costs associated with new School-Aged Child Care (SACC) Centers.

- Funding of $1,271,647 is included for Fairfax County's contribution to the Northern Virginia Community College (NVCC). Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system. In FY 2003, Fairfax County began a phased approach to increase the capital contribution to NVCC, which reached $1.00 per capita in FY 2006. Since then, the County contribution has remained unchanged at $1.00 per capital; however, in FY 2011 the funding level reflects $1.25 per capita based on a population figure provided by the Weldon Cooper Center. The County contribution has been increased in FY 2011 due to the unprecedented 12 percent growth in the NVCC student enrollment and the corresponding capital program requirements. In the fall of 2009, the NVCC began serving approximately 72,500 students surpassing all previous expectations of growth and capital planning. It is estimated that the NVCC serves an average of 20 percent of each high school graduating class in addition to increased support for local workers seeking new skills in a tough job market. The NVCC capital plan has recently been adjusted to keep pace with this accelerated enrollment and it is anticipated that capital contributions from the partners will be adjusted gradually to avoid a major commitment from supporting jurisdictions in any given year. It is projected that the per capita support from the NVCC partners could reach $2.50 per capita in the next six years. The NVCC has indicated that every dollar contributed to the capital program leverages $29 in state funds back to Northern Virginia.

- Funding of $150,000 is included to support payments to developers for interest earned on conservation bond deposits. The County requires developers to contribute funds to ensure the conservation of existing natural resources. Upon satisfactory completion of projects, the developer is refunded the deposit with interest. This estimate is based on actual experience in the past several years.

# Fund 303
# County Construction

## Changes to <u>FY 2010 Adopted Budget Plan</u>

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the <u>FY 2010 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $44,393,919 due to the carryover of unexpended project balances in the amount of $45,104,477 and various adjustments resulting in a net decrease of $710,558. These adjustments include: a decrease of $1,500,000 associated with a transfer from Project 009510, Construction Inflation Reserve in Fund 303 to Fund 312, Public Safety Construction to support the Courthouse Expansion and renovation project. This transfer was required due to costs associated with the Courthouse project including, a delay in the completion of the project, additional code requirements primarily associated with ADA restroom upgrades, asbestos removal costs, additional exterior and interior signage, and additional fire alarm costs. This decrease was partially offset by an increase of $789,442. Increases included: the appropriation of revenues received in FY 2009 associated with: higher than anticipated Athletic Service Fee revenue of $196,818, additional revenues in the amount of $544 associated with reimbursement from the General Services Administration for asbestos removal at the Laurel Hill property, additional developer default revenue of $293,080 based on the current construction environment, additional revenue of $75,896 associated with collections from homeowners, banks, or settlement companies, for the abatement services of both emergency and non-emergency directives related to health and safety violations, grass mowing violations and graffiti removal at foreclosed homes, and an amount of $2,948 in miscellaneous revenues associated with the sale of plans. In addition, an amount of $220,156 was transferred in to Fund 303 from Fund 340, Housing Assistance Program for the blight abatement program which will no longer be managed by Housing and Community Development and will be managed by Land Development Services through the Strike Team group.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 303
# County Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 303, County Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$59,873,135** | **$0** | **$45,293,760** | **$0** |
| Revenue: | | | | |
| Micellaneous[1] | $78,844 | $0 | $0 | $0 |
| Sale of Bonds[2] | 1,000,000 | 0 | 0 | 0 |
| Developer Payments-Streetlights[3] | 0 | 0 | 75,003 | 0 |
| Hunter Mill Streetlight Contributions[4] | 0 | 0 | 95,000 | 0 |
| Developer Defaults | 593,080 | 300,000 | 300,000 | 300,000 |
| State Aid[5] | 100,000 | 0 | 210,000 | 0 |
| Federal Aid[6] | 228,165 | 0 | 0 | 0 |
| Athletic Services Out of County Fees[7] | 0 | 115,000 | 115,000 | 0 |
| Maintenance Fee Revenue[8] | 1,146,818 | 1,100,000 | 1,100,000 | 1,100,000 |
| Total Revenue | $3,146,907 | $1,515,000 | $1,895,003 | $1,400,000 |
| Transfer In: | | | | |
| General Fund (001) | $13,487,601 | $12,109,784 | $12,109,784 | $11,537,154 |
| Housing Assistance Program (340)[9] | 0 | 0 | 220,156 | 0 |
| Cable Communications (105)[10] | 1,090,000 | 0 | 0 | 0 |
| Total Transfers In | $14,577,601 | $12,109,784 | $12,329,940 | $11,537,154 |
| **Total Available** | **$77,597,643** | **$13,624,784** | **$59,518,703** | **$12,937,154** |
| Total Expenditures | $24,735,959 | $13,624,784 | $58,018,703 | $12,937,154 |
| Transfers Out: | | | | |
| General Fund (001)[11] | $7,567,924 | $0 | $0 | $0 |
| Public Safety Construction (312)[12] | 0 | 0 | 1,500,000 | 0 |
| Total Transfers Out | $7,567,924 | $0 | $1,500,000 | $0 |
| **Total Disbursements** | **$32,303,883** | **$13,624,784** | **$59,518,703** | **$12,937,154** |
| **Ending Balance[13]** | **$45,293,760** | **$0** | **$0** | **$0** |

[1] Miscellaneous receipts in FY 2009 represent an amount of $75,896 received for Project ED0001, Emergency Directives associated with the collection of funds from homeowners, banks, or settlement companies, for grass mowing and health/safety clean up activities at foreclosed properties. In addition, an amount of $2,948 in miscellaneous revenue is associated with sale of plans.

[2] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. The fall 2006 Public Safety Bond Referendum included an amount of $24.8 million to fund capital renewal and security improvements at public safety, civil and criminal justice facilities as well as land acquisition for the Herndon Fire Station. An amount of $1.0 million was sold as part of the January 2009 bond sale for County security enhancements at public safety facilities.

[3] Reflects developer payments for Project Z00002, Developer Streetlight Program.

[4] Revenue anticipated for Project Z00025, Hunter Mill District Streetlights.

[5] Represents state aid in the amount of $310,000 including $210,000 associated with Project 009452, Burke Centre and Rolling VRE lots, which was not received in FY 2008 and is anticipated in FY 2010 and $100,000 received in FY 2009 for Project V00003, Road Viewers Program.

[6] Represents revenues from the General Services Administration to support asbestos mitigation efforts at identified Laurel Hill properties.

[7] FY 2010 represents revenues associated with the increase to the adult out-of-county sports fees from $20 to $30 per participant per season and the implementation of a youth out-of-county fee of $30 per participant per season. In order to properly reflect all revenues associated with Out-of-County fees in one place, beginning in FY 2011 all Out-of-County fees will be captured within General Fund revenues.

[8]Represents revenue generated by the Athletic Field Application Fee to support Project 005012, Athletic Services Fee - Field Maintenance, Project 005013, Athletic Services Fee - Turf Field Development, Project 005014, Athletic Services Fee - Custodial Support, and Project Athletic Field Application Fee - Sports Scholarships.

[9] FY 2010 reflects a Transfer In from Fund 340, Housing Assistance Program of $220,156 for blight abatement initiatives throughout the County.

[10] FY 2009 reflects a Transfer In from Fund 105, Cable Communications of $1,090,000 to support Project 009432, Telecommunication and Network Connections.

[11] Represents a Transfer Out to the General Fund based on the County Executive's October 2008 memo to the Board of Supervisors highlighting reductions taken to balance the FY 2009 budget. Several projects were complete or had been delayed and remaining balances that may have been used to offset potential shortfalls in other projects were recommended to be returned to the General Fund.

[12] Represents a Transfer Out to Project 009209, Courthouse Expansion and Renovation, in Fund 312, Public Safety Construction to help support construction costs associated with the project.

[13]Capital projects are budgeted based on total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 303
# County Construction

## FY 2011 Summary of Capital Projects

### Fund: 303 County Construction

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 001008 | South County Animal Shelter | $10,058 | $0.00 | $0.00 | $0 |
| 001035 | Data Center Reconfigurations | 447,491 | 0.00 | 0.00 | 0 |
| 004999 | Boys' 90' Athletic Field Lighting | | 99,999.80 | 0.00 | 0 |
| 005000 | Girls' Softball Field Lighting | | 362,000.66 | 970.36 | 0 |
| 005004 | FCPS Athletic Fields - Matching Program | | 31,131.70 | 14,789.38 | 0 |
| 005006 | Park Maintenance of FCPS Fields | | 533,609.22 | 1,063,090.11 | 472,283 |
| 005007 | Wakefield Softball Complex | 299,338 | 0.00 | 0.00 | 0 |
| 005009 | Athletic Field Maintenance | | 1,869,210.84 | 3,023,999.39 | 2,340,000 |
| 005012 | Athletic Services Fee - Field Maintenance | | 1,050,274.02 | 1,202,579.73 | 885,000 |
| 005013 | Athletic Services Fee - Turf Field Development | | (166,662.48) | 1,114,918.38 | 500,000 |
| 005014 | Athletic Services Fee - Custodial Support | | 238,991.54 | 314,364.00 | 275,000 |
| 005016 | FCPS Athletic Field Lighting Requirements | | 0.00 | 462,000.00 | 200,000 |
| 005020 | APRT-Amenity Maintenance | | 23,360.04 | 76,639.96 | 50,000 |
| 005021 | Athletic Fields-Sports Scholar | 300,000 | 0.00 | 150,000.00 | 150,000 |
| 007012 | School Aged Child Care Contribution | | 750,000.00 | 750,000.00 | 750,000 |
| 008043 | Northern Virginia Community College | | 1,016,483.00 | 1,012,512.00 | 1,271,647 |
| 009400 | Land Acquisition Reserve | | 0.00 | 1,000,000.00 | 0 |
| 009406 | ADA Compliance - Countywide | | 160,532.26 | 233,290.80 | 0 |
| 009416 | ADA Compliance - FCPA | | 342,706.74 | 598,415.01 | 300,000 |
| 009417 | Parks - General Maintenance | | 604,221.48 | 896,190.94 | 425,000 |
| 009422 | Maintenance - CRP | | 415,807.52 | 221,937.83 | 390,000 |
| 009425 | South County Government Center | 5,711,712 | 6,460.17 | 204,523.31 | 0 |
| 009429 | Security Improvements | | 0.00 | 199,726.77 | 0 |
| 009432 | Telecommunication and Network Connections | | 713,594.84 | 2,077,449.23 | 0 |
| 009438 | Forensics Facility | 11,500,000 | 2,189,599.06 | 0.00 | 0 |
| 009442 | Parks - Grounds Maintenance | | 818,015.66 | 1,219,033.46 | 987,076 |
| 009443 | Parks - Facility/Equip. Maint. | | 360,361.02 | 680,770.89 | 470,000 |
| 009444 | Laurel Hill Development | | 2,084,672.03 | 3,504,582.12 | 1,559,859 |
| 009451 | Providence District Supv's Office | 15,000 | 2,498.41 | 12,501.59 | 0 |
| 009461 | Public Facilities at Laurel Hill | 18,200,000 | 0.00 | 18,200,000.00 | 0 |
| 009464 | Katherine K. Hanley Family Shelter | 3,890,603 | 25,382.01 | 64,091.07 | 0 |
| 009467 | Mott Community Center | 600,000 | 23,739.75 | 356,449.72 | 0 |
| 009468 | Braddock District Capital Projects | | 6,985.07 | 99,173.71 | 0 |
| 009469 | Dranesville District Capital Projects | | 39,256.90 | 327,205.83 | 0 |
| 009470 | Hunter Mill District Capital Projects | | 945.50 | 319,672.04 | 0 |
| 009471 | Lee District Capital Projects | | 5,429.56 | 206,646.91 | 0 |
| 009472 | Mason District Capital Projects | | 3,677.73 | 89,922.68 | 0 |
| 009473 | Mount Vernon District Capital Projects | | 31,186.87 | 112,744.37 | 0 |
| 009474 | Providence District Capital Projects | | 56,085.10 | 122,554.45 | 0 |
| 009475 | Springfield District Capital Projects | | 8,242.31 | 115,049.80 | 0 |
| 009476 | Sully District Capital Projects | | 74,386.01 | 79,469.37 | 0 |
| 009477 | At Large (Countywide) Capital Projects | | 0.00 | 35,772.48 | 0 |
| 009478 | Laurel Hill Cemetery | 75,000 | 10,196.60 | 615.47 | 0 |
| 009483 | Government Center Amphitheater | 223,024 | 66,516.81 | 0.00 | 0 |
| 009484 | Prioritized Feasibility Studies | | 29,920.12 | 152,048.95 | 0 |
| 009485 | Animal Shelter Improvements | 53,315 | 0.00 | 0.00 | 0 |

# Fund 303
# County Construction

## FY 2011 Summary of Capital Projects

### Fund: 303 County Construction

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 009489 | Road Improvements - Wolf Trap FS | 400,000 | 90,783.00 | 252,017.00 | 0 |
| 009491 | Burke Station VRE Trails | 1,338,869 | 31,493.09 | 367,914.52 | 0 |
| 009493 | VDOT Administration Building | | (0.00) | 0.00 | 0 |
| 009494 | Salona Property | | 1,080,149.26 | 1,058,477.06 | 1,036,289 |
| 009495 | Emergency Management Initiatives | 757,958 | 1,296.30 | 235,151.88 | 0 |
| 009499 | Invasives Management - Environmental Agenda Project | 550,000 | 53,407.66 | 291,947.54 | 0 |
| 009500 | Newington DVS Garage Renovation Feasibility Study | 164,000 | 0.00 | 21,605.58 | 0 |
| 009501 | Trail Mapping - Environmental Agenda Project | 160,000 | 0.00 | 22,709.77 | 0 |
| 009503 | Organizational Initiatives | 312,500 | 0.00 | 100,000.00 | 0 |
| 009504 | Enterprise and Technology Operations Center (ETOC) Renovation | 6,306,564 | 4,242,722.98 | 0.00 | 0 |
| 009505 | North County Human Services Center Expansion Feasibility Study | 25,000 | 0.00 | 0.00 | 0 |
| 009506 | Transportation Studies | 2,350,000 | 349,317.65 | 892,902.86 | 0 |
| 009507 | Community/Project Planning and Design | 1,880,000 | 650,856.91 | 982,203.67 | 0 |
| 009508 | Countywide Security Enhancements | 1,000,000 | 6,391.85 | 187,932.82 | 0 |
| 009509 | Bond Funded Security Enhancements | 1,000,000 | 192,290.46 | 0.00 | 0 |
| 009520 | Health Department Lab | 6,500,000 | 320,124.52 | 5,929,421.93 | 0 |
| 009522 | Lorton Community Center | 100,000 | 11,857.99 | 660.65 | 0 |
| 009524 | Prevention Incentive Fund | 748,355 | 219,545.57 | 512,190.96 | 0 |
| 009526 | Police Video Surveillance Project | 352,250 | 147,026.91 | 205,223.09 | 0 |
| 009527 | Herndon Monroe Garage Remedial Work | 625,000 | 156,753.33 | 132,483.10 | 0 |
| 009700 | Environmental Agenda Initiatives | | 325,668.09 | 830,468.43 | 25,000 |
| 009701 | East County Human Services Center | 125,000 | 38,821.55 | 68,259.49 | 0 |
| 009800 | Revitalization Initiatives | 2,089,790 | 42,373.37 | 1,976,912.03 | 0 |
| 009801 | Strike Force Blight Abatement | | 0.00 | 220,156.00 | 0 |
| 009998 | Payments Of Interest On Bonds | | 125,121.30 | 133,799.83 | 150,000 |
| CG0046 | Contingency Fund 303 | | 0.00 | 452,027.47 | 0 |
| ED0001 | Emergency Directives Program | | 123,467.57 | 250,928.43 | 100,000 |
| U00005 | Survey Control Network Monumentation | | 81,714.70 | 170,749.01 | 0 |
| U00060 | Developer Defaults | | 1,210,520.05 | 1,324,624.60 | 500,000 |
| V00002 | Emergency Road Repairs | | 150,298.98 | 103,541.31 | 100,000 |
| V00003 | Road Viewers Project | | 0.00 | 347,014.00 | 0 |
| V00004 | Road Maintenance Program | | 75,630.10 | 170,425.56 | 0 |
| Z00001 | Street Lights | | 802.10 | 7,635.47 | 0 |
| Z00002 | Developer Street Light Program | | 0.00 | 48,218.96 | 0 |
| Z00005 | Route 123 Bridge Streetlights | 43,645 | 0.00 | 43,645.00 | 0 |
| Z00015 | Hunter Mill District St. Light Fund | 52,390 | 0.00 | 52,390.00 | 0 |
| Z00016 | Minor Street Light Upgrades | | 8,957.88 | 51,266.08 | 0 |
| Z00032 | Safety Enhancement at Bus Shelters/Stops | 1,850,000 | 1,109,748.26 | 258,096.30 | 0 |
| **Total** | | **$70,056,862** | **$24,735,959.30** | **$58,018,702.51** | **$12,937,154** |

# Fund 304
# Transportation Improvements

## Focus

This fund supports the land acquisition, design and construction of County transportation improvements. During the 1981 Session of the Virginia General Assembly, legislation was approved that authorized counties with a population over 125,000 to undertake secondary roadway improvements through the use of General Obligation bond revenues or General Fund revenues. Prior to this action, the construction and maintenance of all roadways in Fairfax County had been the exclusive responsibility of the Virginia Department of Transportation (VDOT). The existing road bond program is supported by General Obligation bonds approved by the voters in November 2004 and November 2007.

Fund 304, Transportation Improvements funding for various roadway projects is used in conjunction with revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), authorizing a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 124, County and Regional Transportation Projects, where a rate of 11 cents per $100 assessed value is included in the FY 2011 Advertised Budget Plan, the same level as approved by the Board of Supervisors in FY 2009 and FY 2010. Spot Improvement projects once funded within Fund 304, consisting of quick-hit projects such as turn lanes, sidewalk and trail connections to improve mobility, enhance safety, and provide relief for transportation bottlenecks, continue to be supported in FY 2011 through the Fund 124 commercial and industrial real estate tax.

No funding is included in Fund 304, Transportation Improvements, for FY 2011. Work will continue on existing and previously funded projects.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $141,271,306 due to the carryover of unexpended project balances in the amount of $141,711,476 and other adjustments reflecting a decrease of $440,170. These adjustments included the appropriation of $50,097 in miscellaneous revenue received in FY 2009 associated with the sale of plans and with land certificate revenue for various projects, as well as a decrease of $490,267 for completed Governor Congestion Relief Program projects. Anticipated Governor Congestion Relief Program revenues from the Virginia Department of Transportation (VDOT) were reduced by a commensurate amount.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 304
# Transportation Improvements

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 304, Transportation Improvements**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **($4,001,589)** | **$0** | **$2,113,022** | **$0** |
| Revenue: | | | | |
| Bond Sale[1] | $14,500,000 | $0 | $122,560,000 | $0 |
| State Grant[2] | 9,089 | 0 | 2,361,936 | 0 |
| VDOT Reimbursement[3] | 1,926,978 | 0 | 12,457,143 | 0 |
| VDOT State Secondary Road Funds[4] | 1,024,996 | 0 | 1,608,961 | 0 |
| Governor's Congestion Relief Program[5] | 42,471 | 0 | 170,244 | 0 |
| Miscellaneous | 50,097 | 0 | 0 | 0 |
| Total Revenue | $17,553,631 | $0 | $139,158,284 | $0 |
| Transfer In: | | | | |
| County Roadway Improvement (300)[6] | $519,809 | $0 | $0 | $0 |
| Total Transfers In | $519,809 | $0 | $0 | $0 |
| **Total Available** | **$14,071,851** | **$0** | **$141,271,306** | **$0** |
| Total Expenditures | $11,958,829 | $0 | $141,271,306 | $0 |
| **Total Disbursements** | **$11,958,829** | **$0** | **$141,271,306** | **$0** |
| **Ending Balance[7]** | **$2,113,022** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bonds sales are based on cash needs in accordance with Board policy. In November 2004, voters approved a Transportation Bond Referendum in the amount of $165 million, of which $55 million was included for roadway and pedestrian improvements in Fund 304. In November 2007, the voters also approved a Transportation Bond Referendum in the amount of $110 million. The FY 2009 Actuals reflect an amount of $14.5 million sold in January 2009. In addition, an amount of $12.56 million from the 2004 referendum and $1.54 million from the 2007 referendum were sold in October 2009, leaving a balance of $108.46 million in authorized but unissued bonds for this fund.

[2] Reflects Virginia National Defense Industrial Authority grants approved by the Board of Supervisors in FY 2007 and FY 2008 for spot transportation improvements and travel demand management related to the Fort Belvoir Base Alignment. Through FY 2009, an amount of $9,089 has been received, and $2,361,936 is anticipated in FY 2010 and beyond.

[3] Under previous agreements with the Virginia Department of Transportation (VDOT), a total of $21,985,000 in revenue is associated with Project 064233, Spring Hill Road ($10,900,000), Project 064246, South Van Dorn/Franconia Interchange ($7,585,000) and Project 064248, Fairfax County Parkway Widening ($3,500,000). Through FY 2009, an amount of $9,527,857 has been received, and $12,457,143 is anticipated in FY 2010 and beyond.

[4] FY 2010 reflects the balance of anticipated revenue from VDOT State Secondary Road funds associated with Project 064267, Pedestrian Improvements-VDOT ($54,461) and anticipated VDOT revenue for Countywide Pedestrian Safety and Access Improvements ($1,554,500).

[5] Reflects revenue previously approved under the Governor's Congestion Relief Program for improvements to County intersections to meet air quality standards and relieve traffic congestion. Through FY 2009, an amount of $1,214,489 has been received, and $170,244 is anticipated in FY 2010 and beyond.

[6] Due to the small number of active projects in Fund 300, Contributed Roadway Improvement Fund, revenue and expenditure balances were transferred to Fund 304, Transportation Improvements, in FY 2009.

[7] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 304
# Transportation Improvements

## FY 2011 Summary of Capital Projects

### Fund: 304 Transportation Improvements

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 006490 | Construction Reserve | | $0.00 | $345,189.44 | $0 |
| 006495 | Wiehle Avenue | 15,528,638 | 17,186.00 | 197,790.89 | 0 |
| 006616 | Gallows/Annandale/Hummer | 427,310 | 922.16 | 426,387.84 | 0 |
| 064103 | S. Van Dorn /I-95 Interchange | 11,300,211 | 0.00 | 367,931.37 | 0 |
| 064130 | Advanced Preliminary Engineering | | 13,826.85 | 59,603.06 | 0 |
| 064134 | S. Van Dorn St. Phase III | 8,382,086 | 246.80 | 304,586.47 | 0 |
| 064138 | Centreville Road | 1,050,000 | 0.00 | 50,000.00 | 0 |
| 064146 | FC PKWY - Rt. 123 to Hooes Rd./Pohick | 28,090,345 | 3,983.64 | 616,063.63 | 0 |
| 064147 | Pohick Road Connector | 3,752,553 | 61.70 | 599,192.11 | 0 |
| 064153 | Burke Centre Parkway | 950,000 | 0.00 | 57,077.49 | 0 |
| 064193 | Centreville Road/Fox Mill | 165,000 | 0.00 | 163,920.80 | 0 |
| 064198 | Westmoreland St./Kirby Road | 354,968 | 0.00 | 25,000.00 | 0 |
| 064210 | Revenue Sharing Match | 500,000 | 0.00 | 498,750.06 | 0 |
| 064212 | Spot Improvements | | 0.00 | 73,575.00 | 0 |
| 064228 | Rt. 29/Bull Run Post Office | 1,372,410 | 8,143.22 | 0.00 | 0 |
| 064231 | Leesburg Pike/Pimmit Dr. | 1,403,382 | 4,745.64 | 0.00 | 0 |
| 064233 | Spring Hill Road | 10,912,420 | 2,220,622.68 | 4,264,376.48 | 0 |
| 064237 | Roberts Road/Braddock Road | 644,444 | 13,877.15 | 93,945.36 | 0 |
| 064241 | Beauregard Street Median | 42,284 | 1,392.83 | 0.00 | 0 |
| 064243 | Poplar Tree Road | 572,807 | 987.20 | 0.00 | 0 |
| 064245 | Reston Parkway/South Lakes Drive | 341,461 | 431.90 | 0.00 | 0 |
| 064246 | South Van Dorn/Franconia Interchange | 7,585,000 | 43,978.47 | 5,937,681.35 | 0 |
| 064248 | Fairfax County Parkway Widening | 3,500,000 | 299,766.35 | 466,055.28 | 0 |
| 064249 | Planning for 4 Year Transportation Plan | 0 | 0.00 | 448,624.52 | 0 |
| 064251 | Guinea Road/Falmead Road | 150,000 | 24,788.92 | 71,391.76 | 0 |
| 064257 | Centreville Road Trail at Dulles | 290,000 | 40,331.43 | 104,987.95 | 0 |
| 064258 | Colts Neck Road Trail/Sidewalk | 366,000 | 20,330.29 | 210,991.56 | 0 |
| 064267 | Pedestrian Improvements - VDOT | 3,017,325 | 770,958.04 | 1,022,103.60 | 0 |
| 064268 | FTA - Richmond Highway Public Transportation Initiative | 500,000 | 0.00 | 500,000.00 | 0 |
| 064270 | Beverly Road/Fleetwood Road | 120,000 | 18,842.06 | 67,903.62 | 0 |
| 064271 | Annandale Road/Kerns Road | 350,000 | 14,730.40 | 283,767.59 | 0 |
| 064272 | South Van Dorn Street Walkway | 312,438 | 191,049.29 | 0.00 | 0 |
| 064273 | Silverbrook Hooes Road Intersection | 350,000 | 36,405.06 | 188,868.98 | 0 |
| 064274 | Route 29 Walkway | 250,000 | 34,094.57 | 130,466.12 | 0 |
| 064275 | Braddock Road/Rt 123 RTL | 400,000 | 228,820.93 | 107,720.02 | 0 |
| 064276 | West Ox Rd/FC Parkway | 500,000 | 104,328.95 | 288,428.94 | 0 |
| 064287 | VNDIA Grant Projects | 2,500,000 | 0.00 | 2,500,000.00 | 0 |
| 064288 | FC PKWY - Sunrise Valley Dr. | 1,160,034 | 92,455.36 | 1,024,551.22 | 0 |
| 4YP012 | South Kings Highway/Harrison Lane | 3,000,000 | 894,365.64 | 1,695,116.16 | 0 |
| 4YP013 | Route 236/Beauregard Street | 2,180,000 | 801,812.05 | 318,007.17 | 0 |
| 4YP014 | Braddock Road/Route 236 | 1,440,000 | 423,452.35 | 84,280.82 | 0 |
| 4YP017 | Stringfellow Road Widening | 16,000,000 | 0.00 | 13,000,086.92 | 0 |
| 4YP018 | Centreville Road Widening | 29,000,000 | 0.14 | 1,547,962.66 | 0 |
| 4YP020 | Hunter Mill Rd. Walkway | 840,000 | 222,029.93 | 261,915.93 | 0 |
| 4YP201 | Pedestrian Improvements-Bond Funded | 15,000,000 | 2,982,666.73 | 10,985,355.10 | 0 |
| 4YP202 | Bus Stop Improvements | 7,750,000 | 196,871.96 | 7,553,128.04 | 0 |
| 4YP203 | Braddock Road/Backlick Road | 500,000 | 79,424.17 | 357,856.07 | 0 |
| 4YP204 | Shirley Gate Road/Route 29 | 1,000,000 | 244,497.08 | 714,382.70 | 0 |
| 4YP205 | Zion Drive | 1,000,000 | 133,628.22 | 819,959.39 | 0 |

# Fund 304
# Transportation Improvements

## FY 2011 Summary of Capital Projects

**Fund: 304 Transportation Improvements**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 4YP206 | Route 7 | 750,000 | 82,647.22 | 667,352.78 | 0 |
| 4YP207 | Braddock Road/Thomas Jefferson High School | 500,000 | 125,592.54 | 327,875.61 | 0 |
| 4YP208 | Gallows Road Bike Lanes | 3,000,000 | 0.00 | 3,000,000.00 | 0 |
| 4YP209 | FFX County Pkwy/ Route 29 to Braddock Road | 1,000,000 | 0.00 | 1,000,000.00 | 0 |
| 4YP210 | Poplar Tree Road Widening | 5,000,000 | 82,572.02 | 4,894,868.59 | 0 |
| 4YP211 | Stringfellow Road Widening | 21,000,000 | 0.00 | 21,000,000.00 | 0 |
| 4YP212 | Lee Highway Widening | 4,000,000 | 282,036.65 | 3,709,033.80 | 0 |
| 4YP213 | Lorton Road | 20,158,244 | 885,343.20 | 18,677,011.95 | 0 |
| 4YP214 | Cinder Bed Road | 5,000,000 | 314,580.90 | 4,660,179.89 | 0 |
| 4YP215 | Base Realignment and Closure | 8,500,000 | 0.00 | 8,500,000.00 | 0 |
| 4YP216 | Nov07 Bond Referendum Transit | 16,000,000 | 0.00 | 16,000,000.00 | 0 |
| **Total** | | **$269,759,359** | **$11,958,828.69** | **$141,271,306.09** | **$0** |

# Fund 306
# Northern Virginia Regional Park Authority

## Focus

This fund supports Fairfax County's annual capital contribution to the Northern Virginia Regional Park Authority (NVRPA). The Northern Virginia Regional Park Authority was founded in 1959 with a focus on land conservation. The Park system includes 21 parks and will soon exceed 11,000 acres of land, over 100 miles of trails, numerous historic sites, five waterparks, two family campgrounds, three golf courses, a nature center, botanical gardens, rental cabins and cottages, five marinas, and nearly 30 miles of protected shoreline along major rivers and reservoirs. Parklands within the system include: Bull Run, Bull Run Marina, Fountainhead, Gateway, Hemlock Overlook, Meadowlark Botanical Gardens, Occoquan, Pohick Bay, Sandy Run, the Washington & Old Dominion (W&OD) Trail, Aldie Mill, Algonkian, Ball's Bluff, Blue Ridge, Brambleton, Cameron Run, Carlyle House Historic Park, Potomac Overlook, Red Rock, Temple Hall and Upton Hill. In addition, the NVRPA administers extensive regional historic and conservation properties throughout Northern Virginia. In Fairfax County, NVRPA owns nearly 8,000 acres – most of which protect environmentally sensitive watersheds along the Potomac, Bull Run and Occoquan Rivers.

NVRPA generates more than 82 percent of its operating budget through user fees and grants. Its capital improvement and land acquisition costs are shared by its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. Each member jurisdiction's contribution is in proportion to its share of the region's population of approximately 1.6 million residents.

The primary focus of NVRPA's capital program is to continue the restoration, renovation and modernization of existing park facilities, many of which were developed or constructed more than 20 years ago. Other elements of the capital program include land acquisition, the development of interpretive and educational displays and the addition of park features to meet the needs of the public. In its conservation efforts, NVRPA is involved in implementing portions of the Environmental Quality Corridors concept, which defines an open space land system designated for long-term protection in the County. In this role, NVRPA continues to place emphasis on the acquisition of shoreline properties along the Potomac, Bull Run and Occoquan Rivers, while the Fairfax County Park Authority (FCPA) concentrates on acquiring land along the County's interior stream valleys.

Significant capital improvements in recent years that expanded service to the public included the conversion of the Bull Run Regional Park swimming pool into a themed, family-oriented water park, renovation of the sporting clays course at the Bull Run Shooting Center, improvements to the Bull Run holiday light show, irrigation system replacement at the Pohick Bay golf course, renovations to campgrounds at Pohick Bay and Bull Run and bridge renovations and trail improvements on the 45-mile Washington and Old Dominion Trail. Many other capital enhancements and renovations were completed throughout the NVRPA park system to upgrade aging facilities.

Work in progress includes a new park shelter and renovations to existing shelters at Bull Run, enhancements to the outdoor education facilities at Hemlock Overlook, launch ramp and dock repairs at Pohick Bay, a new trail connecting the W&OD and Meadowlark Botanical Gardens, new trails and bridges at the 467-acre Seneca property, restoration of the historic Vienna railroad station on the W&OD Trail and land acquisition along the Potomac River.

# Fund 306
# Northern Virginia Regional Park Authority

NVRPA's FY 2011 capital budget totals $5,670,433 and includes such projects as land acquisition, a new support building at the Bull Run Special Events Center, a new shelter and children's garden at Meadowlark Botanical Gardens, park development at the historic Tinner Hill property and miscellaneous improvements to the W&OD Trail. General Obligation bond funding in the amount of $2,700,000 for the County subsidy is included in FY 2011 for Fund 306, NVRPA. This level of contribution is based on approximate per capita formula amounts. FY 2011 is the third of four years supported by the Park Bond Referendum approved by voters in the fall of 2008. This referendum included $12 million to sustain the County's contribution to the NVRPA capital budget for fiscal years 2009 through 2012.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- There have been no adjustments to this fund since approval of the FY 2010 Adopted Budget Plan.

# Fund 306
# Northern Virginia Regional Park Authority

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 306, Northern Virginia Regional Park Authority**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $3,600,000 | $2,700,000 | $2,700,000 | $2,700,000 |
| Total Revenue | $3,600,000 | $2,700,000 | $2,700,000 | $2,700,000 |
| **Total Available** | **$3,600,000** | **$2,700,000** | **$2,700,000** | **$2,700,000** |
| Total Expenditures[2] | $3,600,000 | $2,700,000 | $2,700,000 | $2,700,000 |
| **Total Disbursements** | **$3,600,000** | **$2,700,000** | **$2,700,000** | **$2,700,000** |
| **Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1] The fall 2008 bond referendum approved by voters on November 4, 2008 included $12.0 million to sustain the County's contribution to the Northern Virginia Regional Park Authority for four years. The FY 2009 Actuals reflect an amount of $3.6 million sold in January 2009. In addition, an amount of $2.7 million was sold in October 2009, leaving a balance of $5.7 million in authorized but unissued bonds for this fund.

[2] The funding included for FY 2009 in the amount of $3.6 million was the first of four installments. FY 2010 and FY 2011 expenditures of $2.7 million represent funding for the second and third years of the four-year program.

# Fund 307
# Pedestrian Walkway Improvements

## Focus

This fund supports pedestrian and walkway improvements throughout the County, including the Fairfax County Sidewalk Program and the Fairfax County Trail Program. The Fairfax County Sidewalk Program was originally established in coordination with the Fairfax County Public Schools (FCPS) to ensure safe walking conditions for public school students in the County. In recent years, the scope of this program has been expanded to include providing critical walkway and trail segments in coordination with the Trails and Sidewalk Committee to also serve the recreation and transportation needs of pedestrians, bicyclists and equestrians in the County. This program includes projects that link residential areas and public schools, as well as missing walkway and trail segments to provide connections to completed portions of the countywide trail network. The County is currently responsible for the maintenance and upgrade of over 600 miles of walkways, including 50 miles of school walkways, improvements to existing trails and bridges, as well as additional trails and stream crossings.

No funding is included in Fund 307, Pedestrian Walkway Improvements, for FY 2011. Work will continue on existing and previously funded projects.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $4,550,656 due to the carryover of unexpended balances of $4,715,410 and an adjustment reflecting a decrease of $164,754. This net decrease was due to the appropriation of miscellaneous revenue received in FY 2009 in the amount of $887 associated with the sale of plans and a decrease of $165,641 due to the completion and close out of the Great Falls Trail project, funded through Congestion Mitigation and Air Quality Improvement (CMAQ) grant funds. Anticipated CMAQ revenues were also decreased to reflect the close out of this project.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 307
# Pedestrian Walkway Improvements

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 307, Pedestrian Walkway Improvements**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,043,249** | **$0** | **$1,346,484** | **$0** |
| Revenue: | | | | |
| State Aid[1] | $0 | $0 | $565,000 | $0 |
| VDOT Revenue Sharing, NVTC[2] | 38,514 | 0 | 36,971 | 0 |
| TEA-21 Grant[3] | 60,321 | 0 | 491,816 | 0 |
| CMAQ Grant[4] | 13,916 | 0 | 12,586 | 0 |
| FHWA Grant[5] | 47,395 | 0 | 319,285 | 0 |
| VDOT Grant[6] | 0 | 0 | 691,547 | 0 |
| VDOT Reimbursements | 0 | 0 | 3,782 | 0 |
| Developer Contributions[7] | 0 | 0 | 1,083,185 | 0 |
| Miscellaneous | 887 | 0 | 0 | 0 |
| Total Revenue | $161,033 | $0 | $3,204,172 | $0 |
| **Total Available** | **$2,204,282** | **$0** | **$4,550,656** | **$0** |
| Total Expenditures | $845,172 | $0 | $4,550,656 | $0 |
| Transfers Out: | | | | |
| General Fund (001)[8] | $12,626 | $0 | $0 | $0 |
| Total Transfers Out | $12,626 | $0 | $0 | $0 |
| **Total Disbursements** | **$857,798** | **$0** | **$4,550,656** | **$0** |
| **Ending Balance[9]** | **$1,346,484** | **$0** | **$0** | **$0** |

[1] An amount of $565,000 in State Revenue Sharing funds is associated with Project K00447, Richmond Highway Public Transportation Initiatives, and is anticipated to be received in FY 2010.

[2] Represents VDOT supplemental revenue sharing funds in the amount of $648,921, based on a Revenue Sharing Program Amendment approved by the Board of Supervisors on February 27, 2006 for Project K00447, Richmond Highway Public Transportation Initiatives. An amount of $611,950 has been received through FY 2009, and $36,971 is anticipated in FY 2010.

[3] An amount of $1,777,000 is anticipated from three Transportation Enhancement Act (TEA-21) grant awards associated with Project W00500 (W5010), Columbia Pike Trail, Project W00600 (W6070), Mason Neck Trail, Project W00200 (W2120), Walker Road Trail, Project W00200 (W2020), Georgetown Pike Trail, Project W00600 (W6130), Mason Neck Trail Segment II and Project W00300 (W3110), Beulah Road Trail. Through FY 2009, an amount of $1,285,184 has been received. The remaining amount of $491,816 is anticipated in FY 2010.

[4] Represents Congestion Mitigation and Air Quality Improvement (CMAQ) grant funding for Project W00900 W9030, Route 29/I-66 Underpass. An amount of $606,413 has been received through FY 2009. The remaining amount of $12,586 is anticipated in FY 2010.

[5] An amount of $366,680 is anticipated from a Federal Highway Administration National Scenic Byway Grant associated with Project W00200 W2020, Georgetown Pike Trail. An amount of $47,395 was received in FY 2009, and $319,285 is anticipated in FY 2010.

[6] An amount of $794,424 was originally anticipated from a Virginia Department of Transportation Enhancement Grant based on actual eligible reimbursements associated with the following projects: Project W00200 (W2020), Georgetown Pike Trail, Project W00300 (W3110), Beulah Road Trail, and Project W00800 (W8090), Union Mill Trail. Through FY 2009, an amount of $102,877 has been received. The remaining amount of $691,547 is anticipated in FY 2010.

[7] Represents developer contributions associated with site plan approvals or proffer development conditions, where the developer has agreed to provide funds for the implementation of walkways or trails within a magisterial district.

[8] The FY 2009 transfer out to the General Fund was based on the County Executive's October 2008 memo to the Board of Supervisors highlighting reductions taken to balance the FY 2009 budget. Project X00408, Cross County Trail, is complete and the remaining balance was returned to the General Fund.

[9] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 307
# Pedestrian Walkway Improvements

## FY 2011 Summary of Capital Projects

**Fund: 307 Pedestrian Walkway Improvements**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 002200 | Emergency Maint. Of Existing Trails | | $102,350.47 | $109,776.88 | $0 |
| D00448 | Plaza America Pedestrian Improvements | 1,050,000 | 58,365.14 | 291,324.69 | 0 |
| K00447 | Richmond Highway Public Transportation Initiatives | 2,482,842 | 77,820.99 | 1,172,599.81 | 0 |
| K00448 | Richmond Highway Pedestrian Improvements | 375,000 | 9,459.65 | 32,673.79 | 0 |
| W00100 | Braddock District Walkways | 660,577 | 22,706.18 | 11,779.92 | 0 |
| W00200 | Dranesville District Walkways | 2,312,556 | 124,063.58 | 1,277,861.25 | 0 |
| W00300 | Hunter Mill District Walkways | 863,239 | 190,365.92 | 226,411.25 | 0 |
| W00400 | Lee District Walkways | 680,904 | 41,236.41 | 141,196.25 | 0 |
| W00500 | Mason District Walkways | 1,499,191 | 1,018.05 | 68,704.58 | 0 |
| W00600 | Mount Vernon District Walkways | 2,016,726 | 32,603.19 | 515,840.40 | 0 |
| W00700 | Providence District Walkways | 949,579 | 0.00 | 271,825.84 | 0 |
| W00800 | Springfield District Walkways | 878,533 | 2,944.60 | 88,498.44 | 0 |
| W00900 | Sully District Walkways | 1,373,336 | 14,654.84 | 252,860.55 | 0 |
| X00404 | Sidewalk Contingency | | 0.00 | 5,151.50 | 0 |
| X00407 | Sidewalk Replacement/VDOT | 2,400,000 | 167,582.53 | 84,151.34 | 0 |
| **Total** | | **$17,542,482** | **$845,171.55** | **$4,550,656.49** | **$0** |

# Fund 309
# Metro Operations and Construction

## Focus

Fund 309, Metro Operations and Construction, contains the funds provided by Fairfax County to pay the County's allocated portion of the Washington Metropolitan Area Transit Authority's (WMATA) FY 2011 operating and capital budget. The County subsidizes Metrorail, Metrobus, and MetroAccess (paratransit) service, contributes to construction costs associated with the 106-mile Metrorail system, and contributes to the repair, maintenance, rehabilitation, and replacement of capital equipment and facilities for the Metrobus, Metrorail, and MetroAccess systems.

The WMATA budget presented here includes County staff estimates based on initial FY 2011 information from WMATA in fall 2009. The WMATA Board Budget Committee reviews the WMATA proposed budget between January and May 2010. The Metro Board will make its final decisions and approve a budget in June 2010.

The projected operating and capital requirements for the FY 2011 County's Metro subsidy are $109,089,919. The County's portion of the total WMATA budget is determined using several formulas that include factors such as jurisdiction of residence of passengers, number of stations located in a jurisdiction, the amount of service in a jurisdiction, the jurisdiction's population, and the jurisdiction's population density. The County meets its Metro subsidy through a General Fund transfer, General Obligation bonds, state transportation bond revenues, applied State Aid, Gas Tax receipts, and interest earnings on State Aid balances. State Aid and Gas Tax balances are held and directly disbursed to Metro by the Northern Virginia Transportation Commission (NVTC) according to a funding formula.

A FY 2011 General Fund transfer of $7.4 million, the same level as in FY 2010, will support the County's FY 2011 Metro operating and capital construction subsidy. Other anticipated sources of support include: $53.5 million in applied State Aid, $22.2 million in applied Gas Tax Receipts, $22.7 million in County General Obligation bonds, $5.0 million in state transportation bonds, $0.3 million in anticipated interest on balances held by NVTC, and $0.1 million in proffer revenue from Fund 301, Contributed Roadway Improvement Fund for the operating support of bus service in the Franconia/Springfield area. Fund 309 funding support includes a transfer out of $2.1 million to Fund 100, County Transit Systems.

Based on current Metro system needs, WMATA staff project an increased FY 2011 operating subsidy requirement from local jurisdictions. The County's FY 2011 proposed operating contribution of $76.8 million includes this estimated increase. One time available State Aid balances, held by NVTC, are applied in support of projected operating increases. Further adjustments to the Metro FY 2011 budget, as approved by the Metro Board in June 2010, will be reflected as revisions to the County's FY 2011 budget as part of the County's *FY 2010 Carryover Review* process.

In FY 2011, General Obligation bond revenue of $22.7 million supports the majority of the $32.3 million County's subsidy for Metro Capital Construction Expenditures. State transportation bond revenues, State Aid and Gas Tax receipts support the balance of the construction subsidy.

## FY 2011 Funding Adjustments

*The following funding is necessary to support the FY 2011 program:*

- **Metro Annual Operating Requirements** **$76,828,991**
  The projected FY 2011 subsidy requirement for WMATA Operating Expenses totals $76,828,991, an increase of $4,824,314 or 6.7 percent over the *FY 2010 Revised Budget Plan*, due to initial estimated funding requirements based on information from WMATA budget staff in fall 2009, factoring in potential Metro FY 2011 adjustments and fare increases. This funding level supports existing Metrorail and Metrobus service levels, including $42,914,081 for Metrobus; $24,137,403 for Metrorail; and $9,777,507 for MetroAccess service.

- **Metro Capital Requirements** **$32,260,928**
  FY 2011 Capital Construction expenditures total $32,260,928, of which $29,092,000 is focused on the Metro Capital Program, which supports the acquisition of facilities, equipment, rail cars, and buses, as well as provides general infrastructure support to the 106-mile Metrorail system. An amount of $3,168,928 funds Adopted Regional System (ARS) debt service requirements.

- **General Fund Support for Metro** **$7,409,851**
  The General Fund transfer of $7,409,851 remains at the same level as in the *FY 2010 Revised Budget Plan* level.

- **Transfer Out to Fund 100, County Transit Systems** **$2,070,620**
  The FY 2011 Transfer Out of $2,070,620 to Fund 100, County Transit Systems, provides continued support for FAIRFAX CONNECTOR system. This Fund 309 support for County transit operations is consistent with an FY 2000 change in the NVTC State Aid and Gas Tax funding formula that resulted in a higher annual allocation to Fairfax County. When the formula was updated, the NVTC required that additional formula funds that resulted from the change be used only for transit service enhancements.

- **Support from Fund 301, Contributed Roadway Improvement Fund** **$110,000**
  A transfer of $110,000 from Fund 301, Contributed Roadway Improvement Fund, contributes to the operating support of shuttle service in the Franconia/Springfield area. This level is consistent with the prior year level of support.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **($4,847,655)**
  FY 2010 expenditures decreased $4,847,655 to reflect Fairfax County's allocated portion of WMATA's adopted Metro Matters capital budget. The decreased allocation to the County resulted from the Board of Supervisor's decision, as part of the *FY 2009 Third Quarter Review*, to provide a one-time "opt out" payment to WMATA in FY 2009 in support of the Metro Capital Program, in order to achieve future savings from the elimination of County annual contributions for debt service payments associated with these projects for the next 25 years.

## Key Performance Measures

### Objectives

- To increase the annual number of trips taken on Metrobus routes serving Fairfax County.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Trips originating in Fairfax County | 9,272,000 | 10,040,500 | 10,140,905 / NA | 10,445,132 | NA |
| Metrobus routes | 87 | 100 | 100 / NA | 85 | NA |
| Metrobus platform hours | 372,266 | 395,999 | 407,627 / NA | 371,721 | NA |
| Metrobus platform miles | 7,065,260 | 7,310,086 | 7,564,034 / NA | 6,662,941 | NA |
| **Efficiency:** | | | | | |
| Operating subsidy | $36,723,400 | $36,744,578 | $44,433,718 / NA | $40,219,382 | NA |
| Operating subsidy/platform hour | $98.65 | $92.79 | $109.01 / NA | $108.20 | NA |
| Operating subsidy/platform mile | $5.20 | $5.03 | $5.87 / NA | $6.04 | NA |
| Operating subsidy per Metrobus trip | $3.96 | $3.66 | $4.38 / NA | $3.85 | NA |
| **Outcome:** | | | | | |
| Percent change in Fairfax County trips | 0.0% | 8.3% | 1.0% / NA | 3.0% | NA |

## Performance Measurement Results

Performance measurement data is supplied by Metro, and it will be updated as part of the FY 2011 Adopted Budget Plan process. This will allow time for Metro staff to detail the implications of any adjustments to transit service in Fairfax County resulting from the FY 2011 budget proposal released by the Metro General Manager in January 2010 and anticipated Metro Board action in June 2010.

## Key Performance Measures

### Objectives

- To increase the number of Metrorail trips originating in Fairfax County.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Fairfax County ridership | 28,815,191 | 28,432,596 | 29,285,574 / NA | 30,164,131 | NA |
| **Efficiency:** | | | | | |
| Operating subsidy | $17,496,099 | $19,266,866 | $17,664,683 / NA | $22,671,345 | NA |
| Operating subsidy per Metrorail passenger | $0.61 | $0.68 | $0.60 / NA | $0.75 | NA |
| **Outcome:** | | | | | |
| Percent change in Fairfax County ridership | (3.3%) | (1.3%) | 3.0% / NA | 3.0% | NA |

## Performance Measurement Results

Performance measurement data is supplied by Metro, and it will be updated as part of the FY 2011 Adopted Budget Plan process. This will allow time for Metro staff to detail the implications of any adjustments to transit service in Fairfax County resulting from the FY 2011 budget proposal released by the Metro General Manager in January 2010 and anticipated Metro Board action in June 2010.

# Fund 309
# Metro Operations and Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 309, Metro Operations and Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$30,290,500** | **$4,962,500** | **($32,252,164)** | **$0** |
| Revenue: | | | | |
| Revenue Applied to Operating Expenses | | | | |
| State Aid | $39,835,604 | $45,957,341 | $41,548,785 | $49,079,760 |
| Gas Tax Revenue | 23,490,113 | 21,967,834 | 20,967,834 | 22,000,000 |
| Interest on NVTC Balances | 1,400,000 | 1,600,000 | 1,600,000 | 300,000 |
| Subtotal - State/Gas Revenue, Operating | $64,725,717 | $69,525,175 | $64,116,619 | $71,379,760 |
| Revenue Applied to Capital Expenses | | | | |
| NVTD Bonds Applied to Capital Construction[1] | $0 | $0 | $0 | $5,000,000 |
| State Aid Applied to ARS Debt Service | 3,010,456 | 3,010,481 | 3,010,481 | 3,010,481 |
| Gas Tax Rev. Applied to ARS Debt Service | 158,444 | 158,447 | 158,447 | 158,447 |
| State Aid Applied to Metro Matters Capital | 903,195 | 1,000,000 | 1,000,000 | 0 |
| State Aid Applied to Beyond Metro Matters Capital | 417,000 | 417,000 | 417,000 | 1,400,000 |
| Subtotal - State/Gas Revenue, Capital | $4,489,095 | $4,585,928 | $4,585,928 | $9,568,928 |
| County Revenue | | | | |
| County Bond Sales[2] | $0 | $23,915,688 | $56,282,697 | $22,692,000 |
| Subtotal - County Revenue | $0 | $23,915,688 | $56,282,697 | $22,692,000 |
| Total Revenue | $69,214,812 | $98,026,791 | $124,985,244 | $103,640,688 |
| Transfers In: | | | | |
| General Fund (001) | $7,509,851 | $7,409,851 | $7,409,851 | $7,409,851 |
| Contributed Roadway Improvement Fund (301) | 110,000 | 110,000 | 110,000 | 110,000 |
| Total Transfers In | $7,619,851 | $7,519,851 | $7,519,851 | $7,519,851 |
| **Total Available** | **$107,125,163** | **$110,509,142** | **$100,252,931** | **$111,160,539** |

# Fund 309
# Metro Operations and Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 309, Metro Operations and Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Expenditures: | | | | |
| Operating Expenditures | | | | |
| Bus Operating Subsidy [3] | $45,291,601 | $48,146,315 | $40,219,382 | $42,914,081 |
| Rail Operating Subsidy | 17,664,683 | 20,491,032 | 22,621,746 | 24,137,403 |
| ADA Paratransit - Metro | 7,565,419 | 8,775,886 | 9,163,549 | 9,777,507 |
| Prior Year Audit Adjustments[4] | 1,409,460 | 0 | 0 | 0 |
| Subtotal - Operating Expenditures | $71,931,163 | $77,413,233 | $72,004,677 | $76,828,991 |
| Capital Construction Expenditures | | | | |
| Metro Matters Capital [2] | $61,945,859 | $27,519,000 | $22,671,345 | $0 |
| Beyond Metro Matters Capital | 417,000 | 417,000 | 417,000 | 29,092,000 |
| ARS Debt Service | 3,168,900 | 3,168,928 | 3,168,928 | 3,168,928 |
| Total County Capital Construction Subsidy | $65,531,759 | $31,104,928 | $26,257,273 | $32,260,928 |
| Total Operating and Capital Subsidy | $137,462,922 | $108,518,161 | $98,261,950 | $109,089,919 |
| Applied Support | | | | |
| Applied NVTC State Aid and Gas Tax to Operating | ($63,325,717) | ($67,925,175) | ($62,516,619) | ($71,079,760) |
| Applied Interest at NVTC to Operating | (1,400,000) | (1,600,000) | (1,600,000) | (300,000) |
| Applied NVTD Bonds to Capital Construction[1] | 0 | 0 | 0 | (5,000,000) |
| Applied NVTC State Aid and Gas Tax to Capital | (4,489,095) | (4,585,928) | (4,585,928) | (4,568,928) |
| Total Expenditures, County | $68,248,110 | $34,407,058 | $29,559,403 | $28,141,231 |
| Transfers Out: | | | | |
| County Transit Systems (100) | $1,914,405 | $1,990,981 | $1,990,981 | $2,070,620 |
| Total Transfers Out | $1,914,405 | $1,990,981 | $1,990,981 | $2,070,620 |
| **Total Disbursements, NVTC and County** | **$139,377,327** | **$110,509,142** | **$100,252,931** | **$111,160,539** |
| | | | | |
| **Ending Balance [5]** | **($32,252,164)** | **$0** | **$0** | **$0** |
| General Fund and Contributions | $2,359,188 | $0 | $0 | $0 |
| Bond Funds | (34,611,352) | 0 | 0 | 0 |
| **Unreserved Balance** | **$0** | **$0** | **$0** | **$0** |

[1] In July 2009, the Commonwealth Transportation Board approved the issuance of Northern Virginia Transportation District (NVTC) bonds, $5.0 million of which will be applied to meet Fairfax County's portion of Metro's FY 2011 capital construction requirements.

[2] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 2, 2004, the voters approved a $110 million Transportation Bond. In October 2009, total bond proceeds of $56.3 million were realized, of which $53.71 million was bond sales and $2.59 was bond premium, leaving a balance of $9.57 million in authorized but unissued bonds for this fund. The October 2009 bond sale amount included $37.6 million to provide County one-time support to the Metro Capital Program, allowing the County to opt-out of debt service payments associated with capital projects for the next 25 years.

[3] Expenditures for the Bus Operating Subsidy include $644,000 in continuing annual support of the Springfield Circulator service.

[4] The prior year adjustment is the amount owed or credited as a result of WMATA's audit of expenditures from two years prior.

[5] The ending balance in Fund 309, Metro Operations and Construction, varies from year to year and is primarily related to differences between the preliminary budget presented by WMATA's General Manager and WMATA's Adopted budget. The negative FY 2009 ending balance will be adjusted by authorized but unissued bonds to be sold during FY 2010.

# Fund 311
# County Bond Construction

## Focus

Fund 311, County Bond Construction, supports general County construction projects associated with Human Services facilities, Adult and Juvenile Detention facilities, and transportation related facilities. The primary source of funding is voter approved General Obligation bonds. In addition, this fund receives grant funding from the Federal Transportation Administration (FTA), the Virginia Department of Transportation (VDOT), and the Washington Metro Area Transit Authority (WMATA) for several transit-related facilities.

No funding is included for Fund 311, County Bond Construction, in FY 2011. Work will continue on existing and previously funded projects.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $77,133,438 due to the carryover of unexpended balances in the amount of $75,323,014, and adjustments of $1,810,424. These adjustments included: the appropriation of $233 in higher than anticipated interest income received in FY 2009 associated with the Washington Metro Area Transit Authority (WMATA) reimbursement for West Ox Bus Operations Center, $10,191 in miscellaneous revenues received in FY 2009 and $2,300,000 from Fund 503, Department of Vehicle Services, to support construction costs associated with the expansion of the Newington DVS Maintenance Facility. These increases were offset by a reduction of $500,000 transferred back to the General Fund based on lower than anticipated construction costs for Project 04A002, Gregory Drive Treatment Facility.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 311
# County Bond Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 311, County Bond Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **($6,077,628)** | **$0** | **$13,764,278** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $10,100,000 | $0 | $58,942,034 | $0 |
| VDOT Funding[2] | 0 | 0 | 1,450,401 | 0 |
| Federal Transportation Administration[3] | 0 | 0 | 1,176,725 | 0 |
| WMATA Contribution[4] | 29,374,376 | 0 | 0 | 0 |
| Miscellaneous Revenues | 10,191 | 0 | 0 | 0 |
| Total Revenue | $39,484,567 | $0 | $61,569,160 | $0 |
| Transfers In: | | | | |
| Dept of Vehicle Services (503)[5] | $2,700,000 | $0 | $2,300,000 | $0 |
| Total Transfers In | $2,700,000 | $0 | $2,300,000 | $0 |
| **Total Available** | **$36,106,939** | **$0** | **$77,633,438** | **$0** |
| Total Expenditures | $19,842,661 | $0 | $77,133,438 | $0 |
| Transfer Out: | | | | |
| General Fund (001)[6, 7] | $2,500,000 | $0 | $500,000 | $0 |
| Total Transfers Out | $2,500,000 | $0 | $500,000 | $0 |
| **Total Disbursements** | **$22,342,661** | **$0** | **$77,633,438** | **$0** |
| | | | | |
| **Ending Balance[8]** | **$13,764,278** | **$0** | **$0** | **$0** |

[1]The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 2, 2004, the voters approved a $32.5 million Human Services Bond Referendum. On November 6, 2007 the voters approved $50 million as part of the School Bond Referendum to support renovations and expansion at the Newington Department of Vehicle Services (DVS) Garage which supports both County and School vehicles. The FY 2009 Actuals reflect an amount of $8.5 million sold from the Human Services Referendum and $1.6 million sold from the School Referendum in January 2009. In addition, an amount of $10.2 million was sold from the Human Services Referendum and $1.4 million was sold from the School Referendum in October 2009, leaving a balance of $54.8 million remaining in authorized but unissued bonds for this fund.

[2]A total of $3,900,000 was anticipated from the Virginia Department of Transportation (VDOT) for transit projects. Through FY 2009, $2,449,599 has been received and $1,450,401 is anticipated in FY 2010 and beyond.

[3]Represents balances of anticipated Federal Transportation Administration (FTA) grant funding in the amount of $1,176,725. FTA funding is based on reimbursements of approximately 75 percent of expenditures which may fluctuate based on actual project scopes. Total FTA reimbursements equal $39,158,860 and include $5,205,000 for Wiehle Avenue Commuter Parking, $25,661,845 for the Herndon/Monroe Transit Center, $4,225,807 for Park and Ride facilities and $4,066,208 for several Dulles Corridor projects.

[4]Represents Washington Metro Area Transit Authority (WMATA) contribution for the construction of the West Ox Bus Operations Center.

[5]FY 2009 and FY 2010 represent transfers in from Fund 503, Department of Vehicle Services (DVS) to support construction costs associated with Project 07A001, Newington DVS Renovation.

[6]The FY 2009 transfer out to the General Fund was based on the County Executive's October 2008 memo to the Board of Supervisors highlighting reductions taken to balance the FY 2009 budget. Savings of $2,500,000, due to a lower than expected contract award in Project 04A004, Mount Vernon Mental Health Center, were returned to the General Fund.

[7]As part of the *FY 2009 Carryover Review,* $500,000 in General Fund monies were identified in Project 04A002, Gregory Drive Treatment Facility, as the result of a lower than anticipated contract award and were transferred back to the General Fund.

[8]Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 311
# County Bond Construction

## FY 2011 Summary of Capital Projects

### Fund: 311 County Bond Construction

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 04A001 | Girls Probation House | $5,571,562 | $1,101,565.12 | $843,466.96 | $0 |
| 04A002 | Gregory Drive Treatment Facility | 4,693,274 | 684,385.64 | 3,606,632.82 | 0 |
| 04A003 | Woodburn Mental Health Center | 250,000 | 54,791.91 | 138,351.85 | 0 |
| 04A004 | Mount Vernon Mental Health Center | 10,900,050 | 4,508,064.11 | 5,422,118.09 | 0 |
| 04A005 | Less Secure Shelter II | 6,658,162 | 2,332,746.54 | 3,750,952.64 | 0 |
| 07A001 | Newington DVS Renovation | 55,000,000 | 384,780.06 | 54,615,219.94 | 0 |
| 88A002 | West Ox Bus Operations Center | 54,453,951 | 8,542,787.29 | 6,008,144.71 | 0 |
| 88A014 | Newington Maint. Fac. Expansion | 3,370,493 | 185.10 | 56,297.40 | 0 |
| 88A015 | West Ox Maint. Fac. Expansion | 5,719,766 | 699.02 | 274,539.88 | 0 |
| 89A001 | ADC Expansion II | 81,190,286 | 0.00 | 21,328.37 | 0 |
| 89A015 | Juvenile Facilities Feasibility Studies | 85,210 | 0.00 | 18,073.44 | 0 |
| 90A015 | East County Center | 50,000 | 0.00 | 49,999.76 | 0 |
| 90A016 | Herndon Monroe Parking Garage Repairs | 4,560,968 | 2,232,655.88 | 2,328,312.12 | 0 |
| **Total** | | **$232,503,723** | **$19,842,660.67** | **$77,133,437.98** | **$0** |

# Fund 312
# Public Safety Construction

## Focus

This fund supports the construction of fire and police stations, governmental centers with police substations, the McConnell Public Safety and Transportation Operations Center (MPSTOC), the Judicial Center Expansion, and other public safety facilities. These projects are funded by several public safety bond referenda approved by the voters, and the General Fund. The latest referendum was approved by voters on November 7, 2006. This referendum included $125 million to support the expansion and renovation of fire and rescue facilities, police stations and the West Ox Animal Shelter. In addition, this fund provides for the operating and equipment support associated with the Judicial Center and McConnell Public Safety and Transportation Operations Center.

***Construction is complete on the McConnell Public Safety and Transportation Operations Center (MPSTOC) facility.***

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $133,999,432 due to the carryover of unexpended project balances in the amount of $132,495,433 and adjustments in the amount of $1,503,999. This adjustment included the appropriation of $3,999 in miscellaneous revenues received in FY 2009 and $1,500,000 transferred from Project 009510, Construction Inflation Reserve in Fund 303, County Construction, to Fund 312, Public Safety Construction, to support the Courthouse Expansion and Renovation project. The Construction Inflation Reserve was funded in FY 2007 in the amount of $8,000,000 in order to address escalation in the regional construction market affecting construction projects in progress. The balance in the construction reserve after this action is $0. This transfer was required due to costs associated with the Courthouse project resulting from a delay in the completion of the project, additional code requirements primarily associated with ADA restroom upgrades, asbestos removal costs, additional exterior and interior signage and additional fire alarm costs.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 312
# Public Safety Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 312, Public Safety Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance[1]** | **$70,486,945** | **$3,000,000** | **$44,980,298** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[2] | $0 | $0 | $90,519,134 | $0 |
| Miscellaneous Revenues[3] | 3,999 | 0 | 0 | 0 |
| Total Revenue | $3,999 | $0 | $90,519,134 | $0 |
| Transfer In: | | | | |
| General Fund (001)[4] | $800,000 | $800,000 | $800,000 | $0 |
| County Construction (303)[5] | 0 | 0 | 1,500,000 | 0 |
| Capital Renewal Construction (317)[6] | 2,500,000 | 0 | 0 | 0 |
| Total Transfers In | $3,300,000 | $800,000 | $2,300,000 | $0 |
| **Total Available** | **$73,790,944** | **$3,800,000** | **$137,799,432** | **$0** |
| Total Expenditures | $24,616,587 | $800,000 | $134,799,432 | $0 |
| Transfer Out: | | | | |
| General Fund (001)[1, 7] | $4,194,059 | $3,000,000 | $3,000,000 | $0 |
| Total Transfers Out | $4,194,059 | $3,000,000 | $3,000,000 | $0 |
| **Total Disbursements** | **$28,810,646** | **$3,800,000** | **$137,799,432** | **$0** |
| **Ending Balance[8]** | **$44,980,298** | **$0** | **$0** | **$0** |

[1] The FY 2010 budget included a beginning balance of $3,000,000 based on lower than anticipated construction contingency requirements for Project 009211, McConnell Public Safety and Transportation Operations Center (MPSTOC). As part of the FY 2010 Adopted Budget Plan, this funding was transferred to the General Fund to help offset FY 2010 requirements. In addition, $4,040,000 in savings for this project were previously transferred to the General Fund in FY 2009 to help offset FY 2009 requirements.

[2] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a $125 million Public Safety Bond Referendum to support renovations and priority expansions at public safety facilities. In October 2009 an amount of $13.6 million was sold, leaving a balance of $93.58 million in authorized but unissued bonds for this fund.

[3] In FY 2009, miscellaneous receipts included the sale of plans for multiple projects.

[4] FY 2009 and FY 2010 funding of $800,000 is associated with a systems furniture lease purchase for Project 009218, Courthouse IT Equipment and Support.

[5] The *FY 2010 Revised Budget Plan* reflects a transfer in from Fund 303, County Construction, of $1,500,000 to support higher than anticipated construction costs for Project 009209, Judicial Center Expansion.

[6] FY 2009 reflects a transfer in from Fund 317, Capital Construction Renewal, of $2,500,000 to support higher than anticipated expenses associated with asbestos removal for Project 009209, Judicial Center Expansion.

[7] In addition to savings associated with the MPSTOC project, the FY 2009 transfer out to the General Fund included $154,059 based on the County Executive's October 2008 memo to the Board of Supervisors highlighting reductions taken to balance the FY 2009 budget. Several public safety construction projects are complete and remaining balances that may have been used to offset potential shortfalls in other projects were returned to the General Fund.

[8] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

## FY 2011 Summary of Capital Projects

### Fund: 312 Public Safety Construction

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 009049 | Tysons Fire Station | $100,000 | $0.00 | $100,000.00 | $0 |
| 009073 | Fire & Rescue Academy | 1,106,850 | 61.70 | 564,820.89 | 0 |
| 009079 | Fairfax Center Fire Station | 8,882,923 | 160,976.66 | 116,622.54 | 0 |
| 009088 | Traffic Light Signalization | 967,762 | 85,899.82 | 307,934.19 | 0 |
| 009094 | Wolftrap Fire Station | 10,675,000 | 23,735.55 | 6,567,856.24 | 0 |
| 009102 | Public Safety Academy | 12,224,059 | 0.00 | 104,340.70 | 0 |
| 009203 | Public Safety Contingency | | 0.00 | 361,875.54 | 0 |
| 009204 | Burke Volunteer Fire Station | 4,482,327 | 9,562.89 | 20,437.11 | 0 |
| 009205 | Parking - PS Complex | 20,929,448 | 987.20 | 34,791.11 | 0 |
| 009206 | Mt. Vernon Police Station | 7,020,850 | 246.80 | 28,961.79 | 0 |
| 009207 | W. Springfield Police Station | 11,479,893 | 246.80 | 771.39 | 0 |
| 009208 | Sully District Police Station | 6,982,205 | 0.00 | 222,383.10 | 0 |
| 009209 | Judicial Center Expansion | 127,336,000 | 11,295,295.64 | 3,198,252.19 | 0 |
| 009210 | Crosspointe Fire Station | 9,393,370 | 53,498.52 | 245,075.45 | 0 |
| 009211 | McConnell Public Safety and Transportation Operations Center | 95,482,130 | 7,877,347.29 | 15,460,718.34 | 0 |
| 009213 | PSCC Consoles | 500,000 | 0.00 | 57,337.95 | 0 |
| 009215 | Herndon Fire Station | 1,350,000 | 70,518.42 | 1,261,658.42 | 0 |
| 009217 | Stonecroft Boulevard Widening | 635,000 | 11,455.03 | 489,779.94 | 0 |
| 009218 | Courthouse IT Equipment and Support | 11,800,150 | 1,859,229.34 | 4,925,098.13 | 0 |
| 009219 | Old Courthouse Renovation | 71,124 | 246.80 | 6,589.00 | 0 |
| 009220 | Public Safety Master Plan | 600,000 | 22,924.42 | 329,556.71 | 0 |
| 009222 | Pine Ridge Feasibility Study | 300,000 | 0.00 | 299,598.47 | 0 |
| 009223 | Jennings Courtroom Renovations | 2,300,000 | 284,236.02 | 1,847,761.65 | 0 |
| 009224 | Great Falls Fire Station | 12,000,000 | 455,596.02 | 11,293,311.43 | 0 |
| 009225 | Fair Oaks Police Station | 17,400,000 | 531,802.33 | 16,383,765.67 | 0 |
| 009226 | Reston Police Station Renovation | 18,800,000 | 0.00 | 18,793,722.05 | 0 |
| 009227 | McLean Police Station Renovation | 17,900,000 | 832.95 | 17,899,167.05 | 0 |
| 009228 | West Ox Road Animal Shelter | 17,000,000 | 637,258.85 | 16,328,799.17 | 0 |
| 009229 | Fire & Rescue Training Academy Expansion and Renovation | 17,100,000 | 355,006.09 | 16,629,464.58 | 0 |
| 009231 | MPSTOC Operating and Equipment Support | 1,820,972 | 879,622.01 | 918,980.99 | 0 |
| **Total** | | **$436,640,064** | **$24,616,587.15** | **$134,799,431.79** | **$0** |

# Fund 314
# Neighborhood Improvement Program

## Focus

Fund 314, Neighborhood Improvement Program, provides for improvements to public facilities including curbs and gutters, sidewalks, street widening and storm drainage improvements to enhance the condition and appearance of participating neighborhoods. Neighborhoods were selected for participation in the program on the basis of their need for general community improvements due to problems of road and yard flooding and/or traffic problems, as well as their willingness to share in the implementation of a Community Plan. The program focuses on the preservation and improvement of the County's older, yet stable, neighborhoods of predominantly single-family homes which are currently vulnerable to deterioration.

Homeowners receiving improvements from the program reimburse the County for their share of actual construction costs. The County pays all engineering, administrative and overhead costs. Each homeowner's share is based on the length of street footage of their lot, the type of improvements installed and the average assessed value of housing in the neighborhood. Homeowners' payments, made once construction is completed, can be paid in one lump sum amount, or in semi-annual installments with interest over a ten-year period. For elderly or disabled homeowners, payment may be extended beyond the ten-year payback period. These homeowner payments are used to offset debt service costs associated with the issuance of General Obligation Bonds for the Neighborhood Improvement Program. Payments are transferred to the County's debt service fund periodically once contributions have accrued to a significant amount. The Neighborhood Improvement Program was also financed with General Obligation Bonds approved by the voters in November 1989 in the amount of $24.0 million. All bonds from the 1989 Referendum have been sold.

The Office of Community Revitalization and Reinvestment (OCRR) coordinates commercial and residential revitalization efforts in the County and is responsible for working with community organizations related to the renovation and restoration of not only large commercial development, but also neighborhood commercial development, older residential areas and neighborhood capital improvements. Staff has been working to provide recommendations on strategies to enhance the effectiveness of the programs, to identify and make available appropriate financing mechanisms and to put in place the organizational supports necessary to sustain the programs.

No funding is included in Fund 314, Neighborhood Improvement Program for FY 2011. Work will continue on existing and previously funded projects.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $148,485 due to the carryover of unexpended project balances in the amount of $335,038, partially offset by a reduction of $186,553 associated with a transfer to Fund 200, County Debt Service to help offset debt services costs associated with completed neighborhood improvements.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 314
# Neighborhood Improvement Program

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 314, Neighborhood Improvement Program**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$435,196** | **$103,172** | **$428,896** | **$98,858** |
| Revenue: | | | | |
| Home Owner Contributions[1] | $5,686 | $5,000 | $5,000 | $5,000 |
| Total Revenue | $5,686 | $5,000 | $5,000 | $5,000 |
| **Total Available** | **$440,882** | **$108,172** | **$433,896** | **$103,858** |
| Total Expenditures | $11,986 | $0 | $148,485 | $0 |
| Transfer Out: | | | | |
| County Debt Service (200)[2] | $0 | $0 | $186,553 | $0 |
| Total Transfer Out | $0 | $0 | $186,553 | $0 |
| **Total Disbursements** | **$11,986** | **$0** | **$335,038** | **$0** |
| **Ending Balance[3]** | **$428,896** | **$108,172** | **$98,858** | **$103,858** |

[1] Represents payments from homeowners for their contribution toward construction costs associated with improvements in their neighborhoods. Bond funds are used to finance these projects, and upon completion of construction, the improvements are assessed and the homeowners make their payments with interest. Funds received (i.e., both principal and interest) are periodically transferred to Fund 200, County Debt Service, to partially assist in paying the debt service costs associated with Neighborhood Improvement projects.

[2] The Transfer Out to Fund 200, County Debt Service, offsets debt service costs associated with the issuance of General Obligation Bonds.

[3] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 314
# Neighborhood Improvement Program

## FY 2011 Summary of Capital Projects

### Fund: 314 Neighborhood Improvement Program

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| C00072 | Brookland/Bush Hill Phase II | $2,170,196 | $30.85 | $0.00 | $0 |
| C00093 | Fairdale | 1,859,083 | 6,710.82 | 0.00 | 0 |
| C00097 | Holmes Run Valley | 50,000 | 0.00 | 42,544.30 | 0 |
| C00098 | Mount Vernon Hills | 50,000 | 0.00 | 42,203.96 | 0 |
| C00099 | Planning Project Fund 314 | | 5,244.48 | 63,736.32 | 0 |
| **Total** | | **$4,129,279** | **$11,986.15** | **$148,484.58** | **$0** |

# Fund 315
# Commercial Revitalization Program

## Focus

The Commercial Revitalization Program funds the development and promotion of competitive, attractive and stable commercial centers leading to improved facilities for communities. Improvements include underground utilities, sidewalk construction, street lighting, tree planting and other pedestrian amenities. In the November 1988 bond referendum, Fairfax County voters approved $22.3 million for public improvements in commercial and redevelopment areas of the County. Of this amount, $17.1 million was dedicated to fund utility and street landscaping projects in three designated revitalization districts: Central Annandale, Central Springfield and Bailey's Crossroads. The remaining amount of $5.2 million was divided among the revitalization projects in the Town of Vienna, the McLean Central Business District and along a portion of the Route 1 corridor. In addition to bond proceeds, revenue from the Virginia Department of Transportation (VDOT) and developer contributions support improvement efforts within this fund.

Revitalization is one part of an overall County strategy to accomplish the economic rejuvenation of older retail and business centers. Through targeted efforts of the Revitalization Program it is anticipated that these areas will become more competitive commercially, offer better services and improved shopping opportunities and will become viable candidates for private reinvestment.

The Office of Community Revitalization and Reinvestment (OCRR) coordinates commercial and residential revitalization efforts in the County and is responsible for working with community organizations related to the renovation and restoration of not only large commercial development, but also neighborhood commercial development, older residential areas and neighborhood capital improvements. Staff has been working to provide recommendations on strategies to enhance the effectiveness of the programs, to identify and make available appropriate financing mechanisms and to put in place the organizational supports necessary to sustain the programs.

No funding is included in Fund 315, Commercial Revitalization Program, in FY 2011. Work will continue on existing and previously funded projects.

## Changes to <u>FY 2010 Adopted Budget Plan</u>

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the <u>FY 2010 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $4,575,251 due to the carryover of unexpended project balances in the amount of $3,987,855 and an adjustment of $587,396. This adjustment was due to the appropriation of anticipated VDOT enhancement grant funds in the amount of $369,000 for Project 008909, Annandale Streetscape and $210,000 for Project 008911, Baileys Crossroads Streetscape. In addition, an amount of $8,396 was associated with miscellaneous revenues received in FY 2009 for the sale of plans.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 315
# Commercial Revitalization Program

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 315, Commercial Revitalization Program**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$557,955** | **$0** | **$509,042** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $0 | $0 | $2,124,243 | $0 |
| VDOT Revenues[2] | 376,588 | 0 | 1,477,745 | 0 |
| Developer Contributions[3] | 0 | 0 | 464,221 | 0 |
| Miscellaneous | 8,396 | 0 | 0 | 0 |
| Total Revenue | $384,984 | $0 | $4,066,209 | $0 |
| **Total Available** | **$942,939** | **$0** | **$4,575,251** | **$0** |
| Total Expenditures | $433,897 | $0 | $4,575,251 | $0 |
| **Total Disbursements** | **$433,897** | **$0** | **$4,575,251** | **$0** |
| **Ending Balance[4]** | **$509,042** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes. Actual bond sales are based on cash needs in accordance with Board policy. In the fall of 1988, the voters approved a $22.3 million Commercial Revitalization bond referendum. Including prior sales, a balance of $2.26 million remains in authorized but unissued bonds associated with the fall 1988 referendum.

[2] FY 2009 represents $376,588 received from Transportation Enhancement grants for Project 008912, McLean Streetscape. In addition, grant funds of $898,745 are anticipated for Project 008912, McLean Streetscape, $369,000 for Project 008909, Annandale Streetscape and $210,000 for Project 008911, Baileys Crossroads Streetscape in FY 2010 and beyond.

[3] Developer contributions include $250,000 for undergrounding utilities along Chain Bridge Road within Project 008914, Route 1 Streetscape and $214,221 for Project 008911, Baileys Crossroads Streetscape.

[4] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 315
# Commercial Revitalization Program

## FY 2011 Summary of Capital Projects

### Fund: 315 Commercial Revitalization Program

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 008903 | Springfield Streetscape Phase I | $3,169,236 | $308.50 | $230,926.26 | $0 |
| 008909 | Annandale Streetscape | 7,304,596 | 39,867.42 | 1,066,357.65 | 0 |
| 008911 | Baileys Crossroads Streetscape | 6,574,225 | 4,473.25 | 326,593.09 | 0 |
| 008912 | McLean Streetscape | 3,894,629 | 369,287.68 | 2,608,966.52 | 0 |
| 008914 | Route 1 Streetscape | 1,642,160 | 18,232.29 | 310,113.67 | 0 |
| 008919 | Road Redevelopment | 907,517 | 1,727.60 | 32,294.25 | 0 |
| **Total** | | **$23,492,362** | **$433,896.74** | **$4,575,251.44** | **$0** |

# Fund 316
# Pro Rata Share Drainage Construction

## Focus

This fund supports storm drainage capital projects through contributions in accordance with the Pro Rata Share Program approved by the Board of Supervisors on December 16, 1991. The Pro Rata Share Program provides a funding source to correct drainage deficiencies by collecting a proportionate share of the total estimated cost of drainage improvements from the developers of the land. Pro Rata funds are used to finance projects within specific watershed areas. As projects are identified and prioritized during scheduled budgetary reviews, Pro Rata funds on deposit are appropriated to this fund.

No funding is included for Fund 316, Pro Rata Share Drainage Construction, in FY 2011. All funding for this program is from private sources. Existing projects will utilize pro rata funds received to support watershed planning, regional pond development and other drainage improvement projects.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- ♦ As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $13,845,979 due to the carryover of unexpended project balances of $12,373,479 and an increase of $1,472,500 in pro rata share funds received in FY 2009 to support various projects.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 316
# Pro Rata Share Drainage Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 316, Pro Rata Share Drainage Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$6,271** | **$0** | **$6,271** | **$0** |
| Revenue: | | | | |
| Pro Rata Shares | $4,144,554 | $0 | $13,165,021 | $0 |
| Developer Contributions[1] | 0 | 0 | 674,687 | 0 |
| Total Revenue | $4,144,554 | $0 | $13,839,708 | $0 |
| **Total Available** | **$4,150,825** | **$0** | **$13,845,979** | **$0** |
| Total Expenditures | $4,144,554 | $0 | $13,845,979 | $0 |
| **Total Disbursements** | **$4,144,554** | **$0** | **$13,845,979** | **$0** |
| **Ending Balance[2]** | **$6,271** | **$0** | **$0** | **$0** |

[1] Represents anticipated developer contributions associated with Project DF1046, Regional Pond D-46.

[2] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 316
# Pro Rata Share Drainage Construction

## FY 2011 Summary of Capital Projects

**Fund: 316 Pro Rata Share Drainage Construction**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| AC0009 | Regional Pond L-9 | $710,000 | $0.00 | $209,722.18 | $0 |
| AC0286 | Pleasant Ridge | 176,400 | 0.00 | 83,669.72 | 0 |
| AC0311 | Long Branch Phase II | 195,800 | 0.00 | 154,276.17 | 0 |
| AC0352 | Hunter Branch | 100,000 | 0.00 | 28,677.51 | 0 |
| AC1166 | Accotink Regional Pond B | 1,200,000 | 0.00 | 8,912.10 | 0 |
| AC8001 | Accotink Creek Watershed Projects | 25,000 | 0.00 | 25,000.00 | 0 |
| AC9999 | Accotink Creek Watershed Study | 2,400,000 | 426,238.98 | 0.00 | 0 |
| BE0205 | Fairview Drive | 150,000 | 0.00 | 40,481.37 | 0 |
| BE9999 | Belle Haven Watershed Study | 110,000 | 43,620.80 | 44,783.09 | 0 |
| BN9999 | Bullneck Run Watershed Study | 210,000 | 800.00 | 1,967.09 | 0 |
| BR0621 | Bull Run Post Office Rd. | 180,000 | 0.00 | 144,471.20 | 0 |
| BR8001 | Bull Run Watershed Projects | 41,600 | 33,508.20 | 8,091.80 | 0 |
| CA0252 | Runnymeade Subdivision | 850,000 | 1,023.36 | 50,179.84 | 0 |
| CA0289 | Indian Run Phase IV | 550,000 | 0.00 | 158.16 | 0 |
| CA0451 | Vine Street | 570,000 | 15,088.84 | 195,320.06 | 0 |
| CA0532 | Falls Hill Subdivision | 1,300,000 | 0.00 | 164,912.63 | 0 |
| CA8001 | Cameron Run Watershed Projects | 25,000 | 0.00 | 25,000.00 | 0 |
| CU0018 | Regional Pond C-18 | 1,196,800 | 58,460.28 | 436,558.75 | 0 |
| CU0020 | Regional Pond C-20 | 362,500 | 30,022.86 | 12,170.36 | 0 |
| CU0023 | Regional Pond C-23 | 1,652,000 | 0.00 | 30,000.00 | 0 |
| CU0024 | Regional Pond C-24 | 950,000 | 0.00 | 13,142.80 | 0 |
| CU0035 | Regional Pond C-35 | 1,014,556 | 0.00 | 27,431.25 | 0 |
| CU0041 | Regional Pond C-41 | 1,220,000 | 0.00 | 78,642.11 | 0 |
| CU0054 | Regional Pond C-54 | 867,500 | 0.00 | 36,696.17 | 0 |
| CU1030 | Regional Pond C-30 | 460,990 | 0.00 | 47,703.10 | 0 |
| CU8001 | Cub Run Pro Rata Share Project | 4,700,000 | 995,530.46 | 3,303,958.22 | 0 |
| CU9999 | Cub Run Watershed Study | 2,015,000 | 0.00 | 431,867.11 | 0 |
| DC0691 | Hayfield Farms | 468,000 | 0.00 | 178,698.88 | 0 |
| DC8001 | Dogue Creek Watershed Projects | 200,000 | 0.00 | 200,000.00 | 0 |
| DC9999 | Dogue Creek Watershed Study | 666,459 | 203,420.39 | 350,148.00 | 0 |
| DE9999 | Dead Run Watershed Study | 16,000 | 0.00 | 2,229.98 | 0 |
| DF0002 | Regional Pond D-2 | 60,000 | 0.00 | 9,415.30 | 0 |
| DF0030 | Regional Pond D-30 | 835,000 | 0.00 | 9,022.09 | 0 |
| DF0106 | Wolf Trap Pond Retrofit | 2,120,000 | 0.00 | 57,904.96 | 0 |
| DF0299 | Governors Run Drainage Improvements | 904,000 | 185.10 | 72,480.13 | 0 |
| DF0361 | Clarks Landing | 1,470,867 | 4,638.67 | 46,927.60 | 0 |
| DF0691 | Gunder Vale | 329,000 | 0.00 | 100,506.58 | 0 |
| DF0913 | Reston 913 Pond Retrofit | 338,859 | 0.00 | 87,105.23 | 0 |
| DF1014 | Little Run Farm Reg. Pond D-14 | 430,000 | 0.00 | 6,320.55 | 0 |
| DF1017 | Regional Pond D-17 | 1,111,000 | 672,177.67 | 329,762.60 | 0 |
| DF1036 | Regional Pond D-36 | 907,000 | 0.00 | 5,000.00 | 0 |
| DF1037 | Yonder Hills Regional Pond D-37 | 200,000 | 0.00 | 33,299.22 | 0 |
| DF1040 | Regional Pond D-40 | 1,100,000 | 0.00 | 134,043.82 | 0 |
| DF1046 | Regional Pond D-46 | 1,573,421 | 0.00 | 7,229.12 | 0 |
| DF1047 | Regional Pond D-47 | 825,500 | 0.00 | 41,263.96 | 0 |
| DF1151 | Regional Pond D-151 | 850,000 | 0.00 | 133,217.82 | 0 |
| DF8001 | Difficult Run Pro Rata Share Project | 925,000 | 0.00 | 75,000.00 | 0 |
| DF9999 | Difficult Run Watershed Study | 2,250,000 | 0.00 | 55,953.99 | 0 |
| FM9999 | Four Mile Run Watershed Study | 287,235 | 38,919.83 | 0.00 | 0 |
| HC0002 | Regional Pond H-02 | 108,100 | 0.00 | 32,536.45 | 0 |
| HC0671 | Viking Drive | 18,000 | 0.00 | 5,236.88 | 0 |

# Fund 316
# Pro Rata Share Drainage Construction

## FY 2011 Summary of Capital Projects

### Fund: 316 Pro Rata Share Drainage Construction

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| HC1009 | Regional Pond H-9 | 780,000 | 0.00 | 490,579.81 | 0 |
| HC1471 | West Ox Detention Pond | 166,696 | 0.00 | 30,985.85 | 0 |
| HC8001 | Horsepen Creek Watershed Project | 500,000 | 0.00 | 500,000.00 | 0 |
| HC9999 | Horsepen Creek Watershed Study | 760,000 | 228,448.68 | 376,767.47 | 0 |
| HP9999 | High Point Watershed Study | 25,870 | 9,236.23 | 0.00 | 0 |
| JM9999 | Johnny Moore Creek Watershed Study | 362,975 | 3,975.00 | 0.00 | 0 |
| LB8001 | Long Branch Watershed Projects | 2,500 | 0.00 | 2,500.00 | 0 |
| LH0232 | Huntley Subdivision | 165,500 | 0.00 | 14,778.03 | 0 |
| LH8001 | Little Hunting Creek Watershed Projects | 25,000 | 0.00 | 25,000.00 | 0 |
| LH9999 | Little Hunting Creek Watershed Study | 390,000 | 0.00 | 31,112.87 | 0 |
| LR0017 | Regional Pond R-17 | 1,530,000 | 370.20 | 93,820.19 | 0 |
| LR1008 | Regional Pond R-8 | 600,500 | 215,820.75 | 68,708.02 | 0 |
| LR1016 | Regional Pond R-16 | 1,100,000 | 0.00 | 205,000.00 | 0 |
| LR1161 | Regional Pond R-161 | 2,205,500 | 0.00 | 314,071.69 | 0 |
| LR9999 | Little Rocky Run Watershed Study | 100,000 | 20,659.73 | 0.00 | 0 |
| MB0201 | Anita Drive | 177,200 | 0.00 | 79,371.20 | 0 |
| MB9999 | Mill Branch Watershed Study | 550,000 | 97,433.30 | 223,842.54 | 0 |
| NI9999 | Nichol Run Watershed Study | 220,000 | 101,498.20 | 0.00 | 0 |
| OM9999 | Old Mill Branch Watershed Study | 12,470 | 2,470.00 | 0.00 | 0 |
| PC0005 | Regional Pond P-5 | 550,000 | 0.00 | 25,000.00 | 0 |
| PC0102 | Dam Site #2 (Lake Barton) | 100,000 | 0.00 | 40,379.84 | 0 |
| PC0104 | Dam Site #4 | 475,000 | 1,048.90 | 383,638.96 | 0 |
| PC0281 | Poplar Springs Court | 280,000 | 10,417.16 | 87,084.81 | 0 |
| PC8001 | Pohick Creek Watershed Projects | 50,000 | 0.00 | 50,000.00 | 0 |
| PC9999 | Pohick Creek Watershed Study | 1,975,000 | 406,086.20 | 475,817.23 | 0 |
| PH8001 | Popes Head Pro Rata Share Project | 500,000 | 0.00 | 300,000.00 | 0 |
| PH9999 | Popes Head Creek Watershed Study | 370,000 | 0.00 | 62,229.43 | 0 |
| PM0451 | Great Falls Street | 215,000 | 0.00 | 103,477.69 | 0 |
| PM0652 | Tucker Ave | 270,971 | 0.00 | 18,587.58 | 0 |
| PM8001 | Pimmit Run Watershed Projects | 25,000 | 0.00 | 25,000.00 | 0 |
| PM9999 | Pimmit Run Watershed Study | 600,000 | 900.00 | 1,248.75 | 0 |
| PN0211 | Beach Mill Road | 220,000 | 61.70 | 25,974.25 | 0 |
| PN9999 | Pond Branch Watershed Study | 177,000 | 63,691.44 | 0.00 | 0 |
| SA0251 | Sandy Run | 135,000 | 0.00 | 58,272.61 | 0 |
| SA9999 | Sandy Run Watershed Plan | 45,000 | 10,332.40 | 0.00 | 0 |
| SC0213 | Bridle Path Lane | 553,500 | 42,896.32 | 88,796.16 | 0 |
| SC8001 | Scotts Run Pro Rata Watershed Projects | 20,000 | 0.00 | 20,000.00 | 0 |
| SC9999 | Scott Run Watershed Study | 371,375 | 0.00 | 44,698.04 | 0 |
| SU0005 | Regional Pond S-05 | 561,000 | 6,785.00 | 44,684.58 | 0 |
| SU0007 | Regional Pond S-07 | 482,000 | 0.00 | 162,144.05 | 0 |
| SU8001 | Sugarland Run Watershed Project | 659,500 | 176,919.66 | 482,580.34 | 0 |
| SU9999 | Pro Rata Study - Sugarland Run | 725,000 | 216,513.81 | 165,242.01 | 0 |
| TR9999 | Turkey Run Watershed Study | 235,000 | 0.00 | 22,934.96 | 0 |
| WR0241 | Wolf Run | 62,000 | 0.00 | 11,005.68 | 0 |
| WR9999 | Wolf Run Watershed Study | 31,900 | 5,353.54 | 0.00 | 0 |
| ZZ0000 | Reimbursement Contingency | | 0.00 | 1,113,548.76 | 0 |
| **Total** | | **$60,590,045** | **$4,144,553.66** | **$13,845,979.35** | **$0** |

# Fund 317
# Capital Renewal Construction

## Focus

This fund supports the long-term needs of the County's capital assets to maximize the life of County facilities, avoid their obsolescence, and provide for planned repairs, improvements and restorations to make them suitable for organizational needs. Capital renewal is the planned replacement of building subsystems such as roofs, electrical systems, HVAC, plumbing systems, carpet replacement, parking lot resurfacing, fire alarm replacement and emergency generator replacement that have reached the end of their useful life. Without significant reinvestment in building subsystems, older facilities can fall into a state of ever decreasing condition and functionality, and the maintenance and repair costs necessary to operate the facilities increase.

Fairfax County will have a projected FY 2011 facility inventory of over 8.9 million square feet of space throughout the County (excluding schools, parks, housing and human services residential facilities). This inventory is expanding both with the addition of newly constructed facilities and with the acquisition of additional property. With such a large inventory, and the acquisition of additional facilities, it is critical that a planned program of repairs and restorations be maintained. In addition, the age of a major portion of this inventory of facilities is reaching a point where major reinvestments are required in the building subsystems.

Many County facilities have outdated HVAC and electrical systems that are susceptible to failure or are highly inefficient energy users. Sites are identified and each individual project involves a two-step process to complete both design and construction. Roof repairs and waterproofing are conducted in priority order after a detailed evaluation of all roofs at County facilities. Based upon the results of that evaluation, critical requirements are prioritized and a five-year plan is established. Repairs and replacement of facility roofs are considered critical to avoid the serious structural deterioration that occurs from roof leaks. By addressing this problem in a comprehensive manner, a major backlog of roof problems can be avoided. Carpet replacement and parking lot resurfacing are evaluated annually and prioritized based on the most critical requirements for high traffic areas. In addition, emergency generators and fire alarm systems are replaced based on equipment age, coupled with maintenance and performance history. Emergency minor repairs and renovations, usually generated by customer requests, are accomplished under the category of emergency building repairs. These small projects abate building obsolescence and improve the efficiency and effectiveness of facilities and facility systems. The following table outlines the expected service life of building subsystems used to project capital renewal requirements, coupled with actual condition of the subsystem component:

**General Guidelines for Expected Service Life Of Building Subsystems**

| | | | |
|---|---|---|---|
| **Electrical** | | **Plumbing** | |
| Lighting | 20 years | Pumps | 15 years |
| Generators | 25 years | Pipes and fittings | 30 years |
| Service/Power | 25 years | Fixtures | 30 years |
| Fire Alarms | 15 years | | |
| **HVAC** | | **Finishes** | |
| Equipment | 20 years | Broadloom Carpet | 7 years |
| Boilers | 15 to 30 years | Carpet Tiles | 15 years |
| Building Control Systems | 10 years | Systems Furniture | 20 to 25 years |
| **Conveying Systems** | | **Site** | |
| Elevator | 25 years | Paving | 15 years |
| Escalator | 25 years | | |
| | | **Roofs** | |
| | | Replacement | 20 years |

# Fund 317
# Capital Renewal Construction

Each year, the Facilities Management Department (FMD) prioritizes and classifies capital renewal projects into five categories. Projects are classified as Category F: urgent/safety related, or endangering life and/or property; Category D: critical systems beyond their useful life or in danger of possible failure; Category C: life-cycle repairs/replacements where repairs are no longer cost effective; Category B: repairs needed for improvements if funding is available, and Category A: good condition.

Staff has been reviewing funding options to address both the current capital renewal project backlog and a sustainable and reasonable level of capital renewal project activity annually. For several years staff has identified an estimated requirement of $22 to $26 million in capital renewal investment annually for the current building inventory. This estimate was based on two factors:

- In 2004, a comprehensive facilities condition assessment was conducted on 92 selected Fairfax County facilities (approximately 4.2 million square feet of space), representative of older facilities anticipated to have the most immediate capital renewal requirements. The assessment included a complete visual inspection of roofs and all mechanical and electrical components for each facility. Maintenance and repair deficiencies were identified and funding requirements estimated. Results from the survey indicated that approximately $13 million per year would be required to repair and meet expected equipment replacement needs for these 92 facilities. The number of facilities evaluated represents approximately 50 percent of the current inventory, indicating a total current level of approximately $25 million annually.

- The industry standard for capital renewal investment is currently 2 percent of replacement value. Based on average replacement values of $150 per square foot, 2 percent equates to capital renewal requirements of $3.00 per square foot. Budgeted renewal funds in the County have not reached this level. This may be due to the fact that much of the square footage added in the early 1990s was in the form of new facilities and thus has not yet required major capital renewal and subsystem replacement. However, this infrastructure is now aging and appropriate action must be taken to avoid system failures leading to potential disruptions in County services. Applying a $3.00 per square foot industry standard to the 8,803,823 of square feet currently maintained would result in approximately $26 million required annually for capital renewal expenses.

Capital Renewal funding has never reached these projected required levels in the County. Additionally, with current staffing levels, FMD is only able to complete approximately $13 million per year in capital renewal projects. Even assuming an annually renewal funding level of $13 million per year, FMD continues to face a significant backlog of category F and D projects. This backlog continues to grow as only the most critical emergency items are funded annually. It is estimated that approximately $35 million in capital renewal projects are currently backlogged. In order to address this backlog and to plan for a more sustainable and reasonable annual funding level, staff has proposed a 3-year plan of short-term borrowing. Borrowing is expected to be accomplished through establishing a variable rate line of credit or a commercial paper program to take advantage of very low short-term interest rates. Principal is expected to be amortized over no more than a 5-year period. The repayment of principal and interest will be subject to annual appropriation by the Board of Supervisors. The plan includes the borrowing of $5,000,000 in FY 2011 and $15,000,000 in both FY 2012 and FY 2013. Eliminating the $35 million backlog will allow for a more preventative and proactive maintenance program and increase the life cycle of all County buildings.

In FY 2011, short-term borrowing of $5,000,000, combined with a General Fund transfer of $3,000,000 will provide a total of $8,000,000 in new capital renewal project funding. In addition, FMD staff will continue to work through approximately $10 million in capital renewal projects which were previously funded, but unable to be completed with current staffing levels. Therefore, the total level of funding that FMD staff will work to complete during FY 2011 is $18 million. This level of funding will maximize the life of the facilities, retard their obsolescence and provide for a planned program of building subsystem replacements to continue to fulfill organizational needs.

This level of renewal funding will require 2/2.0 SYE additional Project Manager positions which will enable FMD to accomplish $16 to $18 million in renewal projects annually over a 3 year period. In order to begin project requirements immediately and prepare for increased annual funding levels, the County Executive approved 2 Project Manager positions as an out of cycle position pool realignment. At the end of the 3 year period, staff is projecting that the $35 million backlog will be eliminated and an annual requirement of approximately $10 million will be necessary to sustain the renewal program and avoid future backlogs. At the end of the 3 year period, the additional staff can be returned to the position pool through regular attrition.

The payback of both principle and interest on the short-term debt will be provided by the General Fund in the County's debt service fund. Staff will maintain an even level of General Fund support by increasing debt service funding and decreasing General Fund transfers to Fund 317 during the next 10 years. Short term borrowing for capital renewal is included in the debt capacity estimates in the Capital Improvement Program and can be accommodated within established debt limits for General Fund supported debt.

In addition, staff continues to supplement the General Fund supported capital renewal program by increasing bond referendum amounts associated with specific purposes. For example, the voters approved $5 million in the fall 2004 bond referendum for library and human service facility capital renewal requirements and another $5 million in the fall 2006 bond referendum associated with public safety facility capital renewal requirements. This practice is expected to continue where appropriate.

The current level of requirements for FY 2011 by category is outlined below:

| Category | Amount |
|---|---:|
| Category F Projects | $13,698,400 |
| Category D Projects | 9,610,000 |
| Category C Projects | 8,475,000 |
| Category B Projects | 3,350,000 |
| Emergency Systems Failure | 500,000 |
| **Total** | **$35,633,400** |

This identified level of funding does not include significant estimated requirements at several County facilities which are under review for replacement, including the Massey Building and the Pine Ridge facility. In addition, this does not include any green building initiatives such as green roofs, enhanced lighting software, or energy audit work.

FY 2011 funding, as detailed below, will provide for most of the category F (urgent/safety related, or endangering life and/or property) projects. Funding is not included for the remaining Category F projects in the amount of $5,698,400; any Category D projects in the amount of $9,610,000; Category C projects in the amount of $8,475,000; Category B projects totaling $3,350,000, or additional funding for emergency systems failures in the amount of $500,000. These projects will be funded through the short term borrowing strategy in FY 2012 and FY 2013. Specific funding levels in FY 2011 include:

<u>HVAC/Electrical Systems</u>
This project provides for HVAC replacement at prioritized County facilities, based on the severity of problems including overloaded systems, fire hazards, and costly repairs. FY 2011 funding of $2,450,000 will provide for HVAC replacement and electrical repairs at a variety of County facilities. Funding includes: ($200,000) to replace the fire pump, controls and wiring at the 19 year old Clifton Fire Station; ($150,000) for the replacement of the electrical subpanels at the 40 year old Adult Detention Center which are aged, obsolete and unstable creating a safety hazard; ($200,000) to replace the electrical distribution system at the 50 year old Penn Daw Fire Station; ($500,000) to replace the now corroded electrical conduit, wiring and lighting in the 18 year old Pennino/Herrity garage; ($340,000) to replace HVAC system components at the Burke Station Road main building which is over 50 years old; ($335,000) to replace the rusting air handlers at the 18 year old Franconia Government Center; $150,000 to replace the sprinkler heads at the 42 year old Springfield

Warehouse which are well beyond their useful life and creating a potential safety hazard; ($350,000) to replace the sprinkler system on parking level 2 in the 19 year old Government Center garage which has corroded and is showing signs of imminent failure; and ($225,000) to provide better access to the 28 year old Jennings Building cooling towers, generators and air handlers which currently do not meet code requirements and are unsafe. All of these repairs have been classified as safety risks in need of imminent repairs, or critical systems beyond their useful life in risk of failure, or life-cycle repairs/replacements where repairs are no longer cost effective. In general, the useful life of HVAC/Electrical systems is 20 years.

Emergency Generator Replacement

This project provides for the replacement of emergency generators at County facilities that have outlived their useful life of 25 years. FY 2011 funding of $260,000 is included to replace the generators and obsolete parts for the 26 year old system at the Police Heliport Office Building ($80,000), the 25 year old system at the North County Government Center in Reston ($80,000), and the 20 year old system at the Old Courthouse ($100,000).

Elevator Replacement

This project provides for emergency elevator replacement and upgrades. This program was established to address the replacement of elevators that have outlived their useful life and are experiencing frequent breakdowns. FY 2011 funding of $250,000 is included to replace obsolete elevator components and upgrade electrical systems for code compliance at County facilities.

Fire Alarm Systems

This project provides for the replacement of fire alarm systems throughout the County. Fire alarm systems are replaced based on age and difficulty in obtaining replacement parts and service. FY 2011 funding in the amount of $501,600 is included for the replacement of the obsolete and aged fire alarm systems at various County facilities based on age and equipment functionality.

Roof Repair and Replacement

This project provides for maintenance and repairs of facility roofs and waterproofing systems in County buildings. The maintenance and repairs are required to stop rapid deterioration and damage due to water penetration. In FY 2011, funding in the amount of $1,000,000 is included to repair "over hangs" and re-caulk the entire Government Center building roof. In 2007, funding of approximately $1.5 million was provided to support critical roof repairs to the main roof area only which was experiencing significant deterioration and multiple roof leaks, was at the end of its useful life, and was no longer under warranty. FY 2011 funding will provide for repairs to the overhang areas. The 19 year old Government Center is a 674,943 square foot building and roof expansion joints throughout the building are separating, causing drainage and leaking. During rain storms, water is entering the building and causing damage, which if not corrected, will weaken the structural integrity of the building.

Parking Lot and Garage Repairs

This project provides for the repair and maintenance of facility parking lots and garages throughout the County. In FY 2011, funding of $2,628,400 is included for re-caulking and repairs to the parking lots at the South County Government Center ($700,000), sidewalks surrounding the parking lot at the Kings Park Library ($90,000), the Reston Library lot ($103,400), and the Gunston Fire Station lot ($60,000). Parking garage repairs including sealant, caulking and repairs to expansion joints and are required at the 15 year old Pennino and Herrity Garage ($500,000); the 11 year old Massey Parking Garage "A" ($600,000); the 28 year old Jennings Judicial Center parking garage ($500,000). Wear and tear on parking garages is significant due to structural exposure to the sand and salting chemicals used in winter months which can lead to deterioration of expansion joints. In addition, it is extremely difficult and costly to provide the proper preventative maintenance to garage structures; therefore, these kinds of repairs and sealant activity are typically required every 5 to 7 years. In addition, funding of $75,000 is included to repave the parking lot at the United Christian Ministries (UCM) building. This building is leased by the County; however, as part of the lease agreement, Fairfax County is required to maintain the building and surrounding parking lot.

Window Replacement
FY 2011 funding of $350,000 is included to re-caulk windows and expansion joints at the 28 year old portion of the Jennings Building. Much of the original caulking has failed and water continues to leak into the building creating mold and presenting an imminent safety hazard. Leaking and caulking repairs were not required and therefore not identified as a problem when the Jennings building renovation project began in 2002.

Emergency Building Repairs
This project provides for emergency repairs, minor renovations, and critical upgrading at various buildings and facilities throughout the County. Projects include emergency repairs to buildings and building equipment, plumbing repairs, minor renovations to electrical and mechanical systems, structural repairs, vandalism abatement, and other non-recurring construction and repair projects. FY 2011 funding in the amount of $560,000 is included for critical repairs to the 19 year old Government Center restrooms. The Government Center building includes over 20 large bathrooms which are used daily by employees and the public. Floor tiles are cracking and pulling away and the sink counter tops are damaged and deteriorating beyond repair. In addition, the restroom sinks are no longer draining properly and water leaks are creating mold problems and health hazards. FY 2011 funding will provide for a complete restoration of all restrooms in the building to prevent further deterioration and leakage.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $31,238,468 due to the carryover of unexpended project balances in the amount of $25,751,952 and an adjustment of $5,486,516. The General Fund transfer to Fund 317, Capital Renewal, is increased by $5,000,000 for emergency system failures that occur at aging County facilities throughout the year, as well as capital renewal projects categorized as "F", urgent/safety related, or endangering life and/or property. Funding will provide for emergency repairs at County facilities in the event of a major systems failure such as a large HVAC system, or other unforeseen event. This increase will provide a source funding for these types of unforeseen emergency repairs and will allow FMD to address category F projects not funded in FY 2010 for which repairs are becoming more imminent. In addition, an amount of $486,516 is associated with the appropriation of revenues received in FY 2009 for reimbursements from the Virginia Department of Transportation (VDOT) and the Virginia State Police for their share of the operational costs at the McConnell Public Safety Transportation and Operations Center (MPSTOC) as well as the state share of future projected capital renewal requirements. The MPSTOC is a new high-security, state-of-the-art facility which houses the County's 911 Center and Emergency Operations Center as well as VDOT's Smart Traffic and Signal Centers and the State Police Communications Center. The new multi-use facility allows for the cost-effective provision of services through the sharing of land, buildings and technology resources at various levels of state and local government. The County pays for all operational requirements such as security, custodial, landscaping, maintenance, parking lot repairs and snow removal and the state reimburses the County for their share of these costs. In addition, the state has begun providing annual funding for future repair and renewal costs to avoid large budget increases for required capital renewal costs in the future.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 317
# Capital Renewal Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 317, Capital Renewal Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$21,750,951** | **$4,325,000** | **$21,563,468** | **$0** |
| Revenue: | | | | |
| Sale of Bonds [1] | $0 | $0 | $9,000,000 | $0 |
| Short Term Borrowing[2] | 0 | 0 | 0 | 5,000,000 |
| MPSTOC Reimbursement[3] | 486,516 | 0 | 0 | 0 |
| Total Revenue | $486,516 | $0 | $9,000,000 | $5,000,000 |
| Transfer In: | | | | |
| General Fund (001) | $6,924,321 | $2,470,000 | $7,470,000 | $3,000,000 |
| Total Transfers In | $6,924,321 | $2,470,000 | $7,470,000 | $3,000,000 |
| **Total Available** | **$29,161,788** | **$6,795,000** | **$38,033,468** | **$8,000,000** |
| Total Expenditures | $5,098,320 | $6,795,000 | $38,033,468 | $8,000,000 |
| Transfers Out: | | | | |
| Public Safety Construction (312)[4] | 2,500,000 | 0 | 0 | 0 |
| Total Transfers Out | $2,500,000 | $0 | $0 | $0 |
| **Total Disbursements** | **$7,598,320** | **$6,795,000** | **$38,033,468** | **$8,000,000** |
| **Ending Balance[5]** | **$21,563,468** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a $125 million Public Safety Bond Referendum, of which $14 million was designated for capital renewal purposes. Including prior sales, an amount of $9.0 million remains in authorized but unissued bonds from the November 7, 2006 bond referendum.

[2] Funding of $5,000,000 will be provided using the County's short-term borrowing tools in order to reduce existing capital renewal backlogs.

[3] A total of $486,516 represents revenue received from the Virginia Department of Transportation (VDOT) and Virginia State Police associated with the state share of operating costs at the McConnell Public Safety Transportation and Operations Center (MPSTOC). These funding reimbursements will be held in capital renewal projects for future replacement requirements.

[4] Represents Transfers Out to Fund 312, Public Safety Construction of $2,500,000 to support higher than anticipated expenses associated with asbestos removal within Project 009209, Judicial Center Renovation.

[5] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 317
# Capital Renewal Construction

## FY 2011 Summary of Capital Projects

**Fund: 317 Capital Renewal Construction**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003099 | Emergency Building Repairs | | $178,652.07 | $647,516.03 | $560,000 |
| 003100 | Fire Alarm Systems | | 854,617.17 | 2,012,914.38 | 501,600 |
| 009132 | Roof Repairs and Waterproofing | | 263,430.01 | 0.00 | 1,000,000 |
| 009133 | Carpet Replacement | | 121,173.88 | 84,690.82 | 0 |
| 009136 | Parking Lot and Garage Repairs | | 50,157.48 | 0.00 | 2,628,400 |
| 009145 | Emergency Systems Failures | | 0.00 | 6,723,329.67 | 0 |
| 009146 | Transferred School Site Stabilitzation | | 242,298.46 | 0.00 | 0 |
| 009151 | HVAC/Electrical Systems | | 2,145,347.40 | 9,155,159.94 | 2,450,000 |
| 009431 | Emergency Generator Replacement | | 172,004.58 | 2,043,680.87 | 260,000 |
| 009481 | Juvenile/Human Services Capital Renewal | | 0.00 | 14,812.07 | 0 |
| 009600 | Elevator Replacement | | 40,907.14 | 7,244,413.86 | 250,000 |
| 009601 | Public Safety Capital Renewal | | 1,029,731.66 | 9,620,434.70 | 0 |
| 009602 | Window Replacement | | 0.00 | 0.00 | 350,000 |
| 009703 | State Support for MPSTOC Renewal | | 0.00 | 53,282.00 | 0 |
| 009704 | County Support for MPSTOC Renewal | | 0.00 | 433,234.00 | 0 |
| **Total** | | | **$5,098,319.85** | **$38,033,468.34** | **$8,000,000** |

# Fund 318
# Stormwater Management Program

## Focus

Fund 318, Stormwater Management Program was established in FY 2006 to support the long-term needs of the County's Stormwater capital program. Between FY 2006 and FY 2009, the Board of Supervisors had designated the approximate value of one penny from the County's Real Estate Tax to this fund for the Stormwater Program. As part of the FY 2010 Adopted Budget Plan, a new service district was created to support the Stormwater Management Program, as authorized by Va. Code Ann. Sections 15.2-2400. Fund 125, Stormwater Services provides a dedicated funding source for staff salaries, fringe benefits, operational costs, and regulatory requirements and capital project support. Over the next several years, previously funded projects within Fund 318 will be completed or balances transferred to Fund 125; therefore, no new funding is included in Fund 318, Stormwater Management for FY 2011. On-going project work and contracts will continue within Fund 318, with the eventual elimination of this fund in the future.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $22,085,406 due to the carryover of unexpended project balances in the amount of $27,408,604 and adjustments resulting in a decrease of $5,323,198. This adjustment was based on a transfer out of $5,325,000 to Fund 125, Stormwater Services, which was created as part of the FY 2010 Adopted Budget Plan. This new service district was created to support the Stormwater Management Program, as authorized by Va. Code Ann. Section 15.2-2400. This decrease was partially offset by an increase of $1,802 associated with the appropriation of miscellaneous revenue received in FY 2009.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., contingency or planning project).

# Fund 318
# Stormwater Management Program

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 318, Stormwater Management Program**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$25,385,430** | **$0** | **$25,906,315** | **$0** |
| Revenue: | | | | |
| Real Estate Tax Revenue Associated with One Penny for Stormwater[1] | $22,800,000 | $0 | $0 | $0 |
| Natural Resources Conservation Service Funds[2] | 528,406 | 0 | 1,504,091 | 0 |
| Miscellaneous[3] | 1,802 | 0 | 0 | 0 |
| Total Revenue | $23,330,208 | $0 | $1,504,091 | $0 |
| **Total Available** | **$48,715,638** | **$0** | **$27,410,406** | **$0** |
| Total Expenditures | $22,809,323 | $0 | $22,085,406 | $0 |
| Transfers Out: | | | | |
| Stormwater Services (125) | $0 | $0 | $5,325,000 | $0 |
| Total Transfers Out | $0 | $0 | $5,325,000 | $0 |
| **Total Disbursements** | **$22,809,323** | **$0** | **$27,410,406** | **$0** |
| **Ending Balance[4]** | **$25,906,315** | **$0** | **$0** | **$0** |

[1] Beginning in FY 2010, stormwater capital projects are primarily funded in Fund 125, Stormwater Services.

[2] FY 2009 Natural Resources Conservation Funds represent federal grant revenue associated with Project FX4000, Dam Safety Projects, Lake Royal (Dam Site 4), as approved by the Board of Supervisors on September 10, 2007. An amount of $528,406 was received in FY 2009 and $1,504,091 is anticipated in future years.

[3] Miscellaneous revenue represents the sale of plans.

[4] Capital projects are budgeted based on the total project costs. Most projects span mulitple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 318
# Stormwater Management Program

## FY 2011 Summary of Capital Projects

**Fund: 318 Stormwater Management Program**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| AC8000 | Accotink Creek Watershed Projects | $2,825,000 | $49,678.09 | $148,203.43 | $0 |
| AC9000 | Accotink Creek Watershed Plan | 1,490,000 | 0.00 | 1,203,599.61 | 0 |
| BH8000 | Belle Haven Creek Watershed Projects | 2,290,000 | 1,304,742.46 | 225,153.17 | 0 |
| CA8000 | Cameron Run Watershed Projects | 3,215,000 | 714,967.98 | 1,751,873.92 | 0 |
| CU8000 | Cub Run Watershed Projects | 825,249 | 11,020.01 | 292,591.72 | 0 |
| DC8000 | Kingstowne Monitoring | 931,299 | 162,483.57 | 316,468.06 | 0 |
| DC9000 | Dogue Creek Watershed Plan | 649,000 | 0.00 | 639,000.00 | 0 |
| DE8000 | Dead Run Watershed Projects | 840,000 | 246.80 | 668,527.75 | 0 |
| DF8000 | Difficult Run Watershed Projects | 950,000 | 42,858.26 | 418,542.99 | 0 |
| DF9000 | Difficult Run Watershed Plan | 7,383 | 0.00 | 7,383.01 | 0 |
| FX0001 | Interim Watershed Program | 155,000 | 49,338.34 | 27,878.72 | 0 |
| FX0002 | Contribution for Planting thru Earth Sangha Inc. | 120,000 | 60,000.00 | 71.97 | 0 |
| FX0004 | Federal Grant Participation Projects | 2,032,497 | 1,602,394.25 | 430,102.75 | 0 |
| FX0005 | Operations Support | 8,151,000 | 8,150,114.87 | 885.13 | 0 |
| FX1000 | Storm Drainage Improvements and Innovative Projects | 3,201,665 | 257,465.37 | 521,851.81 | 0 |
| FX2000 | Environmental Initiatives Projects | 357,866 | 0.00 | 255,615.96 | 0 |
| FX3000 | Stormwater Program Support | 1,113,880 | 545,520.64 | 223,745.58 | 0 |
| FX4000 | Dam Safety Projects | 10,859,220 | 2,323,911.05 | 3,411,904.02 | 0 |
| FX5000 | Stormwater Management Facilities | 6,585,381 | 1,506,137.59 | 1,485,785.10 | 0 |
| FX6000 | Infrastructure Reinvestment Program | 15,617,326 | 3,529,584.20 | 2,076,450.02 | 0 |
| FX7000 | Municipal Separate Storm Sewer Permit | 6,647,267 | 942,002.58 | 2,082,148.47 | 0 |
| FX8000 | Emergency Watershed Projects | 1,961,307 | 237,071.46 | 438,386.50 | 0 |
| HC9000 | Horsepen Creek Watershed Plan | 693,000 | 0.00 | 640,863.85 | 0 |
| LH8000 | Little Hunting Creek Watershed Projects | 2,830,070 | 560,478.69 | 454,375.19 | 0 |
| LH9000 | Little Huntington Creek Watershed Plan | 16,083 | 0.00 | 16,083.10 | 0 |
| LO9000 | Lower Occoquan Watershed Plan | 1,150,000 | 136,124.64 | 917,959.08 | 0 |
| LR9000 | LittleRocky/Johnny Moore Watershed Plan | 492,000 | 87,758.72 | 366,971.66 | 0 |
| MB9000 | Mill Branch Watershed Plan | 389,000 | 47,974.23 | 81,598.19 | 0 |
| MP8000 | Middle Potomac Watershed Projects | 340,000 | 7,646.81 | 261,277.95 | 0 |
| MP9000 | Middle Potomac Watershed Plan | 466,109 | 29,101.10 | 201,955.95 | 0 |
| OC8000 | Occoquan Watershed Projects | 29,293 | 0.00 | 29,292.95 | 0 |
| OC9000 | Lower Occoquan Watershed Plan | 3,145,604 | 0.00 | 6,668.28 | 0 |
| PC8000 | Pohick Creek Watershed Projects | 990,000 | 313,945.58 | 401,574.06 | 0 |
| PC9000 | Pohick Creek Watershed Plan | 1,100,000 | 0.00 | 988,230.88 | 0 |
| PH8000 | Popes Head Creek Watershed Projects | 880,000 | 20,527.12 | 387,794.54 | 0 |
| PH9000 | Popes Head Creek Watershed Plan | 65,110 | 0.00 | 65,110.00 | 0 |
| PM8000 | Pimmit Run Watershed Projects | 1,595,000 | 80,328.37 | 157,534.86 | 0 |
| PN9000 | Pond Branch Watershed Plan | 560,000 | 35,899.95 | 481,945.55 | 0 |
| **Total** | | **$85,566,609** | **$22,809,322.73** | **$22,085,405.78** | **$0** |

# Fund 370
# Park Authority Bond Construction

## Focus

This fund provides for the continued design, construction and renovation of Fairfax County parks, and is primarily supported by General Obligation bonds. Projects within this fund provide for improvements to a wide range of recreational facilities such as playgrounds, picnic areas, trails and recreation center/swimming pool complexes. The existing program is supported in part by $65 million in General Obligation bonds approved by the voters on November 4, 2008 to acquire new parks and develop and improve park facilities.

The Park Authority Board has adopted certain criteria for evaluating proposed acquisitions, including contiguity to existing parkland or stream valley areas, existing zoning and development conditions, reasonable development costs and support within the Fairfax County Comprehensive Plan. The Park Authority also works with the private sector to acquire easements and donations of land and funding in an effort to use land acquisition monies more effectively.

No funding is included for Fund 370, Park Authority Bond Construction, in FY 2011. Work will continue on existing and previously funded projects.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $81,752,130 due to the carryover of unexpended project balances of $80,933,319 and an increase of $818,811 due to the appropriation of grant funds received in FY 2009.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 370
# Park Authority Bond Construction

## FUND STATEMENT

**Fund Type P37, Capital Project Funds** **Fund 370, Park Authority Bond Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance[1]** | **$16,941,424** | **$0** | **$15,417,130** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[2] | $15,300,000 | $0 | $66,335,000 | $0 |
| Bond Premium | 0 | 0 | 0 | 0 |
| Grants[3] | 2,302,187 | 0 | 0 | 0 |
| Total Revenue | $17,602,187 | $0 | $66,335,000 | $0 |
| **Total Available** | **$34,543,611** | **$0** | **$81,752,130** | **$0** |
| Total Expenditures | $19,083,037 | $0 | $81,752,130 | $0 |
| **Total Disbursements** | **$19,083,037** | **$0** | **$81,752,130** | **$0** |
| **Ending Balance[4]** | **$15,460,574** | **$0** | **$0** | **$0** |

[1]The *FY 2010 Revised Budget Plan* beginning balance was adjusted by ($43,444) due to a restatement of the balance for Project 476098, West County Recreation Center, as the result of a prior year correction.

[2] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 6, 2006, the voters approved a $25 million Park Authority Referendum to continue land acquisition and park development. In addition, on November 4, 2008, the voters approved a $65 million Park Authority Bond Referendum to continue land acquisition, park development, parks and building renovation and stewardship. The FY 2009 Actuals reflect an amount of $15.3 million sold in January 2009. In addition, an amount of $11.5 million was sold in October 2009, leaving a balance of $54.835 million in authorized but unissued bonds for this fund.

[3] Reflects revenues from grants for which Park Authority bond funds serve as the Local Cash Match.

[4] Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 370
# Park Authority Bond Construction

## FY 2011 Summary of Capital Projects

### Fund: 370 Park Authority Bond Construction

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 004745 | Lane's Mill Restoration | $50,000 | $0.00 | $1,739.69 | $0 |
| 474104 | Athletic Fields -Fall 2004 Park Bonds | 8,593,000 | 453,233.38 | 819,601.55 | 0 |
| 474106 | Athletic Fields - Synthetic Turf | 10,000,000 | 2,076,685.76 | 708,614.47 | 0 |
| 474198 | Athletic Fields | 7,400,000 | 44,259.89 | 181,449.83 | 0 |
| 474404 | Infrastructure Renovations - 2004 | 3,212,000 | 66,288.57 | 84,210.55 | 0 |
| 474408 | Park and Building Renovation - 2008 | 19,739,500 | 226,818.28 | 19,512,681.72 | 0 |
| 474498 | Infrastructure Renovations | 4,900,000 | 8,205.20 | 151,191.48 | 0 |
| 474604 | Trails and Stream Crossings - 2004 | 4,895,000 | 246,625.48 | 345,736.95 | 0 |
| 474606 | Trails and Stream Crossings - 2006 | 5,000,000 | 1,132,866.87 | 3,232,153.35 | 0 |
| 474698 | Trails & Stream Crossings | 4,200,000 | 27,919.20 | 83,605.23 | 0 |
| 474763 | Grants | 2,541,667 | 2,077,113.00 | 464,554.00 | 0 |
| 475004 | Natural and Cultural Resources - 2004 | 3,830,000 | 593,266.37 | 1,209,042.53 | 0 |
| 475008 | Stewardship - 2008 | 11,640,000 | 27,969.36 | 11,612,030.64 | 0 |
| 475098 | Natural & Cultural Facilities | 10,000,000 | 973,232.58 | 1,451,114.35 | 0 |
| 475502 | Community Park Development - 2002 | 5,000,000 | 42,979.01 | 69,101.24 | 0 |
| 475504 | Community Parks/Courts - 2004 | 9,434,976 | 786,252.25 | 1,415,163.73 | 0 |
| 475508 | Park Development - 2008 | 19,235,100 | 659,308.33 | 18,575,791.67 | 0 |
| 475598 | Community Park Development - 1998 | 10,050,223 | 13,502.28 | 120,074.16 | 0 |
| 475804 | Building Renovation and Expansion - 2004 | 23,079,000 | 4,537,969.07 | 7,743,327.65 | 0 |
| 475898 | Building Renovations | 5,000,000 | 4,112.77 | 15,174.69 | 0 |
| 476098 | West County Recreation Center | 14,956,556 | 1,728.00 | 0.00 | 0 |
| 476106 | Land Acquisition - Fall 2006 Park Bonds | 10,000,000 | 1,777,880.23 | 761,802.42 | 0 |
| 476108 | Land Acquisition - Fall 2008 Park Bonds | 14,385,400 | 2,793,579.28 | 11,591,820.72 | 0 |
| 476204 | Building New Construction | 4,450,000 | 511,242.06 | 1,602,147.38 | 0 |
| **Total** | | **$211,592,423** | **$19,083,037.22** | **$81,752,130.00** | **$0** |

# Fund 390
# Public School Construction

## Focus

Fund 390, Public School Construction, provides funding for new construction, facility renovation, expansion and improvements authorized by voter referendum, as well as funds for capital expenditures. Bond funding remaining from the 2001, 2003, 2005, 2007 and 2009 bond referenda support capital construction projects in this fund.

In FY 2011, progress will continue on the school bond referendum projects and projects funded by Fund 090, School Operating. Major projects for FY 2011 include new construction, facility modifications and renovations and infrastructure management.

# Fund 390
# Public School Construction

## FUND STATEMENT

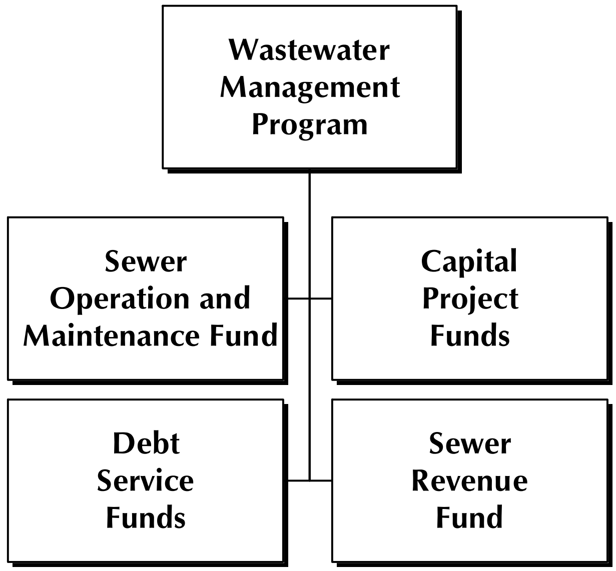
**Fund Type G30, Capital Project Funds** | **Fund 390, Public School Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$13,219,784** | **$0** | **$36,763,861** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[2] | $155,000,000 | $155,000,000 | $155,000,000 | $155,000,000 |
| State Construction Grant | 873,571 | 873,617 | 0 | 0 |
| PTA/PTO Receipts | 275,473 | 150,000 | 150,000 | 150,000 |
| Fairfax City | 843,756 | 150,000 | 150,000 | 150,000 |
| Insurance Proceeds - Lacey Center | 0 | 0 | 0 | 0 |
| Other Revenue | 3,504,097 | 136,000 | 136,000 | 136,000 |
| Subtotal Revenue | $160,496,897 | $156,309,617 | $155,436,000 | $155,436,000 |
| Authorized But Unissued Bonds | $0 | $0 | $190,388,053 | $0 |
| Total Revenue | $160,496,897 | $156,309,617 | $345,824,053 | $155,436,000 |
| Transfers In: | | | | |
| School Operating Fund (090) | | | | |
| Major Maintenance | $9,300,000 | $6,449,030 | $6,449,030 | $6,449,030 |
| Classroom Equipment | 1,632,989 | 1,828,202 | 1,828,202 | 3,097,119 |
| Facility Modifications | 52,237 | 600,000 | 406,845 | 600,000 |
| Total Transfers In | $10,985,226 | $8,877,232 | $8,684,077 | $10,146,149 |
| **Total Available** | **$184,701,907** | **$165,186,849** | **$391,271,991** | **$165,582,149** |
| Expenditures: | | | | |
| Subtotal Expenditures | $147,938,046 | $165,186,849 | $200,883,938 | $165,582,149 |
| Contractual Commitments | 0 | 0 | 190,388,053 | 0 |
| Total Expenditures | $147,938,046 | $165,186,849 | $391,271,991 | $165,582,149 |
| **Total Disbursements** | **$147,938,046** | **$165,186,849** | **$391,271,991** | **$165,582,149** |
| | | | | |
| **Ending Balance** | **$36,763,861** | **$0** | **$0** | **$0** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

[2] The actual sale of bonds is based upon a review of cash needs rather than cash and encumbrances as presented here for planning purposes. This is consistent with Board policy to sell bonds on a cash basis. Including prior sales, there is a balance of $560.950 million in authorized but unissued school bonds.

# Wastewater Management Program Overview




## Focus

The Wastewater Management Program (WWM) is operated, maintained and managed within the Department of Public Works and Environmental Services (DPWES). The program currently includes the County-owned Noman M. Cole, Jr. Pollution Control Plant (67 million gallons per day (mgd) capacity), nearly 3,378 miles of sewer lines, 65 pump stations, 54 flow-metering stations, and covers approximately 234 square miles of the County's 407 square-mile area. Capacity entitlement at the other regional facilities totals 91 mgd. A total of 321/320.5 SYE positions will perform wastewater maintenance and operations in FY 2011. The WWM anticipates a total of 364,500 households and businesses (new and existing) in Fairfax County will be connected to public sewer in FY 2011.

In addition to providing County residents and businesses with sewer service, Fairfax County provides sewer service to other nearby entities through "Sales of Service" agreements with Arlington and Loudon Counties, the cities of Falls Church and Fairfax, the towns of Herndon and Vienna, Fort Belvoir, the Covanta Fairfax, Inc. Waste-to-Energy facility and Fairfax Water. These entities share the capital and operating costs of WWM based on actual wastewater flow and reserved treatment capacity.

Strategic planning and overall business monitoring is the responsibility of the Wastewater Management Leadership Team, whose responsibilities focus on long range planning, strategic thinking, continuous improvement processing, wastewater capacity, and financial management. This team is comprised of employees from three divisions within WWM, Collections, Treatment, and Planning and Monitoring.

The Wastewater Collection Division (WCD) is responsible for the County's wastewater collection and conveyance system consisting of sewers, force mains, pumping stations and metering stations. The WCD has a proactive sewer system maintenance program that facilitates a safe and effective wastewater collection system. In FY 2009, over 241 miles of sewer lines were inspected by Closed Circuit Television (CCTV) crews and about 550 miles of sewer lines were cleaned to ensure maximum flow carrying capacity and reduce sewer backups and overflows. Over the last five years, WCD has rehabilitated approximately 80 miles of sewer lines to protect the environment and residents of Fairfax County.

The Wastewater Treatment Division (WTD) is responsible for operating and maintaining the County's wastewater treatment facility, the Noman M. Cole, Jr. Pollution Control Plant (NCPCP). The WTD continues to produce a quality effluent to meet regulatory and permit requirements, despite major construction occurring throughout the plant site. The NCPCP continues to make significant efforts to be a "good neighbor" by constructing an odor control system, which improves the air quality around the plant.

# Wastewater Management Program Overview

The Wastewater Planning and Monitoring Division (WPMD) is responsible for the agency's fiscal planning, engineering planning and wastewater monitoring. The WPMD continues to effectively monitor the long-term planning needs for the Wastewater Management Program in terms of infrastructure upgrades, maintenance and expansions. The WPMD ensures that all financial requirements are fulfilled by maintaining a rate structure to adequately recover all operating and maintenance costs, capital improvements and debt service obligations. The WPMD also plans for system capacity, both in the conveyance system and treatment facilities, by initiating expansion and improvement projects to keep pace with increased wastewater flows. The WPMD safeguards the environment by ensuring compliance with water quality standards and prevention of toxic discharges into the collection system.

WPMD is currently monitoring the new Chesapeake Bay water quality program requirements which require reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit will include a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of less than 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 mg/l and currently has the capability to meet a nitrogen standard of 5.0 milligrams per liter. A phased approach has been recommended to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements.

The Sewer Service Charge rate will increase from $4.50 to $5.27 per 1,000 gallons of water consumption in FY 2011. This equates to an approximate increase of 17.1 percent in Sewer Service Charges. In addition, a new base charge to sewer billings was introduced in FY 2010 to recover billing costs for the Wastewater Management Program. The base charge remains the same in FY 2011 and is billed quarterly in the amount of $5.00 per bill totaling $20.00 per year. Base charges are an industry standard used to promote revenue stability and are locally used by: Fairfax Water, Loudoun Water, Stafford County, District of Columbia Water and Sewer Authority (DCWASA), City of Alexandria, Washington Suburban Sanitary Commission (WSSC), and Prince William County. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of $58.52. For FY 2012 and FY 2013, annual service charge increases of 17.0 percent and 14.0 percent have been proposed. Sewer service charge rates are increasing as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the State as a result of "Chesapeake 2000" Agreement. Due to the significant level of requirements, it is anticipated that projects will be financed on an as-needed basis. These rate increases are consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the February 2009 Wastewater Revenue Sufficiency and Rate Analysis report.

The FY 2011 Sewer Service and Base Charges will generate additional revenues and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to effectively meet new, more stringent nitrogen discharge limitations from wastewater treatment plants. Other sources of revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years. The program may also utilize sewer fund balances to partially offset these higher costs. In FY 2011, a Sewer Revenue Bond sale in the amount of $150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer line projects and plant upgrades at the Noman M. Cole, Jr., Pollution Control Plant and treatment by contract wastewater treatment facilities.

Availability Charges are one-time "tap fees" charged to new customers for initial access to the system. The revenue from Availability Fees is used to offset the costs of expanding major treatment facilities. In FY 2011, Availability Fees will increase from $7,310 to $7,750 or approximately 6 percent for single-family homes based on current projections of capital requirements. Rates are adjusted based on continued increases in expenses associated with treatment plant upgrades and interjurisdictional payments that result from population growth, more stringent treatment requirements and inflation. This FY 2011 rate increase is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the February 2009 Wastewater Revenue Sufficiency and Rate Analysis report. Since construction costs appear to be declining, FY 2012 and FY 2013 rates are projected to be held equal to

FY 2011 rates pending a more detailed pricing analysis. The following table displays the resulting increase by category.

| Fiscal Year | Availability Fee | Sewer Service Charge Per 1,000 gallons water used |
|---|---|---|
| 2007 | $6,138 | $3.50 |
| 2008 | $6,506 | $3.74 |
| 2009 | $6,896 | $4.10 |
| 2010 | $7,310 | $4.50 |
| 2011 | $7,750 | $5.27 |
| 2012 | $7,750 | $6.17 |
| 2013 | $7,750 | $7.03 |
| 2014 | $7,750 | $7.72 |

The system supplements the capacity of its own collections and treatment facilities through "Treatment by Contract" agreements with the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Sanitation Authority (ASA), the Upper Occoquan Sewage Authority (UOSA) and Arlington County. As stated in the individual agreements, the County pays its share of operating, capital and/or debt costs of each entity's system based on actual wastewater flows and allocated capacity, respectively.

The Wastewater Management Program has issued debt to fund major expansion and upgrade projects for both its own plant and its portion at the "Treatment by Contract" facilities. The following table shows the projected annual debt service payments along with remaining debt service as of June 30, 2009.

| Wastewater Management Debt Service | | | |
|---|---|---|---|
| **Years** | **Principal** | **Interest** | **Total** |
| 2010 | $12,286,810 | $22,891,502 | $35,178,312 |
| 2011 | 15,796,593 | 25,436,678 | 41,233,271 |
| 2012 | 16,445,121 | 24,799,984 | 41,245,105 |
| 2013 | 17,146,910 | 24,114,047 | 41,260,957 |
| 2014 | 17,877,700 | 23,364,738 | 41,242,438 |
| 2015 | 18,748,444 | 22,507,796 | 41,256,240 |
| 2016 | 19,775,299 | 21,614,955 | 41,390,254 |
| 2017-2041 | 440,992,776 | 227,804,650 | 668,797,426 |
| **TOTAL** | **$559,069,653** | **$392,534,350** | **$951,604,003** |

In FY 2011, the County is projected to provide for the treatment of 113.75 million gallons of wastewater per day. Approximately 40 percent of this flow is treated at the NCPCP. The flow is distributed between the NCPCP and the interjurisdictional facilities as detailed in the table below. The table also includes the capacity utilization percentage and the available (unused) capacity for each plant.

# Wastewater Management Program Overview

| Treatment Plant | Capacity (MGD) | FY 2011 Projected Daily Average (MGD) | Capacity Utilization (%) | Available Capacity (MGD) |
|---|---|---|---|---|
| DCWASA Blue Plains | 31.0 | 29.66 | 95.7% | 1.34 |
| Noman M. Cole, Jr. | 67.0 | 45.53 | 68.0% | 21.47 |
| Alexandria Sanitation Authority | 32.4 | 23.12 | 71.4% | 9.28 |
| Arlington County | 3.0 | 2.30 | 76.7% | 0.70 |
| Upper Occoquan Sewage Authority | 24.6 | 13.14 | 53.4% | 11.46 |
| **Total** | **158.0** | **113.75** | **72.0%** | **44.25** |

To ensure that WWM remains competitive and provides a high performance operation including improvements to the technical and managerial capacities that will continue to enhance service quality, customer service and financial planning, WWM closely monitors the following areas:

| | FY 2009 (Actual) | FY 2010 (Adopted) | FY 2011 (Projection) |
|---|---|---|---|
| Sewer Service Charge, $/1,000 gallons | $4.10 | $4.50 | $5.27 |
| Treatment Costs, $/MGD | $1,261 | $1,350 | $1,375 |
| Number of Sewer System Overflows | 14 | 20 | 20 |
| Odor Complaints per year | 17 | 25 | 25 |

The WWM is comprised of seven separate funds under a self-supporting fund structure (Enterprise Funds) consistent with the Sewer Bond Resolution adopted by the Board of Supervisors in July 1985. For more detailed information of the operational aspects of the various programs, refer to the narrative of Fund 401, Sewer Operation and Maintenance, which immediately follows this Overview. The following is a brief description of the seven active funds:

- **Fund 400** - Sewer Revenue is used to credit all operating revenues of the system, as well as most of the interest on invested fund balances. Revenues recorded in this fund are transferred to the various funds to meet their operational requirements. The remaining fund balances are used to set aside funds for various reserves and future system requirements.

- **Fund 401** - Sewer Operation and Maintenance provides funding for the three divisions responsible for the management and operation of the program, supported by a transfer from Fund 400.

- **Fund 402** - Sewer Construction Improvements provides funding for the repair, rehabilitation and improvement requirements of the entire program's infrastructure, supported by a transfer from Fund 400.

- **Fund 403** - Sewer Bond Parity Debt Service is used to record principal, interest and fiscal agent fees for the Series 2004 and 2009 Sewer Revenue Bonds and the planned FY 2011 Sewer Revenue Bond Sale in accordance with the current Sewer Bond Resolution, supported by a transfer from Fund 400.

- **Fund 406** - Sewer Bond Debt Reserve provides debt reserve funds for the 2004 and 2009 Series of Sewer Revenue Bonds and the planned FY 2011 Sewer Revenue Bond Sale in accordance with the current Sewer Bond Resolution, which are funded from the issuance of sewer revenue bonds and/or program revenues.

- **Fund 407** - Sewer Bond Subordinate Debt Service records all debt service payments on the UOSA revenue bonds and VRA loans. All future issues or refinancing of debt arising from interjurisdictional capacity rights may be treated as subordinate obligations of the system as provided by the General Bond Resolution for Sewer Revenue Bonds. Funding is supported by a transfer from Fund 400.

- **Fund 408** - Sewer Bond Construction provides for major program construction projects, which are funded from the issuance of sewer revenue bonds and/or program revenues.

# Fund 400
# Sewer Revenue

## Focus

All availability fees and sewer service charges associated with the Wastewater Management Program are credited to this fund as operating revenues. The total receipts from all revenue sources are used to finance the following: Operation and Maintenance (Fund 401); Construction Improvement Projects (Fund 402); Debt Service (Fund 403); and Subordinate Debt Service (Fund 407). In FY 2011 transfers to these funds are increased approximately $29 million to account for operational requirements, planned capital improvements and debt service associated with both the sewer revenue bonds sold in June 2009 and the planned FY 2011 sewer bond sale. Any remaining balance in Fund 400, Sewer Revenue is used for future year requirements and required reserves.

The Program's Availability Fee and Sewer Service Charge are based on staff analysis and consultant recommendations included in the February 2009 Wastewater Revenue Sufficiency and Rate Analysis.

**Current Availability Fee Rates**

Availability Charges are one-time "tap fees" charged to new customers for initial access to the system. The revenue from Availability Fees is used to offset the costs of expanding major treatment facilities. In FY 2011, Availability Fees will increase from $7,310 to $7,750 or approximately 6 percent for single-family homes based on current projections of capital requirements. Rates are adjusted based on continued increases in expenses associated with treatment plant upgrades and interjurisdictional payments that result from population growth, more stringent treatment requirements and inflation. This FY 2011 rate increase is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the February 2009 Wastewater Revenue Sufficiency and Rate Analysis report. FY 2011 Availability Fee rate is projected to increase 6.0 percent in anticipation of rising borrowing costs. Since construction costs appear to be declining, FY 2012 through FY 2014 rates are anticipated to be held equal to FY 2011 rates pending a more detailed pricing analysis. The following table displays the resulting increase by category.

| Category | FY 2010 Availability Fee | FY 2011 Availability Fee |
|---|---|---|
| Single Family | $7,310 | $7,750 |
| Townhouses and Apartments | $5,848 | $6,200 |
| Hotels/Motels | $1,827 | $1,938 |
| Nonresidential | $378/fixture unit | $401/fixture unit |

**Current Sewer Service Charge**

Sewer Service Charges are revenues received from existing customers and are used to fully recover program operation and maintenance costs, debt service payments and capital project requirements attributable to improving wastewater treatment effluent quality as mandated by state and federal agencies. The Sewer Service Charge rate will increase from $4.50 to $5.27 per 1,000 gallons of water consumption in FY 2011. This equates to an approximate increase of 17.1 percent in Sewer Service Charges. In addition, a new base charge to sewer billings was introduced in FY 2010 to recover billing costs for the Wastewater Management Program. The base charge remains the same in FY 2011 and is billed quarterly in the amount of $5.00 per bill totaling $20.00 per year. Base charges are an industry standard used to promote revenue stability and are locally used by: Fairfax Water, Loudoun Water, Stafford County, DCWASA, City of Alexandria, WSSC, and Prince William County. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of $58.52. For FY 2012 and FY 2013, annual service charge increases of 17.0 percent and 14.0 percent have been proposed. Sewer service charge rates are increasing as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the State as a result of "Chesapeake 2000" Agreement. New Chesapeake Bay water quality program requirements include reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit will include a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of less than 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 mg/l and currently has the capability to meet a nitrogen standard of 5.0 milligrams per liter. A phased approach has been recommended to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements. Due to the significant level of requirements, it is anticipated that projects will be financed on an as-needed basis. These rate increases are consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the February 2009 Wastewater Revenue Sufficiency and Rate Analysis report.

| Category | FY 2010 Sewer Service Charge | FY 2011 Sewer Service Charge |
|---|---|---|
| Per 1,000 gallons water consumed | $4.50 | $5.27 |

The FY 2011 Sewer Service Charge will generate an additional $18.275 million in revenues over the estimated *FY 2010 Revised Budget Plan* amount and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to effectively meet new, more stringent nitrogen discharge limitations from wastewater treatment plants. Other sources of revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years. The program may also utilize sewer fund balances to partially offset these higher costs.

**Availability Fees and Sewer Service Charges from FY 2007 through FY 2014**

| Fiscal Year | Availability Fee | Sewer Service Charge Per 1,000 gallons water used |
|---|---|---|
| 2007 | $6,138 | $3.50 |
| 2008 | $6,506 | $3.74 |
| 2009 | $6,896 | $4.10 |
| 2010 | $7,310 | $4.50 |
| 2011 | $7,750 | $5.27 |
| 2012 | $7,750 | $6.17 |
| 2013 | $7,750 | $7.03 |
| 2014 | $7,750 | $7.72 |

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a decrease of $3,000,000 in Transfers Out due to a large carryover fund balance in Fund 401, Sewer Operation and Maintenance. Fund 401 ended FY 2009 with a fund balance that is larger than required in FY 2010 which permitted the reduction in the transfers out from Fund 400.

# Fund 400
# Sewer Revenue

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** | **Fund 400, Sewer Revenue**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$110,796,414** | **$96,869,855** | **$87,265,589** | **$81,555,589** |
| Revenue: | | | | |
| Lateral Spur Fees | $25,200 | $10,000 | $10,000 | $10,000 |
| Sales of Service | 8,039,915 | 7,500,000 | 7,500,000 | 8,000,000 |
| Availability Charges | 11,461,530 | 15,000,000 | 15,000,000 | 12,000,000 |
| Connection Charges | 39,600 | 50,000 | 50,000 | 50,000 |
| Sewer Service Charges | 100,493,512 | 107,500,000 | 107,500,000 | 125,775,000 |
| Miscellaneous Revenue | 124,871 | 150,000 | 150,000 | 150,000 |
| Sale Surplus Property | 48,613 | 30,000 | 30,000 | 30,000 |
| Interest on Investments | 1,937,493 | 3,000,000 | 3,000,000 | 2,000,000 |
| Total Revenue | $122,170,734 | $133,240,000 | $133,240,000 | $148,015,000 |
| **Total Available** | **$232,967,148** | **$230,109,855** | **$220,505,589** | **$229,570,589** |
| Transfers Out: | | | | |
| Sewer Operation and Maintenance (401) | $88,500,000 | $93,000,000 | $90,000,000 | $98,800,000 |
| Sewer Construction Improvements (402) | 23,500,000 | 18,000,000 | 18,000,000 | 24,500,000 |
| Sewer Bond Parity Debt Service (403) | 10,650,000 | 6,650,000 | 6,650,000 | 19,850,000 |
| Sewer Bond Subordinate Debt Service (407) | 23,051,559 | 24,300,000 | 24,300,000 | 24,500,000 |
| Total Transfers Out | $145,701,559 | $141,950,000 | $138,950,000 | $167,650,000 |
| **Total Disbursements** | **$145,701,559** | **$141,950,000** | **$138,950,000** | **$167,650,000** |
| | | | | |
| **Ending Balance** [1] | **$87,265,589** | **$88,159,855** | **$81,555,589** | **$61,920,589** |
| Management Reserves: | | | | |
| Operating and Maintenance Reserve[2] | $26,000,000 | $45,000,000 | $45,000,000 | $30,000,000 |
| New Customer Reserve[3] | 22,100,000 | 22,846,287 | 22,846,287 | 23,000,000 |
| Virginia Resource Authority Reserve[4] | 6,637,072 | 6,637,072 | 6,637,072 | 6,637,072 |
| Total Reserves | $54,737,072 | $74,483,359 | $74,483,359 | $59,637,072 |
| **Unreserved Balance** | **$32,528,517** | **$13,676,496** | **$7,072,230** | **$2,283,517** |

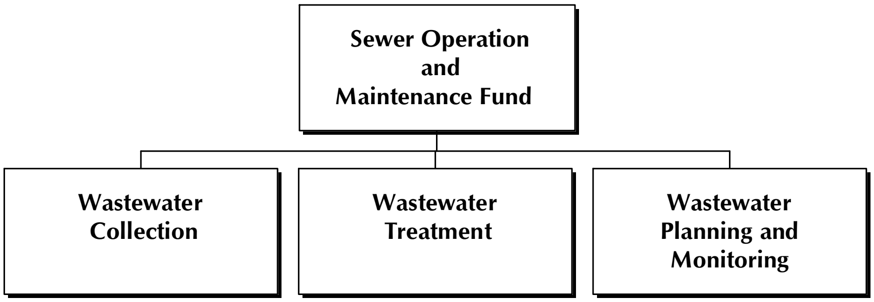
[1] The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements, operation and maintenance expenses and capital improvements. These costs change annually and funding for sewer projects is carried forward each fiscal year; therefore, ending balances fluctuate, reflecting the carryover of these funds.

[2] The Operating and Maintenance Reserve provides for unforeseen expenses associated with sewer system emergencies. This reserve is targeted to be maintained at a level between $25 and $45 million. This level of reserve is based on industry practice to maintain existing customer reserves at a level which can support 30 and 180 days of working capital and approximately 50 percent of one year's requirements for rehabilitation and replacement of the current system's assets.

[3] The New Customer Reserve provides for debt service and administrative expenses associated with new customer debt, until such time as adjustments to availability charges can be accommodated. This reserve is targeted to be maintained at approximately $22 million. This level of reserve is based on payment expenses associated with one year of debt service and administrative expenses associated with new customer debt.

[4] The Virginia Resource Authority Reserve was established in anticipation of debt service reserve requirements for Virginia Resource Authority loans related to future treatment plant issues.

# Fund 401
# Sewer Operation and Maintenance




## Mission
To safely collect and treat wastewater in compliance with all regulatory requirements using state-of-the-art technology in the most cost-effective manner in order to improve the environment and enhance the quality of life in Fairfax County.

## Focus
The Wastewater Management Program includes wastewater collection and conveyance, wastewater treatment, and planning and monitoring program areas. The primary functions are to strategically plan, efficiently operate and effectively maintain the wastewater system in the best interest of the County and its customers. Funding for sewer operations and maintenance are financed by a transfer from Fund 400, Sewer Revenue which is used to credit all system revenues collected, including availability fees and sewer service charges associated with the program.

***Photo of the Noman M. Cole Jr. Pollution Control Plant***

This program operates and maintains nearly 3,378 miles of sewer, 65 pump stations and 54 flow-metering stations. Treatment of wastewater generated is provided primarily through five regional wastewater collection and treatment plants. The regional treatment approach takes advantage of economies of scale in wastewater treatment and ensures the economical and efficient operation and management of the program.

One of the five regional plants is the County's owned and operated Noman M. Cole, Jr. Pollution Control Plant (NCPCP), which is currently permitted to treat 67 million gallons per day (mgd) of flow. Other regional facilities include the District of Columbia Water and Sewer Authority's Blue Plains Treatment Plant with 31 mgd capacity; Alexandria Sanitation Authority's Treatment Plant with 32.4 mgd capacity; Upper Occoquan Sewage Authority's Treatment Plant with 24.6 mgd capacity; and Arlington County's Treatment Plant with 3 mgd capacity. Fairfax County utilizes all of these facilities to accommodate a total capacity of 158 mgd.

The agency has identified a number of trends that influence the operation and maintenance of the sanitary sewer system. The major trends over the next two to five years include the following:

**Chesapeake Bay Water Quality Program Requirements** - The new Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit will include a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of less than 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter and currently has the capability to meet a nitrogen standard of 5.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements. The Sewer Service Charge rate will increase from $4.50 to $5.27 per 1,000 gallons of water consumption in FY 2011. This equates to an approximate increase of 17.1 percent in Sewer Service Charges. In addition, a new base charge to sewer billings was introduced in FY 2010 to recover billing costs for the Wastewater Management Program. The base charge remains the same in FY 2011 and is billed quarterly in the amount of $5.00 per bill totaling $20.00 per year. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of $58.52. For FY 2012 and FY 2013, annual service charge increases of 17.0 percent and 14.0 percent have been proposed. Sewer service charge rates are increasing as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the State as a result of the "Chesapeake 2000" agreement. In FY 2011, a Sewer Revenue Bond sale in the amount of $150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer line projects and plant upgrades at the Noman M. Cole, Jr., Pollution Control Plant and treatment by contract wastewater treatment facilities.

**Capacity, Maintenance, Operation, and Management (CMOM)** - The United States Environmental Protection Agency (USEPA) has been planning for several years to promulgate sanitary sewer overflow (SSO) regulations, which would require municipalities to develop and implement a CMOM program to eliminate any sewer overflows and backups from the wastewater collection systems. The proposed SSO rule and the CMOM program would significantly affect program costs.

**Integration of Information Technology** - The Geographic Information System (GIS), the Supervisory Control and Data Acquisition (SCADA) system and the Infrastructure Computerized Maintenance Management System (ICMMS) require integration for optimal use. Computing and information technology are an integral part of every aspect of the Wastewater Management Program operations. Today's high customer expectations and increasing reliance on consistent 24-hour services, lead to an increasing dependence on and expectation for stable and reliable integrated information technologies that infuse the business process. Presently, the GIS, the SCADA system, and the ICMMS system are partially integrated. Future customer service needs will require a full enterprise integration of the critical information technology systems to reduce total cost of ownership, increase availability of critical business data in the right format, and improve the quality and delivery of services to sewer customers.

**Capital Improvements** - Reinvestment in the sewer system infrastructure ensures optimum operation of all wastewater facilities. This initiative, closely related to CMOM endeavors, emphasizes capital improvements to wastewater collection and treatment facilities to meet requirements of the future sanitary sewer overflow regulations by the USEPA. The program continues to take a proactive stance toward infrastructure rehabilitation; however, CMOM regulations could greatly affect operations.

**Asset Management Program** - As a result of evaluating the program's financial management strategies, an Asset Management Program was developed. The first phase aligned the program's capital asset policies and procedures with the County's fixed asset policies and developed a process in which to evaluate the program's infrastructure. The second phase developed criteria to identify the program's critical assets. After the criteria were tested and accepted they were applied to all program assets. Phase three will be the condition assessment of all assets beginning with the most critical assets.

# Fund 401
# Sewer Operation and Maintenance

The Wastewater Management Program is funded by revenues generated by the customers of the sanitary sewer system and recorded in Fund 400, Sewer Revenue. Sewer service charges support system operation and maintenance costs, debt service payments, and capital projects that is attributable to supporting and improving wastewater treatment services for existing customers. Availability fees support a proportional share of system costs and capital projects attributable to growth of the system required to support new customers. Existing customers are defined as those who have paid an availability fee for access to the system and receive wastewater treatment services. New customers are those who have not paid the availability fee. Upon payment of the availability fee and connection to the system, a new customer becomes an existing customer. The County allocates expenses, interest income, bond proceeds, debt service payments, capital improvement project costs and funding, and operating transfers between existing and new users of the system. In accordance with the County's "Growth Pays for Growth Policy," both existing and new customers must pay for their share of the system's total annual revenue requirements.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 321/ 320.5 | 321/ 320.5 | 321/ 320.5 | 321/ 320.5 |
| Expenditures: | | | | |
| Personnel Services | $23,091,034 | $28,782,939 | $28,782,939 | $29,641,961 |
| Operating Expenses | 62,275,113 | 69,378,023 | 69,852,375 | 70,414,035 |
| Capital Equipment | 835,779 | 253,870 | 397,679 | 580,348 |
| **Subtotal** | **$86,201,926** | **$98,414,832** | **$99,032,993** | **$100,636,344** |
| Less: | | | | |
| Recovered Costs | ($674,588) | ($667,567) | ($667,567) | ($667,567) |
| **Total Expenditures** | **$85,527,338** | **$97,747,265** | **$98,365,426** | **$99,968,777** |

# FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

♦ **Other Post-Employment Benefits** **$859,022**
An increase of $859,022 in Personnel Services reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

♦ **Operating Expenses** **$1,088,012**
An increase of $1,088,012 in Operating Expenses is due primarily to increased costs for interjurisdictional charges based on operations and maintenance charges from Blue Plains, Alexandria Sanitation Authority, the Upper Occoquan Sewage Authority (UOSA), and Arlington County. These costs increases are primarily due to increases in chemicals for nitrogen removal and sludge disposal to meet more stringent nutrient reduction requirements.

- **Department of Vehicle Services** **($52,000)**
  A decrease of $52,000 in Operating Expenses is associated with anticipated requirements for fuel, vehicle replacement, and maintenance charges.

- **Capital Equipment** **$580,348**
  Capital Equipment funding of $580,348 is included for requirements associated with replacement equipment that has outlived its useful life and is not cost effective to repair. The equipment includes $118,800 for lab and computer equipment, and $461,548 for replacement vehicles. Replacement vehicles include $300,000 for two tank trucks to support Wastewater Collection Division Pump and Haul operations, $52,000 for one pickup truck to inspect sewer lines required by the USEPA Capacity, Management, Operation and Maintenance (CMOM) program, $21,916 for one pickup truck used for Miss Utility to mark sanitary sewer lines in accordance with the Virginia Underground Damage Prevention Act, and $87,632 for four pickup trucks for maintenance projects at the Noman Cole Pollution Control Plant. All of these vehicles require replacement based on established age, mileage criteria and excessive repairs.

## Changes to FY 2010 Adopted Budget Plan

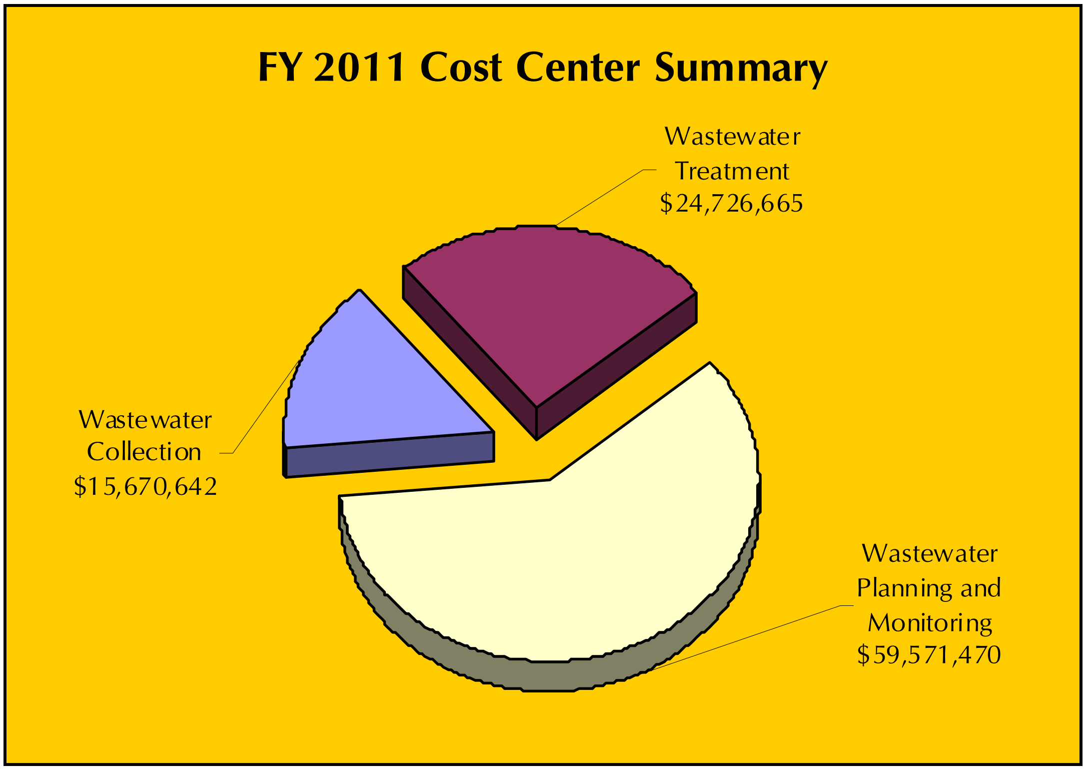
*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$618,161**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved encumbered funding of $474,352 in Operating Expenses and $47,759 in Capital Equipment; and unencumbered carryover of $96,050 for the delayed ordering of capital equipment.

# Fund 401
# Sewer Operation and Maintenance

## Cost Centers

The three cost centers within Fund 401, Sewer Operation and Maintenance, are Wastewater Collection, Wastewater Treatment and Wastewater Planning and Monitoring. These cost centers work together to fulfill the mission of the sanitary sewer system and carry out the designated initiatives for the fiscal year.




## Wastewater Collection

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Funding Summary** | | | | |
| Authorized Positions/Staff Years | | | | |
| Regular | 140/ 140 | 140/ 140 | 140/ 140 | 140/ 140 |
| **Total Expenditures** | **$13,034,163** | **$15,008,520** | **$15,222,700** | **$15,670,642** |

# Fund 401
# Sewer Operation and Maintenance

## Position Summary

**Collection Program**

- 1 Director
- 1 Management Analyst III
- 1 Network/Telecomm Analyst I
- 1 Network/Telecomm Analyst II
- 1 Safety Analyst
- 1 Warehouse Supervisor
- 1 Warehouse Specialist
- 1 Admin. Assistant IV
- 5 Admin. Assistants III
- 3 Admin. Assistants II
- 1 Storekeeper
- 1 Warehouse Worker-Driver-Helper

**Gravity Sewers**

- 1 Public Works Env. Services Manager
- 1 Maintenance Superintendent
- 4 Senior Maintenance Supervisors
- 2 Public Works Env. Services Specialists
- 7 Engineering Technicians II
- 1 Map Drafter
- 12 Engineering Technicians I
- 2 Heavy Equipment Operators
- 16 Maintenance Crew Chiefs
- 3 Motor Equipment Operators
- 2 Truck Drivers
- 14 Senior Maintenance Workers
- 19 Maintenance Workers
- 1 Engineer III
- 5 Environmental Services Sups.

**Pumping Stations**

- 1 Public Works Env. Services Manager
- 1 Engineer III
- 1 Industrial Electrician Supervisor
- 1 Instrumentation Supervisor
- 1 Plant Maintenance Supervisor
- 1 Industrial Electrician III
- 4 Instrumentation Technicians III
- 4 Industrial Electricians II
- 6 Plant Mechanics III
- 3 Instrumentation Technicians II
- 8 Plant Mechanics II
- 1 Instrumentation Technician I

**TOTAL POSITIONS**
**140 Positions / 140.0 Staff Years**

## Goal

To operate, maintain, and repair the County's wastewater collection system in a manner that protects Fairfax County citizens and the environment.

## Wastewater Treatment

### Funding Summary

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 135/ 135 | 135/ 135 | 135/ 135 | 135/ 135 |
| **Total Expenditures** | **$19,669,506** | **$27,514,177** | **$27,606,520** | **$24,726,665** |

### Position Summary

**Noman M. Cole, Jr., Pollution Control Plant**

- 1 Director
- 1 Public Works Env. Svcs. Spec.
- 1 Info. Tech. Prog. Manager I
- 1 Database Administrator I
- 1 Engineer IV
- 2 Storekeepers
- 1 Safety Analyst
- 2 Network/Telecom Analysts II
- 1 Warehouse Supervisor
- 1 Heavy Equipment Supervisor
- 1 Info. Technology Technician II
- 1 Administrative Assistant IV
- 2 Heavy Equipment Operators
- 3 Administrative Assistants III
- 1 Warehouse Worker-Driver
- 1 Data Analyst I
- 1 Network/Telecom Analyst I

**Operations**

- 1 Wastewater Plant Oper. Mgr.
- 2 Engineers III
- 1 Plant Operations Superintendent
- 6 Plant Operations Supervisors
- 8 Plant Operators III
- 13 Plant Operators II
- 29 Plant Operators I
- 1 Engineering Technician II
- 1 Engineering Technician III

**Maintenance**

- 1 Public Works Env. Svcs. Mgr.
- 1 Industrial Electrician Supervisor
- 1 Instrumentation Supervisor
- 2 Plant Maintenance Supervisors
- 1 Engineering Technician III
- 1 Chief Building Maintenance
- 2 Industrial Electricians III
- 3 Instrumentation Technicians III
- 1 Senior Maintenance Supervisor
- 4 Industrial Electricians II
- 7 Plant Mechanics III
- 5 Instrumentation Technicians II
- 2 Welders II
- 8 Plant Mechanics II
- 2 Painters I
- 1 Painter II
- 2 Industrial Electricians I
- 1 Maintenance Trade Helper II
- 1 Senior Maintenance Worker
- 2 Custodians II
- 1 HVAC II
- 2 Maintenance Workers

**TOTAL POSITIONS**
**135 Positions / 135.0 Staff Years**

# Fund 401
# Sewer Operation and Maintenance

## Goal
To ensure efficient and effective operation and maintenance of the County's wastewater treatment facilities within the laws and standards established by the Congress of the United States in Public Law 92-500 which designates regulatory powers to the USEPA and the Virginia Department of Environmental Quality.

## Wastewater Planning and Monitoring

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 46/ 45.5 | 46/ 45.5 | 46/ 45.5 | 46/ 45.5 |
| **Total Expenditures** | **$52,823,669** | **$55,224,568** | **$55,536,206** | **$59,571,470** |

**Position Summary**

| | Financial Management and Planning | | Engineering Planning and Analysis | | Environmental Monitoring |
|---|---|---|---|---|---|
| 1 | Deputy Director Public Works | 1 | Engineer V | 1 | Environmental Services Director |
| 1 | Director | 1 | Engineer IV | 2 | Asst. Environmental Services Directors |
| 1 | Management Analyst IV | 1 | Geog. Info. Spatial Analyst III | 4 | Environmental Health Specialists II |
| 1 | Financial Specialist IV | 2 | Geog. Info. System Techs. | 2 | Environmental Technologists III |
| 1 | Financial Specialist III | 2 | Engineering Technicians III | 3 | Environmental Technologists II |
| 1 | Programmer Analyst III | 4 | Engineers III | 7 | Environmental Technologists I |
| 1 | Financial Specialist II | | | 1 | Management Analyst II |
| 1 | Env. Services Technical Specialist | | | | |
| 2 | Administrative Assistants IV | | | | |
| 1 | Administrative Assistant III, PT | | | | |
| 1 | Administrative Assistant II | | | | |
| 1 | Management Analyst I | | | | |
| 2 | Engineering Technicians II | | | | |

**TOTAL POSITIONS**
**46 Positions / 45.5 Staff Years**
**PT Denotes Part-Time Position**

## Goal
To manage sewer revenue collection; to monitor and report County sewage flows treated at non-County facilities; to plan for growth and development in the County's public sewer system; and to environmentally monitor County treatment facilities, other publicly and privately-owned treatment facilities in the program and nearby embayments.

## Key Performance Measures

### Objectives
- To comply with Title V air permit and state water quality permit requirements 100 percent of the time in order to contribute to a pure and natural state of air and water in Fairfax County.

- To maintain sewer infrastructure effectively in order to experience no more than 20 sewer back-ups, which is higher than the current 5-year rolling annual average of 12.

- To ensure efficient wastewater collection and treatment services by providing service to customers at rates that are lower than other providers.

- To provide excellent financial and asset management by ensuring a debt coverage ratio of 1.25 or greater.

# Fund 401
# Sewer Operation and Maintenance

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Total average daily wastewater flow treated (million gallons) | 107.2 | 98.8 | 110.0 / 100.5 | 112.0 | 112.0 |
| Emergency repair work orders processed (1) | 197 | 207 | 200 / 457 | 500 | 500 |
| Service trouble calls received | 1,236 | 1,249 | 1,500 / 1,038 | 1,500 | 1,500 |
| Operating Reserve maintained (millions) | $19.0 | $24.8 | $22.1 / $26.0 | $45.0 | $30.0 |
| **Efficiency:** | | | | | |
| Percent of treatment capacity available for growth | 33% | 38% | 33% / 36% | 33% | 33% |
| Emergency repairs, as a percent of total work orders | 0.8% | 0.9% | 0.8% / 0.9% | 1.0% | 1.0% |
| Sewer Service Billing Rate, $/1,000 gallons | $3.50 | $3.74 | $4.10 / $4.10 | $4.50 | $5.27 |
| **Service Quality:** | | | | | |
| Sanitary sewer overflows (SSOs) per year (FY 2008, 5-yr. avg. = 15) | 14 | 17 | 20 / 14 | 20 | 20 |
| Percent of customers responded to within 24 hours | 100% | 100% | 100% / 100% | 100% | 100% |
| Percentage of sewage back-ups responded to within 2 hours | 100% | 100% | 100% / 100% | 100% | 100% |
| Odor complaints per year (FY 2008, 5-yr. avg. = 22) | 16 | 22 | 25 / 17 | 25 | 25 |
| Percent of Pay as you go Capital Improvement Program funded | 100% | 100% | 100% / 100% | 100% | 100% |
| **Outcome:** | | | | | |
| Compliance with Title V air permit and State water quality permit | 100% | 100% | 100% / 100% | 100% | 100% |
| Blockages causing sewer back-ups per year (FY 2009, 5-yr. avg. = 12) | 12 | 18 | 25 / 11 | 20 | 20 |
| Average household sewer bill compared to other providers in the area | Lowest | Lowest | Lowest / Lower | Lower | Lower |
| Debt Coverage Ratio: (Revenue - Operating Cost/Debt) | 1.70 | 1.78 | 1.30 / 1.25 | 1.30 | 1.25 |

(1) In FY 2009, the agency implemented a new emergency work order tracking system which includes unscheduled, corrective, preventive and emergency work orders in this performance measurement.

## Performance Measurement Results

In FY 2009, there were 361,102 (households and businesses) connections to the sanitary sewer system, an increase of 2,727 connections over FY 2008. Approximately 80 percent of Fairfax County households are connected to the sewer system. Approximately 880,000 of the County's estimated 1,100,000 residents are served by public sewer. Odor complaints, particularly around the Noman M. Cole, Jr., Pollution Control Plant, have been reduced significantly with the addition of new odor containment and treatment facilities. These odor control facilities include tank covers for gravity thickeners and packed tower scrubbers on sludge storage tanks, nine carbon absorption odor control scrubbers at various locations on the plant, tank covers for the primary settling tanks and packed tower scrubbers to treat the odorous air from the tanks, and afterburners for the incineration exhaust.

Wastewater flows increased slightly due to increased groundwater infiltration and surface runoff due to rainwater that enters into the system. Sanitary sewer overflows decreased from FY 2008 mainly due to the increased efforts by the Wastewater Collection including staff monitoring trouble areas, replacing sewer line sags and realigning sewer lines, and utilizing temporary pumps in place to divert flow during severe storm events. Sanitary sewage blockages decreased due to increased efforts to monitor the sewer program and keep the sewer system clean of grease and debris.

The agency saw a dramatic increase in the number of emergency repair work orders in FY 2009. This was due in part to the Wastewater Treatment Plant implementing a new work order tracking system. The tracking system includes all work order repair requests for corrective, preventive and scheduled work. The agency logged over 450 work orders in FY 2009 and is anticipating this trend to continue in FY 2011 and beyond from increased construction projects at various wastewater treatment facilities.

When comparing average annual sewer service billings for the regional jurisdictions, Fairfax County has a lower average annual sewer service billing at $362. Other regional jurisdictions range from $284 to $591 (as of January 1, 2010). The average sewer service billings for the other regional jurisdictions have been developed by applying each jurisdiction's sewer service rate to appropriate Single Family Residence Equivalents (SFRE) water usage determined from an analysis of Fairfax Water's historical average water usage records for SFREs. Based on the latest rate comparison, Fairfax County had one of the lower annual sewer service charges. The program is able to maintain its competitive rates while providing quality service to its customers, protecting the environment, and maintaining sufficient financial resources to fully fund the program's initiatives.

# Fund 401
# Sewer Operation and Maintenance

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** **Fund 401, Sewer Operation and Maintenance**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$6,739,479** | **$5,787,906** | **$9,712,141** | **$1,346,715** |
| Transfer In: | | | | |
| Sewer Revenue (400) | $88,500,000 | $93,000,000 | $90,000,000 | $98,800,000 |
| Total Transfer In | $88,500,000 | $93,000,000 | $90,000,000 | $98,800,000 |
| **Total Available** | **$95,239,479** | **$98,787,906** | **$99,712,141** | **$100,146,715** |
| Expenditures: | | | | |
| Personnel Services | $23,091,034 | $28,782,939 | $28,782,939 | $29,641,961 |
| Operating Expenses | 62,275,113 | 69,378,023 | 69,852,375 | 70,414,035 |
| Recovered Costs | (674,588) | (667,567) | (667,567) | (667,567) |
| Capital Equipment | 835,779 | 253,870 | 397,679 | 580,348 |
| Total Expenditures | $85,527,338 | $97,747,265 | $98,365,426 | $99,968,777 |
| **Total Disbursements** | **$85,527,338** | **$97,747,265** | **$98,365,426** | **$99,968,777** |
| **Ending Balance**[1] | **$9,712,141** | **$1,040,641** | **$1,346,715** | **$177,938** |

[1] The Wastewater Management Program maintains fund balances at adequate levels relative to projected operation and maintenance expenses. These costs change annually; therefore, funding for sewer operations and maintenance is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 402
# Sewer Construction Improvements

## Focus

Fund 402, Sewer Construction Improvements, provides for wastewater management construction projects through a transfer of funds from Fund 400, Sewer Revenue. All projects in Fund 402 are fully supported by sewer system revenues.

***Photo of the Noman M. Cole Jr. Pollution Control Plant***

In FY 2011, an amount of $24,500,000 is included in Fund 402, Sewer Construction Improvements. Funding will provide for the installation of 6,700 linear feet of sanitary sewer lines for areas with chronic septic system failures throughout the County including Saigon Subdivision and Salona Village; replacement of power generators and aging equipment at several pumping stations; the replacement of the Dogue Creek Force Main; the installation, repair, replacement and renovation of 20 miles of sewer lines using predominantly "no dig" technologies; and the replacement of equipment and facilities at the Noman G. Cole Pollution Control Plant including clarifier mechanisms, wastewater and sludge pumps, motor and pump drives, chemical feed systems, motor control centers, HVAC systems, incinerator rehabilitation and the Supervisory Control and Data Acquisition (SCADA) system.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $25,615,124 due to the carryover of unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 402
# Sewer Construction Improvements

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** | **Fund 402, Sewer Construction Improvements**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$21,434,433** | **$0** | **$25,615,124** | **$0** |
| Transfer In: | | | | |
| Sewer Revenue (400) | $23,500,000 | $18,000,000 | $18,000,000 | $24,500,000 |
| Total Transfer In | $23,500,000 | $18,000,000 | $18,000,000 | $24,500,000 |
| **Total Available** | **$44,934,433** | **$18,000,000** | **$43,615,124** | **$24,500,000** |
| Total Expenditures | $19,319,309 | $18,000,000 | $43,615,124 | $24,500,000 |
| **Total Disbursements** | **$19,319,309** | **$18,000,000** | **$43,615,124** | **$24,500,000** |
| **Ending Balance[1]** | **$25,615,124** | **$0** | **$0** | **$0** |

[1] The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 402
# Sewer Construction Improvements

## FY 2011 Summary of Capital Projects

### Fund: 402 Sewer Construction Improvements

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| G00901 | DC Treatment Center - Blue Plains | $90,237,388 | $0.00 | $0.00 | $0 |
| G00903 | Arlington Wastewater Treatment | 18,417,392 | 0.00 | 894.00 | 0 |
| I00351 | Pump Station Renovations | | 3,439,223.70 | 5,421,643.70 | 0 |
| I00353 | Pumping Stations | | 0.00 | 500,000.00 | 4,000,000 |
| I00904 | ASA Wastewater Treatment Plant | 238,751,218 | 0.00 | 23,618.62 | 0 |
| L00117 | Dogue Creek Rehab/Replacement | | 781,238.33 | 8,833,182.00 | 4,000,000 |
| N00321 | Lower Potomac Exp. 54 MGD | 95,949,000 | 1,185.80 | 458,884.60 | 0 |
| T00124 | Rocky Run Pump Station | 4,060,061 | 0.00 | 0.00 | 0 |
| T00125 | Rocky Run Pump Station Upgrade | | 0.00 | 125,865.00 | 0 |
| X00442 | Freds Oak Facility Improvement | 60,000 | 0.00 | 60,000.00 | 0 |
| X00445 | Integrated Sewer Metering | | 6,062.22 | 114,019.40 | 0 |
| X00823 | Extension Projects FY 1993 | 4,009,003 | 0.00 | 147,449.28 | 0 |
| X00826 | Extension Project FY 1996 | 31,438,799 | 2,771,637.45 | 8,446,955.28 | 0 |
| X00828 | Extension and Improvement Projects | | 107,459.85 | 502,540.15 | 4,500,000 |
| X00900 | Replacement Transmission | | 0.00 | 11,224.02 | 0 |
| X00903 | Replacement and Transmission Programmed Rehab | | 0.00 | 1,600,000.00 | 9,000,000 |
| X00904 | Sewer Line Enlargement | | 15,405.09 | 134,594.91 | 0 |
| X00905 | Replacement & Transmission | | 7,945,877.53 | 10,810,510.98 | 0 |
| X00906 | Sewer Line Enlargement | | 2,176,697.75 | 959,508.96 | 0 |
| X00908 | Sewer Line Replacement - 5 Inch | | 0.00 | 133,425.33 | 0 |
| X00910 | Replacement and Renewal | | 1,947,903.06 | 3,526,858.54 | 0 |
| X00912 | Replacement and Renewal-Treatment | | 84,996.15 | 1,125,003.85 | 3,000,000 |
| X00930 | Sewer Relocation - VADOT | | 41,622.34 | 45,466.79 | 0 |
| X00940 | Developer Projects County Costs | | 0.00 | 396,470.88 | 0 |
| X00998 | Sewer Contingency Project | | 0.00 | 185,686.27 | 0 |
| X00999 | Sewer Revolving Fund | | 0.00 | 51,320.95 | 0 |
| **Total** | | **$482,922,861** | **$19,319,309.27** | **$43,615,123.51** | **$24,500,000** |

# Fund 403
# Sewer Bond Parity Debt Service

## Focus

Fund 403, Sewer Bond Parity Debt Service, records debt service obligations incurred from bonds issued in accordance with the 1986 Sewer Bond Resolution. Bond proceeds are used to fund capital improvement requirements in the Wastewater Management Program including upgrades to the treatment facilities serving the County, and construction of nutrient removal facilities for the removal of nitrogen as required by the State Water Control Board. The removal of nitrogen will improve the quality of the effluent produced at all of the treatment plants.

An amount of $19,827,531 is required for this fund in FY 2011 including $5,215,000 in principal payments and $14,607,531 in interest payments associated with outstanding 2004 and 2009 Sewer Revenue Bonds and the planned FY 2011 Sewer Revenue Bond Sale, as well as and $5,000 in fiscal agent fees. FY 2011 interest payments reflect an increase due to the full year impact of the June 2009 bond sale, as well as the structuring of the amortization schedule which requires higher interest payments for the first several years which decrease over the life of the bonds. Fiscal agent fees are included for the management of all sewer bond accounts. All debt service payments are supported by Sewer System Revenues.

| | Principal | Interest | Fees | Total |
|---|---|---|---|---|
| **Sewer Revenue Bonds:** | | | | |
| 2004 | $2,835,000 | $3,835,056 | | $6,670,056 |
| 2009 | 2,380,000 | 7,272,475 | | 9,652,475 |
| 2011 | 0 | 3,500,000 | | 3,500,000 |
| **Subtotal-Debt Service** | **$5,215,000** | **$14,607,531** | | **$19,822,531** |
| **Fiscal Agent Fees** | | | $5,000 | $5,000 |
| **Total** | **$5,215,000** | **$14,607,531** | **$5,000** | **$19,827,531** |

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $4,222,501 due to an increase in interest payments resulting from the June 17, 2009 sewer bond sale.

# Fund 403
# Sewer Bond Parity Debt Service

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** **Fund 403, Sewer Bond Parity Debt Service**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$1,055,681** | **$1,048,596** | **$4,536,296** | **$292,485** |
| Transfer In: | | | | |
| Sewer Revenue (400)[1] | $10,650,000 | $6,650,000 | $6,650,000 | $19,850,000 |
| Total Transfer In | $10,650,000 | $6,650,000 | $6,650,000 | $19,850,000 |
| **Total Available** | **$11,705,681** | **$7,698,596** | **$11,186,296** | **$20,142,485** |
| Expenditures: | | | | |
| Principal Payment[2] | $2,645,000 | $2,740,000 | $2,740,000 | $5,215,000 |
| Interest Payments[2] | 4,221,077 | 3,918,681 | 8,141,182 | 14,607,531 |
| Fiscal Agent Fees | 1,616 | 5,000 | 5,000 | 5,000 |
| Bond Issue Expenses[3] | 293,250 | 0 | 0 | 0 |
| Total Expenditures | $7,160,943 | $6,663,681 | $10,886,182 | $19,827,531 |
| Non Appropriated: | | | | |
| Amortization Expense[4] | $8,442 | $7,629 | $7,629 | $25,000 |
| **Total Disbursements** | **$7,169,385** | **$6,671,310** | **$10,893,811** | **$19,852,531** |
| **Ending Balance[5]** | **$4,536,296** | **$1,027,286** | **$292,485** | **$289,954** |

[1] This fund is supported by a Transfer In from Fund 400, Sewer Revenue.

[2] The bond principal and interest payments are shown as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

[3] FY 2009 represents bond issuance expenses associated with the June 17, 2009 bond sale.

[4] In order to capitalize bond costs, this category is designated as an annual non-appropriated amortization expense. FY 2009 and FY 2010 are based on estimated amortization expenses associated with the 2004 and 2009 bond series. The FY 2011 amount of $25,000 includes the 2004 bond sale, FY 2009 bond series and the planned 2011 sewer revenue bond sale.

[5] The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. Fund balances fluctuate from year to year based on actual debt requirements and are used to cover amortization of issuance costs.

# Fund 406
# Sewer Bond Debt Reserve

## Focus

Fund 406, Sewer Bond Debt Reserve, fulfills the County's requirement to maintain a Reserve Fund for existing and planned sewer bonds. As outlined in the 1986 Bond Resolution, this reserve is required to be the lesser of the maximum principal and interest requirements for any bond year or 125 percent of the average annual principal and interest requirements for the bonds.

Funding in the amount of $9,706,000 is required for Fund 406, Sewer Bond Debt Reserve in FY 2011. In FY 2011, a Sewer Revenue Bond sale in the amount of $150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer line projects and plant upgrades at the Noman M. Cole Jr., Pollution Control Plant and treatment by contract wastewater treatment facilities. This includes bond proceeds of $9,706,000 in this fund and $140,294,000 in Fund 408, Sewer Bond Construction. Bond proceeds will be used to fund a portion of the County's share of construction costs for Enhanced Nutrient Removal (ENR) facilities to meet current environmental regulations, renovation and replacement (R&R) of aging System infrastructure, purchase of additional treatment capacity, if needed by the Integrated Sewer System (System), and required deposits to bond reserves.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- ♦ There have been no revisions to this fund since the approval of the FY 2010 Adopted Budget Plan.

# Fund 406
# Sewer Bond Debt Reserve

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** | **Fund 406, Sewer Bond Debt Reserve**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$6,900,348** | **$16,606,348** | **$16,555,123** | **$16,555,123** |
| Revenue: | | | | |
| Bond Proceeds | $9,654,775 | $0 | $0 | $9,706,000 |
| Total Revenue | $9,654,775 | $0 | $0 | $9,706,000 |
| **Total Available** | **$16,555,123** | **$16,606,348** | **$16,555,123** | **$26,261,123** |
| Total Expenditures | $0 | $0 | $0 | $0 |
| **Total Disbursements** | **$0** | **$0** | **$0** | **$0** |
| | | | | |
| **Ending Balance**[1] | **$16,555,123** | **$16,606,348** | **$16,555,123** | **$26,261,123** |

[1] The fund balance provides a sufficient level to satisfy the legal reserve requirements of $6,900,348 for the 2004 Sewer Revenue Refunding bonds, $9,654,775 for the 2009 Sewer Revenue Bonds and $9,706,000 for the planned FY 2011 Sewer Revenue Bond sale. These reserves provide for one year of principal and interest as required by the Sewer System's General Bond Resolution.

# Fund 407
# Sewer Bond Subordinate Debt Service

## Focus

Fund 407, Sewer Bond Subordinate Debt Service, provides debt service funding for the Upper Occoquan Sewage Authority (UOSA) Bond Series and the Virginia Resources Authority (VRA) loans. The UOSA Bond Series is based on the County's portion of the UOSA plant expansion from 27.0 million gallons per day (mgd) to 54.0 mgd. Two low-interest VRA loans from the State Revolving Fund Program were used to fund the County's share of construction costs for the Alexandria Sanitation Authority treatment plant upgrade for ammonia removal as required by the State Water Control Board.

All debt service payments are supported by Sewer System Revenues through a transfer from Fund 400, Sewer Revenue. Pursuant to the Sewer Bond resolution and respective agreements, these debt obligations are subordinate to the County's Sewer Revenue Bonds and therefore, the payments are made from this fund.

Funding in the amount of $24,910,740 will provide for the FY 2011 principal and interest requirements, including an amount of $18,273,668 for the UOSA plant requirements, and $6,637,072 for the VRA debt requirements.

The following table identifies the payments required in FY 2011.

| | Principal | Interest | Total |
|---|---|---|---|
| **UOSA PLANT EXPANSION:** | | | |
| 1995A | $0 | $1,568,697 | $1,568,697 |
| 2003 | 2,056,271 | 1,435,112 | 3,491,383 |
| 2004 | 4,235,322 | 1,143,714 | 5,379,036 |
| 2005 | 0 | 2,660,060 | 2,660,060 |
| 2007A | 0 | 2,621,743 | 2,621,743 |
| 2007B | 0 | 2,552,749 | 2,552,749 |
| **Subtotal – UOSA** | **$6,291,593** | **$11,982,075** | **$18,273,668** |
| **VRA DEBT PAYMENTS:** | | | |
| FY 2001 VRA Loan | $1,938,922 | $1,060,362 | $2,999,284 |
| FY 2002 VRA Loan | 2,351,078 | 1,286,710 | 3,637,788 |
| **Subtotal – VRA** | **$4,290,000** | **$2,347,072** | **$6,637,072** |
| **Total** | **$10,581,593** | **$14,329,147** | **$24,910,740** |

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- There have been no revisions to this fund since approval of the FY 2010 Adopted Budget Plan.

# Fund 407
# Sewer Bond Subordinate Debt Service

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** — **Fund 407, Sewer Bond Subordinate Debt Service**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$1,395,689** | **$1,395,689** | **$1,490,263** | **$1,456,872** |
| Transfer In: | | | | |
| Sewer Revenue (400) | $23,051,559 | $24,300,000 | $24,300,000 | $24,500,000 |
| Total Transfer In | $23,051,559 | $24,300,000 | $24,300,000 | $24,500,000 |
| **Total Available** | **$24,447,248** | **$25,695,689** | **$25,790,263** | **$25,956,872** |
| Expenditures: | | | | |
| Principal Payment[1] | $9,133,399 | $9,581,343 | $9,581,343 | $10,581,593 |
| Interest Payment[1] | 13,823,586 | 14,752,048 | 14,752,048 | 14,329,147 |
| Total Expenditures | $22,956,985 | $24,333,391 | $24,333,391 | $24,910,740 |
| **Total Disbursements** | **$22,956,985** | **$24,333,391** | **$24,333,391** | **$24,910,740** |
| | | | | |
| **Ending Balance[2]** | **$1,490,263** | **$1,362,298** | **$1,456,872** | **$1,046,132** |

[1] The bond principal and interest payments are shown here as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

[2] The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. These costs change annually and therefore, fund balances fluctuate from year to year based on actual debt service requirements.

# Fund 408
# Sewer Bond Construction

## Focus

Fund 408, Sewer Bond Construction, provides for major sewer system construction projects including upgrades and expansions of sewer treatment plants utilized by Fairfax County residents that are funded primarily from the sale of sewer revenue bonds. Funding has been provided in recent years in Fund 408, Sewer Bond Construction to begin to meet new state regulatory requirements for nitrogen removal and plant upgrades at the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Sanitation Authority (ASA), the Arlington County Treatment Plant, the Loudoun County Sanitation Authority (LCSA) treatment plant; and the Noman M. Cole, Jr. Pollution Control Plant.

The new Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit will include a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of less than 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter and currently has the capability to meet a nitrogen standard of 5.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements.

In FY 2011, a Sewer Revenue Bond sale in the amount of $150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer line projects, plant upgrades at the Noman M. Cole, Jr. Pollution Control Plant and upgrades at treatment by contract wastewater treatment facilities. This includes bond proceeds of $140,294,000 in this fund and $9,706,000 reserved in Fund 406, Sewer Bond Debt Reserve for legal requirements. As outlined in the 1986 Bond Resolution, this reserve is required to be the lesser of the maximum principal and interest requirements for any bond year or 125 percent of the average annual principal and interest requirements for the bonds. Funding in the amount of $140,294,000 is included for Fund 408, in FY 2011 in anticipation of the sewer bond sale and will support construction and infrastructure upgrades at various treatment plants. In addition, interest is earned on the fund balance in this fund, and an amount of $1,000,000 is anticipated in interest earnings in FY 2011.

## Changes to <u>FY 2010 Adopted Budget Plan</u>

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the <u>FY 2010 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$100,854,889**

As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $100,854,889 due to the carryover of unexpended project balances in the amount of $85,645,029 and an increase of $15,209,860 due to the appropriation of bond proceeds associated with the June 2009 bond sale.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 408
# Sewer Bond Construction

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** **Fund 408, Sewer Bond Construction**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,626,417** | **$16,090,984** | **$111,102,385** | **$11,247,496** |
| Revenue: | | | | |
| Sale of Bonds[1] | $150,296,860 | $0 | $0 | $140,294,000 |
| Interest on Investments | 363,512 | 1,000,000 | 1,000,000 | 1,000,000 |
| Total Revenue | $150,660,372 | $1,000,000 | $1,000,000 | $141,294,000 |
| **Total Available** | **$153,286,789** | **$17,090,984** | **$112,102,385** | **$152,541,496** |
| Total Expenditures | $42,184,404 | $0 | $100,854,889 | $140,294,000 |
| **Total Disbursements** | **$42,184,404** | **$0** | **$100,854,889** | **$140,294,000** |
| **Ending Balance[2]** | **$111,102,385** | **$17,090,984** | **$11,247,496** | **$12,247,496** |

[1] An amount of $160 million in revenue bonds was issued on June 17, 2009 to support capital program requirements within the Wastewater Management Program including $150.3 million in this fund and $9.7 million reserved in Fund 406, Sewer Bond Debt Reserve for legal requirements. In FY 2011, an amount of $150 million in revenue bonds is anticipated to be issued to support enhanced nitrogen removal projects and upgrades within the Capital Improvement Program including $140.3 million in this fund and $9.7 million to be reserved in Fund 406, Sewer Bond Debt Reserve for legal requirements.

[2] The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 408
# Sewer Bond Construction

### FY 2011 Summary of Capital Projects

**Fund: 408 Sewer Bond Construction**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| G00902 | DC Blue Plains Exp 309 MGD | | $8,783,338.99 | $13,511,949.99 | $20,000,000 |
| G00904 | Arlington Treatment Plant Upgrade | 34,750,000 | 9,570,139.83 | 9,642,255.17 | 9,000,000 |
| I00352 | Pumping Station Improvements | | 0.00 | 499,999.90 | 0 |
| I00906 | ASA Plant Improvements | | 15,438,710.71 | 9,490,619.59 | 20,000,000 |
| J00901 | LCSA Plant Upgrade | 14,000,000 | 0.00 | 13,999,999.90 | 0 |
| N00322 | Lower Potomac 67 MGD | 130,366,502 | 6,756,154.15 | 2,930,492.96 | 0 |
| N00323 | Noman M. Cole, Jr. Pollution Control Plant Upgrades | | 901,262.00 | 39,808,598.00 | 60,000,000 |
| X00909 | Sewer Line Improvements | | 0.00 | 500,000.00 | 0 |
| X00911 | Noman M. Cole, Jr. Pollution Control Plant | 135,123,000 | 734,797.90 | 10,470,973.98 | 31,294,000 |
| **Total** | | **$314,239,502** | **$42,184,403.58** | **$100,854,889.49** | **$140,294,000** |

# Internal Service Funds

## Overview

Internal Service Funds account for services provided by specific County agencies to other County agencies on a cost reimbursement basis. The services consist of insurance, central acquisition of commonly used supplies and equipment, vehicle fleet maintenance, communications, and data processing. Revenues of these funds consist primarily of charges to County agencies for these services. Specific funds included in this group are:

**FAIRFAX COUNTY INTERNAL SERVICE FUNDS**

- **Fund 501, County Insurance Fund**, is utilized to meet the County's casualty obligations, liability exposures, and worker's compensation requirements.

- **Fund 503, Department of Vehicle Services**, ensures that the County, School and Park Authority vehicle fleet is responsive to the transportation needs of all customer agencies and is operated in a safe and cost-effective manner.

- **Fund 504, Document Services Division**, supports the printing, copier, and micrographic services to County and School agencies.

- **Fund 505, Technology Infrastructure Services**, is managed by the Department of Information Technology and provides Data Center and Network Services to County agencies. Infrastructure costs associated with the operation and maintenance of the mainframe, data communications, PC replacements, and radio networks are billed to user agencies.

- **Fund 506, Health Benefits Trust Fund**, is the County's self-insurance fund which provides health insurance benefits to Fairfax County employees.

**FAIRFAX COUNTY PUBLIC SCHOOLS INTERNAL SERVICE FUNDS**

- **Fund 590, Public School Insurance Fund,** is an insurance fund that provides administration of workers' compensation accounts, centralization of self-insurance accounts for automobile and general liability, and commercial insurance for other liabilities.

- **Fund 591, Public School Health and Flexible Benefits Fund,** is the Fairfax County Public Schools self-insurance fund which provides health insurance benefits to its employees.

- **Fund 592, Public School Central Procurement,** facilitates accounting of orders for textbooks, supplies, and equipment for the Fairfax County Public Schools (FCPS).

# Fund 501
# County Insurance Fund

- Financial Control and Compliance
- Investing and Cash Flow Management
- Accounting and Financial Reporting
- Payment of Countywide Obligations
- Risk Management

## Mission

To ensure the health and safety of County residents, employees, and public officials, and to protect the County's financial assets. The agency is committed to providing the highest quality customer service in managing the County's risks and exposures.

## Focus

Fairfax County has a statutory responsibility to provide Workers' Compensation benefits, including medical treatment and loss of wages due to related disability, to employees who sustain occupational injuries and illnesses. The County Insurance Fund was established to fulfill this obligation. The Fund also provides for countywide commercial insurance and self-insurance. The County self-insures automobile and general liability claims. Special commercial coverage is provided for aviation insurance on County helicopters, real property coverage, and Fire and Rescue Department vehicle damage insurance. Administrative expenses of risk management programs are paid through this Fund.

Fairfax County provides a wide range of services to its employees and residents, which in turn create potential risks and exposures to the County. Some of these risks include injuries involving County employees or damage to County property; injuries to residents or damage to residents' property; automobile accidents; incidents arising from police activity; the actions of public officials; and the operation and maintenance of sewage and storm management systems.

The Risk Management Division approaches its mission from both internal and external perspectives. Recognizing that an organization the size of Fairfax County will experience losses, Risk Management staff work to mitigate losses and manage financial liabilities. This is accomplished through both self-insurance (which generally applies to losses expected to occur regularly, such as workers' compensation, automobile and general liability, and police professional and public officials liability) and commercial insurance (for losses which occur infrequently but tend to be large exposures, such as real property losses, aircraft liability, and damage to high-value vehicles). Recognizing the importance of prompt and fair resolution of claims against the County, claims administration uses both in-house staff and a contract claims administrator. Finally, Risk Management staff focuses on building and using partnerships with other County agencies, the community, and neighboring jurisdictions to mitigate risks and to ensure excellent communication with the residents of Fairfax County.

# Fund 501
# County Insurance Fund

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 14/ 14 | 13/ 13 | 13/ 13 | 13/ 13 |
| Expenditures: | | | | |
| Personnel Services | $1,138,252 | $1,271,533 | $1,271,533 | $1,271,533 |
| Operating Expenses | 22,668,169 | 15,483,185 | 15,483,185 | 15,483,185 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$23,806,421** | **$16,754,718** | **$16,754,718** | **$16,754,718** |
| Less: | | | | |
| Recovered Costs | ($437,178) | ($375,000) | ($375,000) | ($375,000) |
| **Total Expenditures** | **$23,369,243** | **$16,379,718** | **$16,379,718** | **$16,379,718** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Risk Manager | 1 | Claims Manager | 1 | Safety Manager |
| 1 | Insurance Manager | 1 | Claims and Rehabilitation Supervisor | 1 | Safety Analyst |
| 1 | Management Analyst III | 1 | Public Health Nurse III | 1 | Administrative Assistant IV |
| 1 | Management Analyst II | 2 | Claims Specialists | 1 | Administrative Assistant III |

**TOTAL POSITIONS**
**13 Positions / 13.0 Staff Years**

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Reductions** **$0**
  It should be noted that no reductions to balance the FY 2011 budget are included in this agency, based on both mandated requirements and the limited ability to generate personnel savings from vacancies given the small number of personnel that staff this agency.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- There have been no revisions to this fund since the approval of the FY 2010 Adopted Budget Plan.

# Fund 501
# County Insurance Fund

## Key Performance Measures

### Goal
To identify and limit potential financial losses to the County arising in the normal course of business or as a result of accidents, acts of nature, and any action for which the County can be held liable; to provide prompt and efficient resolution of claims resulting from such losses; and to manage financial resources and commercial insurance options to limit the impact of losses on current operations.

### Objectives
- To process 98 percent of all claims within 30 business days from date of incident.
- To reduce the overall rate of preventable automobile accidents from 0.69 to 0.60 per 100,000 miles driven through an aggressive program of driver education.
- To maintain the ratio of premium paid to the value of assets covered at 0.150 percent or less in order to maximize the value of County assets insured in relation to the total premium dollars expended.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Claims requiring investigation | 2,962 | 2,490 | 2,600 / 2,492 | 3,000 | 3,000 |
| County drivers receiving training | 920 | 834 | 1,080 / 935 | 1,080 | 1,080 |
| Total insurance premiums paid | $3,437,932 | $3,495,306 | $3,545,097 / $3,544,993 | $3,654,500 | $3,550,000 |
| **Efficiency:** | | | | | |
| Cost per claim processed | $95 | $118 | $124 / $114 | $107 | $80 |
| Cost per driver trained | $127 | $121 | $112 / $184 | $102 | $95 |
| Cost per insurance policy | $688 | $565 | $800 / $554 | $601 | $601 |
| **Service Quality:** | | | | | |
| Average claims processing time (days) | 7 | 5 | 7 / 5 | 7 | 5 |
| Preventable accidents | 271 | 265 | 250 / 240 | 250 | 250 |
| Value of County assets covered (in billions) (1) | $2.001 | $2.368 | $2.000 / $2.503 | $2.463 | $2.560 |
| **Outcome:** | | | | | |
| Percentage of claims processed within 30 days | 97% | 98% | 98% / 98% | 98% | 98% |
| Preventable accidents per 100,000 miles driven | 0.75 | 0.72 | 0.60 / 0.69 | 0.60 | 0.60 |
| Ratio of premium paid to value of assets covered | 0.172% | 0.140% | 0.180% / 0.142% | 0.185% | 0.150% |

(1) In FY 2007, the Risk Management Division took over the Commercial Drivers Program and implemented enhancements which increased both the number of persons trained and the overall cost of the program. This has resulted in a rise in efficiency costs which Risk Management anticipates will gradually lower as the number of drivers trained continues to increase.

# Fund 501
# County Insurance Fund

## Performance Measurement Results

Driver safety and accident improvement programs remain a priority to the County. In FY 2011, it is projected that there will be some improvements related to such programs. Stability is anticipated in this area, and County staff continues to maintain the goal of reducing accident rates.

The commercial insurance portfolio is a key element in protecting the assets of the County in the event of a major event, it ensures that the County is not faced with major property, workers' compensation, and liability losses during periods when it cannot afford the costs associated with losses. While the actual premiums do tend to increase, County staff successfully continues to lower the rates for those premiums, surpassing the goal of a .180 percent premium rate by attaining a .142 percent rate in FY 2009. The increase in cost is due to an increase in the property insured and the County payroll.

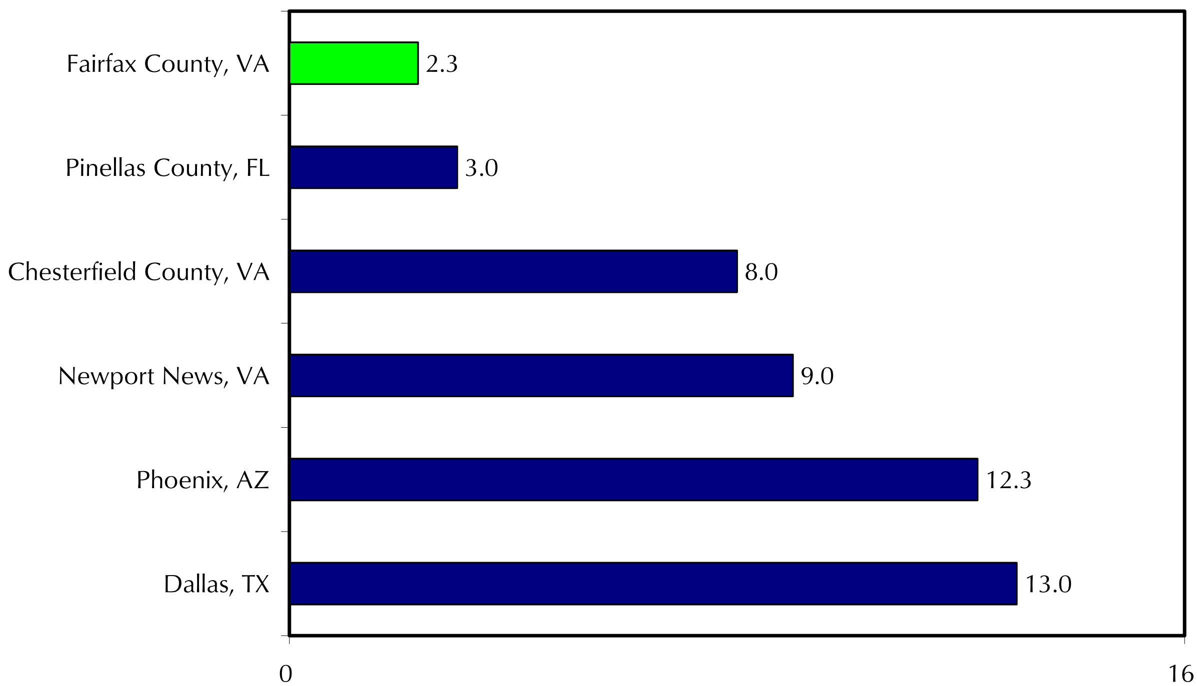
Workers' Compensation costs are the single greatest challenge to the Insurance Fund. A key factor in containing costs and expediting the return to work of injured employees is prompt and adequate medical evaluation. Awareness of the County's programs in this area, coupled with efficient reporting systems, serve both employee and County interests. The Risk Management Division systems has exceeded the seven day average reporting goal and now averages five days reporting time. With 98 percent of all claims being reported within 30 days, the program achieves its ambitious goals.

## Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest possible accuracy and comparability of data. As a result of the time required to collect the data and undergo ICMA's comprehensive data cleaning processes, information is always available with a one-year delay. FY 2008 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Risk Management is one of the service areas for which Fairfax County provides data. As can be seen on the following pages, Fairfax County compares favorably to the other large jurisdictions that provided data for this template. The County's General Liability claims, expenditures and percent that proceeded to litigation during the reporting period are all relatively low compared to the other responding cities and counties. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

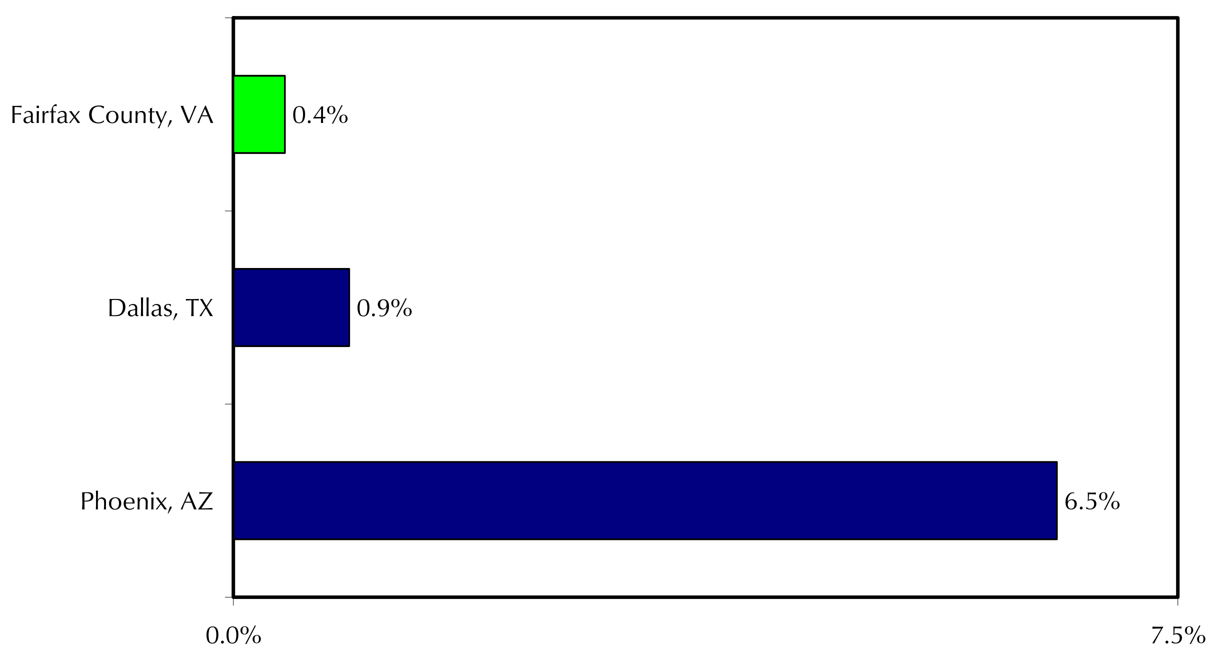
# Fund 501
# County Insurance Fund

**RISK MANAGEMENT:**
**General Liability Claims Per 10,000 Population Served**



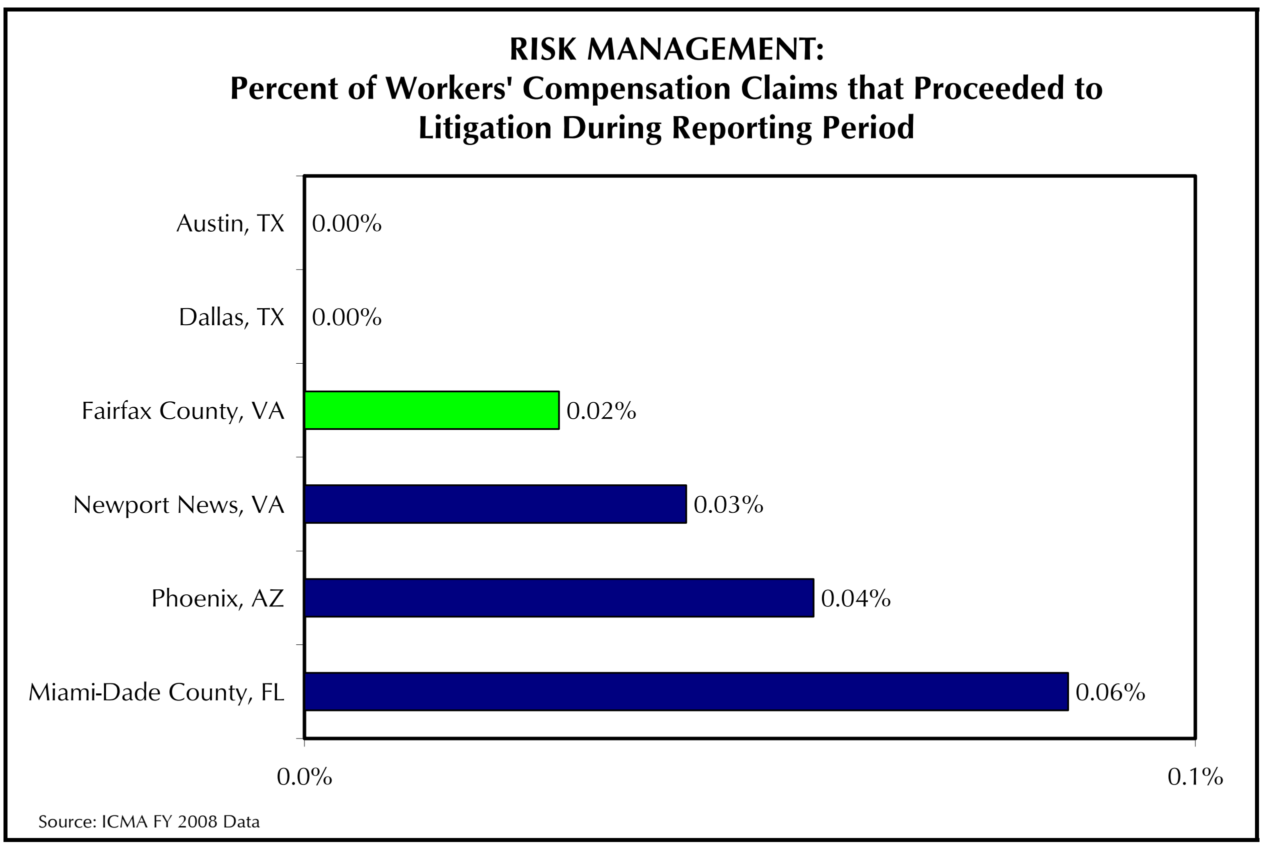

Source: ICMA FY 2008 Data

**RISK MANAGEMENT:**
**Percent of General Liability Claims That Proceeded to Litigation During Reporting Period**

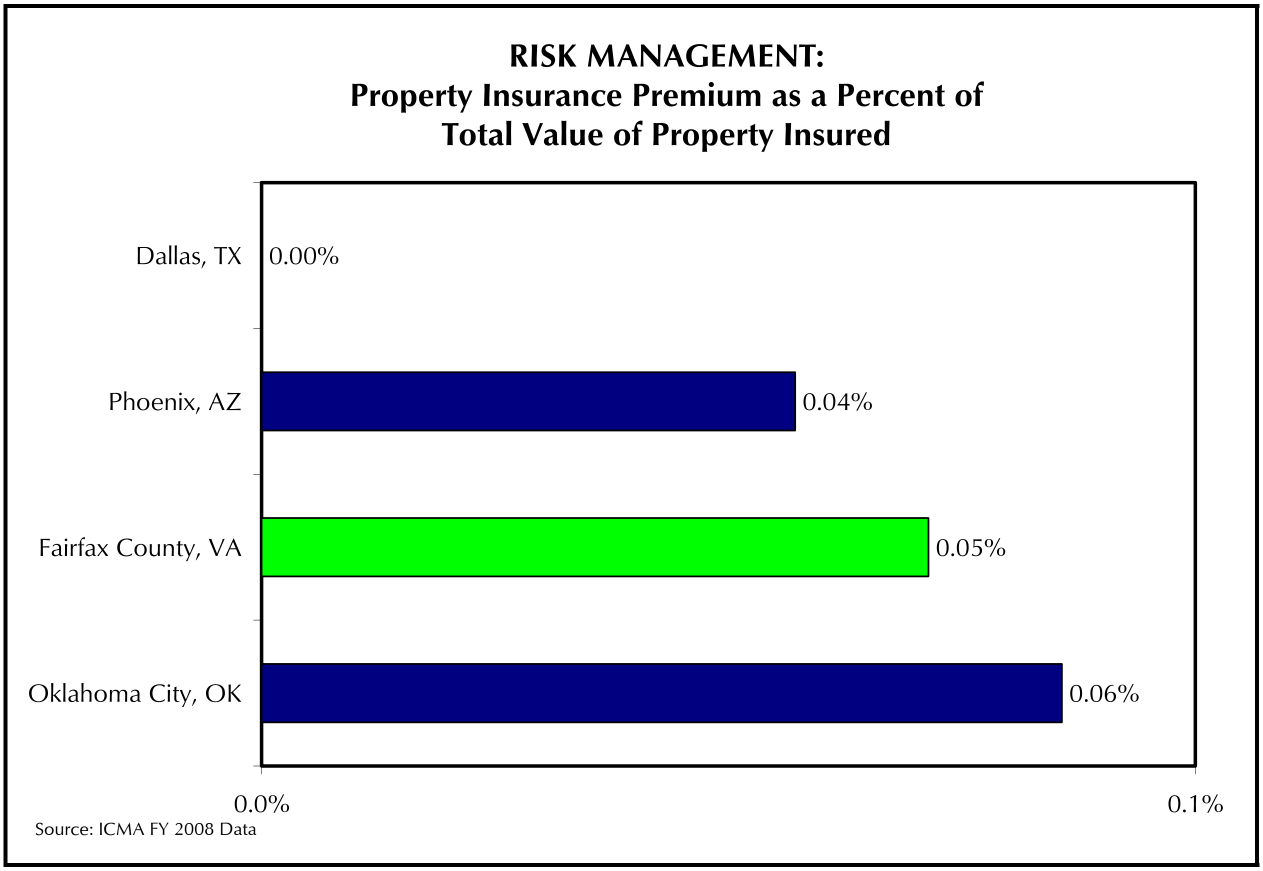



Source: ICMA FY 2008 Data

# Fund 501
# County Insurance Fund

# Fund 501
# County Insurance Fund



# Fund 501
# County Insurance Fund

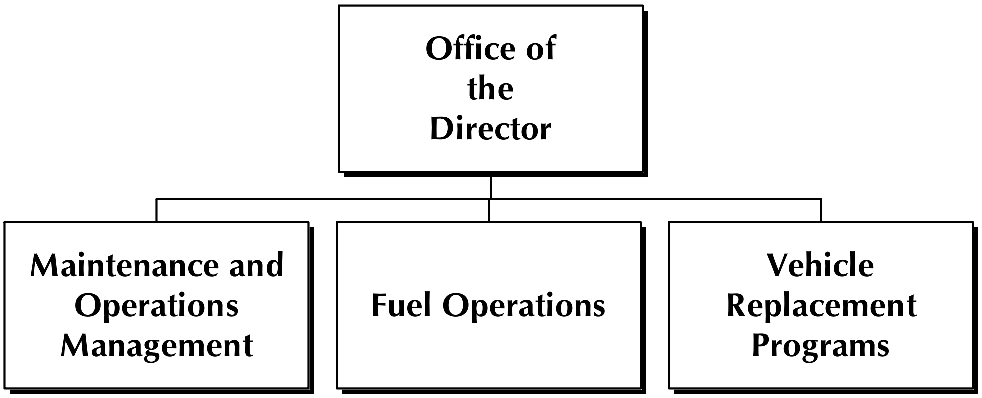
## FUND STATEMENT

**Fund Type G50, Internal Service Funds** | **Fund 501, County Insurance Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$39,634,325** | **$42,390,129** | **$42,111,511** | **$41,875,097** |
| Revenue: | | | | |
| Interest | $813,237 | $1,507,160 | $1,507,160 | $832,774 |
| Workers' Compensation | 501,238 | 664,034 | 664,034 | 664,034 |
| Other Insurance | 116,017 | 105,859 | 105,859 | 105,859 |
| Total Revenue | $1,430,492 | $2,277,053 | $2,277,053 | $1,602,667 |
| Transfer In: | | | | |
| General Fund (001) | $19,572,497 | $13,866,251 | $13,866,251 | $13,866,251 |
| Total Transfer In | $19,572,497 | $13,866,251 | $13,866,251 | $13,866,251 |
| **Total Available** | **$60,637,314** | **$58,533,433** | **$58,254,815** | **$57,344,015** |
| Expenditures: | | | | |
| Administration | $1,434,009 | $1,459,383 | $1,459,383 | $1,459,383 |
| Workers' Compensation | 11,534,068 | 8,867,400 | 8,867,400 | 8,867,400 |
| Self Insurance Losses | 2,679,698 | 2,507,000 | 2,507,000 | 2,507,000 |
| Commercial Insurance Premium | 2,734,745 | 3,466,637 | 3,466,637 | 3,466,637 |
| Automated External Defibrillator | 143,283 | 79,298 | 79,298 | 79,298 |
| Total Expenditures | $18,525,803 | $16,379,718 | $16,379,718 | $16,379,718 |
| Expense for Net Change in Accrued Liability | $4,843,440 | $0 | $0 | $0 |
| **Total Disbursements** | **$23,369,243** | **$16,379,718** | **$16,379,718** | **$16,379,718** |
| **Ending Balance** | **$42,111,511** | **$42,153,715** | **$41,875,097** | **$40,964,297** |
| Restricted Reserves: | | | | |
| Accrued Liability[1] | $33,084,626 | $28,241,186 | $28,241,186 | $33,084,626 |
| AED Replacement Reserve | 400,000 | 600,000 | 600,000 | 800,000 |
| PC Replacement Reserve | 7,200 | 7,200 | 7,200 | 7,200 |
| Reserve for Catastrophic Occurrences | 8,619,685 | 13,305,329 | 13,026,711 | 7,072,471 |

[1]The FY 2009 Actuals reflect accrued liability adjustments of $4,843,440 based on an annual independent actuarial valuation. This increase is a result of the change in the discount rate applied to the accrued liability calculation. This adjustment results in a corresponding adjustment to the FY 2009 Total Disbursements, total Accrued Liability, Ending Balance, and Reserve for Catastrophic Occurrences, but it does not affect the cash balance or Ending Balance, which is calculated using Total Available less Total Expenditures, not Disbursements.

# Fund 503
# Department of Vehicle Services




## Mission
To establish efficient and effective delivery of fleet services by providing customer agencies with safe, reliable, economical, and environmentally-sound transportation and related support services which are responsive to the needs of customer departments, and which conserve the value of the vehicle and equipment investment.

## Focus
The Department of Vehicle Services (DVS) provides management and maintenance services to the County's vehicle fleet and maintenance support to the Fairfax County Public Schools (FCPS). At the end of FY 2009, there was a combined County and School fleet of approximately 5,860 units, of which 5,814 are maintained by DVS. Of these units, approximately 2,270 units belong to FCPS. The remaining 3,590 County units consist of approximately 1,500 units with specialized equipment (i.e., dump trucks, wreckers) or a police package, 750 vehicles over one half ton in capacity, 710 "light fleet" vehicles supporting programs and services, and 630 non-motorized units (trailers, mowers, snow plow blades). Not included in the County fleet count are vehicles owned by the Fairfax County Water Authority, FASTRAN programs, or FAIRFAX CONNECTOR buses.

The department has four maintenance facilities. The Jermantown and West Ox facilities are located on the western side of the County, and the Newington and Alban facilities are located on the south end of the County. These facilities provide timely, responsive and efficient vehicle repairs/services, including effective towing and road services at competitive prices. Major modifications to the Alban facility to improve efficiency of vehicle maintenance were completed in FY 2010.

DVS manages the County's Vehicle Replacement Fund, which accumulates funding over a vehicle's life in order to pay for the replacement of that vehicle when it meets replacement criteria. The current replacement criteria include the age, mileage, and condition of the vehicle. This fund is intended primarily for General Fund agencies. As of July 2009, 32 agencies participate in the fund, which includes approximately 2,350 units. Additionally, DVS manages funds for Helicopter, Boat, and Police Specialty Vehicle Replacement for the Police Department; an Ambulance and a Large Apparatus Replacement Fund for the Fire and Rescue Department; and a FASTRAN Bus Replacement Fund for the Department of Neighborhood and Community Services. These funds allow the Police Department, Fire and Rescue Department, and Department of Neighborhood and Community Services to make fixed annual payments to ensure the availability of future funds for a regular replacement program.

DVS manages the County's fuel program, including maintenance of the County's 52 fuel sites. These sites are located at police stations, fire stations, schools, DVS maintenance facilities, Public Works facilities and Park Authority maintenance centers. In FY 2010, the FuelForce application and database was upgraded for consolidation purposes and to provide the latest efficiency, reliability and secure data management for daily fuel transactions and uploads. The upgrade provided reduced data storage and maintenance costs. In FY 2007, DVS installed automated software at the largest two fuel sites owned by the Park Authority and subsequently again in FY 2009, at the third and fourth largest Park Authority fuel sites, bringing the total number of automated sites to 41. In late FY 2009, the McConnell Public Safety and Transportation Operations Center (MPSTOC) opened with three 20,000 gallon diesel tanks. These tanks provide fuel for Metro and Connector buses. Although, DVS (using a contractor) provides and bills the fuel to the Fairfax County Department of Transportation, they use software provided by Metro to control the fuel use. DVS tightened controls over fuel charges to ensure agencies charge fuel directly to their agency vehicle codes and minimize the use of miscellaneous fuel codes.

Other services provided by DVS include: emergency roadside repair; oversight and records maintenance, including security administration for the County's Fleet Maintenance System; analysis of current fleet mileage and usage; evaluation of new technologies; operation of the County's motor pool; technical support/review of specifications; and initiating purchase requests for County vehicles and related equipment.

M4 Fleet Maintenance system training for customers and employees will continue in FY 2011. This will include training, as needed, on new releases and enhancements as well as customer training on any new module. For example, in late FY 2009 the "incident" module in M4 was rolled out to all Police Department stations and police vehicle coordinators. This module allows police staff to enter requests for any type of vehicle repair and to prioritize the importance of the repair directly into the Fleet Maintenance system. This successful roll-out involved the coordination and the training of dozens of Police staff.

Also, the DVS M4 task force will continue to work with staff and customers to examine M4 business processes and identify efficiencies. In FY 2009, several new processes were put into place. These included: streamlining the process for entering new vehicles into M4; expanding an existing form to allow some end-users to enter component detail (e.g., transmission numbers, tire sizes, and engine serial numbers); and establishing a process for notifying technicians when they open a repair order for a vehicle due for an upcoming replacement, to discuss whether to complete the order with their supervisor before making a costly repair. The task force continues to examine new M4 releases and enhancements and has a list of other efficiencies that will be carefully reviewed and rolled out as needed (e.g., notifying customers via email when a vehicle is ready to be picked up after a repair).

In FY 2005, DVS and the Department of Management and Budget finalized a procedural memorandum for a fleet utilization policy with the goal of balancing the investment in the fleet while ensuring that departments and agencies have the fleet means to support their missions. This policy established a Fleet Utilization Management Committee (FUMC) with the responsibility to routinely review the vehicle and equipment fleet to ensure that fleet use and practices are in compliance with the procedural memorandum. In FY 2011, the FUMC will continue its annual review of the County fleet to ensure that fleet levels currently in place within each agency are appropriate to actual program and service requirements. It should be noted that a total of 181 vehicles have been rotated, reassigned, or sold as part of previous reviews. The FUMC also routinely reviews agency requests for fleet additions to ensure a legitimate need for fleet growth.

DVS continues to strive for economically responsible environmental stewardship by working increased fuel mileage capabilities and reduced emissions characteristics into vehicle specifications. DVS has established a solid base of hybrid cars in the fleet, including one of the few "plug-in" hybrids in the eastern U.S. In anticipation of the possible adoption of ethanol as a motor fuel, DVS continues to add "flex-fuel" vehicles that can use either E85 or gasoline or any combination. Cooperative hybrid development efforts across the industry are coming to fruition as major truck manufacturers begin to announce the offering of commercialized hybrid power trains in certain medium and heavy duty applications. Where practical, DVS includes options for hybrid power trains in county truck specifications. The fleet now includes one heavy duty hybrid cargo truck. Grant funding was received in FY 2010 to fund one hydraulic hybrid solid waste truck and one plug-in hybrid electric school bus.

DVS is now focusing efforts on County subfleets that operate high-use vehicles associated with high fuel consumption. One such subfleet is the solid waste transfer tractors and trailers. In 2009, six transfer tractors were retrofitted with battery powered heater-air conditioner units in a pilot project to enable crews to endure unavoidable waiting periods without idling the truck engine.

# Fund 503
# Department of Vehicle Services

The Washington Metropolitan area continues to be designated in non-attainment of the National Ambient Air Quality Standards for ground-level ozone and particulate matter by the U.S. Environmental Protection Agency (EPA). As part of the County's numerous environmental efforts, DVS is continuing to retrofit the County and FCPS diesel fleets with emissions-reducing technologies and specifications for new, heavy duty trucks favor the cleanest diesel engines. EPA awarded a grant to DVS to install advanced exhaust retrofits on 32 school buses as a technology demonstration for a product that is expected to reduce ozone forming emissions by at least 65 percent and particulate matter by at least 90 percent. Installations are scheduled to begin in late FY 2010. DVS will continue to explore grant opportunities for emissions reduction efforts as they become available.

## FY 2011 Budget Reduction Impact Summary

A major impact of budget reductions for DVS is the programmatic impact of extending the County's vehicle replacement criteria. Effective October 2008, the County Executive halted all vehicle replacements until further notice. This action, which resulted in a one year moratorium on most vehicle purchases, provided a one-time savings of $5.0 million by extending the life of vehicles in the County's fleet by one year. It should be noted that this directive included all vehicles except those such as ambulances, large fire apparatus, school buses, and police helicopters which require multiple-year processes to procure and replace. Non-general fund agencies were not impacted by this decision.

In October 2009, senior staff discussed extending the moratorium one additional year by adding a second additional year to the replacement criteria. The discussion included the risks of an older fleet, combined with the one-time savings to be gained from the extension. After these discussions, the decision was made to extend the criteria a second year, with the same exceptions noted above. This action will result in a one-time savings of $4.0 million (which will be transferred out to the General Fund as part of the FY 2011 Advertised Budget Plan), for a total savings of $9.0 million over the two year period. The lower amount of savings in year two reflects a recognition that additional funds will likely be required to maintain and replace vehicles in an older fleet.

During the two year period from October 2008 to October 2010, DVS will only replace vehicles that are uneconomical to repair. The impact of this action will be to effectively extend the vehicle replacement criteria by two years. It is likely that agencies will experience additional maintenance costs in FY 2011 as a result of the aged fleet. Assuming no further changes to the policy, vehicles that were scheduled for purchase in FY 2009 but were delayed due to this decision will begin being purchased November 2010 (FY 2011). In FY 2011, replacement charges to agencies will continue to be billed as done in previous years to allow for accumulated balances to be transferred to the General Fund.

It should also be noted that there is an ongoing impact associated with $0.4 million in reductions that were included in the FY 2010 Adopted Budget Plan. These reductions, which included the loss of 3/3.0 SYE positions (one mechanic, one supervisor, and one administrative position,) as well as a 50 percent reduction in employee training and a 50 reduction in the employee tool allowance, result in increased workload and other related challenges for remaining staff.

# Fund 503
# Department of Vehicle Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 261/ 261 | 258/ 258 | 258/ 258 | 258/ 258 |
| Expenditures: | | | | |
| Personnel Services | $18,480,530 | $19,275,270 | $19,275,270 | $19,275,270 |
| Operating Expenses | 35,212,165 | 43,093,157 | 45,649,243 | 40,400,292 |
| Capital Equipment | 11,343,074 | 12,770,617 | 20,957,582 | 9,891,685 |
| Capital Projects | 1,177,385 | 0 | 1,949,618 | 0 |
| **Total Expenditures** | **$66,213,154** | **$75,139,044** | **$87,831,713** | **$69,567,247** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

♦ **Operating Expenses** **($2,692,865)**
A decrease of $2,692,865 is due primarily to both a lower total numbers of gallons projected in FY 2011 compared to originally budgeted FY 2010 levels and revised price per gallon estimates.

♦ **Capital Equipment** **$9,891,685**
Capital Equipment funding of $9,891,685 includes the following: $6,341,685 for the purchase of 273 vehicles that were eligible but not replaced in FY 2009 due to the decision to delay vehicle replacement by two years; $780,000 for the purchase of three vehicles out of the Ambulance Replacement Fund; and $2,770,000 is for the purchase of four vehicles out of the Fire Apparatus Replacement Fund.

## Changes to FY 2010 Adopted Budget Plan

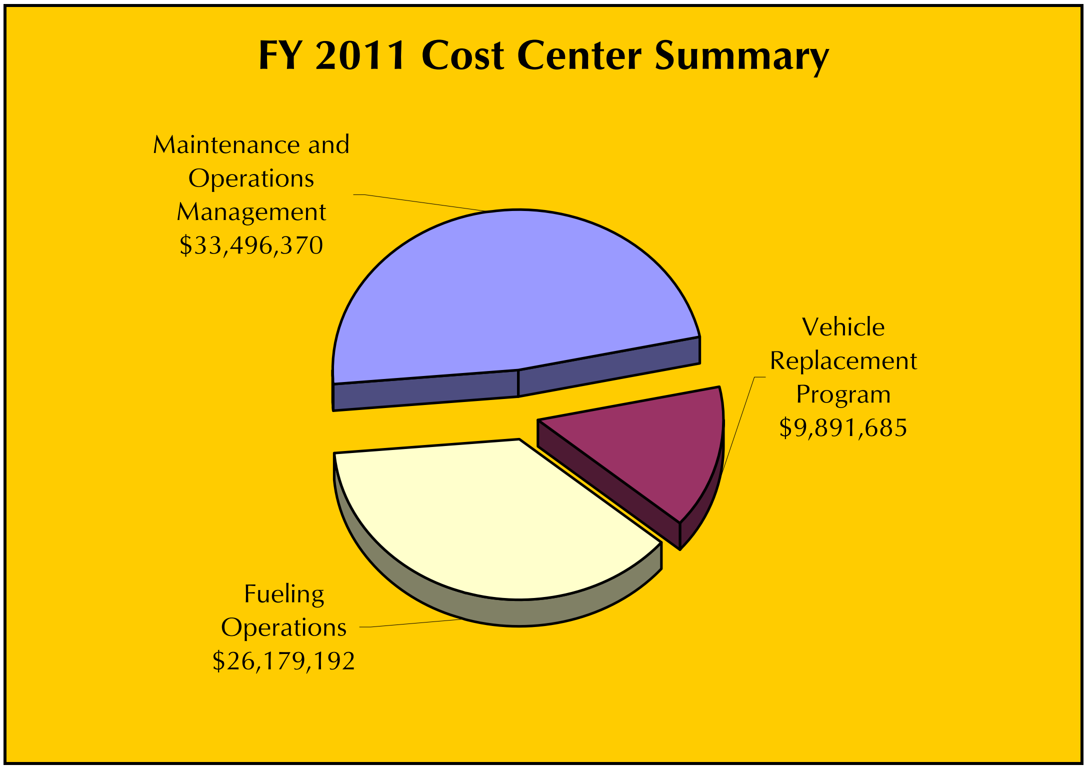
*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$12,692,669**
As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $12,692,669 due to encumbered carryover of $3,596,142 and unencumbered carryover of $9,096,527. The unencumbered total includes $5,609,800 for the replacement of two Bell 407 helicopters by the Police Department, whose purchase has been delayed pending negotiations with the vendor. In addition, $1,286,134 is associated with the Board-approved vehicle retrofit program. The retrofit program is intended to reduce regulated emissions by retrofits, alternative fuel, or other substantive and cost-effective means. Funds were not spent due to appropriate emissions reduction technology for exhaust retrofits having not yet been identified. The remaining amount includes $704,356 for Capital projects out of the Facility Infrastructure/Renewal Reserve, $629,539 for the purchase of Fire and Rescue Large Apparatus vehicles, $616,698 for the purchase of FASTRAN replacement buses that were delayed in FY 2009 and $250,000 for the purchase of a marine boat out of the Boat Replacement Reserve, the purchase of which was delayed pending final 2010 budget decisions.

# Fund 503
# Department of Vehicle Services

## Cost Centers

The Department of Vehicle Services provides services in support of the County's fleet in three distinct cost centers: Maintenance and Operations Management, Vehicle Replacement Program, and Fueling Operations. The majority of the agency's positions and funding is centered in maintenance and operations.




## Maintenance and Operations Management

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 259/ 259 | 256/ 256 | 256/ 256 | 256/ 256 |
| **Total Expenditures** | **$33,992,780** | **$33,516,146** | **$37,022,383** | **$33,496,370** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Director | 12 | Auto Parts Specialists I | 3 | Maintenance Trade Helpers II |
| 2 | Assistant Directors | 6 | Assistant Superintendents | 1 | Business Analyst III |
| 3 | Administrative Assistants IV | 1 | Inventory Mgmt. Supervisor | 1 | Network Telecom Analyst II |
| 2 | Administrative Assistants III | 2 | Management Analysts III | 1 | Information Technology Tech. II |
| 9 | Administrative Assistants II | 1 | Management Analyst II | 17 | Sr. Motor Mech. Supervisors |
| 3 | Auto Body Repairers II | 1 | Management Analyst I | 5 | Motor Equipment Superintendents |
| 3 | Auto Body Repairers I | 101 | Mechanics II | 3 | Warehouse Supervisors |
| 8 | Auto Parts Specialists II | 68 | Mechanics I | 1 | Warehouse Specialist |
| | | | | 1 | Warehouse Worker Driver Helper |

**TOTAL POSITIONS**
**256 Positions / 256.0 Staff Years**

# Fund 503
# Department of Vehicle Services

## Key Performance Measures

### Goal

To provide timely, responsive, and efficient vehicle repairs/services, including effective towing and road services, at competitive prices for County-owned vehicles.

### Objectives

- To maintain a vehicle availability rate of at least 97 percent on 100 percent of operating days.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Units maintained (1) | 5,676 | 5,784 | 5,819 / 5,814 | 5,836 | 5,836 |
| Vehicle equivalents maintained | 21,779 | 22,288 | 22,276 / 22,635 | 22,664 | 22,664 |
| **Efficiency:** | | | | | |
| Maintenance cost per vehicle equivalent | $1,428 | $1,443 | $1,517 / $1,447 | $1,491 | $1,491 |
| Parts inventory value per vehicle | $264 | $251 | $249 / $249 | $249 | $249 |
| Parts inventory fill rate | 90.3% | 90.4% | 91.0% / 91.3% | 91.0% | 91.0% |
| Parts inventory turnover | 4.73 | 5.18 | 4.75 / 5.32 | 5.00 | 5.00 |
| **Service Quality:** | | | | | |
| Parts inventory accuracy | 96.5% | 96.4% | 97.0% / 98.7% | 99.5% | 99.5% |
| Percent of customers satisfied | 95.0% | 100.0% | 95.0% / 98.0% | 97.0% | 96.0% |
| **Outcome:** | | | | | |
| Vehicle availability rate | 97.8% | 98.0% | 97.0% / 98.2% | 97.0% | 97.0% |
| Percent of days 97% target was achieved | 100.0% | 100.0% | 100.0% / 99.2% | 100.0% | 100.0% |

(1) "Units maintained" in any given year may include vehicles authorized as additions in a previous year, but not received until the indicated year.

## Performance Measurement Results

In FY 2009, DVS was able to ensure, on a countywide basis, that customer agency vehicles were available for use 98.2 percent of the time and were in safe operational condition. A total of 5,814 County and School units (motorized and non-motorized) were maintained. The FY 2009 increases in maintained units and in vehicle equivalents maintained was primarily due to an increase in school buses and police cruisers.

DVS kept the FY 2009 maintenance cost per vehicle equivalent below the rate estimated. Due to an aggressive inventory management campaign, the parts inventory value per vehicle decreased while the inventory turnover increased. The inventory fill rate and inventory accuracy were more than estimated for FY 2009 as a result of a focused effort on improvement in these areas. In FY 2009, DVS achieved a customer satisfaction rating of 98.0 percent and this is expected to decrease marginally throughout FY 2010 and FY 2011 primarily due to continued budget constraints.

# Fund 503
# Department of Vehicle Services

## Vehicle Replacement Program

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$11,246,941** | **$12,770,617** | **$20,884,554** | **$9,891,685** |

| Position Summary |
|---|
| 1 Engineer III |
| **TOTAL POSITIONS** |
| **1 Position / 1.0 Staff Year** |

## Key Performance Measures

### Goal

To provide administrative and financial oversight for the Vehicle Replacement, Large Apparatus, Ambulance, Vehicle Specialty, FASTRAN, and other replacement funds and to ensure that vehicles are replaced within the established criteria (i.e., miles, years and condition).

### Objectives

- To order vehicles that meet replacement criteria within the fiscal year. Given the two year moratorium on the majority of vehicle replacements from October 2008 through October 2010, this total is estimated at 39 percent in FY 2011.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Vehicles in Vehicle Replacement Reserve (VRR) | 2,255 | 2,303 | 2,328 / 2,342 | 2,342 | 2,342 |
| Technical reviews processed | 180 | 173 | 170 / 96 | 110 | 130 |
| Vehicles meeting VRR criteria | 265 | 299 | 305 / 281 | 530 | 705 |
| Vehicles ordered/replaced | 265 | 299 | 305 / 24 | 52 | 273 |
| **Efficiency:** | | | | | |
| VRR administrative cost per vehicle | $25.95 | $26.58 | $28.80 / $28.01 | $29.29 | $29.29 |
| **Service Quality:** | | | | | |
| Percent of customers satisfied | 95.0% | 100.0% | 95.0% / 94.0% | 90.0% | 85.0% |
| **Outcome:** | | | | | |
| Percent of vehicles meeting criteria that are replaced | 100.0% | 100.0% | 100.0% / 9.0% | 10.0% | 39.0% |

## Performance Measurement Results

The number of vehicles in the Vehicle Replacement Reserve (VRR) continued to grow in FY 2009, particularly due to the addition of vehicles that were not previously in the fund, but were in vehicle classes and agencies eligible to participate. The total number of vehicles in the fund increased from 2,303 in FY 2008 to 2,342 in FY 2009, a number which is expected to remain flat in FY 2010 and FY 2011 due to the two year extension of vehicle replacement criteria initiated in FY 2009. As a result of the criteria extensions, DVS replaced only 9 percent of FY 2009 VRR vehicles that met the established criteria. The VRR administrative cost per vehicle increased from $26.58 in FY 2008 to $28.01 in FY 2009 primarily due to staff pay-for-performance increases. Customer satisfaction was rated in FY 2009 at 94 percent, and satisfaction levels are expected to decline throughout FY 2010 and FY 2011 primarily due to continued budget constraints.

## Fueling Operations

| Funding Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$20,973,433** | **$28,852,281** | **$29,924,776** | **$26,179,192** |

| Position Summary |
|---|
| 1 Heavy Equipment Operator |
| TOTAL POSITIONS<br>**1 Position / 1.0 Staff Year** |

## Key Performance Measures

### Goal

To provide County-owned vehicle operators with effective and efficient fueling services in accordance with all federal, state, and County regulations.

### Objectives

- To provide in-house fueling services that support fleet operations in order to achieve a cost savings of 5.0 cents per gallon for unleaded gasoline and 15.0 cents per gallon for diesel fuel compared to commercial fuel stations.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2007 Actual** | **FY 2008 Actual** | **FY 2009 Estimate/Actual** | **FY 2010** | **FY 2011** |
| **Output:** | | | | | |
| Gallons of unleaded gasoline purchased | 2,523,815 | 2,596,988 | 2,642,856 / 2,556,430 | 2,605,002 | 2,654,497 |
| Gallons of diesel purchased | 6,861,624 | 7,003,767 | 7,357,144 / 7,117,985 | 8,164,401 | 8,393,004 |
| **Efficiency:** | | | | | |
| Average cost per gallon (all fuel types) (1) | $2.18 | $2.95 | $3.41 / $2.38 | $2.22 | $2.37 |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Percent of customers satisfied | 95.0% | 100.0% | 95.0% / 99.0% | 99.0% | 99.0% |
| **Outcome:** | | | | | |
| Price savings between in-house and commercial stations: unleaded gasoline | $0.075 | $0.108 | $0.050 / $0.192 | $0.050 | $0.050 |
| Price savings between in-house and commercial stations: diesel | $0.242 | $0.115 | $0.150 / $0.498 | $0.150 | $0.150 |

(1) Includes appropriate mark-up to cover overhead.

## Performance Measurement Results

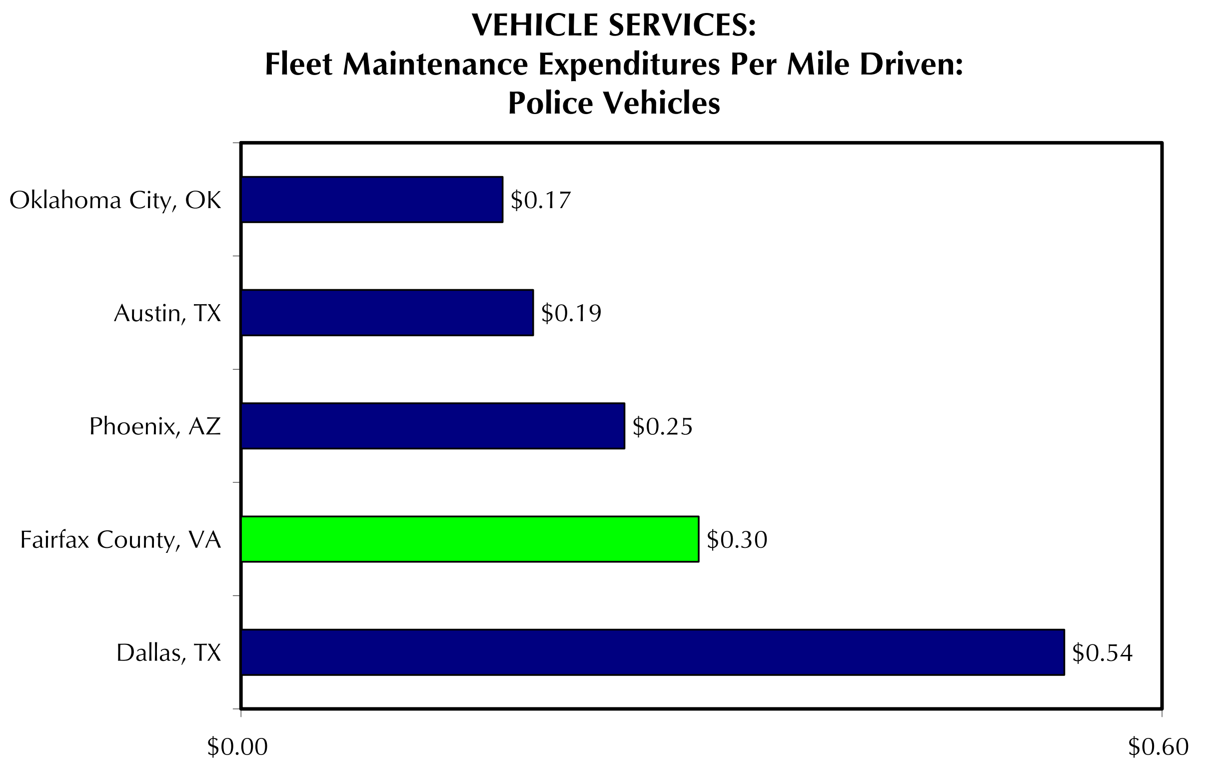
The Fueling Operations measures examine the cost savings between County contracts and private providers, as well as how satisfied County vehicle drivers are with fueling operations. Given the amount of fuel gallons used by the County, the savings were significant. In FY 2009, customer satisfaction ratings were at 99 percent, an excellent rating level that is expected to remain at this level in FY 2010 and FY 2011. Beginning in mid FY 2009, fuel prices began a downward trend. Similar to the private market, the average fuel cost per gallon for County customers decreased from $2.95 in FY 2008 to $2.38 in FY 2009. In addition, County customers purchasing unleaded gasoline saved $0.192 per gallon and diesel fuel purchasers saved $0.498 per gallon compared to commercial prices.

## Benchmarking

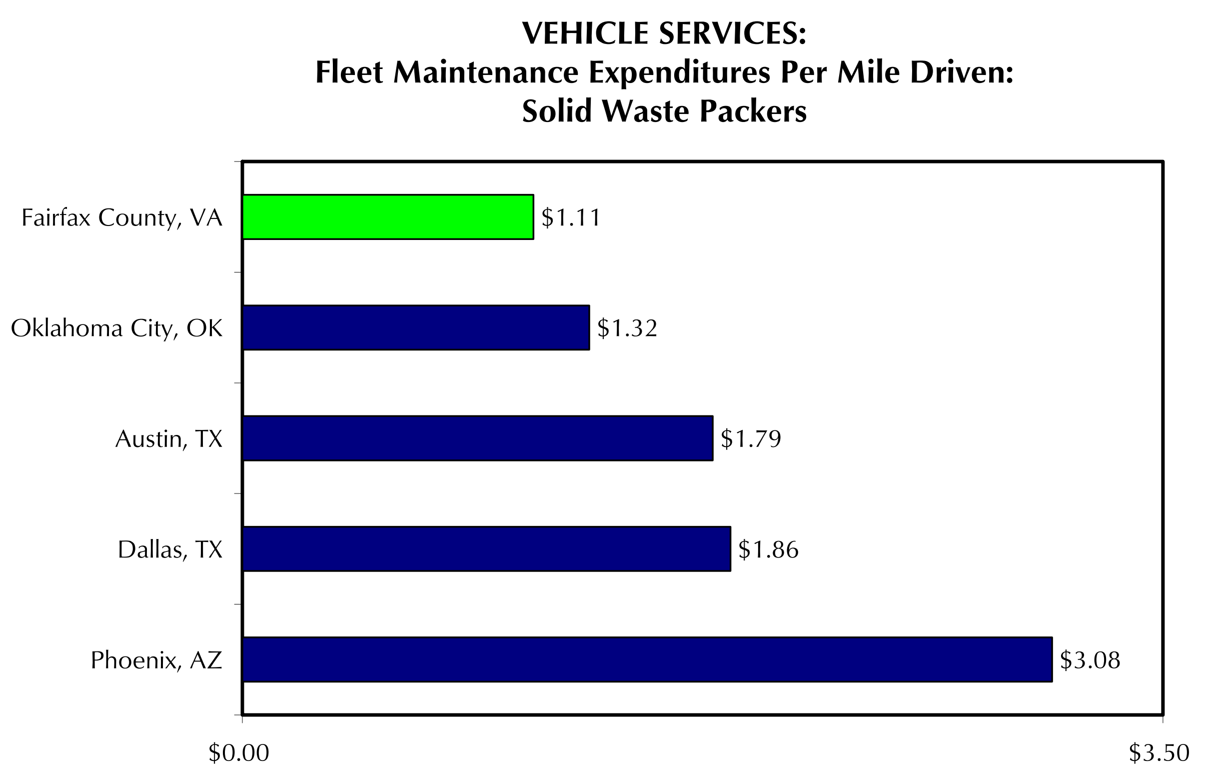
As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. An example of which is the Roads/Highways template that Fairfax County does not complete since the Commonwealth has primary responsibility for roadways in Virginia counties.

As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2008 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Fleet Management is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

# Fund 503
# Department of Vehicle Services

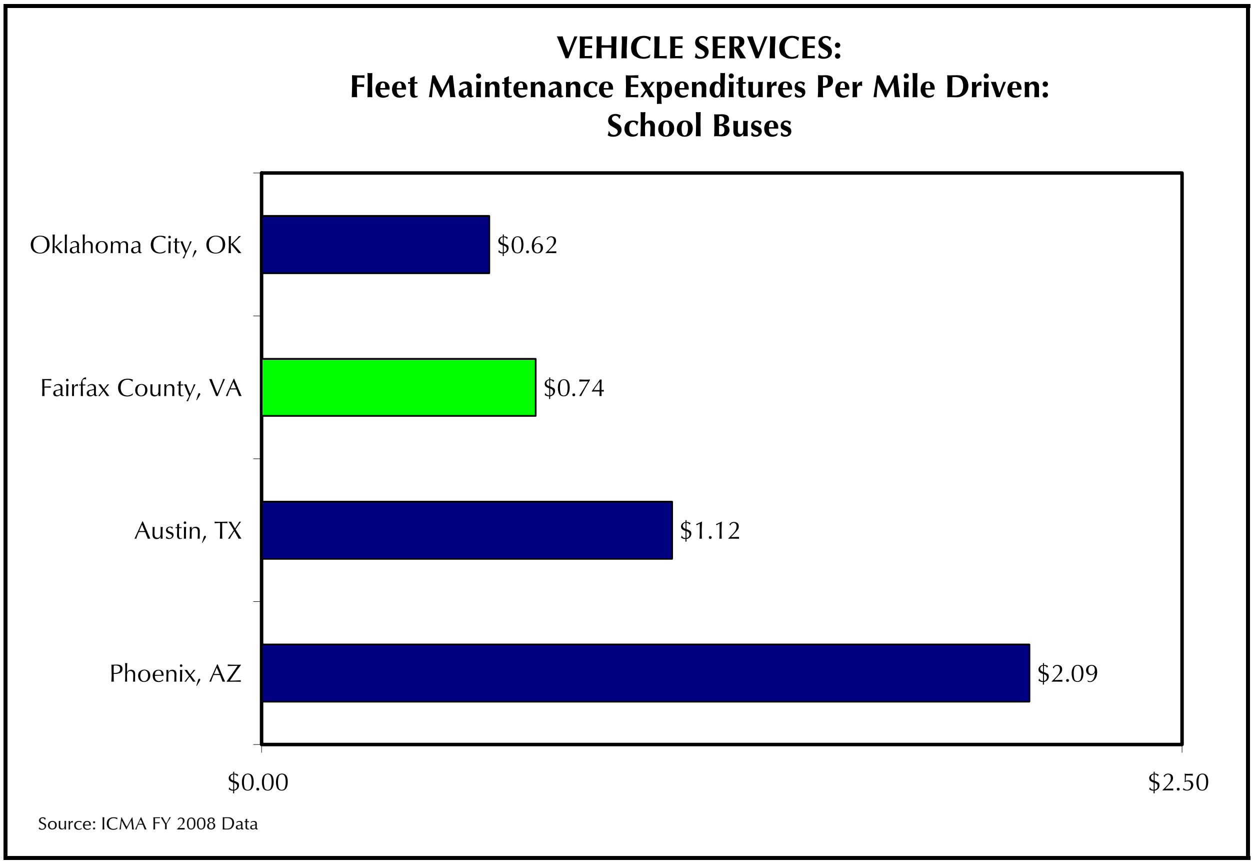


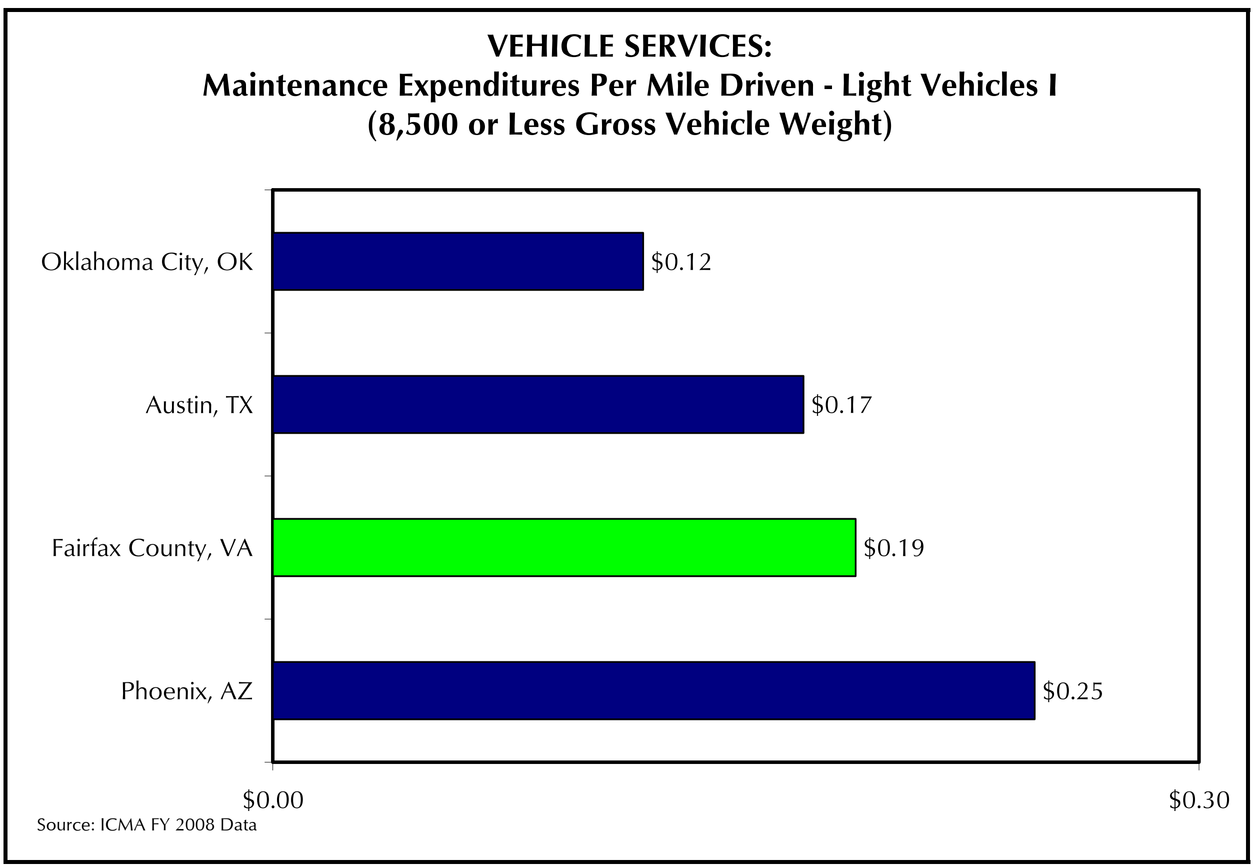

Source: ICMA FY 2008 Data



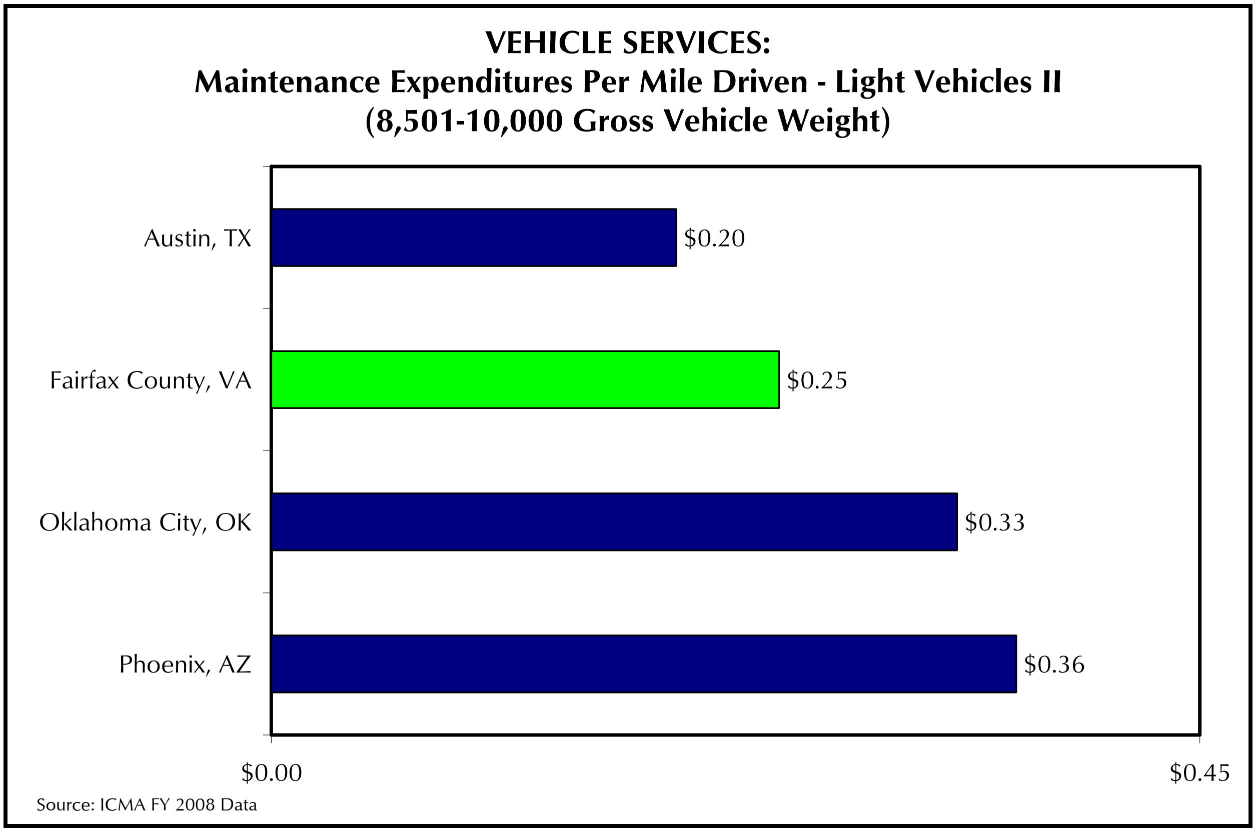

Source: ICMA FY 2008 Data

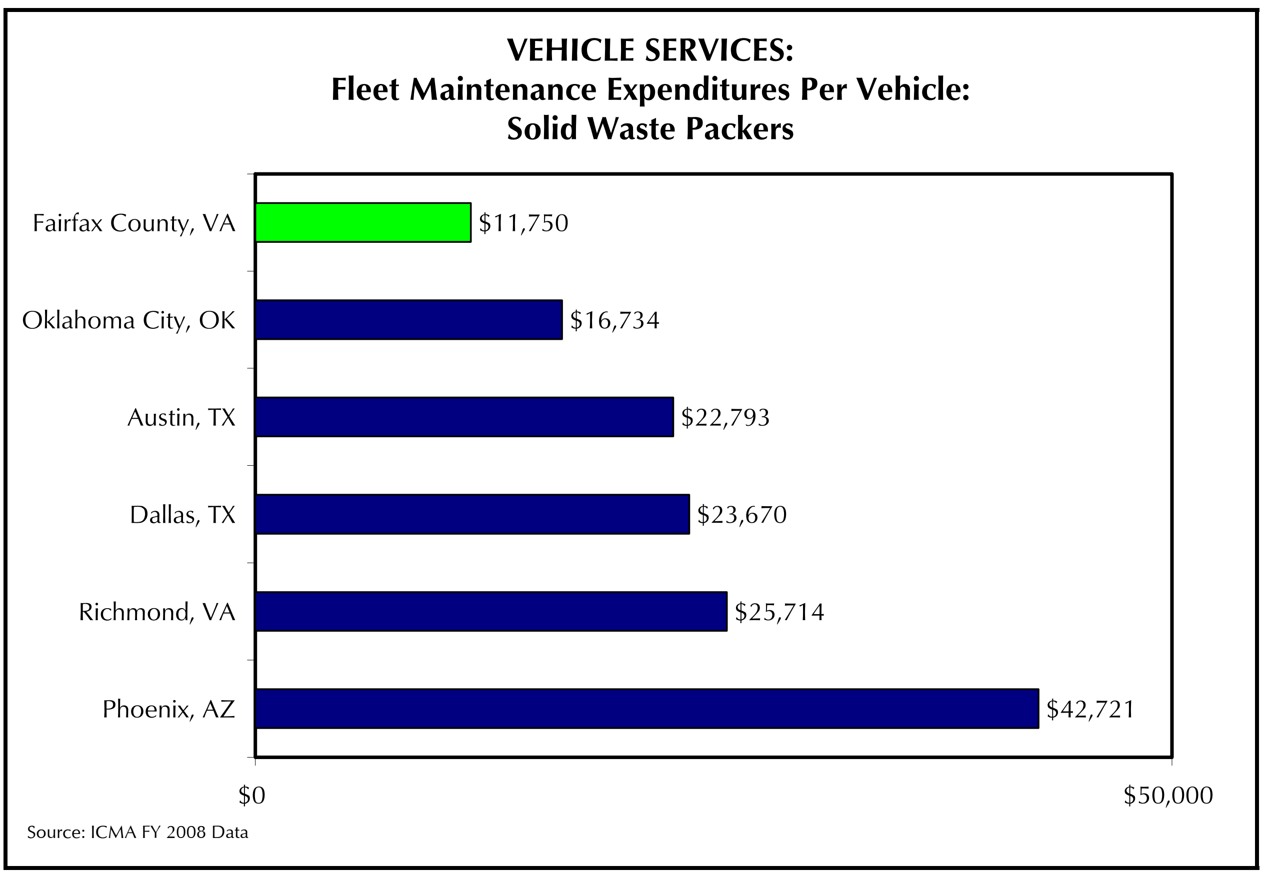
# Fund 503
# Department of Vehicle Services

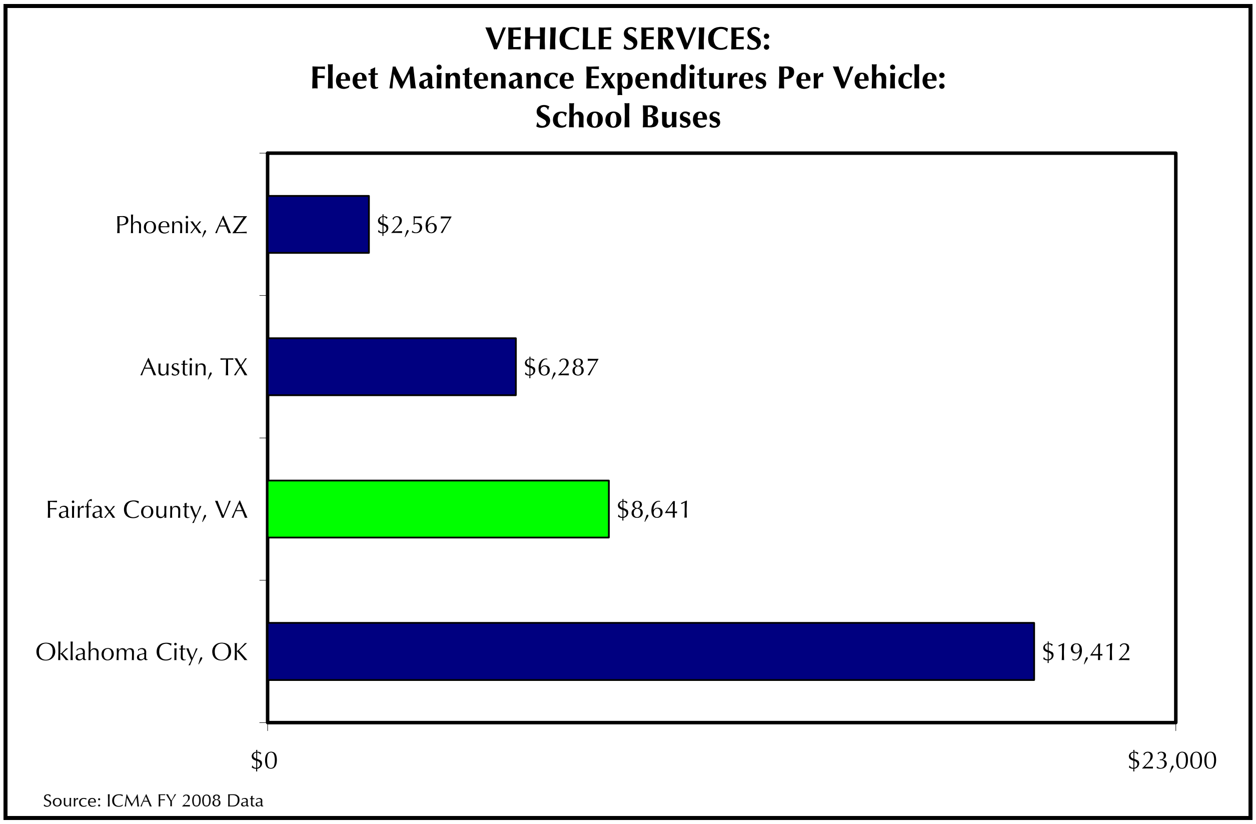


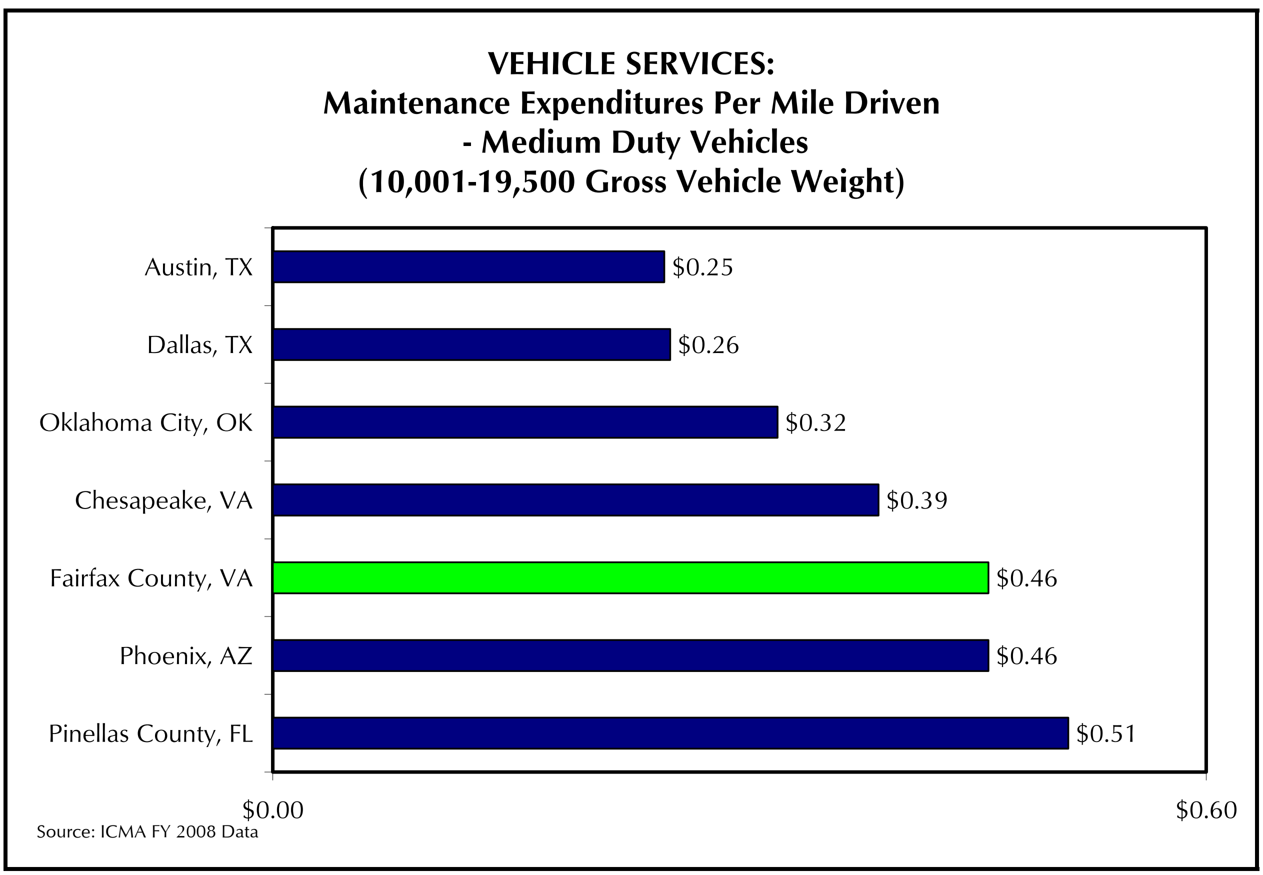



# Fund 503
# Department of Vehicle Services



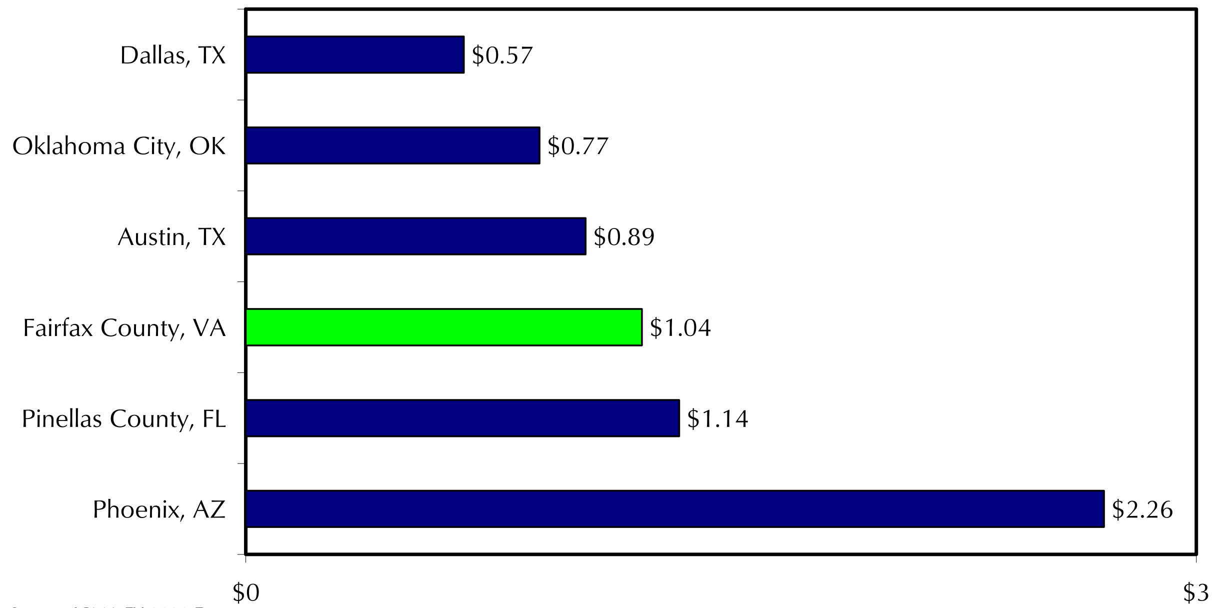




VEHICLE SERVICES:
Fleet Maintenance Expenditures Per Vehicle:
School Buses
Phoenix, AZ
$2,567
Austin, TX
$6,287
Fairfax County, VA
$8,641
Oklahoma City, OK
$19,412
$0
$23,000
Source: ICMA FY 2008 Data


VEHICLE SERVICES:
Maintenance Expenditures Per Mile Driven
- Medium Duty Vehicles
(10,001-19,500 Gross Vehicle Weight)
Austin, TX
$0.25
Dallas, TX
$0.26
Oklahoma City, OK
$0.32
Chesapeake, VA
$0.39
Fairfax County, VA
$0.46
Phoenix, AZ
$0.46
Pinellas County, FL
$0.51
$0.00
$0.60
Source: ICMA FY 2008 Data

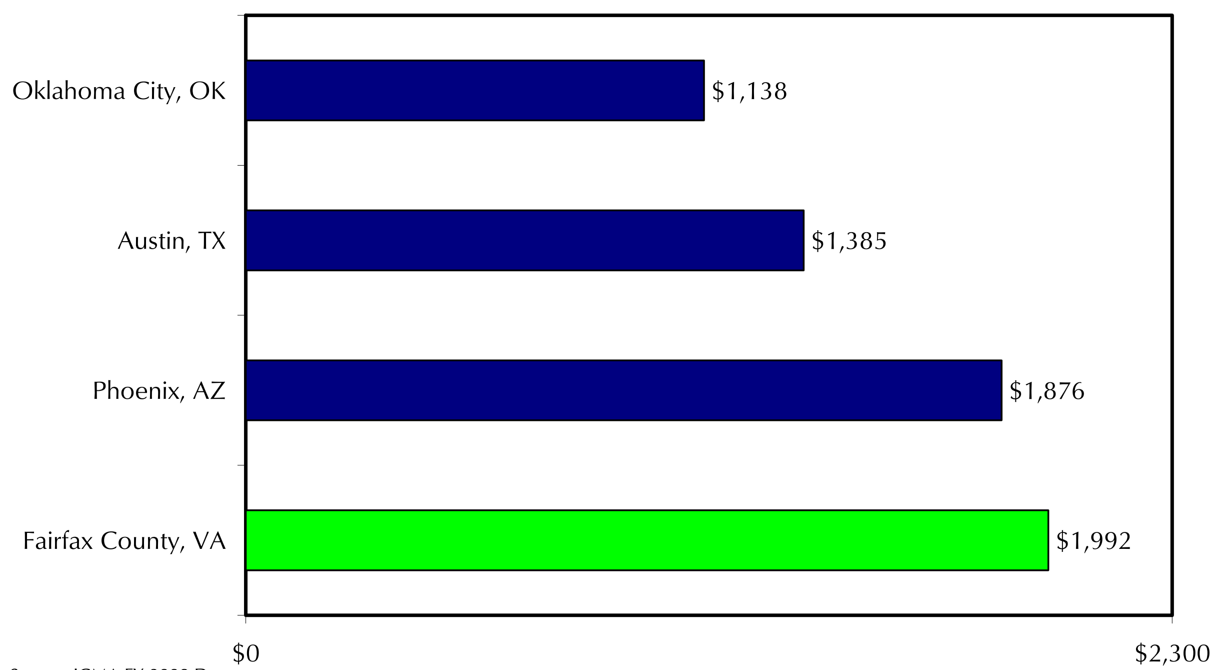
# Fund 503
# Department of Vehicle Services

**VEHICLE SERVICES:**
**Maintenance Expenditures Per Mile Driven**
**- Heavy Duty Vehicles**
**(19,501 or Greater Gross Vehicle Weight)**



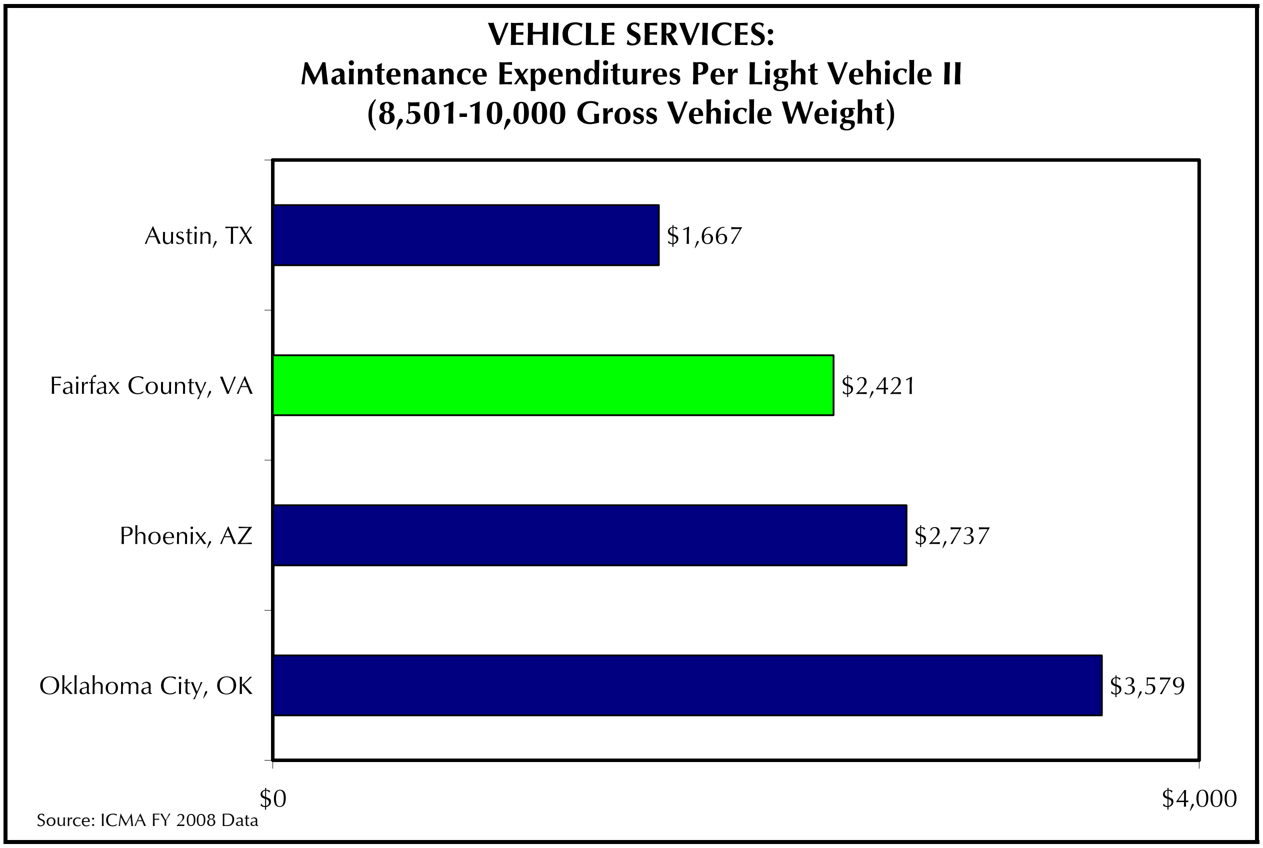

Source: ICMA FY 2008 Data

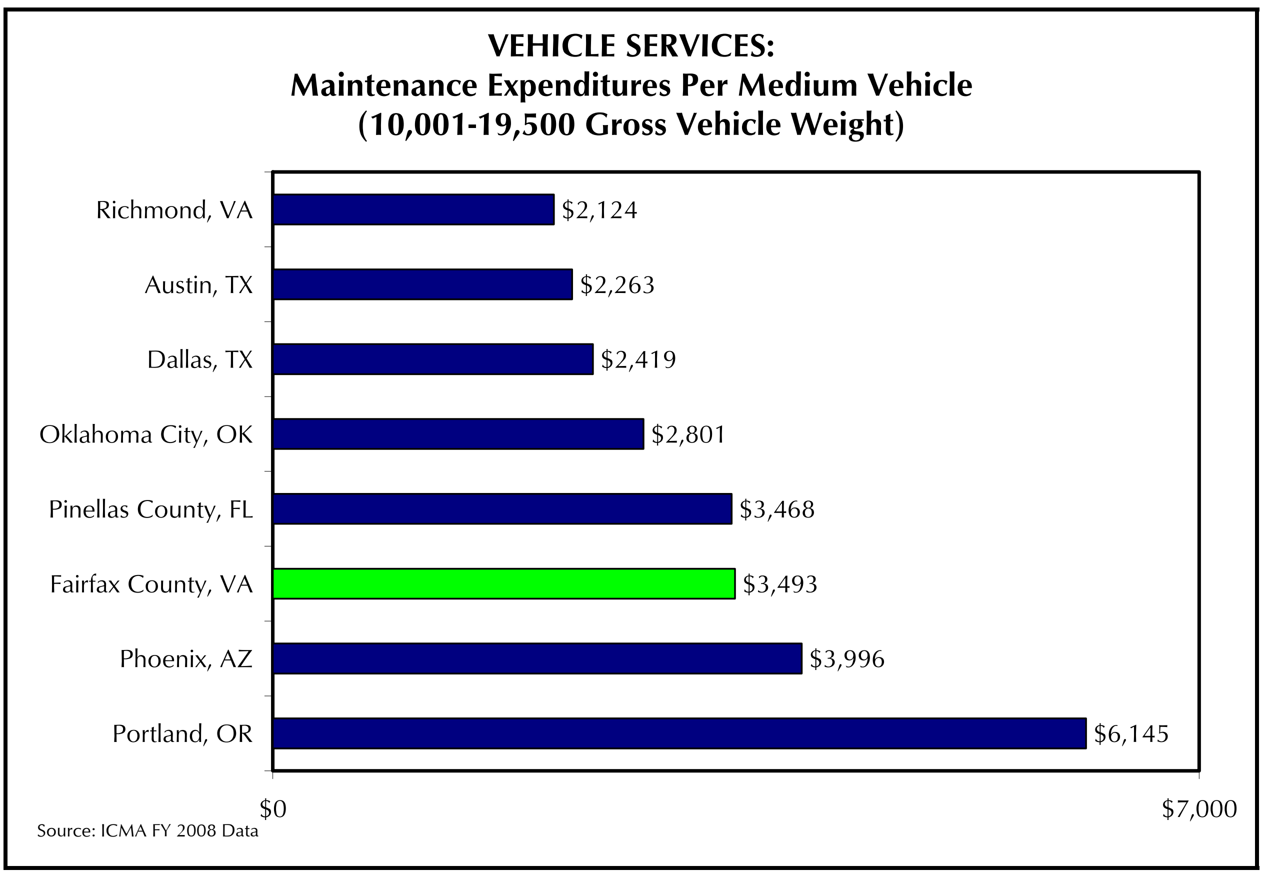
**VEHICLE SERVICES:**
**Maintenance Expenditures Per Light Vehicle I**
**(8,500 or Less Gross Vehicle Weight)**



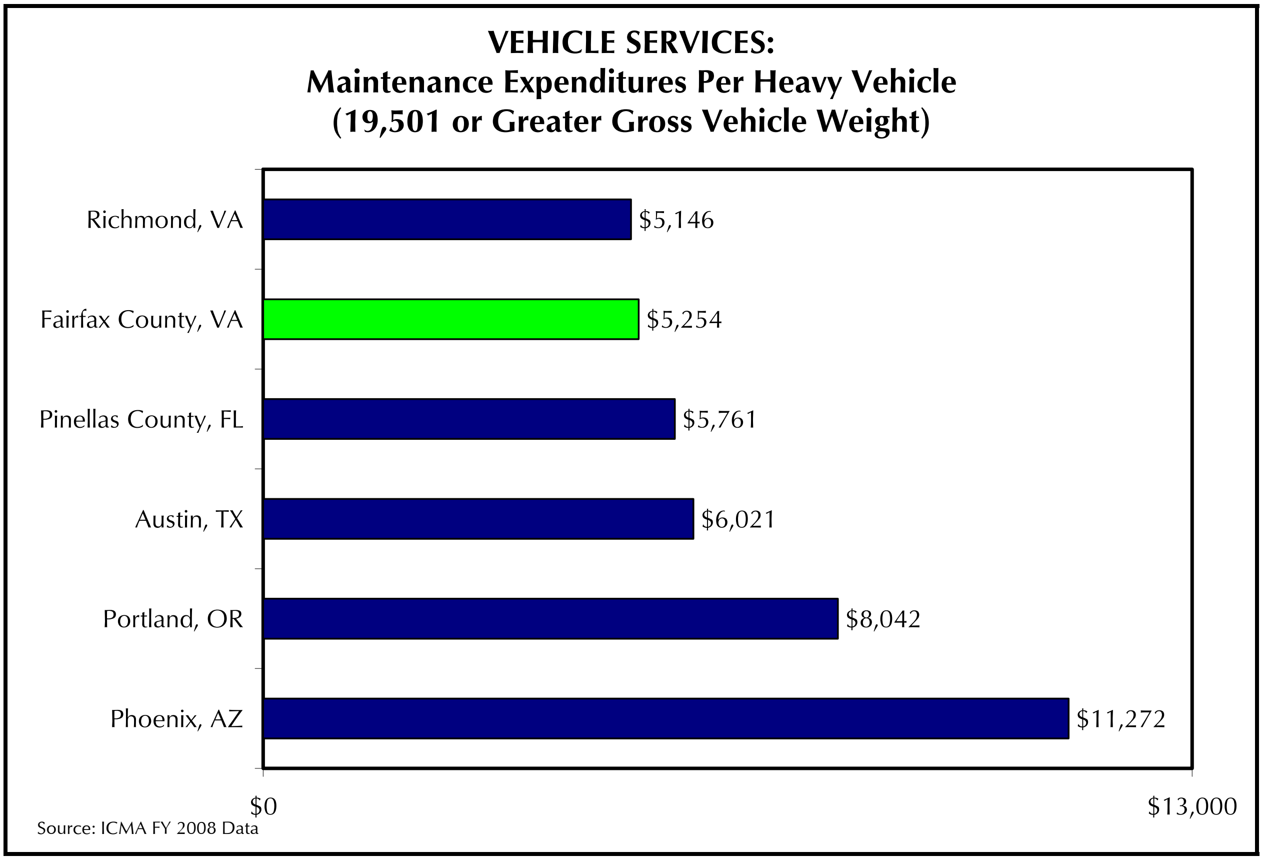

Source: ICMA FY 2008 Data

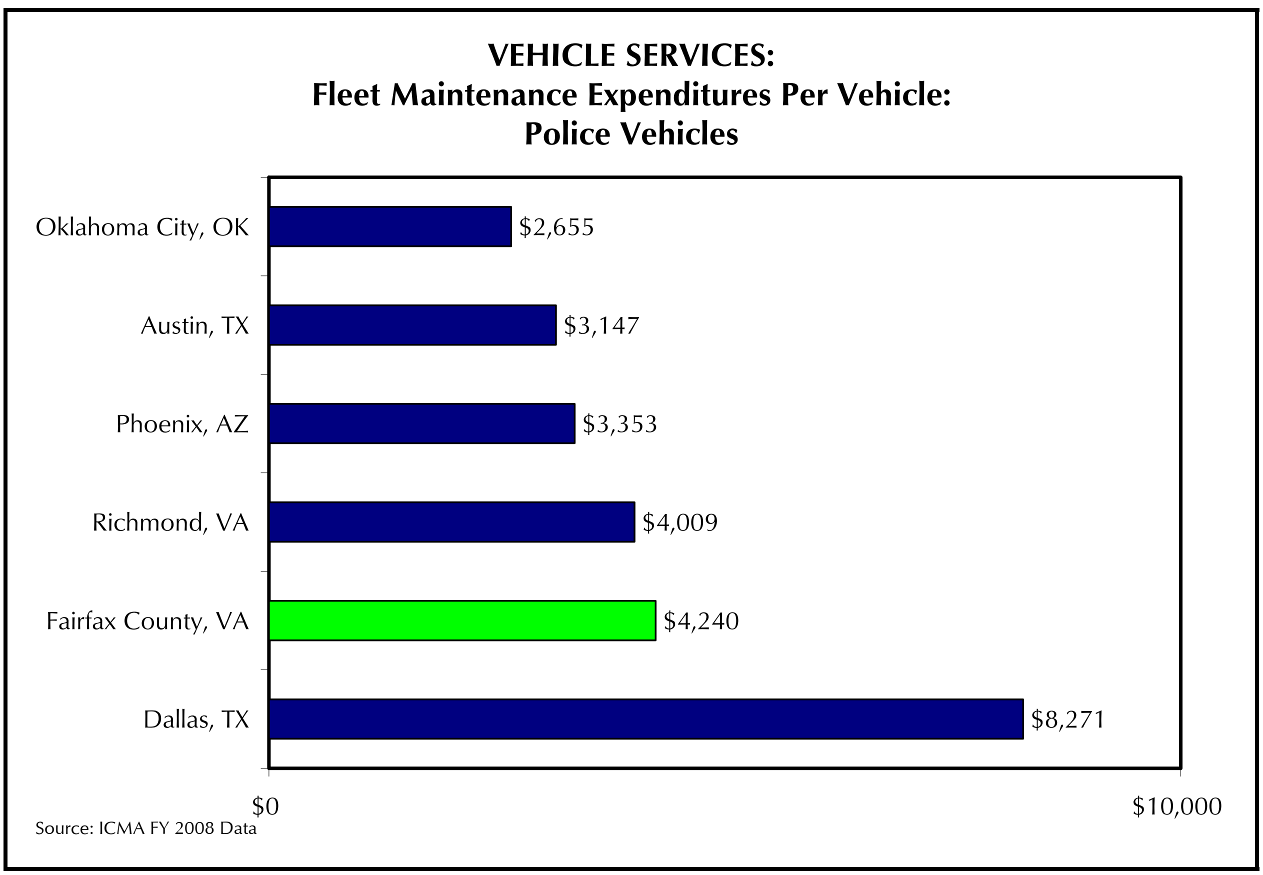
# Fund 503
# Department of Vehicle Services



# Fund 503
# Department of Vehicle Services



# Fund 503
# Department of Vehicle Services

## FUND STATEMENT

**Fund Type G50, Internal Service Fund** | **Fund 503, Department of Vehicle Services**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$40,856,341** | **$22,624,095** | **$48,433,607** | **$26,887,036** |
| Vehicle Replacement Reserve | $11,105,324 | $5,463,845 | $7,761,514 | $7,933,504 |
| Facility Infrastructure/Renewal Reserve | 4,316,649 | 1,130,134 | 3,152,780 | 1,130,134 |
| Ambulance Replacement Reserve | 3,777,802 | 2,524,574 | 4,557,922 | 2,969,422 |
| Fire Apparatus Replacement Reserve | 6,705,536 | 4,289,829 | 8,068,182 | 4,854,914 |
| School Bus Replacement Reserve | 17,019 | 17,019 | 17,019 | 17,019 |
| FASTRAN Bus Replacement Reserve | 1,864,577 | 1,264,579 | 1,698,077 | 564,398 |
| Helicopter Replacement Reserve | 5,236,111 | 335,574 | 6,654,637 | 1,044,837 |
| Boat Replacement Reserve | 225,000 | 0 | 327,646 | 77,646 |
| Police Specialty Vehicle Reserve | 1,894,408 | 2,203,958 | 2,545,167 | 2,545,167 |
| Fuel Operations Reserve | 1,612,714 | 116,625 | 3,401,505 | 62,386 |
| Fuel Price Stabilization Reserve[1] | 0 | 4,000,000 | 4,000,000 | 4,000,000 |
| Other | 4,101,201 | 1,277,958 | 6,249,158 | 1,687,609 |
| **Unreserved Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| Vehicle Replacement Charges | $8,212,238 | $8,263,297 | $8,263,297 | $7,086,951 |
| Ambulance Replacement Charges | 2,275,274 | 214,000 | 214,000 | 214,000 |
| Fire Apparatus Replacement Charges | 5,425,085 | 2,884,000 | 2,884,000 | 2,884,000 |
| FASTRAN Bus Repl Charges | 416,800 | 0 | 0 | 0 |
| Helicopter Replacement Charges | 1,418,526 | 709,263 | 0 | 709,263 |
| Boat Replacement Charges | 102,646 | 77,646 | 0 | 77,646 |
| Police Specialty Vehicle Charges | 650,759 | 319,552 | 0 | 309,550 |
| Vehicle Fuel Charges | 22,762,223 | 28,786,920 | 28,786,920 | 26,166,806 |
| Other Charges | 34,976,869 | 32,236,925 | 30,436,925 | 31,808,761 |
| Total Revenue | $76,240,420 | $73,491,603 | $70,585,142 | $69,256,977 |
| Transfers In: | | | | |
| General Fund (001)[1] | $4,000,000 | $0 | $0 | $0 |
| Total Transfers In | $4,000,000 | $0 | $0 | $0 |
| **Total Available** | **$121,096,761** | **$96,115,698** | **$119,018,749** | **$96,144,013** |
| Expenditures: | | | | |
| Vehicle Replacement | $5,106,048 | $5,591,136 | $5,991,307 | $6,341,685 |
| Facility Infrastructure/Renewal | 1,163,869 | 0 | 2,022,646 | 0 |
| Ambulance Replacement | 1,495,154 | 1,802,500 | 1,802,500 | 780,000 |
| Fire Apparatus Replacement | 4,062,439 | 4,860,000 | 6,097,268 | 2,770,000 |
| FASTRAN Bus Replacement | 583,300 | 516,981 | 1,133,679 | 0 |
| Helicopter Replacement | 0 | 0 | 5,609,800 | 0 |
| Boat Replacement | 0 | 0 | 250,000 | 0 |

# Fund 503
# Department of Vehicle Services

## FUND STATEMENT

**Fund Type G50, Internal Service Fund** **Fund 503, Department of Vehicle Services**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Fuel Operations: | | | | |
| Fuel | $20,334,314 | $28,086,991 | $29,120,309 | $25,394,126 |
| Other Fuel Related Expenses | 639,118 | 766,553 | 805,730 | 785,066 |
| Other: | | | | |
| Personnel Services | 18,403,962 | 19,275,270 | 19,275,270 | 19,212,559 |
| Operating Expenses | 14,379,630 | 14,239,613 | 15,723,204 | 14,283,811 |
| Capital Equipment | 31,804 | 0 | 0 | 0 |
| Building Equipment | 13,516 | 0 | 0 | 0 |
| Total Expenditures | $66,213,154 | $75,139,044 | $87,831,713 | $69,567,247 |
| Transfers Out: | | | | |
| General Fund (001)[2] | $3,750,000 | $2,000,000 | $2,000,000 | $4,000,000 |
| County Bond Construction (311)[3] | 2,700,000 | 0 | 2,300,000 | 0 |
| Total Transfers Out | $6,450,000 | $2,000,000 | $4,300,000 | $4,000,000 |
| **Total Disbursements** | **$72,663,154** | **$77,139,044** | **$92,131,713** | **$73,567,247** |
| | | | | |
| **Ending Balance[4]** | **$48,433,607** | **$18,976,654** | **$26,887,036** | **$22,576,766** |
| Vehicle Replacement Reserve | $7,761,514 | $6,136,006 | $7,933,504 | $4,678,770 |
| Facility Infrastructure/Renewal Reserve | 3,152,780 | 1,130,134 | 1,130,134 | 1,130,134 |
| Ambulance Replacement Reserve | 4,557,922 | 936,074 | 2,969,422 | 2,403,422 |
| Fire Apparatus Replacement Reserve | 8,068,182 | 2,313,829 | 4,854,914 | 4,968,914 |
| School Bus Replacement Reserve | 17,019 | 17,019 | 17,019 | 17,019 |
| FASTRAN Bus Replacement Reserve | 1,698,077 | 747,598 | 564,398 | 564,398 |
| Helicopter Replacement Reserve | 6,654,637 | 1,044,837 | 1,044,837 | 1,754,100 |
| Boat Replacement Reserve | 327,646 | 77,646 | 77,646 | 155,292 |
| Police Specialty Veh. Reserve | 2,545,167 | 2,523,510 | 2,545,167 | 2,854,717 |
| Fuel Operations Reserve | 3,401,505 | 50,001 | 62,386 | 50,000 |
| Fuel Price Stabilization Reserve | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 |
| Other | 6,249,158 | 0 | 1,687,609 | 0 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

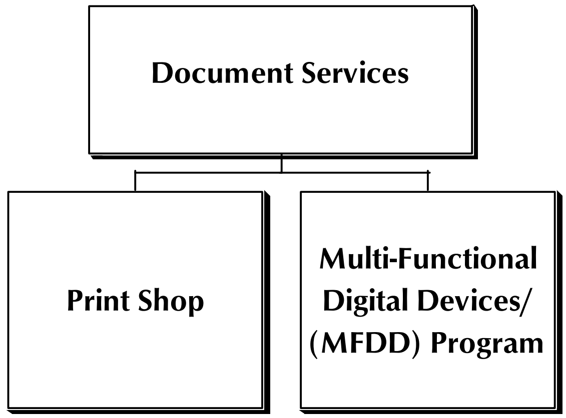
[1] As part of the *FY 2009 Third Quarter Review*, a General Fund Transfer of $4,000,000 was required to establish the Fuel Price Stabilization Reserve to address unanticipated increases in fuel prices.

[2] Over the FY 2009 to FY 2011 timeframe, Transfers Out to the General Fund totaling $9,750,000 have been made, of this total, $9,000,000 is associated with the deferral of the replacement of the majority of vehicles in the County fleet for two years effective October 14, 2008. Of this amount $3,000,000 was transferred to the General Fund as part of the *FY 2009 Third Quarter Review,* an additional $2,000,000 is being transferred to the General Fund in FY 2010, and the remaining $4,000,000 will be transferred as part of the FY 2011 budget.

[3]As part of the *FY 2008 Carryover Review*, $2,700,000 was transferred to Fund 311, County Bond Construction to supplement bond funds appropriated from the FY 2007 School Bond Referendum associated with the planned expansion and renovation of the Newington Maintenance Facility. As part of the *FY 2009 Carryover Review*, an additional $2,300,000 was transferred to Fund 311, bringing the total amount transferred to $5,000,000. It should be noted that the funds used to support this transfer were redirected from savings in the Fuel Operations Reserve and the Vehicle Replacement Reserve.

[4] The Ending Balance in Fund 503, Department of Vehicle Services, fluctuates based on vehicle replacement requirements in a given year. Except in rare cases, vehicles are not replaced until they have met both established age and mileage criteria. In years where more vehicles meet their criteria and are replaced, the ending balance will be lower (and vice versa).

# Fund 504
# Document Services




## Mission
To provide and coordinate high speed production printing services to County agencies as well as to the Fairfax County Public School System.

## Focus
As part of the FY 2011 Advertised Budget Plan, the Print Shop, previously managed by the Department of Cable and Consumer Services (DCCS), will be consolidated under the Department of Information Technology (DIT). The Print Shop is responsible for providing high speed digital black and white and color printing, offset printing, and bindery services. In FY 2009 Printing and Duplicating Services produced over 21 million digital black and white impressions, over 2.3 million digital color impressions, and 6,086 billable hours in offset printing. The Print Shop recovered 99 percent of expenses while maintaining a 100 percent customer satisfaction rating for all printing jobs. The Print Shop also conducts printing consultations to advise County and Fairfax County Public Schools staff regarding printing requirements and provides recommendations on available printing methods, document layout, and bindery options. All direct labor and material costs associated with these services, as well as an equipment replacement reserve fee, are recovered from customer agencies.

The Department of Information Technology is also responsible for the authorized fleet of large and mid-size Multi-Functional Digital Devices (MFDDs) that are used throughout County government for copying, printing, faxing, and scanning. MFDDs are installed in buildings across the County and are linked to individual workstations via the County's enterprise network. Program activities include administration of the County's MFDD fleet contract; day-to-day management of the service delivery; and integration with the County's technology infrastructure, including network and Microsoft applications. DIT also uses job-based accounting and tracking software to help identify program costs that can be recovered from non-General Fund sources.

Following the consolidation of printing under DIT, the agency will continue to explore opportunities to maximize the digital capabilities and overall efficiency of the Print Shop and MFDD fleet within Document Services.

## FY 2011 Budget Reduction Impact Summary
The Print Shop will eliminate three positions and reduce printing-related operating supply expenses in anticipation of continued reductions in printing by County agencies and Fairfax County Public Schools and the associated loss of revenue in FY 2011. It should be noted that there is no net General Fund reduction associated with this adjustment, as the Print Shop fully recovers costs from County agencies and Fairfax County Public Schools. In the event the Print Shop does not experience continued reductions in printing services, the ability to complete the work with reduced hours and personnel may be difficult.

# Fund 504
# Document Services

It should also be noted that as part of the Print Shop Consolidation, the Director of Print, Mail, and Administrative Services position will be eliminated within the Department of Cable and Consumer Services for a General Fund savings of $138,881, including $107,693 in salary and $31,188 in fringe benefits.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 17/ 17 | 13/ 13 | 13/ 13 | 10/ 10 |
| Expenditures: | | | | |
| Personnel Services | $1,141,417 | $1,012,544 | $1,012,544 | $816,364 |
| Operating Expenses | 4,657,778 | 4,135,696 | 4,894,410 | 3,292,607 |
| Capital Equipment | 1,026,189 | 1,941,816 | 2,588,803 | 1,941,816 |
| **Total Expenditures** | **$6,825,384** | **$7,090,056** | **$8,495,757** | **$6,050,787** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Printing Services Manager | 1 | Printing Shift Supervisor | 1 | Print Shop Operator I (-1) |
| 2 | Customer Services Specialists (-1) | 4 | Print Shop Operators II (-1) | | |
| 1 | Digital Printing Analyst | | | | |
| **TOTAL POSITIONS** 10 Positions (-3) / 10.0 Staff Years (-3.0) | | **(-) Denotes Abolished Positions due to Budget Reductions** | | | |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Capital Equipment** **$1,941,816**
  Funding of $1,941,816 in Capital Equipment is included for capitalized lease payments associated with the Multi-Functional Digital Devices (MFDD) Program copier equipment.

- **Print Shop Consolidation** **($1,039,269)**
  A reduction of $1,039,269 and 3/3.0 SYE positions reflects expenditure reductions within the Print Shop associated with consolidating County printing under the Department of Information Technology and aligning expenditures with projected revenues. Also as a result of this action, 1/1.0 SYE Director of Print, Mail, and Administrative Services position will be eliminated within the General Fund budget of the Department of Cable and Consumer Services (DCCS) for a savings of $107,693 to the General Fund. Additionally, an Administrative Assistant position within the DCCS General Fund budget associated with fiscal processing for the Print Shop will be transferred to the Department of Information Technology.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$1,405,701**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved encumbered funding of $13,012 primarily for copier equipment and unencumbered carryover of $1,772,689 due to delayed procurements within the Multi-Functional Digital Devices Program. The unencumbered funding will be used to fund required support of copier leases and operating expenses. These increases are partially offset by an expenditure decrease of $380,000 within the Print Shop to reflect an anticipated decrease in revenue associated with decreased printing by County agencies and Fairfax County Public Schools.

## Key Performance Measures

### Goal

To provide high speed production printing services to all County agencies and the Fairfax County Public Schools in order to fulfill their informational and educational objectives with printed material.

### Objectives

- To provide quality printing and duplicating services in a cost-effective and timely manner by recovering 100 percent of offset and digital expenses.

- To provide an efficient cost per copy charge by managing the Multi-Functional Digital Devices program, while limiting increases in cost per copy and achieving a customer satisfaction rate of 85 percent.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Offset printing hours billed | 5,302 | 4,982 | 5,000 / 6,086 | 5,000 | 5,000 |
| Digital black and white impressions produced (in millions) | 25.2 | 22.3 | 22.0 / 21.2 | 19.1 | 18.1 |
| Digital color impressions produced (in millions) | 2.2 | 2.4 | 2.4 / 2.3 | 2.0 | 1.9 |
| Office copies made (in millions) (1) | 66.2 | 55.8 | 66.0 / 53.8 | 54.0 | 54.0 |
| **Efficiency:** | | | | | |
| Cost per offset printing hour billed | $204.11 | $179.57 | $164.28 / $122.31 | $115.51 | $117.04 |
| Cost per digital black and white impression produced | $0.045 | $0.057 | $0.064 / $0.054 | $0.057 | $0.059 |
| Cost per digital color impression produced | $0.175 | $0.148 | $0.150 / $0.143 | $0.191 | $0.200 |
| Cost per office copy (1) | $0.045 | $0.045 | $0.045 / $0.045 | $0.048 | $0.049 |
| Client charge per office copy (1) | $0.045 | $0.045 | $0.045 / $0.045 | $0.048 | $0.049 |

# Fund 504
# Document Services

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Percent of clients satisfied with offset printing services | 90% | 97% | 95% / 100% | 95% | 95% |
| Percent of clients satisfied with digital black and white jobs | 90% | 97% | 95% / 100% | 95% | 95% |
| Percent of clients satisfied with digital color jobs | 90% | 97% | 95% / 100% | 95% | 95% |
| Percent of office copier clients satisfied with services (1) | 85% | 85% | 85% / 85% | 85% | 85% |
| **Outcome:** | | | | | |
| Percent of offset expenses recovered | 101% | 92% | 100% / 89% | 100% | 100% |
| Percent of digital black and white expenses recovered | 188% | 101% | 100% / 107% | 100% | 100% |
| Percent of digital color expenses recovered | 140% | 151% | 100% / 137% | 100% | 100% |
| Percent change in cost per copy (1) | 0.00% | 0.00% | 0.00% / 0.00% | 6.67% | 2.08% |

(1) This indicator measures performance of the Multi-Functional Digital Devices Program which is a function of the Department of Information Technology.

## Performance Measurement Results

In an effort to more accurately reflect offset printing hours billed, beginning in FY 2009 staff included offset hours worked on digital printing jobs. This new calculation method resulted in a 22 percent increase in offset hours billed in FY 2009 but will be equalized by a projected decrease in offset printing in both FY 2010 and FY 2011. Also, digital black and white and color impressions declined 5 and 4 percent respectively in FY 2009 due to budget reductions and associated decreased customer demand within County agencies and Fairfax County Public Schools. In FY 2009, the Print Shop conducted a customer satisfaction survey and found the current satisfaction rate to be 100 percent. Based on budget reductions within County agencies and Fairfax County Public Schools and the corresponding reduction in printing, DCCS staff projects an 18 percent decrease in offset printing hours billed, a 15 percent decrease in digital black and white impressions produced, and a 17 percent decrease in digital color impressions produced in FY 2011 compared to FY 2009 actual levels. The corresponding efficiency indicators have been adjusted to reflect the anticipated decrease in output and expenses for FY 2011. The billing and operational structure of the Print Shop will be reevaluated following the consolidation of printing under DIT included in the FY 2011 Advertised Budget Plan.

Office copies made in FY 2009 were 53.8 million, which was a decrease of 2.0 million or 3.6 percent from FY 2008. Several factors influenced copy volume in FY 2009, including increased position vacancies in County agencies and reduced programs and copying due to across the board budget reductions. It is anticipated that copy volume will increase slightly in FY 2010 and FY 2011. However, the FY 2010 Adopted Budget Plan included reductions in the printer/copier fleet needed to meet a reduced General Fund transfer and appropriation level. The cost per copy is anticipated to remain relatively flat in FY 2010 and FY 2011 due to the use of more expensive recycled paper.

# Fund 504
# Document Services

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** — **Fund 504, Document Services Division**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,476,853** | **$795,633** | **$2,459,629** | **$464,436** |
| Revenue: | | | | |
| County Receipts | $2,537,596 | $3,109,123 | $2,966,748 | $2,491,743 |
| School Receipts | 1,248,638 | 1,250,511 | 1,025,583 | 995,492 |
| Equipment Replacement Reserve | 121,926 | 122,697 | 110,000 | 102,233 |
| Total Revenue | $3,908,160 | $4,482,331 | $4,102,331 | $3,589,468 |
| Transfer In: | | | | |
| General Fund (001)[1] | $2,900,000 | $2,398,233 | $2,398,233 | $2,398,233 |
| Total Transfer In | $2,900,000 | $2,398,233 | $2,398,233 | $2,398,233 |
| **Total Available** | **$9,285,013** | **$7,676,197** | **$8,960,193** | **$6,452,137** |
| Expenditures: | | | | |
| Personnel Services | $1,141,417 | $1,012,544 | $1,012,544 | $816,364 |
| Operating Expenses | 4,657,778 | 4,135,696 | 4,894,410 | 3,292,607 |
| Capital Equipment | 1,026,189 | 1,941,816 | 2,588,803 | 1,941,816 |
| Total Expenditures | $6,825,384 | $7,090,056 | $8,495,757 | $6,050,787 |
| **Total Disbursements** | **$6,825,384** | **$7,090,056** | **$8,495,757** | **$6,050,787** |
| | | | | |
| **Ending Balance[2]** | **$2,459,629** | **$586,141** | **$464,436** | **$401,350** |
| Print Shop Replacement Equipment Reserve | $430,842 | $406,872 | $109,436 | $109,436 |
| PC Replacement Reserve[3] | 5,000 | 5,000 | 5,000 | 5,000 |
| Print Shop Operating Reserve[4] | 323,095 | 174,269 | 350,000 | 286,914 |
| **Unreserved Ending Balance** | **$1,700,692** | **$0** | **$0** | **$0** |

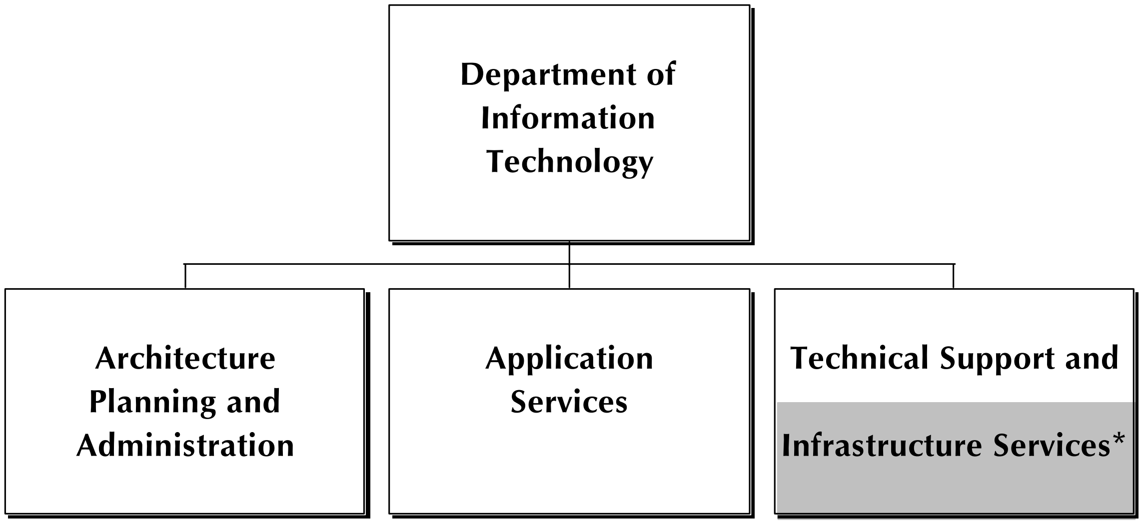
[1] The General Fund transfer supports the equipment lease for the County's Copier Program. The current lease is in the process of being renegotiated during FY 2010.

[2] The ending balance supports the three reserves for the agency and fluctuates depending upon the needs of the fund in a given year.

[3] The PC Replacement Reserve provides for the timely replacement of computer equipment for the activities in this fund.

[4] The Print Shop Operating Reserve is used to provide financial support to the Print Shop program as the technical and business practices in the industry evolve.

# Fund 505
# Technology Infrastructure Services




- Fund 505, Technology Infrastructure Services

* All staffing and operating support for Infrastructure Services is found in Volume 2, Fund 505.

## Mission
To provide a reliable and secure technology infrastructure foundation required to support County business processes and systems that strengthen the public service commitment of Fairfax County.

## Focus
Fund 505, Technology Infrastructure Services, provides the underlying technology foundation supporting information systems and communications for Fairfax County Government. This consists of the enterprise portfolio of computers, telecommunications equipment, and infrastructure providing the essential foundational technology that supports Fairfax County government. The Department of Information Technology (DIT) coordinates all aspects of information technology for the County and plays an enabling role in advancing the strategic value of technology to transform work processes and provide quality services to customers. DIT manages technology as an enterprise asset and is responsible for direction and execution of information technology and communications systems by supporting and managing services and Fund 505.

The County's centralized approach to common infrastructure systems and operations provides economies and efficiencies through consolidation and leveraging of resources. Optimum performance is achieved by automated Information Technology (IT) support processes and enterprise-wide security tools, ensuring data integrity and system use accountability. County IT architecture employs industry- standard products and best practices for efficient solution delivery and support. Fund 505, Technology Infrastructure Services, includes technology activities and communication services that support systems and operations for County agencies, including management of the County desktop computers (PCs and mobiles), servers, enterprise office-productivity software, e-mail and messaging system (Microsoft suite) and databases (1,000). Fund 505 also supports the operations of the Data Center (Enterprise Technology Operation Center, or ETOC), monitoring and maintenance of enterprise data communications networks, and the Public Service Radio System and Radio Center services. One of the County's major assets is the fiber Institutional Network (I-Net) which provides a private secure network infrastructure connecting 400 County and Schools buildings serving data, voice and video transport. DIT manages the I-Net infrastructure and services. The equipment associated with this initiative is directly supported from the I-Net program funds within Fund 105, Cable Communications

Fund. New IT projects are implemented through Fund 104, IT Projects, while other IT systems are installed by agencies. However, all new IT systems require infrastructure services, thus increasing service obligations and putting upward pressure on costs.

Fund 505 is an internal service fund supported by revenues from County agencies and other entities such as the Fairfax County Public Schools. Expenditures are primarily driven by the customer agencies' use of the IT utility, software licenses, data center operations, computer equipment refresh, PC replacement program, network carrier services, and support staff.

DIT also provides intra-governmental services including the operation and maintenance of the County data center, mainframe, servers and data storage and back-up 24 hours a day, seven days per week; the safeguarding of County software license obligations, data repositories and information assets; the maintenance of County data and radio communication networks. The County's enterprise network provides bandwidth securely connecting county agencies to the vast array of business applications available on the County mainframe or server platforms (over 11,000 desktops, over 1,000 servers and 400 production databases). Data Center charge-back also supports the legacy corporate systems on the current mainframe used by county and schools. A transfer from Fund 105 is provided to offset I-Net costs for General Fund and General Fund-supported agencies.

DIT also manages a PC Replacement Fund in Fund 505, thereby ensuring that funding is available for scheduled desktop device technology refreshes. The regularly-scheduled replacement of this equipment helps county agencies remain current with advancements in technology that could affect their services. The PC Replacement schedule was deferred for one year in FY 2010 to allow for necessary budget reductions. Beginning in FY 2011, the program will move from a four-year to a five-year replacement cycle in order to achieve additional annual savings, and DIT continually reviews various service options to try to identify efficiencies in the acquisition and deployment of equipment. The cost per PC in the program includes PC hardware, required software licenses, IT security agent, protected disposal, and desk-side staff support of County PCs. The County's program has been recognized as a cost-effective value best practice model in both government and commercial sectors, fully optimizing both allocation of IT assets and providing efficient and predictable desktop maintenance and support. DIT staff is studying several options for restructuring the PC Replacement Program in FY 2010 such as separate replacement charges and schedules to accommodate desktop and laptop PCs, for possible implementation as part of the FY 2012 budget process.

DIT is also responsible for coordinating radio repair and engineering support to County agencies and the Fairfax County Public School system. Operational maintenance of the radio network is of primary importance to the County public safety agencies, public works agencies, Fairfax County Public Schools (FCPS), and other County agencies. With the deployment of both the new public safety and public service radio systems, the operations of the Radio Center now include interoperability management to ensure 24/7 communication with other jurisdictions. To support the operational and maintenance requirements of the systems, costs are recovered from user entities such as the FCPS and Fairfax Water, and County agencies.

## FY 2011 Budget Reduction Impact Summary

Data center support and mainframe programming is reduced by 1/1.0 SYE position and $90,000. This reduction is likely to result in increased use of operating system software no longer supported by vendors, thus increasing risk exposure and requiring the use of contract support for any additional changes. Additionally, the ability of the team to support migration activities and communication interfaces associated with the legacy system replacement project (ERP- FOCUS) will be diminished due to the reduced staffing and loss of expertise.

In addition, the Personnel Services budget for Fund 505 will be reduced by $186,801 as part of the broader countywide budget reduction process. Although necessary from a fiscal management perspective, this decrease comes at a time when, as noted above, DIT's support requirements continue to grow. At present, DIT provides computer hardware, database and middle-ware architecture support for over 1,000 applications in the County. These applications rely upon multiple computer platforms, including the mainframe (mission-critical human resources, payroll, financial accounting, tax administration and public safety applications), 25 mid-tier UNIX servers (enterprise e-mail, library, public works, additional tax administration and human services applications), and over 800 Windows-based servers (applications sponsored by various customer agencies). In recent years, County business has become increasingly reliant on applications support, data storage and networks supported by DIT. The available level of support provided is directly related to staffing levels.

These reductions will result in longer wait times before DIT staff members will be able to respond to problems involving hardware systems and software applications. DIT will manage this reduction by reprioritizing on-going projects, which will target delays to projects that are not designated as mission-critical.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 67/ 67 | 64/ 64 | 64/ 64 | 63/ 63 |
| Expenditures: | | | | |
| Personnel Services | $6,280,325 | $6,501,204 | $6,501,204 | $6,224,403 |
| Operating Expenses | 20,788,153 | 20,698,191 | 18,901,366 | 20,735,745 |
| Capital Equipment | 1,749,506 | 0 | 1,117,473 | 1,200,000 |
| **Total Expenditures** | **$28,817,984** | **$27,199,395** | **$26,520,043** | **$28,160,148** |

**Position Summary**

| | Network/Data Communication Services | | Data Center Services | | Radio Center Services |
|---|---|---|---|---|---|
| 1 | Info. Tech. Program Manager I | 1 | Info. Tech. Program Director III | 1 | Network/Telecom Analyst IV |
| 5 | Network/Telecom Analysts IV | 2 | Info. Tech. Program Mgrs. II | 3 | Network/Telecom Analysts III |
| 9 | Network/Telecom Analysts III | 3 | Systems Programmers III (-1) | 2 | Network/Telecom Analysts II |
| 4 | Network/Telecom Analysts II | 5 | Systems Programmers II | 1 | Communications Engineer |
| 1 | Network/Telecom Analyst I | 2 | Systems Programmers I | 2 | Communications Technicians |
| 1 | Management Analyst I | 1 | Programmer Analyst III | 1 | Administrative Assistant III |
| 1 | Business Analyst I | 1 | Programmer Analyst II | 5 | IT Technicians III |
| | | 1 | Programmer Analyst I | 8 | IT Technicians II |
| | | 1 | Database Administrator II | 1 | IT Technician I |

**TOTAL POSITIONS**
**63 Positions (-1) / 63.0 Staff Years (-1.0)**

**(-) Denotes Abolished Position Due to Budget Reductions**

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

- **Personnel Services Adjustments** **($186,801)**
  A net decrease of $186,801 due to reductions associated with the increased use of vacancy savings included as part of the FY 2011 budget.

- **Operating Expenses Adjustments** **$49,054**
  A net increase of $49,054 primarily associated with increased expenditure requirements associated with the PC Replacement Program, as well as the realignment of information technology funds among computer equipment and operating charge accounts.

♦ **Department of Vehicle Services Charges** **($11,500)**
A decrease of $11,500 in Operating Expenses is associated with anticipated requirements for vehicle replacement and motor pool charges.

♦ **Capital Equipment** **$1,200,000**
Funding of $1,200,000 in Capital Equipment for capitalized lease payments associated with capital equipment requirements within Fund 505. This amount includes $700,000 for server replacement and Storage Area Network (SAN) capacity within the Upgrade/Replacement of Technology Infrastructure Equipment, $300,000 for network equipment, and $200,000 associated with the server replacement program the Computer Equipment Replacement Program.

♦ **Reductions** **($90,000)**
A decrease of $90,000 and 1/1.0 SYE position reflects reductions utilized to balance the FY 2011 budget. The following chart provides details on the specific reductions approved, including funding and associated positions.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Reduce Data Center Support and Mainframe Programming | Eliminates one of three senior level engineer/analysts responsible for supporting the IBM mainframe computing platform. This infrastructure component currently houses the financial reporting, payroll, personal property tax, business tax, and other business applications within Fairfax County. The remaining two mainframe engineer/analysts will focus primarily on immediate support issues, and reduce software and operating system modifications made to maintain supportable levels of the mainframe components. This reduction is likely to result in increased use of operating system software no longer supported by vendors, thus increasing risk exposure and requiring the use of contract support for any additional changes. Finally, the ability of the team to support migration activities and communication interfaces associated with the legacy system replacement project (ERP- FOCUS) will be diminished due to the reduced staffing and loss of expertise. A savings to the General Fund will be realized through reduced chargeback to customer agencies. | 1 | 1.0 | $90,000 |

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **($679,352)**
As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a decrease of $3,048,543 in the PC Replacement Program which reflects the deferral of PC replacement included in the FY 2010 Adopted Budget Plan. This amount is partially offset by encumbered funding of $1,571,327 for purchase of a network equipment, software licenses, staff augmentation, and consultant services. This decrease is further offset by unencumbered carryover of $797,864. Of the unencumbered total, $642,864 is included to address higher than anticipated Microsoft licenses and software assurance costs in FY 2010. Also funded is $105,000 for the installation of Computer Room Air Conditioning at the Pennino Building, and $50,000 to replace a power distribution unit within the data center.

## Key Performance Measures

### Objectives

- ♦ To maintain the number of business days to fulfill Telecommunications service requests for a) non-critical requests at a standard of 4 days; b) critical requests at a standard of next business day; and c) emergency requests at a standard of the same day.
- ♦ To maintain the percentage of LAN/PC workstation calls to Technical Support Services closed within 72 hours by at 85 percent.
- ♦ To maintain the resolution rate for the average first-call problem for the Technical Support Center (TSC), DIT Help Desk at 72 percent.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Responses to calls for repairs on voice devices | 1,487 | 2,359 | 2,200 / 1,691 | 1,700 | 1,700 |
| Moves, adds or changes (voice and data) | 8,614 | 5,114 | 6,000 / 8,711 | 6,200 | 6,400 |
| Calls resolved | 23,964 | 16,152 | 17,200 / 15,184 | 17,200 | 17,200 |
| Customer requests for service fulfilled by Technical Support Center (TSC) | 65,367 | 72,002 | 74,900 / 77,186 | 80,000 | 84,900 |
| **Efficiency:** | | | | | |
| Cost per call | $109 | $110 | $110 / $110 | $110 | $112 |
| Average number of hours annually spent per staff member to resolve calls | 1,042 | 1,230 | 1,230 / 1,240 | 1,230 | 1,240 |
| Customer requests for service per TSC staff member | 5,447 | 5,538 | 5,761 / 6,223 | 5,761 | 6,530 |
| **Service Quality:** | | | | | |
| Customer satisfaction with telecommunication services | 95.0% | 95.0% | 95.0% / 95.0% | 95.0% | 95.0% |
| Percent of customers reporting satisfaction with resolution of LAN/PC workstation calls | 80% | 80% | 80% / 91% | 91% | 91% |
| Percent satisfaction of County employees with support from Technical Support Center | 81% | 85% | 87% / 92% | 87% | 92% |

# Fund 505
# Technology Infrastructure Services

| Indicator | FY 2007 Actual (Prior Year Actuals) | FY 2008 Actual (Prior Year Actuals) | FY 2009 Estimate/Actual (Prior Year Actuals) | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Business days to fulfill service requests from initial call to completion of request for non-critical requests | 4 | 4 | 4 / 4 | 4 | 4 |
| Business days to fulfill service requests from initial call to completion of request for critical calls | 2 | 2 | 2 / 1 | 2 | 2 |
| Business days to fulfill Telecommunications service requests for emergencies | 1 | 1 | 1 / 1 | 1 | 1 |
| Percent of calls closed within 72 hours | 75% | 85% | 85% / 83% | 85% | 85% |
| Percent of first-contact problem resolution | 75% | 71% | 72% / 70% | 72% | 72% |

## Performance Measurement Results

This cost center provides infrastructure services, communication service to all County agencies and other government customers, response to help desk service requests and maintenance of the County data communication networks. Beginning in FY 2009, Voice Communication Services installed and transitioned several major sites to the new Avaya networked enterprise-wide platform, resulting in a decrease to the Voice Communication Services department's repair calls. This on-going project incorporating new equipment and the I-Net backbone are stable systems with redundancy built-in to allow greater efficiencies in site functionality. The Avaya voice platform is being completed without any additional staff. MACDs (Moves, Adds, Changes and Deletions) increased due to the Department of Public Safety Communications moving into the McConnell Public Safety Transportation Operations Center (MPSTOC) and the Department of Transportation relocating to the Centerpointe facility. Customer satisfaction levels remained steady.

Technical Support Center (TSC) software changes have improved system monitoring and increased remote resolution of service problems resulting in customer satisfaction increasing by 11 percent. FY 2009 data reflects a decrease in calls resolved due to image control and workstation lockdowns resulting in less calls. Additionally, the service desk maintains a high level of call resolution. Staff hours increased due to increased complexity of calls and staff spending more time on these calls. Customer satisfaction with LAN/PC workstation calls increased due to internal quality controls and enhanced software tools.

Monthly satisfaction phone surveys were conducted in FY 2009 representing a change from the electronic survey conducted in previous years. The increase in customer satisfaction is related to the survey methodology and internal quality controls. First contact resolution remained competitive at 70 percent this year. The decrease is attributable to an increase in workload while maintaining the call queue time within reasonable limits.

# Fund 505
# Technology Infrastructure Services

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** | **Fund 505, Technology Infrastructure Services**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$6,256,445** | **$3,122,435** | **$5,735,303** | **$2,890,816** |
| Revenue: | | | | |
| Radio Services Charges | $655,030 | $621,000 | $621,000 | $639,630 |
| PC Replacement Charges | 5,910,406 | 6,959,128 | 5,911,800 | 5,884,782 |
| DIT Infrastructure Charges | | | | |
| County Agencies and Funds | 18,463,697 | 18,323,346 | 18,323,346 | 18,046,545 |
| Fairfax County Public Schools | 1,468,205 | 1,526,933 | 1,526,933 | 1,588,010 |
| Outside Customers | 85,401 | 88,817 | 88,817 | 92,370 |
| Subtotal DIT Infrastructure Charges | $20,017,303 | $19,939,096 | $19,939,096 | $19,726,925 |
| Total Revenue | $26,582,739 | $27,519,224 | $26,471,896 | $26,251,337 |
| Transfer In: | | | | |
| Cable Communications (105)[1] | $1,814,103 | $1,814,103 | $1,814,103 | $1,814,103 |
| Total Transfer In | $1,814,103 | $1,814,103 | $1,814,103 | $1,814,103 |
| **Total Available** | **$34,653,287** | **$32,455,762** | **$34,021,302** | **$30,956,256** |
| Expenditures: | | | | |
| Infrastructure Services | $19,486,565 | $20,306,595 | $22,012,848 | $20,676,177 |
| Radio Center Services | 949,896 | 968,218 | 988,292 | 1,004,020 |
| Computer Equipment Replacement Program[2] | 7,168,910 | 4,924,582 | 2,518,903 | 5,779,951 |
| Upgrade/Replacement of Technology Infrastructure Equipment | 1,212,613 | 1,000,000 | 1,000,000 | 700,000 |
| Total Expenditures | $28,817,984 | $27,199,395 | $26,520,043 | $28,160,148 |
| Transfer Out: | | | | |
| General Fund (001)[3] | $100,000 | $4,610,443 | $4,610,443 | $0 |
| **Total Disbursements** | **$28,917,984** | **$31,809,838** | **$31,130,486** | **$28,160,148** |
| | | | | |
| **Ending Balance[2,4]** | **$5,735,303** | **$645,924** | **$2,890,816** | **$2,796,108** |
| Infrastructure Replacement Reserve (CERF)[5] | $3,770,063 | $645,924 | $645,924 | $440,965 |
| PC Replacement Reserve[2, 6] | 1,965,240 | 0 | 2,244,892 | 2,355,143 |
| **Unreserved Balance** | **$0** | **$0** | **$0** | **$0** |

# Fund 505
# Technology Infrastructure Services

[1] A Transfer In from Fund 105, Cable Communications, is used to offset costs related to the I-Net for General Fund and General Fund supported agencies. This funding supports the system wide charges of the new Public Safety and Public Service radio program, as well as maintains funding for the replacement and upgrade of enterprise computer equipment.

[2] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment has been reflected as an increase of $87,473 in FY 2009 expenditures to reflect expenditure accruals in the PC Replacement program. This audit adjustment results in a decrease of $87,473 to the Ending Balance and PC Replacement Reserve. This audit adjustment has been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the FY 2009 audit adjustments will be included in the FY 2009 Third Quarter package.

[3] In FY 2009, a Transfer Out from the PC Replacement Reserve is being utilized to offset General Fund requirements.

[4] The fluctuation in ending balance is primarily due to the operation of the PC Replacement and Computer Equipment Reserve Programs. The programs collect funding each year, hold it in reserve until needed, and then expend the funds for replacement equipment. The time period for this action varies based on the needs of the programs.

[5] This reserve is designed to assist in the scheduled replacement of mainframe computer and network assets. The funds are held in this Computer Equipment Replacement Fund (CERF).

[6] The balance in the PC Replacement Reserve fluctuates annually based on scheduled PC replacements which were previously on a four year replacement cycle. PC Replacement was deferred in FY 2010, effectively extending the program to a five year cycle. This action resulted in a Transfer Out to the General Fund of $3,048,543.

# Fund 506
# Health Benefits Trust Fund

## Focus

Fund 506, Health Benefits Trust Fund, is the administrative unit for the County's self-insured health plans. For the self-insured plans, the County pays only for claims and third party administrative fees. The cost to fund claims expenses is covered by premiums from active employees, the employer, retirees, and retention of interest earnings.

Fairfax County Government offers its employees and retirees health insurance options providing choices and competitive premium rates. The County health insurance alternatives include a self-insured point-of-service (POS) plan, a self-insured preferred provider plan (PPO), a self-insured open access plan (OAP), and a fully insured Health Maintenance Organization (HMO) for both active employees and retirees. The self-insured plans (POS, PPO, and OAP) provide in-network and out-of-network options. The POS plan combines the best features of an HMO and a traditional indemnity plan. The second option provides the choice of a PPO, combining an in-network benefit and an out-of-network benefit for those employees and retirees who live outside of the managed care network area. The OAP plan provides a third alternative which combines aspects of both a POS and a PPO.

The County continues to contribute 85 percent of the total premium for employees enrolled as an individual and 75 percent of the total premium for employees enrolled under either the two-party or family plan. Retirees over the age of 55 currently receive a subsidy from the County toward the cost of health insurance. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service. Note: There is not a reduction in subsidy payments for employees who retired prior to July 1, 2003 but do not meet the revised requirements for years of service. Details on the retiree health subsidy can be found in the narrative for Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

The County's current health insurance program is a result of revisions enacted in FY 2007. The County partnered with Fairfax County Public Schools and completed a selection process in calendar year 2006 to choose new providers for all health insurance products to leverage the County's position in the marketplace and achieve competitive rates. This process resulted in changing one of the County's HMO options to an OAP, a hybrid plan combining aspects of both a POS and PPO, and changing the plan from a fully-insured to self-insured plan. Self-insurance allows the County to more fully control all aspects of the plan, including setting premiums to smooth out the impact of increases on employees while maintaining adequate funding to cover claims expenses and reserves. In addition, enhanced self-insured vision benefits were added to all health insurance plans with no impact to premium rates for the self-insured plans. These changes to the health insurance options were effective January 1, 2007. It should be noted that the County continues to examine plans related to Medicare Part D to aid in finalizing an approach to the implementation of this prescription drug benefit product.

As with many employers nationwide, the County has experienced considerable fluctuations in medical costs. Prescription drugs, new medical technologies and increased utilization, as well as the cost of medical malpractice and liability insurance, continue to drive increases in medical costs. After significant increases in claims expenses at the beginning of the decade, cost growth was moderate (at or below 5 percent) in FY 2005 and FY 2006, but has climbed back to double-digit increases annually, on average, since FY 2007. As a result of these trends, despite prudent management of the plans, it is projected that the County will raise premiums by 8 percent for the PPO plan, 13 percent for the POS plan, and 15 percent for the OAP plan, effective January 1, 2011 for the final six months of FY 2011. These premium increases assume utilization of the fund's Premium Stabilization Reserve to offset a portion of the estimated cost growth, and would be higher if based on actual experience alone. The increases will allow the fund to remain solvent while maintaining a revenue stream that will cover the cost of health claims and maintain reserve funding. It should be noted that these premium increases are budgetary projections; final premium decisions will be made in the fall of 2010 based on updated experience.

# Fund 506
# Health Benefits Trust Fund

In their budget guidance approved with the adoption of the FY 2010 budget, the Board of Supervisors acknowledged the difficulty that employees faced in light of the decision to suspend FY 2010 salary adjustments and the projected increases in health insurance premiums. As such, the Board directed staff to work diligently to reduce or minimize the January 2010 increase in premiums and noted that premiums should be set at a rate that covers the cost of the plans and takes into account potential long-term GASB liability implications.

Based on estimated FY 2010 average cost growth of over 14 percent, premium increases for January 2010 were set at 3.4 percent for the PPO plan, 2.9 percent for the POS plan, and 18 percent for the OAP plan. In order to mitigate premium increases, funding set aside in the fund's Premium Stabilization Reserve was utilized to offset a portion of the plans' expenses; if premiums had been based solely on actual claims trends, the increases would have been higher. These rates were set in consideration of balancing the impact to employees with ensuring that the premiums for each plan would cover the associated expenses, as each plan has experienced different participation trends and claims experience. Additionally, premiums were set taking into consideration the potential impacts on the County's GASB 45 liability. If premiums are not set appropriately, and increases in retiree claims outpace the growth in premiums, the County's liability under GASB 45 may increase. For more information on GASB 45, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

***Premium Stabilization Reserve***

To help mitigate the impact of unanticipated cost increases in future years, the County created a premium stabilization reserve in FY 2005. This reserve allows the County to maintain premium increases at manageable levels and smooth out the employer and employee impact of dramatic cost growth swings.

During the years of moderate cost growth, the County was able to accumulate funds within the Premium Stabilization Reserve and these funds were utilized to mitigate premium increases, especially during calendar years 2007 and 2009 when premiums were held flat for the self-insured products. Based on the recent use of the reserve, including offsetting premium increases for CY 2010 and the anticipated use in CY 2011, guidelines have been developed for the future use of and target funding for the reserve:

- The Premium Stabilization Reserve should remain at 10-15 percent of claims expenses in order to maintain appropriate funding to offset unanticipated increases in cost growth or individual high-cost claims. If the reserve balance is within the target corridor, these balances may be used to mitigate premium increases. At no point should reserve balances be utilized which would bring the balance to less than 5 percent of claims.

- If the reserve falls below 10 percent of claims, the County will take actions to increase the reserve amount in order to reach the 10-15 percent target corridor within 3 years. These actions may include making additional General Fund contributions to the fund or increasing premiums.

- Any amount above 15 percent of claims may also be used for contributions towards the County's OPEB requirements or to offset other County benefit expenses.

By following these parameters, an appropriate amount should be held within the reserve to ensure that funds are available to mitigate premium increases when necessary, although increases may still be significant based on claims trends within each plan. It should be noted that the premium increases projected for January 2011 were developed under these guidelines and assume additional use of the Premium Stabilization Reserve in FY 2011.

In addition to the Premium Stabilization Reserve, the fund maintains an unreserved ending balance based on a percent of claims paid of at least 10 percent. An ending balance of 10 to 15 percent of claims paid is the targeted industry standard based on potential requirements in the event of a plan termination.

***LiveWell Workforce Wellness Program***

In FY 2009, the LiveWell Workforce Wellness Program began as an effort to significantly improve employees' overall health and well-being, while also serving to curb rising health care costs. The program includes Health Risk Assessments, an enhanced disease management program, reduced membership fees at County RECenters, influenza vaccinations, and other targeted programming. In FY 2011, in order to avoid elimination of the Employee Fitness and Wellness Center (EFWC) as included in budget reductions proposed by the Fairfax County Park Authority, the center will become part of the LiveWell Program. The EFWC, located at the Government Center, provides convenient access for employees and retirees to cardiovascular and strength training equipment, as well as a variety of fitness classes at a reasonable monthly rate. The center will continue to be operated by the Park Authority, but all associated personnel and operating costs will be charged to Fund 506.

Other components of the LiveWell program include:

- *Health Risk Assessments (HRAs) and Targeted Programming.* Health Risk Assessments gather information on participants' personal medical history, preventative services, and emotional health and lifestyle choices. Health plan participants can use the HRA to help determine their personal health risks and take preventative measures, while allowing the County to use aggregate data to create targeted programming towards health conditions that most affect County employees.

- *Enhancement of the County's disease management program.* The County actively employs comprehensive disease management programs that are used to detect chronic conditions early and provide assistance to those affected to help manage their disease, resulting in healthier outcomes. Participants receive direct support from health care professionals and are assisted with coordination of physician care, medication reviews, standards of care reminders, assessments, screenings, and action plans. Programs offered are based upon analysis of prevalent chronic health conditions using claims data for medical and drug benefits.

- *Reduced membership fees at County RECenters.* In response to employee demand and to promote the importance of overall physical health, a 50 percent subsidy for annual memberships at County RECenters is included in the program. As workplace sites for employees are spread throughout the County and, thus, all employees are not located near the EFWC, this benefit allows merit employees and retirees to use all nine County RECenters at a reduced rate.

- *Influenza vaccinations for employees and retirees.* Providing flu shots to employees is a simple mechanism to reduce absenteeism due to flu outbreaks and protect the overall health of employees and retirees.

A comprehensive wellness program has the potential to reduce the rate of escalation of health care costs, resulting in savings for self-insured plans through cost avoidance. As such, expenses related to the LiveWell initiative are included in Fund 506, Health Benefits Trust Fund, as it is anticipated that increases in self-insured claims expenses will be mitigated as benefits of the program begin to materialize.

***GASB 45***

As part of the *FY 2005 Carryover Review*, a reserve was established in this fund to begin to address the County's liability for other post-employment benefits (OPEBs) as a result of the Governmental Accounting Standards Board (GASB) Statement No. 45. This liability, which includes the retiree health benefit subsidy, is calculated annually as part of an actuarial valuation and an annual required contribution (ARC) is calculated based on an amortization of the unfunded portion of the liability. As a result of excess revenues received in Fund 506 from employer contributions and transfers from the General Fund in FY 2007 and FY 2008, the County was able to identify $48.2 million to begin to address the County's liability under GASB 45.

As part of the FY 2008 Adopted Budget Plan the County created Fund 603, OPEB Trust Fund, in order to capture long-term investment returns and make progress towards reducing the unfunded GASB liability. As part of the *FY 2007 Carryover Review*, the $48.2 million balance was transferred to the new fund. This $48.2 million in initial funding reduced the unfunded liability and was utilized to fully fund the FY 2008 ARC. As part of the *FY 2009 Revised Budget Plan*, the County identified an additional $14.9 million in excess employer contributions to be transferred to the OPEB Trust Fund to contribute towards the County's FY 2009 ARC. No funding within Fund 506 has been identified in either FY 2010 or FY 2011 for contributions towards the County's OPEB liability. Contributions in these years will be funded through a $9.9 million General Fund transfer. Additionally, in recognition of the fact that the OPEB liability is calculated based on all County positions and not only those funded by the General Fund, beginning in FY 2011, funds not supported by General Fund dollars will begin making contributions. It is anticipated that these contributions will total approximately $3.1 million in FY 2011. It should be noted that any future balances identified in Fund 506 as a result of excess revenues received from employer contributions will be considered for possible transfer to Fund 603 to assist in addressing the County's unfunded OPEB liability. For more information on GASB 45, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Health Insurance Requirements** **$15,435,951**

  An increase of $15,435,951 is attributable to an increase of $13,899,659 in benefits paid due to projected increases in claims expenses and participation trends and an increase of $2,547,575 for Incurred But Not Reported (IBNR) claims based on anticipated requirements, partially offset by a decrease of $1,011,283 in administrative expenses.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$11,797,250**

  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $11,797,250 to reflect an appropriation from fund balance to increase the Premium Stabilization Reserve which allows the fund flexibility in maintaining premium increases at manageable levels.

# Fund 506
# Health Benefits Trust Fund

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** | **Fund 506, Health Benefits Trust Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$50,126,875** | **$15,493,641** | **$28,275,238** | **$17,412,681** |
| Revenue: | | | | |
| Employer Share of Premiums | $68,090,114 | $70,762,681 | $70,762,681 | $82,095,319 |
| Employee Share of Premiums | 19,476,882 | 21,375,490 | 21,375,490 | 22,363,286 |
| Retiree Premiums | 18,825,415 | 18,903,030 | 18,903,030 | 21,064,332 |
| Interest Income | 954,190 | 757,593 | 757,593 | 268,827 |
| Administrative Service Charge/COBRA Premiums | 477,679 | 446,820 | 446,820 | 489,926 |
| Employee Fitness Center Revenue | 0 | 0 | 0 | 61,000 |
| Total Revenue | $107,824,280 | $112,245,614 | $112,245,614 | $126,342,690 |
| **Total Available** | **$157,951,155** | **$127,739,255** | **$140,520,852** | **$143,755,371** |
| Expenditures: | | | | |
| Benefits Paid [1] | $95,345,297 | $106,478,962 | $106,478,962 | $120,378,621 |
| Administrative Expenses [1] | 5,157,728 | 5,255,232 | 5,255,232 | 4,243,949 |
| Premium Stabilization Reserve [2] | 0 | 0 | 11,797,250 | 0 |
| Incurred but not Reported Claims (IBNR) | 2,027,051 | (1,165,273) | (1,165,273) | 1,382,302 |
| Health Promotion and Wellness Initiative | 145,841 | 742,000 | 742,000 | 742,000 |
| General Fund Reimbursement | 5,100,000 | 0 | 0 | 0 |
| Total Expenditures | $107,775,917 | $111,310,921 | $123,108,171 | $126,746,872 |
| Transfers Out: | | | | |
| Information Technology Fund (104) | $7,000,000 | $0 | $0 | $0 |
| OPEB Trust Fund (603) | 14,900,000 | 0 | 0 | 0 |
| Total Transfer Out | $21,900,000 | $0 | $0 | $0 |
| **Total Disbursements** | **$129,675,917** | **$111,310,921** | **$123,108,171** | **$126,746,872** |
| Ending Balance: | | | | |
| Fund Equity | $39,695,429 | $25,401,003 | $26,385,350 | $31,453,933 |
| IBNR | 11,420,191 | 8,972,669 | 8,972,669 | 14,445,434 |
| **Ending Balance [3]** | **$28,275,238** | **$16,428,334** | **$17,412,681** | **$17,008,499** |
| Premium Stabilization Reserve | $12,352,573 | $0 | $0 | $0 |
| **Unreserved Ending Balance** | **$15,922,665** | **$16,428,334** | **$17,412,681** | **$17,008,499** |
| **Percent of Claims** | **16.7%** | **15.4%** | **16.4%** | **14.1%** |

[1] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $369,306.61 have been reflected as an increase to FY 2009 expenditures to accurately record claims and administrative expenses. These audit adjustments have been included in the FY 2009 Comprehensive Annual Fiscal Report (CAFR). Details of the FY 2009 audit adjustments will be included in the FY 2010 Third Quarter package.

[2] Fluctuations in the Premium Stabilization Reserve are the result of reconciliations of budget to actual experience. For example it is anticipated that a significant portion of the Revised Budget Plan Premium Stabilization Reserve will be carried forward from one year to the next with adjustments as a result of final year-end experience.

[3] The FY 2009 Actual Ending Balance decreases from the FY 2009 Actual Beginning Balance primarily due to the transfer of $14.9 million to Fund 603, OPEB Trust Fund, for GASB 45 requirements and a transfer of $7.0 million to Fund 104, IT Fund, for requirements associated with the County's legacy system replacement project. Fluctuations in the ending balance in budget years are due to the Fund's policy of maintaining the ending balance as a percent of claims at the targeted industry standard.

# Fund 590
# Public School Insurance Fund

## Focus

Fund 590, Public School Insurance Fund, provides administration for workers' compensation insurance, self-insurance funds for automobile and general liability, and the purchase of commercial insurance for other liabilities. FY 2011 expenditures are estimated at $19.1 million.

# Fund 590
# Public School Insurance Fund

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** **Fund 590, Public School Insurance Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance[2]** | **$28,295,741** | **$23,760,281** | **$29,261,672** | **$27,218,288** |
| Revenue: | | | | |
| Workers' Compensation: | | | | |
| School Operating Fund (090) | $5,771,502 | $5,771,502 | $7,275,947 | $7,926,080 |
| School Food & Nutrition Serv. Fund (191) | 277,166 | 277,166 | 277,166 | 277,166 |
| Other Insurance | | | | |
| School Operating Fund (090) | 3,418,127 | 5,968,127 | 4,463,682 | 4,468,127 |
| Insurance Proceeds | 225,587 | 50,000 | 50,000 | 50,000 |
| Total Revenue | $9,692,382 | $12,066,795 | $12,066,795 | $12,721,373 |
| **Total Available** | **$37,988,123** | **$35,827,076** | **$41,328,467** | **$39,939,661** |
| Expenditures: | | | | |
| Administration | $615,845 | $655,707 | $655,707 | $875,246 |
| Workers' Compensation | 4,133,332 | 4,792,961 | 6,533,961 | 6,648,961 |
| Other Insurance | 3,349,077 | 6,018,127 | 6,320,511 | 5,877,473 |
| Claims Management | 628,197 | 600,000 | 600,000 | 650,000 |
| Allocated Reserves[2] | 0 | 4,799,201 | 6,391,117 | 5,060,810 |
| Subtotal Expenditures | $8,726,451 | $16,865,996 | $20,501,296 | $19,112,490 |
| Net Change in Accrued Liabilities | | | | |
| Workers' Compensation | $1,741,000 | $0 | $0 | $0 |
| Other Insurance | (84,909) | 0 | 0 | 0 |
| Net Change in Accrued Liabilities | $1,656,091 | $0 | $0 | $0 |
| Total Expenditures | $10,382,542 | $16,865,996 | $20,501,296 | $19,112,490 |
| **Total Disbursements** | **$10,382,542** | **$16,865,996** | **$20,501,296** | **$19,112,490** |
| **Ending Balance** | **$29,261,672** | **$18,961,080** | **$20,827,171** | **$20,827,171** |
| Restricted Reserves: | | | | |
| Workers' Comp Accrued Liability | ($18,088,000) | ($16,347,000) | ($18,088,000) | ($18,088,000) |
| Other Insurance Accrued Liability | (2,739,171) | (2,614,080) | (2,739,171) | (2,739,171) |
| Reserve for Catastrophic Occurrences | (8,434,501) | 0 | 0 | 0 |
| **Unreserved Balance** | **$0** | **$0** | **$0** | **$0** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

[2] Any unused portion of the allocated reserves is carried forward into the subsequent budget year. Accordingly, the FY 2011 beginning balance is the projected ending balance for FY 2010 plus the estimated ending balance for the allocated reserves, for a total of $27,218,288.

# Fund 591
# Public School Health and Flexible Benefits

## Focus

Fund 591, Health and Flexible Benefits, provides for the administration of health and dental care benefit plans for employees and retirees. In addition, the Health and Flexible Benefits Fund administers two Flexible Spending Accounts, which enable employees to realize savings by setting aside pre-tax dollars, through Fairfax County Public Schools (FCPS) payroll deductions, for eligible health care and dependent care costs. FY 2011 expenditures are estimated at $326.4 million.

# Fund 591
# Public School Health and Flexible Benefits

## FUND STATEMENT

**Fund Type G50, Internal Service Funds**

**Fund 591, Public School Health and Flexible Benefits**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$62,846,483** | **$62,986,497** | **$51,971,268** | **$52,446,696** |
| Revenue: | | | | |
| Employer/Employee Premiums | $198,930,209 | $208,745,479 | $214,761,949 | $225,276,283 |
| Retiree/Other Health Premiums | 34,784,278 | 33,530,640 | 33,530,640 | 35,566,516 |
| Interest Income | 4,149,520 | 2,936,000 | 2,936,000 | 4,260,479 |
| Medicare Part D | 2,875,290 | 2,400,000 | 2,400,000 | 2,400,000 |
| Flexible Account Withholdings | 6,451,615 | 6,200,000 | 6,200,000 | 6,449,893 |
| Total Revenue | $247,190,912 | $253,812,119 | $259,828,589 | $273,953,171 |
| **Total Available** | **$310,037,395** | **$316,798,616** | **$311,799,857** | **$326,399,867** |
| Expenditures: | | | | |
| Health Benefits Paid | $181,818,634 | $181,840,911 | $181,140,911 | $205,900,000 |
| Premiums Paid | 49,671,619 | 59,620,278 | 57,620,278 | 52,800,000 |
| Health Administration Expenses | 9,205,987 | 15,215,502 | 13,231,972 | 10,892,896 |
| Flexible Accounts Reimbursements | 6,290,042 | 6,200,000 | 6,200,000 | 6,404,575 |
| FSA Administrative Expenses | 120,845 | 117,000 | 117,000 | 121,000 |
| IBNR | 16,030,000 | 17,157,000 | 17,157,000 | 16,886,697 |
| IBNR Prior Year Credit | (15,771,000) | (16,176,000) | (16,114,000) | (16,030,000) |
| Claims Stabilization Reserve[2] | 0 | 52,823,925 | 52,446,696 | 49,424,699 |
| Total Expenditures | $247,366,127 | $316,798,616 | $311,799,857 | $326,399,867 |
| Transfers Out: | | | | |
| School Operating Fund (090) | $10,700,000 | $0 | $0 | $0 |
| Total Transfers Out | $10,700,000 | $0 | $0 | $0 |
| **Total Disbursements** | **$258,066,127** | **$316,798,616** | **$311,799,857** | **$326,399,867** |
| **Ending Balance** | **$51,971,268** | **$0** | **$0** | **$0** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

[2] The Claims Stabilization Reserve is appropriated for budgeting purposes to offset fluctuations in health insurance costs during the fiscal year. This reserve is to be carried forward as beginning balance for FY 2011.

# Fund 592
# Public School Central Procurement

## Focus

Fund 592, Public School Central Procurement, facilitates accounting of orders for textbooks, supplies, library materials, printing and equipment for the Fairfax County Public Schools (FCPS). Central purchases processed through this fund will be charged to individual school accounts; therefore, this Internal Service clearing account does not increase the total FCPS budget. FY 2011 expenditures are estimated at $14.0 million.

# Fund 592
# Public School Central Procurement

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** | **Fund 592, Public School Central Procurement**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$423,873** | **$423,873** | **$718,372** | **$718,372** |
| Revenue: | | | | |
| Sales to Schools/Departments | $11,340,562 | $14,000,000 | $14,000,000 | $14,000,000 |
| Total Revenue | $11,340,562 | $14,000,000 | $14,000,000 | $14,000,000 |
| **Total Available** | **$11,764,435** | **$14,423,873** | **$14,718,372** | **$14,718,372** |
| Expenditures: | | | | |
| Purchase for Resale | $11,046,063 | $14,000,000 | $14,000,000 | $14,000,000 |
| Total Expenditures | $11,046,063 | $14,000,000 | $14,000,000 | $14,000,000 |
| **Total Disbursements** | **$11,046,063** | **$14,000,000** | **$14,000,000** | **$14,000,000** |
| Inventory Change | $0 | $0 | $0 | $0 |
| **Ending Balance** | **$718,372** | **$423,873** | **$718,372** | **$718,372** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

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# Trust Funds

## Overview

Trust Funds account for assets held by the County in a trustee capacity and include four retirement trust funds, two trust funds to pre-fund other post-employment benefits, and a holding fund for revenue collected for the Route 28 Tax District.

## Retirement Trust Funds

- Each of the four retirement funds derives income from employer contributions, employee contributions, and returns on investments. Payments are made from these funds to eligible retirees based on established benefit formulas. Three retirement trust funds comprise the Fairfax County Employee Retirement Systems and are administered by the Fairfax County Retirement Administration Agency. The fourth retirement fund is for educational employees and is administered by Fairfax County Public Schools.

  - **Fund 600 - Uniformed Retirement System**
  - **Fund 601 - Fairfax County Employees' Retirement System**
  - **Fund 602 - Police Officers Retirement System**
  - **Fund 691 - Educational Employees Supplementary Retirement**

## Other Post-Employment Benefits (OPEB) Trust Fund

- Beginning in FY 2008, Fairfax County and Fairfax County Public Schools were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits (OPEBs). GASB 45 requires that the County and Schools accrue the cost of the retiree health subsidy and other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability in order to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. Fund 603, OPEB Trust Fund, and Fund 692, Public School OPEB Trust Fund, will allow the County and Schools to capture long-term investment returns, make progress towards reducing the unfunded liability, and pre-fund the cost of post-employment health care and other non-pension benefits.

  - **Fund 603 - OPEB Trust Fund**
  - **Fund 692 - Public School OPEB Trust Fund**

## Route 28 Tax District

- Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District in 1987. The District was formed to provide improvements to State Route 28 to accelerate planned highway improvements. The owners of industrial and commercial property within the District are subject to an additional tax assessment of 18 cents per $100 of assessed value.

  - **Fund 700 - Route 28 Tax District**

# Employee Retirement Systems Overview

Fairfax County employee retirement systems include the Uniformed Retirement System (Fund 600), the Fairfax County Employees' Retirement System (Fund 601), and the Police Officers Retirement System (Fund 602). Each of these systems is funded from employees' contributions based on a fixed percentage of pay, County contributions based on a variable percentage of employee pay as determined by actuarial analysis, and return on investments. In order to assure the continued soundness of each fund, an actuarial valuation is conducted annually and, if appropriate, an adjustment is made to the employer contribution rate.

For the Uniformed Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, in the County's General Fund, for uniformed public safety employees in General Fund agencies and Fund 120, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Fairfax County Employees' Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, for County employees and Fairfax County Public Schools (FCPS) for school employees. For the Police Officers Retirement Trust Fund, the full amount of the employer's contribution comes from Agency 89, Employee Benefits, in the County's General Fund.

On March 18, 2002 the Board of Supervisors adopted a corridor approach to employer contributions. The corridor approach adds further stability to the employer contribution rates and continues to adequately fund the retirement systems. In the corridor method of funding, a fixed contribution rate is assigned to each system and the County contributes at the fixed rate unless the system's funding ratio falls outside the pre-selected corridor of 90-120 percent or if benefit enhancements are approved.

In addition, retirees are eligible to receive a Cost-of-Living Adjustment (COLA) composed of a base COLA which is the lesser of the Consumer Price Index (CPI) for the 12 months ending on the previous year's March 31, or 4.0 percent. If certain conditions are met, an additional 1.0 percent COLA can be awarded at the discretion of each retirement system's Board of Trustees. This additional COLA is considered a benefit enhancement and results in an increase in the employer contribution rate.

**In their budget guidance approved with the adoption of the FY 2010 budget, the Board of Supervisors directed staff to review the requirements placed on the County's retirement systems as a result of the economic downturn.** As the County continues to address increasing benefit costs, the volatility of the financial markets and uncertainty about future funding flexibility, the Board felt it was an opportune time to examine and refine a number of policies related to the County's retirement systems, including the additional 1.0 percent ad-hoc COLA and the corridor funding approach.

Staff conducted a comprehensive examination of the current corridor policy and concluded that the corridor approach should be maintained, as it has cushioned the County from dramatic rate increases in the past and is currently providing insulation from the global financial crisis. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. This solution will allow the County to maintain the flexibility afforded by the current policy with the understanding that increasing contributions to the retirement systems, when feasible from a budgetary perspective, will improve the systems' financial position. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

Similarly, in its examination of the ad-hoc COLA policy, staff concluded that it is important for an individual Board of Trustees to maintain the discretion to grant an ad-hoc COLA for its retirees and that the criteria used to grant a COLA among the three systems be consistent. However, the financial conditions that must be met in order for a Board to consider granting an ad-hoc COLA should be strengthened, especially since the granting of such a COLA impacts the employer contribution rates and, thus, requires County funding. As a result, it is recommended that the financial criteria be revised whereby the system must have an actuarial surplus – demonstrated by having a funding ratio exceeding 100 percent – before an ad-hoc COLA can be considered. It should be noted that, given the current economic conditions, it is highly unlikely that the financial criteria, under either the current or proposed methodologies, would be met in order for an ad-hoc COLA to be considered by any of the three retirement systems in the foreseeable future.

# Employee Retirement Systems Overview

The projected FY 2011 employer contribution rates for each of the three retirement systems are as follows:

| Fund | FY 2010 Rates (%) | FY 2011 Rates (%) | Percentage Point Change (%) | Net General Fund Impact |
|---|---|---|---|---|
| **Uniformed** | 26.46 | 30.56 | 4.10 | $5,670,046 |
| **Employees'** | 9.71 | 14.70 | 4.99 | $15,242,861 |
| **Police Officers** | 22.84 | 28.31 | 5.47 | $5,607,279 |
| **Total** | | | | **$26,520,186** |

Following the actuarial funding policy currently in effect, contribution rates are adjusted only to fund approved benefit enhancements, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls below 90 percent or rises above 120 percent. The global financial crisis during FY 2009 resulted in significant losses in the value of the invested assets of all three retirement systems. The funding ratios for the Uniformed and Police Officers system dropped outside of the corridor, decreasing from 92.3 to 85.7 percent and 91.7 to 85.0 percent, respectively. The funding ratio for the Employees' system fell further below the 90 percent threshold, decreasing from 85.3 to 76.0 percent. As the funding ratio of each system is outside of the corridor, and following established funding policy, the employer contribution rates for each of the systems must be increased to amortize the unfunded liabilities created by the fall in asset values.

In line with the recommendation to move gradually to a 95-105 percent corridor and in recognition of the need to increase the employer contribution rates in order to improve the systems' financial position, additional increases are included based on a change to the amortization schedule. Currently, if the funding ratio falls below 90 percent, the unfunded actuarial accrued liability (UAAL) below 90 percent is amortized over 15 years in order to get back to a 90 percent level. For FY 2011, the employer contribution rates have been increased to allow for an amortization to a 91 percent level in accordance with the phased approach to move towards the 95 percent target.

- The employer contribution rate for the Uniformed system is required to increase by 3.32 percentage points based on the funding ratio falling below the 90 percent threshold and an additional 0.78 percentage points based on the change in the amortization schedule.

- The employer contribution rate for the Employees' system is required to increase by 4.51 percentage points based on the funding ratio falling further below the 90 percent threshold and an additional 0.48 percentage points based on the change in the amortization schedule.

- The employer contribution rate for the Police Officers system is required to increase by 4.56 percentage points based on the funding ratio falling below the 90 percent threshold and an additional 0.91 percentage points based on the change in the amortization schedule.

For more information on the General Fund impact of these employer contribution rate changes, please refer to the Agency 89, Employee Benefits, narrative in the Nondepartmental program area section of Volume 1.

It should be noted that a Deferred Retirement Option Plan (DROP) was added as a benefit enhancement for members of the Uniformed and Police Officers Retirement Systems in FY 2004 and was added for members of the Employees' Retirement System in FY 2006.

# Employee Retirement Systems Overview

The following table displays relevant information about each retirement system:

**EMPLOYEES COVERED**

| Uniformed Retirement | Fairfax County Employees' | Police Officers Retirement |
|---|---|---|
| Fire and Rescue Personnel; Uniformed Office of Sheriff employees; Animal Control Officers; Helicopter Pilots; Non-administrative staff in the Department of Public Safety Communications. | County employees not covered under Uniformed or Police Officers system; certain FCPS employees including food service, custodial, bus drivers, part-time and substitute teachers, maintenance staff. | Fairfax County Police Officers. |

**CONDITIONS OF COVERAGE**

| Uniformed Retirement | Fairfax County Employees' | Police Officers Retirement |
|---|---|---|
| At age 55 with 6 years of service or after 25 years of service. | At age 65 with 5 years of service or earlier when age and years of service combined equal 80 or, for reduced "early retirement" benefits, 75. Not before age 50. | At age 55 or after 20 years of police service if hired before 7/1/81; or 25 years of service if hired on or after 7/1/81. |

**EMPLOYEE CONTRIBUTION**

| | Uniformed Retirement | | Fairfax County Employees' Retirement | | Police Officers Retirement |
|---|---|---|---|---|---|
| | Plan A | Plan B | Plan A | Plan B | |
| Up to Wage Base | 4.00% | 7.08% | 4.00% | 5.33% | 10.00% of Pay |
| Above Wage Base | 5.33% | 8.83% | 5.33% | 5.33% | |
| Plan C | 4.00% | | | | |
| Plan D | 7.08% | | | | |

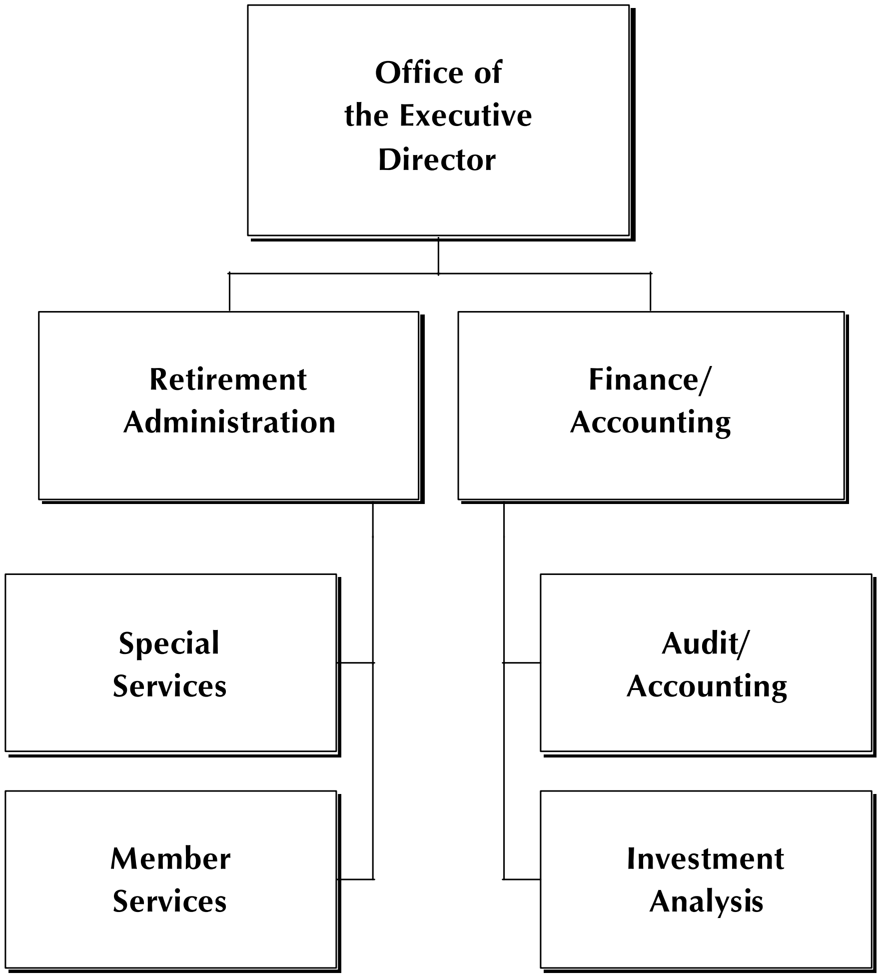
**EMPLOYER CONTRIBUTION**
**Rate Structure / FY 2011**

| Uniformed Retirement | Fairfax County Employees' Retirement | Police Officers Retirement |
|---|---|---|
| 30.56% | 14.70% | 28.31% |

# Employee Retirement Systems Overview

| INVESTMENT MANAGERS AS OF JUNE 30, 2009 | | |
|---|---|---|
| **Uniformed Retirement** | **Fairfax County Employees' Retirement** | **Police Officers Retirement** |
| ▪ Acadian Asset Management<br>▪ Advisory Research<br>▪ Artio Global Investors<br>▪ Ashmore Investment Management<br>▪ Barclays Global Investors<br>▪ Brandywine Global Investment Management<br>▪ Bridgewater Associates<br>▪ Cohen & Steers Capital Management<br>▪ Harbourvest Partners<br>▪ JP Morgan Investment Management<br>▪ King Street Capital<br>▪ Marathon Asset Management<br>▪ Morgan Stanley<br>▪ NCM Capital Management<br>▪ Optima Management<br>▪ Orbimed Advisors<br>▪ Pacific Investment Management Co.<br>▪ Pantheon Ventures<br>▪ Ramius, LLC<br>▪ Standish Mellon Asset Management<br>▪ Trust Company of the West<br>▪ UBS Realty Advisors<br>▪ Victory Capital Management | ▪ Artio Global Investors<br>▪ Barclays Global Investors<br>▪ Brandywine Global Investment Management<br>▪ Bridgewater Associates<br>▪ The Clifton Group<br>▪ Cohen & Steers Capital Management<br>▪ Columbia Wanger Asset Management<br>▪ Deerfield Capital Management<br>▪ DePrince, Race & Zollo<br>▪ Enhanced Investment Technologies<br>▪ First Quadrant<br>▪ Grammercy Advisors<br>▪ JP Morgan Investment Management<br>▪ LSV Asset Management<br>▪ MacKay Shields<br>▪ Marathon Asset Management<br>▪ Morgan Stanley<br>▪ Pacific Investment Management Co.<br>▪ Post Advisory Group<br>▪ Pzena Investment Management<br>▪ Sands Capital Management<br>▪ Shenkman Capital Management<br>▪ Standish Mellon Asset Management<br>▪ Stark Investments<br>▪ Trust Company of the West | ▪ Acadian Asset Management<br>▪ AQR Capital Management<br>▪ Clarivest Asset Management<br>▪ Cohen & Steers Capital Management<br>▪ Dodge & Cox Investment Managers<br>▪ Goldman Sachs<br>▪ Grantham, Mayo, Van Otterloo<br>▪ Loomis Sayles<br>▪ Mariner Investment Group<br>▪ McKinley Capital Management<br>▪ Morgan Stanley<br>▪ Oaktree Capital Management<br>▪ Pacific Investment Management Co.<br>▪ Pzena Investment Management<br>▪ Ramius, LLC<br>▪ Standish Mellon Asset Management<br>▪ Trust Company of the West |

# Retirement Administration Agency




## Mission

As an agent of the Boards of Trustees of the Employees', Police Officers, and Uniformed Retirement Systems, the mission of the Retirement Administration Agency is to administer the systems according to the terms established by the County of Fairfax and to do so in a manner that:

- ♦ Safeguards and invests the assets of the systems;
- ♦ Maximizes cost effectiveness of the retirement programs by optimizing long-term investment returns within an acceptable level of variation in required funding and by maintaining efficient administrative operations;
- ♦ Maximizes the value of retirement plans in retaining County personnel through communications, education, and counseling programs and by providing quality service;
- ♦ Fulfills the obligations of the systems to retirees by providing timely and accurate payments and by providing quality service; and
- ♦ Provides technical support and advice to County management and the Board of Supervisors regarding retirement benefits.

# Retirement Administration Agency

## Focus

The Retirement Administration Agency contributes to the County's corporate stewardship through sound management of County resources and assets. To accomplish its specific mission, the Retirement Administration Agency will focus on:

- Support for the Boards of Trustees;
- Services to active employees and retirees;
- Accurate accounting and control of plan assets;
- Accuracy of data;
- Cost efficiency of processes; and
- Investment return and risk control.

Under the direction of the Boards of Trustees for the Fairfax County Employees' Retirement, Police Officers Retirement, and Uniformed Retirement Systems, the Retirement Administration Agency processes benefit payments to eligible Fairfax County retirees and beneficiaries. The agency also processes payments for the retiree health benefit subsidy and provides counseling and comprehensive information pertaining to benefits to active and retired County employees.

The agency receives revenues from various sources, including employee and employer contributions to the various retirement systems, employee payback, and return on investments, to finance the three employee retirement systems. Employee contributions are based on a fixed percentage of pay. For the Uniformed Retirement System, employer contributions come from two sources: Agency 89 for uniformed public safety employees in General Fund agencies and Fund 120, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Employees' Retirement System, employer contributions come from Agency 89, Employee Benefits, for County employees and Fairfax County Public Schools (FCPS) for school employees. Employer contributions for the Police Officers Retirement System come solely from Agency 89, Employee Benefits, in the County's General Fund. Adjustments are made to the employer's contribution rate only to fund approved benefit enhancements, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls out of the 90 to 120 percent funding corridor. It should be noted that, at the direction of the Board, staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

Some revenues are also generated through employee payback, a process by which employees who have left the County can make a "payback" contribution and return to their previous standing in the retirement system upon their return to County employment. Additionally, significant revenues are achieved through returns on fund investments. Revenue projections are based on an assumed actuarial rate of return of 7.5 percent. An actuarial valuation is conducted annually for each of the three funds to assure the continued soundness of the retirement systems. In addition, an experience study – which compares actual experience to actuarial assumptions, both economic and demographic – is conducted once every five years to ensure that the plan is being valued appropriately. Such an experience study was last conducted in FY 2006, with assumption changes made that impacted the employer contribution rates in FY 2007. The next study will take place in FY 2011 and any changes made will be reflected in the required contribution rates for FY 2012.

# Retirement Administration Agency

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 24/ 24 | 24/ 24 | 24/ 24 | 24/ 24 |
| Expenditures: | | | | |
| Personnel Services | $2,227,042 | $2,419,458 | $2,419,458 | $2,483,684 |
| Operating Expenses | 292,593,592 | 317,783,795 | 317,808,546 | 348,226,472 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$294,820,634** | **$320,203,253** | **$320,228,004** | **$350,710,156** |

### Position Summary

**OFFICE OF THE DIRECTOR**
1 Executive Director
1 Administrative Assistant IV

**RETIREMENT ADMINISTRATION**
1 Deputy Director
1 Administrative Assistant II

**Special Services**
1 Programmer Analyst III
1 Programmer Analyst II
1 Communications Specialist II

**Membership Services**
1 Management Analyst III
4 Retirement Counselors
5 Administrative Assistants V

**FINANCE/ACCOUNTING**
1 Financial Specialist IV

**Audit/Accounting**
1 Accountant I

**Investment Analysis**
1 Chief Investment Officer
1 Senior Investment Manager
2 Investment Managers
1 Investment Analyst

**TOTAL POSITIONS[1]**
**24 Positions / 24.0 Staff Years**

[1] It should be noted that 1/1.0 SYE Accountant III resides in the Retirement Administration Agency, but is accounted for and financed by Fund 603, OPEB Trust Fund. The 24/24.0 SYE positions shown above are financed jointly by the three retirement trust funds (Fund 600, Fund 601, and Fund 602).

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

♦ **Other Post-Employment Benefits** **$64,226**
An increase of $64,226 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

♦ **Benefit Payments** **$36,208,264**
An increase of $36,208,264 in Operating Expenses reflects increased payments of $35,451,516 to retirees due to a higher number of retirees and higher individual payment levels and an increase in payments to beneficiaries of $1,122,748, offset by a decrease of $366,000 in the allowance for refunds based on projected turnover of active members.

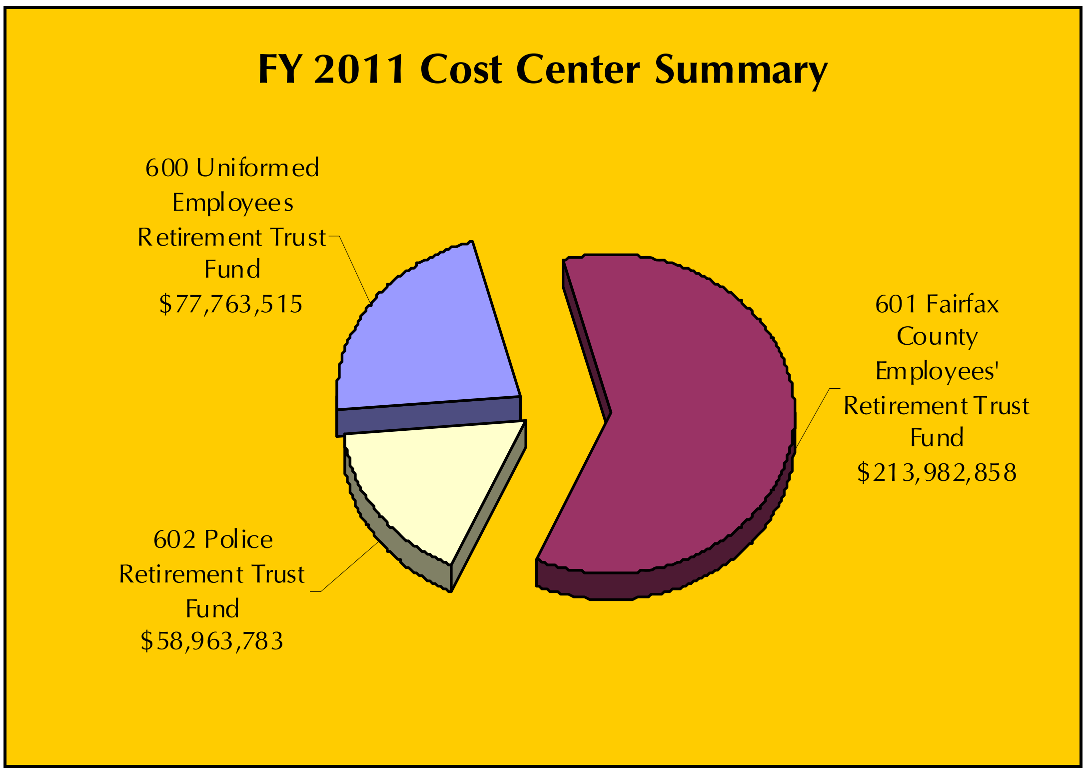
- **Investment Management Fees** **($5,720,000)**
  A decrease of $5,720,000 in Operating Expenses reflects a decrease in investment management fees due to the projected loss in assets and the investment strategies adopted by the Boards of Trustees.

- **Computer Software and Equipment** **($177,720)**
  A decrease of $177,720 in Operating Expenses due to fewer requirements for system changes and replacements of personal computers than anticipated.

- **Actuarial Services** **$48,001**
  An increase of $48,001 in Operating Expenses due to the cost of conducting an actuarial experience study that is required every five years.

- **Investment Consulting Services** **$31,718**
  An increase of $31,718 in Operating Expenses for investment consulting as a result of increases associated with contract renewals and reassignment.

- **Other Operating Expenses** **$52,414**
  A net increase of $52,414 in all other Operating Expenses due to the net impact of several adjustments.




## Changes to <u>FY 2010 Adopted Budget Plan</u>

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the <u>FY 2010 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$24,751**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved encumbered carryover in Operating Expenses of $24,751 primarily associated with the maintenance of the agency's retirement database.

## Key Performance Measures

### Objectives

- ♦ To maintain at 100 percent the number of retiree benefit payments processed on time.
- ♦ To achieve at least a 7.5 percent return on investment over rolling three year periods.
- ♦ To achieve realized return on investment commensurate with the S&P 500 Index and the Barclays Capital Aggregate Bond Index.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2007 Actual** | **FY 2008 Actual** | **FY 2009 Estimate/Actual** | **FY 2010** | **FY 2011** |
| **Output:** | | | | | |
| Members: Fairfax County Employees | 20,272 | 20,279 | 20,830 / 20,685 | 21,297 | 21,327 |
| Members: Uniformed | 2,924 | 2,907 | 3,053 / 2,926 | 3,127 | 3,043 |
| Members: Police Officers | 2,160 | 2,153 | 2,203 / 2,140 | 2,239 | 2,199 |
| Return on investment: Fairfax County Employees | $371,225,595 | $31,057,752 | $214,238,398 / ($637,156,651) | $213,953,906 | $182,360,175 |
| Return on investment: Uniformed | $172,227,261 | ($22,896,664) | $87,793,261 / ($206,666,367) | $86,391,273 | $78,443,625 |
| Return on investment: Police Officers | $142,450,547 | ($52,849,694) | $72,937,475 / ($148,302,988) | $68,774,822 | $62,907,327 |
| **Efficiency:** | | | | | |
| Cost per member: Fairfax County Employees | $81 | $60 | $74 / $71 | $75 | $80 |
| Cost per member: Uniformed | $127 | $101 | $118 / $107 | $118 | $129 |
| Cost per member: Police Officers | $151 | $138 | $168 / $152 | $170 | $183 |
| Investment costs as a percent of assets: Fairfax County Employees | 0.44% | 0.46% | 0.53% / 0.47% | 0.54% | 0.54% |
| Investment costs as a percent of assets: Uniformed | 0.43% | 0.49% | 0.53% / 0.50% | 0.54% | 0.56% |
| Investment costs as a percent of assets: Police Officers | 0.41% | 0.39% | 0.53% / 0.42% | 0.47% | 0.50% |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Percent of retiree checks issued within schedule time frame: Fairfax County Employees | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of retiree checks issued within schedule time frame: Uniformed | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of retiree checks issued within schedule time frame: Police Officers | 100% | 100% | 100% / 100% | 100% | 100% |
| Return compared to assumed actuarial rate (7.5%): Fairfax County Employees | 14.75% | 1.20% | 7.50% / (23.65%) | 7.50% | 7.50% |
| Return compared to assumed actuarial rate (7.5%): Uniformed | 17.83% | (2.10%) | 7.50% / (19.96%) | 7.50% | 7.50% |
| Return compared to assumed actuarial rate (7.5%): Police Officers | 17.50% | (5.70%) | 7.50% / (17.41%) | 7.50% | 7.50% |
| Large cap domestic equity return compared to S&P 500 Index: S&P 500 Index | 20.59% | (13.10%) | NA / (26.21%) | NA | NA |
| Large cap domestic equity return compared to S&P 500 Index: Fairfax County Employees | 23.33% | (14.80%) | NA / (24.47%) | NA | NA |
| Large cap domestic equity return compared to S&P 500 Index: Uniformed | 18.54% | (10.50%) | NA / (30.41%) | NA | NA |
| Large cap domestic equity return compared to S&P 500 Index: Police Officers | 22.28% | (11.70%) | NA / (30.44%) | NA | NA |
| Fixed income return compared to the Barclays Capital Aggregate Bond Index: Barclays Capital Aggregate Bond Index | 6.12% | 7.10% | NA / 6.05% | NA | NA |
| Fixed income return compared to the Barclays Capital Aggregate Bond Index: Fairfax County Employees | 6.76% | 9.20% | NA / 8.96% | NA | NA |
| Fixed income return compared to the Barclays Capital Aggregate Bond Index: Uniformed | 5.79% | 10.40% | NA / 9.20% | NA | NA |
| Fixed income return compared to the Barclays Capital Aggregate Bond Index: Police Officers | 6.65% | 7.40% | NA / 11.91% | NA | NA |

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent of retiree payments processed on time: Fairfax County Employees | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of retiree payments processed on time: Uniformed | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of retiree payments processed on time: Police Officers | 100% | 100% | 100% / 100% | 100% | 100% |
| Deviation from actuarial rate of return (total plan): Fairfax County Employees | 7.3% | (6.3%) | 0.0% / (31.2%) | 0.0% | 0.0% |
| Deviation from actuarial rate of return (total plan): Uniformed | 10.3% | (9.6%) | 0.0% / (27.5%) | 0.0% | 0.0% |
| Deviation from actuarial rate of return (total plan): Police Officers | 10.0% | (13.2%) | 0.0% / (24.9%) | 0.0% | 0.0% |
| Deviation from S&P 500 (large cap equities): Fairfax County Employees | 2.7% | (1.7%) | 0.0% / 1.7% | 0.0% | 0.0% |
| Deviation from S&P 500 (large cap equities): Uniformed | (2.1%) | 2.6% | 0.0% / (4.2%) | 0.0% | 0.0% |
| Deviation from S&P 500 (large cap equities): Police Officers | 1.7% | 1.4% | 0.0% / (4.2%) | 0.0% | 0.0% |
| Deviation from Barclays Capital Aggregate (fixed income): Fairfax County Employees | 0.6% | 2.1% | 0.0% / 2.9% | 0.0% | 0.0% |
| Deviation from Barclays Capital Aggregate (fixed income): Uniformed | (0.3%) | 3.3% | 0.0% / 3.2% | 0.0% | 0.0% |
| Deviation from Barclays Capital Aggregate (fixed income): Police Officers | 0.5% | 0.3% | 0.0% / 5.9% | 0.0% | 0.0% |

## Performance Measurement Results

The global financial and economic crisis that began during FY 2009 resulted in steep declines in the capital markets. The rates of return for all three systems for FY 2009 were negative, with the Uniformed system experiencing a 20.0 percent decline, the Employees' system experiencing a 23.7 percent decline, and the Police Officers system experiencing a 17.4 percent decline. Investments in all assets other than government bonds experienced severe losses with capital market returns the worst since the Great Depression years. For the year ending June 30, 2009, the S&P 500 Index was down 26.2 percent, and U.S. small-cap stocks were down 25.0 percent. Among non-U.S. stocks, developed markets dropped 31.0 percent and emerging markets fell 27.8 percent. Investments in real assets also suffered with the commodity index falling 47.1 percent and real estate investment trusts down 43.3 percent. While many fixed income assets also lost value, with positive results in U.S Treasury Bonds, the Barclays Capital Bond index was up 6.1 percent for the year. The dispersion of investment results among the three systems in FY 2009 is attributable to differences in the systems' asset allocation strategies and the varying degrees to which each system's investment management firms added value.

The decline in revenue will result in a deterioration of the funding ratios for all three systems. Since the change in liabilities will not be known until the actuarial valuation is completed, the magnitude of the change in funding ratios cannot be projected at this time. Employer contribution rates and funding ratios are calculated based on a number of actuarial assumptions, including an actuarially determined rate of return. The actuarial rate of return uses smoothing methodology to phase in total recognition of a given year's returns above or below the long-term expected rate of 7.5 percent. This smoothing is done to mitigate volatility in funding requirements, recognizing the cyclical nature of capital market returns. However, this smoothing process does not include the impact of any liability gains or losses, which are determined by comparing actual experience, such as rates of retirement and death, against actuarial assumptions. While the investment losses in FY 2009 will reduce the funded ratio, the retirement systems are managed with a long-term perspective on funding and investment. Funding policy and calculations include an average compound return of 7.5 percent over the long term. Including the results through FY 2009, the actual compound annual returns achieved since 1981, the earliest date for which data is available, have been 8.9 percent for the Uniformed system, 9.5 percent for the Employees' system and 9.5 percent for the Police Officers system.

## FUND STATEMENT

**Fund Type G60, Pension Trust Funds** **Fund 600, Uniformed Retirement**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$1,081,289,955** | **$1,158,035,336** | **$867,187,221** | **$939,120,537** |
| Revenue: | | | | |
| Employer Contributions | $40,855,101 | $41,137,918 | $41,137,918 | $45,455,503 |
| Employee Contributions | 11,686,105 | 11,579,026 | 11,579,026 | 11,579,026 |
| Employee Payback | 64,706 | 150,000 | 150,000 | 100,000 |
| Return on Investments[1] | (27,477,977) | 86,391,273 | 86,391,273 | 78,443,265 |
| Total Realized Revenue | $25,127,935 | $139,258,217 | $139,258,217 | $135,577,794 |
| Unrealized Gain/(Loss)[1,2] | ($179,188,390) | $0 | $0 | $0 |
| Total Revenue | ($154,060,455) | $139,258,217 | $139,258,217 | $135,577,794 |
| **Total Available** | **$927,229,500** | **$1,297,293,553** | **$1,006,445,438** | **$1,074,698,331** |
| Expenditures: | | | | |
| Administrative Expenses | $765,812 | $919,611 | $923,324 | $975,251 |
| Investment Services[1] | 4,496,831 | 6,150,000 | 6,150,000 | 5,040,000 |
| Payments to Retirees | 53,521,211 | 58,966,172 | 58,966,172 | 70,345,439 |
| Beneficiaries | 601,742 | 605,405 | 605,405 | 712,825 |
| Refunds | 656,683 | 680,000 | 680,000 | 690,000 |
| Total Expenditures | $60,042,279 | $67,321,188 | $67,324,901 | $77,763,515 |
| **Total Disbursements** | **$60,042,279** | **$67,321,188** | **$67,324,901** | **$77,763,515** |
| **Ending Balance[3]** | **$867,187,221** | **$1,229,972,365** | **$939,120,537** | **$996,934,816** |

[1] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $1,627,648.04 have been reflected as an increase to FY 2009 revenue, primarily associated with an adjustment necessary to record interest and dividend revenue in the proper fiscal period. In addition, an audit adjustment in the amount of $342,247.55 has been reflected as an increase to FY 2009 expenditures in order to appropriately account for investment management and securities lending expenses. The audit adjustments have been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the FY 2009 audit adjustments will be included in the FY 2010 Third Quarter package.

[2] Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

[3] The Uniformed Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

## FUND STATEMENT

**Fund Type G60, Pension Trust Funds** **Fund 601, Fairfax County Employees' Retirement**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,763,876,655** | **$2,880,668,056** | **$2,039,051,397** | **$2,154,412,291** |
| Revenue: | | | | |
| County Employer Contributions | $46,093,913 | $48,850,397 | $48,850,397 | $70,133,160 |
| County Employee Contributions | 24,297,249 | 24,263,211 | 24,263,211 | 24,263,211 |
| School Employer Contributions | 19,097,489 | 19,308,509 | 19,308,509 | 27,720,691 |
| School Employee Contributions | 9,400,764 | 9,638,152 | 9,638,152 | 9,638,152 |
| Employee Payback | 229,178 | 400,000 | 400,000 | 400,000 |
| Return on Investments[1] | (365,411,667) | 213,953,906 | 213,953,906 | 182,360,175 |
| Total Realized Revenue | ($266,293,074) | $316,414,175 | $316,414,175 | $314,515,389 |
| Unrealized Gain/(Loss)[1,2] | ($271,744,984) | $0 | $0 | $0 |
| Total Revenue | ($538,038,058) | $316,414,175 | $316,414,175 | $314,515,389 |
| **Total Available** | **$2,225,838,597** | **$3,197,082,231** | **$2,355,465,572** | **$2,468,927,680** |
| Expenditures: | | | | |
| Administrative Expenses | $2,444,421 | $2,983,889 | $3,001,214 | $2,919,394 |
| Investment Services[1] | 15,476,918 | 14,970,000 | 14,970,000 | 10,910,000 |
| Payments to Retirees | 162,306,508 | 173,829,285 | 173,829,285 | 190,785,036 |
| Beneficiaries | 3,303,200 | 3,512,782 | 3,512,782 | 4,058,428 |
| Refunds | 3,256,153 | 5,740,000 | 5,740,000 | 5,310,000 |
| Total Expenditures | $186,787,200 | $201,035,956 | $201,053,281 | $213,982,858 |
| **Total Disbursements** | **$186,787,200** | **$201,035,956** | **$201,053,281** | **$213,982,858** |
| **Ending Balance[3]** | **$2,039,051,397** | **$2,996,046,275** | **$2,154,412,291** | **$2,254,944,822** |

[1] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $11,394,029.52 have been reflected as an increase to FY 2009 revenue, primarily associated with adjustments necessary to record interest and dividend revenue in the proper fiscal period, as well as to record a net realized gain from the sale of investments. In addition, an audit adjustment in the amount of $1,765,061.69 has been reflected as an increase to FY 2009 expenditures in order to appropriately account for investment management and securities lending expenses. The audit adjustments have been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the FY 2009 audit adjustments will be included in the FY 2010 Third Quarter Package.

[2] Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

[3] The Employees' Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

## FUND STATEMENT

**Fund Type G60, Pension Trust Funds** | **Fund 602, Police Retirement**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$868,161,043** | **$922,776,810** | **$706,622,288** | **$759,995,967** |
| Revenue: | | | | |
| Employer Contributions | $23,508,402 | $24,159,474 | $24,159,474 | $29,049,707 |
| Employee Contributions | 11,246,986 | 12,239,205 | 12,239,205 | 10,455,800 |
| Employee Payback | 0 | 50,000 | 50,000 | 50,000 |
| Return on Investments[1] | (49,780,303) | 68,774,822 | 68,774,822 | 62,907,327 |
| Total Realized Revenue | ($15,024,915) | $105,223,501 | $105,223,501 | $102,462,834 |
| Unrealized Gain/(Loss)[1,2] | ($98,522,685) | $0 | $0 | $0 |
| Total Revenue | ($113,547,600) | $105,223,501 | $105,223,501 | $102,462,834 |
| **Total Available** | **$754,613,443** | **$1,028,000,311** | **$811,845,789** | **$862,458,801** |
| Expenditures: | | | | |
| Administrative Expenses | $681,834 | $884,583 | $888,296 | $912,077 |
| Investment Services[1] | 3,080,197 | 4,050,000 | 4,050,000 | 3,500,000 |
| Payments to Retirees | 41,040,383 | 43,634,246 | 43,634,246 | 50,750,744 |
| Beneficiaries | 2,426,938 | 2,651,280 | 2,651,280 | 3,120,962 |
| Refunds | 761,803 | 626,000 | 626,000 | 680,000 |
| Total Expenditures | $47,991,155 | $51,846,109 | $51,849,822 | $58,963,783 |
| **Total Disbursements** | **$47,991,155** | **$51,846,109** | **$51,849,822** | **$58,963,783** |
| **Ending Balance[3]** | **$706,622,288** | **$976,154,202** | **$759,995,967** | **$803,495,018** |

[1] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $7,257,448.45 have been reflected as an increase to FY 2009 revenue, primarily as a result of a net gain from the unrealized appreciation of investments, as well as to record interest revenue in the proper fiscal period. In addition, an audit adjustment in the amount of $155,908.27 has been reflected as an increase to FY 2009 expenditures in order to appropriately account for securities lending expenses. The audit adjustments have been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the FY 2009 audit adjustments will be included in the FY 2010 Third Quarter Package.

[2] Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

[3] The Police Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

# Fund 603
# OPEB Trust Fund

## Focus

Fund 603, OPEB Trust Fund, was created to capture long-term investment returns and make progress towards reducing the unfunded actuarial accrued liability under GASB 45 and funds the cost of other post-employment benefits (OPEBs) including health care, life insurance, and other non-pension benefits offered to retirees, such as the County's retiree health benefit subsidy.

***GASB 45***

Beginning in FY 2008, the County's financial statements were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits. This standard addresses how local governments should account for and report their costs related to post-employment health care and other non-pension benefits. Historically, the County funded these benefits on a pay-as-you-go basis. GASB 45 requires that the County accrue the cost of other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. A valuation is performed to calculate the County's actuarial accrued liability and the associated annual required contribution (ARC). The liability and ARC are calculated annually, and adjustments are made due to benefit enhancements, medical trend experience, and normal growth assumptions.

The liability includes the retiree health benefit subsidy, which is paid out to County retirees, as well as the liability associated with an "implicit" subsidy provided to retirees. As premiums for the County's self-insured health plans are set using the blended experience of active employees and retirees, retiree premiums are lower than if they were set solely using the experience of the retiree group. GASB 45 requires that the County calculate and include the liability for this implicit subsidy. The differential between actuarial assumptions related to retiree claims and premiums and actual claims experience and premiums is the primary driver behind the liability related to the implicit subsidy. When claims experience is favorable compared to premium increases and actuarial assumptions, the implicit subsidy liability is likely to decline. Conversely, if the County experiences an unanticipated spike in retiree claims expenses, the implicit subsidy liability could increase. The impact of the difference between actuarial assumptions and actual experience is magnified by the fact that, similar to pension benefits, the County must project the impact over a 30-year period. Thus, a small change in the implicit subsidy in a single year is compounded over time.

The actuarial valuation as of July 1, 2009 under GASB 45 calculated the County's actuarial accrued liability (AAL), excluding the Schools portion, at approximately $441.3 million and the unfunded actuarial accrued liability as $391.1 million, as shown below.

| **Valuation Results as of July 1, 2009**<br>**(in thousands)** | |
|---|---|
| Actuarial Accrued Liability (AAL) | $441,300 |
| Plan Assets | $50,200 |
| Unfunded Actuarial Accrued Liability | $391,100 |
| Annual Required Contribution (ARC) | $32,600 |

It should be noted that the July 1, 2009 AAL of $441.3 million increased over the July 1, 2008 AAL of $350.7 million due to unfavorable retiree claims experience, lower than expected return on assets, and changes in medical trending assumptions.

To begin preparing for the implementation of GASB 45, a reserve was established in Fund 506, Health Benefits Trust Fund, as part of the *FY 2005 Carryover Review* to begin to address the County's unfunded liability. Through excess revenues received from employer contributions and additional General Fund contributions, a reserve of $48.2 million was accumulated and transferred to the newly created Fund 603, OPEB Trust Fund, at the *FY 2007 Carryover Review*. This $48.2 million in initial funding helped reduce the unfunded liability and fully funded the FY 2008 annual required contribution. The *FY 2009 Revised Budget*

*Plan* included an additional $14.9 million transfer from the GASB 45 Liability Reserve in Fund 506 which counted towards the FY 2009 ARC. In FY 2010, contributions towards the ARC were made through a $9.9 million General Fund transfer. The FY 2011 Advertised Budget Plan maintains this $9.9 million General Fund transfer. Additionally, in recognition of the fact that the OPEB liability is calculated based on all County positions and not only those funded by the General Fund, beginning in FY 2011, funds not supported by General Fund dollars will begin making contributions. It is anticipated that these contributions will total approximately $3.1 million in FY 2011.

After exploring numerous alternatives as to how to prudently invest and accumulate resources for OPEB, County staff recommended, and the Board of Supervisors approved on February 25, 2008, County participation in the Virginia Pooled OPEB Trust Fund in cooperation with the Virginia Municipal League (VML)/Virginia Association of Counties (VACo) Finance Program and other jurisdictions in the Commonwealth of Virginia. The County is represented on the Board of Trustees for the pooled trust and actively participates in decision-making to prudently invest accumulated resources for OPEB. It should be noted that the Virginia Pooled OPEB Trust Fund is used for investment purposes only; funds accumulated for OPEB are still accounted for in Fund 603.

Primarily due to the carryover of the FY 2008 net OPEB asset of $27.0 million and the $14.9 million transfer from Fund 506 in FY 2009, a net OPEB asset was shown on the County's FY 2009 financial statements. Additionally, with the $9.9 million General Fund transfer and assumed implicit subsidy contributions, it is anticipated that the County will maintain a net OPEB asset in FY 2010, as displayed in the chart below.

**Net OPEB Asset**
**(in thousands)**

| | FY 2009 Actual | FY 2010 Estimate |
|---|---|---|
| Annual Required Contribution (ARC) | $24,764 | $32,600 |
| Resources to Apply toward the ARC: | | |
| *Transfer from the General Fund* | *$0* | *$9,900* |
| *Transfer from Health Benefits Trust Fund* | *$14,900* | *$0* |
| *Implicit Subsidy Contribution* | *$6,657* | *$8,400* |
| Carryover of Prior Year Asset | $27,033 | $23,826 |
| Net OPEB Asset | $23,826 | $9,526 |

It is the County's policy to maintain a positive net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year. Because the County prepared early for the implementation of GASB 45 and was able to accumulate $48.2 million in initial funding, a net OPEB asset has been maintained and carried forward each year, helping to offset each subsequent year's ARC requirements. For example, for FY 2010, the County will contribute a projected $18.3 million towards the $32.6 million ARC. However, because of the carryover of the prior year asset, the County will maintain a positive net OPEB asset of approximately $9.5 million. This asset, as well as the $9.9 million transfer from the General Fund and the projected $3.1 million in contributions from Other Funds included in the FY 2011 Advertised Budget Plan, will be applied towards the FY 2011 ARC, which will not be calculated until the July 1, 2010 valuation in the fall of 2010. As the net OPEB asset and the amount able to be carried forward declines, it becomes imperative that the County allocate resources to fully fund the ARC each year.

***Retiree Health Benefit Subsidy***

The County's retiree health benefit subsidy was previously funded out of Fund 500, Retiree Health Benefits; however, as part of the FY 2009 Adopted Budget Plan, the benefit and administrative costs related to the subsidy were moved to Fund 603 as a result of the implementation of GASB 45. The County provides monthly subsidy payments to eligible retirees to help pay for health insurance. Prior to July 2003, the monthly subsidy was $100 for all eligible retirees. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service as detailed in the following table. It should be noted that for those retired prior to July 2003, the monthly subsidy is the greater of $100 and the amounts below. There is not a reduction in subsidy payments for employees who retired prior to July 1, 2003 but do not meet the revised requirements for years of service. However, those employees who retired prior to July 1, 2003 with 15 or more years of service were eligible for the increased subsidy as of July 1, 2003. It should be noted that the retiree health benefit subsidy is provided to retirees on a discretionary basis, and the Board of Supervisors reserves the right to reduce or eliminate the benefit in the future if the cost of the subsidy becomes prohibitive or an alternative is chosen to aid retirees in meeting their health insurance needs.

**Retiree Health Benefit Subsidy**

| Years of Service at Retirement | Monthly Subsidy |
|---|---|
| 5 to 9 | $30 |
| 10 to 14 | $65 |
| 15 to 19 | $155 |
| 20 to 24 | $190 |
| 25 or more | $220 |

The current subsidy structure became effective January 1, 2006 and includes a temporary 25 percent increase approved by the Board of Supervisors in response to the implementation of the new Medicare Part D prescription drug benefit. This increase qualified the County's self-insured health insurance plan to be deemed as actuarially equivalent to the Medicare Part D program. Employers who offer an actuarially equivalent program are eligible to receive a subsidy from the Centers for Medicare and Medicaid Services (CMS) based on retiree enrollment in their plans. The County receives the CMS subsidy on retirees and spouses enrolled in the County's self-insured health plan who do not enroll in Medicare Part D. The federal funding from CMS is expected to completely offset the cost of the 25 percent increase to the retiree subsidy. In addition to the increase, the subsidy structure was changed so that retirees no longer receive a reduced subsidy upon reaching the age of Medicare eligibility. County staff continue to work on developing a long-term County strategy for Medicare Part D, which may include elimination of the 25 percent increase in the subsidy at some point in the future. Final recommendations regarding Medicare Part D options will be presented to the Board of Supervisors upon a thorough examination of Medicare Part D plans.

During FY 2011, the average number of subsidy recipients, including new retirees who are eligible to receive the retiree health benefit subsidy, is expected to increase by 207, or 7.9 percent, from 2,631 in FY 2010 to 2,838 in FY 2011. Estimates of the average number of subsidy recipients are based on a review of the projected number of retirements and health subsidy eligibility for personnel already retired from the Fairfax County Employees', Uniformed, and Police Officers Retirement Systems. Retirees who become eligible to receive the subsidy are paid based on the period of eligibility within the fiscal year, which may or may not comprise a full year of payments. It should be noted that in FY 2006, the Board of Supervisors approved an additional benefit to Health Department employees who remained in the Virginia Retirement System (VRS) after their conversion from state to County employment in 1995. Current and future retirees who participate in a County health plan are eligible to receive the differential between the County retiree health benefit subsidy for which the employee is eligible based on years of service, which currently has a maximum of $220 per month, and that provided by VRS, which has a maximum of $120 per month. Furthermore, effective July 1, 2006, the County began providing the maximum retiree health benefit subsidy of $220 per month to those Police officers who were hired before July 1, 1981 and retired or will retire with full retirement benefits with 20, but less than 25, years of service. These Police officers previously received a subsidy of $190 per month.

## Initiatives

- Provide an appropriate funding level to support the retiree health benefit subsidy and make progress towards reducing the County's unfunded OPEB liability.
- Continue to allow for the timely and accurate distribution of retiree health benefit subsidy payments.

# Fund 603
# OPEB Trust Fund

- Estimate actuarial liabilities to comply with GASB's accounting requirements for post-employment benefits other than pensions.
- Invest fund assets appropriately in order to facilitate the capture of long-term investment returns.
- Continue to develop a long-term County strategy for Medicare Part D, which may include elimination of the 25 percent increase in the subsidy.

## Budget and Staff Resources

| Agency Summary[1] | | | | |
|---|---|---|---|---|
| **Category** | **FY 2009 Actual** | **FY 2010 Adopted Budget Plan** | **FY 2010 Revised Budget Plan** | **FY 2011 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$12,686,979** | **$6,677,881** | **$6,677,881** | **$6,842,229** |

| Position Summary[1] |
|---|
| 1 Accountant III |
| **TOTAL POSITIONS**<br>**1 Positions / 1.0 Staff Year** |

[1] It should be noted that the 1/1.0 SYE Accountant III position resides in the Retirement Administration Agency and is financed by Fund 603, OPEB Trust Fund.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Benefit Payments** **$325,348**
  An increase of $325,348 is attributable to a projected increase in the number of retirees receiving the retiree health benefits subsidy.

- **Administrative Expenses** **($161,000)**
  A decrease of $161,000 in Operating Expenses is primarily due to a budget alignment with accounting procedures for investment management fees, as these fees are netted out of investment income and not shown as a fund expenditure.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- There have been no revisions to this fund since approval of the FY 2010 Adopted Budget Plan.

# Fund 603
# OPEB Trust Fund

## FUND STATEMENT

**Fund Type G60, Trust Funds** | **Fund 603, OPEB Trust Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$48,212,088** | **$59,964,793** | **$51,792,775** | **$57,591,794** |
| Revenue: | | | | |
| CMS Medicare Part D Subsidy | $1,070,682 | $968,000 | $968,000 | $1,100,000 |
| Investment Income | 60,812 | 1,608,900 | 1,608,900 | 75,000 |
| Implicit Subsidy[1] | 6,657,000 | 0 | 0 | 0 |
| Other Funds Contributions | 0 | 0 | 0 | 3,101,577 |
| Total Realized Revenue | $7,788,494 | $2,576,900 | $2,576,900 | $4,276,577 |
| Unrealized Gain/(Loss) | ($6,832,261) | $0 | $0 | $0 |
| Total Revenue | $956,233 | $2,576,900 | $2,576,900 | $4,276,577 |
| Transfer In: | | | | |
| General Fund (001) | $0 | $9,900,000 | $9,900,000 | $9,900,000 |
| Retiree Health Benefits (500)[2] | 411,433 | 0 | 0 | 0 |
| Health Benefits Trust Fund (506) | 14,900,000 | 0 | 0 | 0 |
| Total Transfer In | $15,311,433 | $9,900,000 | $9,900,000 | $9,900,000 |
| **Total Available** | **$64,479,754** | **$72,441,693** | **$64,269,675** | **$71,768,371** |
| Expenditures: | | | | |
| Benefits Paid | $5,810,805 | $6,352,140 | $6,352,140 | $6,677,488 |
| Implicit Subsidy[1] | 6,657,000 | 0 | 0 | 0 |
| Administrative | 219,174 | 325,741 | 325,741 | 164,741 |
| Total Expenditures | $12,686,979 | $6,677,881 | $6,677,881 | $6,842,229 |
| **Total Disbursements** | **$12,686,979** | **$6,677,881** | **$6,677,881** | **$6,842,229** |
| | | | | |
| **Reserved Ending Balance[3]** | **$51,792,775** | **$65,763,812** | **$57,591,794** | **$64,926,142** |

[1] In order to account for revenues and expenditures in the proper fiscal period, an audit adjustment in the amount of $6,657,000 has been reflected for both FY 2009 revenues and expenditures. This adjustment, which nets to $0, is required to accurately reflect the County's contribution and benefit payments for the implicit subsidy for retirees. The implicit subsidy is paid from the General Fund, but it is shown in Fund 603, OPEB Trust Fund, to appropriately reflect all activities for GASB 45 in a single fund. The audit adjustment has been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the FY 2009 audit adjustments will be included in the FY 2010 Third Quarter Package.

[2]As part of the FY 2009 Adopted Budget Plan, all activity in Fund 500, Retiree Health Benefits, was transferred to Fund 603, OPEB Trust Fund, in order to address the implementation of Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits (OPEBs). The remaining FY 2008 balance in Fund 500 of $411,433 was moved to Fund 603 as part of the *FY 2008 Carryover Review*.

[2] The Reserved Ending Balance in Fund 603, OPEB Trust Fund, represents the amount of assets held in reserve by the County to offset the estimated Unfunded Actuarial Accrued Liability for other post-employment benefits. The balance is anticipated to grow each year as a result of contributions and investment returns. The $65.0 million reserve in FY 2011 is applied toward the liability of $441.3 million calculated as of July 1, 2009.

# Fund 691
# Educational Employees' Supplementary Retirement

## Focus

The Educational Employees' Supplementary Retirement Fund is a qualified retirement plan under section 401(a) of the Internal Revenue Code and is required to operate under specific provisions of the Code and in conformance with general trust law. Responsibility for general administration and operation of the fund in vested in a Board of Trustees. FY 2011 expenditures are estimated at $175.4 million.

# Fund 691
# Educational Employees' Supplementary Retirement

## FUND STATEMENT

**Fund Type G60, Trust and Agency Funds**

**Fund 691, Educational Employees' Supplementary Retirement**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$1,858,478,688** | **$1,915,483,626** | **$1,441,366,143** | **$1,477,410,082** |
| Receipts: | | | | |
| Contributions | $88,008,889 | $93,632,000 | $86,150,000 | $96,203,790 |
| Investment Income | (349,773,719) | 147,123,000 | 117,669,000 | 126,626,000 |
| Total Revenue | ($261,764,830) | $240,755,000 | $203,819,000 | $222,829,790 |
| **Total Available** | **$1,596,713,858** | **$2,156,238,626** | **$1,645,185,143** | **$1,700,239,872** |
| Total Expenditures | $155,347,715 | $180,448,550 | $167,775,061 | $175,427,519 |
| **Total Disbursements** | **$155,347,715** | **$180,448,550** | **$167,775,061** | **$175,427,519** |
| **Ending Balance** | **$1,441,366,143** | **$1,975,790,076** | **$1,477,410,082** | **$1,524,812,353** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

# Fund 692
# Public School OPEB Trust Fund

## Focus

Fund 692, Public School Other Post-employment Benefits (OPEB) Trust Fund, was established by the School Board in FY 2008 as a mechanism to accumulate and invest assets to fund the school system's other post-employment benefits.

In July 2004, the Governmental Accounting Standards Board (GASB) issued Statement No. 45, "Accounting and Financial Reporting by Employers for Post-employment Benefits Other than Pensions." This standard addresses how the school system should account for and report its costs related to post-employment health care and other non-pension benefits, such as the program subsidizing the cost of health benefit coverage and premiums for eligible retirees and their surviving spouses.

Program participants may continue medical coverage by paying the appropriate subsidized premiums. Subsidies range from $15 to $175 per month (explicit subsidy), based on years of service and the retirement plan under which the retiree is covered. In addition, FCPS subsidizes the premium rates paid by the retirees by allowing them to participate in the medical plans at the reduced or blended group premium rates for both active and retired employees (implicit subsidy). These rates provide an implicit subsidy for retirees because, on an actuarial basis, their current and future claims are expected to result in higher costs to the program on average than those of active employees. GASB 45 requires that FCPS calculate and include the liability for this implicit subsidy.

An actuarial valuation is performed to determine the actuarial accrued liability and the corresponding Annual Required Contribution (ARC) based on the 30-year amortization of this liability and an additional amount necessary to pre-fund benefits accrued by active employees during the current year. Funding contributions towards the ARC are determined by the School Board. The FY 2011 ARC will be determined by an actuarial valuation that will be completed in FY 2011.

# Fund 692
# Public School OPEB Trust Fund

## FUND STATEMENT

**Fund Type G60, Trust Funds** **Fund 692, Public School OPEB Trust Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan[1] | FY 2011 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$7,995,517** | **$16,185,517** | **$17,520,320** | **$17,995,320** |
| Revenue: | | | | |
| Employer Contribution | $36,641,152 | $0 | $26,000,000 | $37,000,000 |
| Interest on Investment Income | (1,166,577) | 0 | 485,000 | 2,000,000 |
| Total Revenue | $35,474,575 | $0 | $26,485,000 | $39,000,000 |
| **Total Available** | **$43,470,092** | **$16,185,517** | **$44,005,320** | **$56,995,320** |
| Total Expenditures | $25,949,772 | $0 | $26,010,000 | $26,047,000 |
| **Total Disbursements** | **$25,949,772** | **$0** | **$26,010,000** | **$26,047,000** |
| **Reserved Ending Balance** | **$17,520,320** | **$16,185,517** | **$17,995,320** | **$30,948,320** |

[1] The *FY 2010 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on November 16, 2009 during their *FY 2010 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2010 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 20, 2010.

# Fund 700
# Route 28 Tax District

## Focus

Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District (District) on December 21, 1987. Under Virginia law such a district may be formed only upon the joint petition of owners of at least 51 percent of the land area in each county which is within the boundaries of the proposed district and which has been zoned or is used for commercial or industrial purposes. The District was formed to provide improvements to State Route 28 which connects State Route 7 in eastern Loudoun County to U.S. Route 50 and Interstate 66 in western Fairfax County, running approximately parallel to the County's western border. State Route 28 provides access to Dulles International Airport, along with the Dulles Access Road, which connects the Capital Beltway to Dulles International Airport. This District was formed upon landowner petition to accelerate planned highway improvements proposed by the state which relied primarily on slower pay-as-you-go financing from the Northern Virginia region's share of the State Primary Road Fund allocation. Under the terms of the agreement with the state, the District will fund 75 percent of defined Phase I and Phase II improvements and the state will fund 25 percent.

The District, administered by a Commission appointed by the Board of Supervisors of both counties, may subject the owners of industrial and commercial property within the District to a maximum additional tax assessment of 20 cents per $100 of assessed value. The proposed FY 2011 assessment is 18 cents per $100 of assessed value. These funds, in addition to funds received through the State Primary Road Fund allocation formula, are to be used for the road improvements and debt service on bonds issued by the state. Improvements completed for Phase I of the Route 28 project included widening the existing road from two to six lanes and upgrading three major intersections. Legislation authorizing the issuance of Commonwealth Transportation Board (CTB) revenue bonds up to $160.7 million plus issuance expenses to finance the Phase I improvements to Route 28 was enacted during the 1988 Virginia General Assembly and became effective July 1, 1988. This legislation stipulates that the additional tax assessment in the District and funds allocated to the highway construction district, in which Route 28 lies, would reimburse the state for its debt service payments on its bonds. The Commonwealth issued $138.5 million in revenue bonds for the District in September 1988.

Fairfax County and Loudoun County entered into a contract with the District and agreed to levy an additional tax assessment, as requested by the District, collect the tax, and pay all tax revenues to a Fiscal Agent for distribution. The contract specifies that the counties shall pay all revenues collected on behalf of the District to Trustees jointly designated by the CTB and the counties, and the District in turn shall notify the County of the required payment and request a rate sufficient to collect that amount, up to a maximum of 20 cents per $100 of assessed value. The rate is set at $0.18 cents per $100 dollars of assessed value. In FY 2011, an amount of $10,645,808 has been included for Fairfax County collections based on estimated tax collections and an allowance for potential property buy outs, late payments and penalties.

In August 2002 Fairfax County, Loudoun County, the Commonwealth Transportation Board and the Fairfax County Economic Development Authority (EDA) entered into contractual agreements to provide for construction of a portion of additional improvements on Route 28 (Phase II improvements). Specifically, six separated grade interchanges to be constructed to ease traffic congestion. Funding totaling $201.7 million was made available from a joint financing plan providing $75.4 million from CTB funds allocated from the state six-year primary and secondary road plan, approximately $36.3 million of remaining CTB Route 28 bond authorization, and approximately $90.0 million of bond funds issued by the EDA in 2003 and 2004 and supported by the two counties. Construction of the first six interchanges was completed in FY 2008.

In October 2006, the CTB, the counties and the Fairfax County EDA approved the financing plan for the construction of the final four interchanges at Willard Road, Frying Pan Park Road, CIT/Innovation Drive and Nokes Boulevard. The plan included acceptance of a $5.0 million grant and a $20.0 million loan from the State Transportation Partnership Opportunity Fund (TPOF); and issuance of Route 28 District revenue bonds; and use of surplus District tax revenues to fund the $119.2 million project estimate. Fairfax County EDA Revenue bonds were planned to be issued in two series. A total of $41.505 million were issued on February 27, 2007 and $51.505 million were issued on July 9, 2008. It should be noted that on July 24, 2007, the CTB notified the District Commission that an additional $23,936,772 was approved in the CTB's FY 2008-2013 Six

Year Improvement Plan as payment toward the State Obligation under the District Contract. Therefore, this additional funding fully replaced the $20,000,000 originally planned for the TPOF loan.

All bond issues will be fully supported by District tax revenue. In order to maximize revenues available for new debt service, the CTB refunded its outstanding 1992 bonds in October 2002. At the same time the CTB issued $36.4 million of new bonds for construction, representing the balance of bond authorization remaining from the 1988 acts of the General Assembly. The Fairfax County EDA issued Transportation Contract Revenue bonds in the amount of $33.375 million in October 2003 and issued $57.4 million in August 2004 as well as $41.505 million on February 27, 2007 and $51.505 million on July 9, 2008. In the event that District revenues are not sufficient to make debt service payments, the state bonds are backed by the appropriated state allocations to the Northern Virginia Transportation District. The EDA bonds are supported by a Revenue Stabilization Fund (RSF) equal to maximum annual EDA debt service created from surplus revenue collections. As a further credit enhancement for the proposed EDA bonds, both Fairfax and Loudoun Counties have pledged a joint moral obligation in the event that tax revenues and the RSF are not sufficient to support EDA debt service. It should be noted that due to the strong financial status of the fund, the Route 28 District Advisory Board recommended on March 18, 2009 a two cent decrease in the tax rate from $0.20 to $0.18 per $100 of assessed value. This tax rate decrease was subsequently adopted by the Board of Supervisors on April 27, 2009. No change in the tax rate is recommended for FY 2011. The following chart depicts the financing structure as of November 2009:

## Current Bonds

| Bond Year (April 1) | District Revenues[1] | Series 2002 CTB Debt Service[2] | Series 2003 2004 2007A & 2008 EDA Debt Service[3] | Total Debt Service | Excess Revenues | Cumulative Excess Revenues[4] |
|---|---|---|---|---|---|---|
| Balance Fwd | | | | | | $6,408,259 |
| 2003 | $5,836,398 | $4,656,294 | $0 | $4,656,294 | $1,180,104 | 7,588,363 |
| 2004 | 12,679,429 | 7,523,176 | 3,127,943 | 10,651,119 | 2,028,310 | 9,616,673 |
| 2005 | 13,367,270 | 7,531,145 | 3,676,138 | 11,207,283 | 2,159,987 | 11,776,660 |
| 2006 | 14,486,968 | 7,528,145 | 4,169,446 | 11,697,591 | 2,789,377 | 13,066,037 |
| 2007 | 20,912,782 | 7,529,845 | 4,169,445 | 11,699,290 | 9,213,492 | 22,279,529 |
| 2008 | 24,560,055 | 7,524,883 | 6,034,672 | 13,559,555 | 11,000,500 | 33,280,029 |
| 2009 | 23,984,601 | 7,530,712 | 7,582,839 | 15,113,551 | 8,871,050 | 42,151,079 |
| 2010 | 21,797,260 | 7,528,150 | 8,679,995 | 16,208,145 | 5,589,115 | 47,740,194 |
| 2011 | 18,957,434 | 7,528,835 | 9,299,115 | 16,827,950 | 2,129,484 | 49,869,678 |
| 2012 | | 7,529,625 | 9,752,250 | 17,281,875 | | |
| 2013 | | 7,530,300 | 9,988,263 | 17,518,563 | | |
| 2014 | | 7,528,050 | 10,530,813 | 18,058,863 | | |
| 2015 | | 7,531,800 | 11,291,025 | 18,822,825 | | |
| 2016 | | 7,530,550 | 11,765,485 | 19,296,035 | | |
| 2017 | | 7,528,800 | 11,767,235 | 19,296,035 | | |
| 2018 | | 7,525,800 | 11,771,675 | 19,297,475 | | |
| 2019 | | 8,100,000 | 11,200,688 | 19,300,688 | | |
| 2020 | | 8,100,000 | 11,197,350 | 19,297,350 | | |
| 2021 | | 8,105,000 | 11,192,438 | 19,297,438 | | |
| 2022 | | 8,105,000 | 11,193,663 | 19,298,663 | | |
| 2023 | | 8,105,000 | 11,193,950 | 19,298,950 | | |
| 2024 | | 8,105,000 | 11,191,975 | 19,296,975 | | |
| 2025 | | 8,105,000 | 11,195,588 | 19,300,588 | | |
| 2026 | | 8,105,000 | 11,192,388 | 19,297,388 | | |

# Fund 700
# Route 28 Tax District

| Bond Year (April 1) | District Revenues[1] | Series 2002 CTB Debt Service[2] | Series 2003 2004 2007A & 2008 EDA Debt Service[3] | Total Debt Service | Excess Revenues | Cumulative Excess Revenues[4] |
|---|---|---|---|---|---|---|
| **2027** | | 8,105,000 | 11,190,888 | 19,295,888 | | |
| **2028** | | 8,105,000 | 11,191,513 | 19,296,513 | | |
| **2029** | | 8,105,000 | 11,193,488 | 19,298,488 | | |
| **2030** | | 8,105,000 | 11,191,838 | 19,296,838 | | |
| **2031** | | 8,105,000 | 11,195,288 | 19,300,288 | | |
| **2032** | | 8,105,000 | 11,192,888 | 19,297,888 | | |
| **2033** | | | 19,299,088 | 19,299,088 | | |
| **2034** | | | 19,298,213 | 19,298,213 | | |
| **2035** | | | 19,298,325 | 19,298,325 | | |
| **2036** | | | 19,298,038 | 19,298,038 | | |
| **2037** | | | 19,295,813 | 19,295,813 | | |
| **Total** | **N/A** | **$231,046,110** | **$376,809,758** | **$607,855,869** | **N/A** | **N/A** |

[1] FY 2003 represents partial year tax revenue and interest collections from October 1, 2002 to April 1, 2003. Tax district revenues represent all revenue collected from April 2 through April 1, respectively. FY 2003 through FY 2007 amounts are actual figures. FY 2008 and FY 2009 are estimates of combined Fairfax and Loudoun collections plus estimated interest earnings on revenue, debt service reserve and revenue stabilization fund accounts. Actual revenues also may include district buy-out proceeds.

[2] CTB Revenue Refunding and Revenue Bond Debt Service issue of September 26, 2002.

[3] Based on completion of EDA bond issues in 2003, 2004, 2007 and 2008 for an aggregate amount of $183,795,000. Sale of the Series 2003 bonds in the amount of $33,375,000 was completed on October 29, 2003. Sale of the Series 2004 bonds in the amount of $57,410,000 was completed on August 19, 2004. Sale of the Series 2007A Bonds in the amount of $41,505,000 was completed on February 27, 2007. Sale of the Series 2008 Bonds in the amount of $51,505,000 was completed on July 9, 2008.

[4] Balance Forward represents funds on account with CTB and transferred to the Fiscal Agent upon refunding the 1992 bonds and new money bonds issued October 2002. An amount of $19.30 million is reserved to fund the Revenue Stabilization Fund (RSF). Excess revenues available after achieving full RSF funding are held with the Fiscal Agent and may be used to fund deficiencies in the Debt Service Fund, additional Phase II improvements or reduce the tax rate in accordance with the District Contract. The tax rate may not be reduced until the District has recorded at least two successive years of excess revenues.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Fiscal Agent Payments** **($1,945,865)**
An decrease of $1,945,865 or 15.5 percent from the FY 2010 Adopted Budget Plan amount of $12,591,673 for estimated payments to the fiscal agent is projected primarily due to a decrease in the assessed value of 18.0 percent which includes taxes due of $9,645,808 based on projections for the January 1, 2010 assessments and an allowance for one-time buy-outs and late payments of $1,000,000.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$7,021**
As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase $7,021 or 0.06 percent over the FY 2010 Adopted Budget Plan total of $12,591,673 based on a higher than anticipated ending balance. All taxes collected, as well as tax district buy-out funds, are remitted to the fiscal agent on a monthly basis as collected.

# Fund 700
# Route 28 Tax District

## FUND STATEMENT

**Fund Type G70, Agency Funds** — **Fund 700, Route 28 Tax District**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,317** | **$0** | **$7,021** | **$0** |
| Revenue: | | | | |
| Real Estate Taxes-Current[1] | $13,247,748 | $11,591,673 | $11,591,673 | $9,645,808 |
| Revenue from Buy Outs | 0 | 1,000,000 | 1,000,000 | 1,000,000 |
| Interest on Investments | 18,102 | 0 | 0 | 0 |
| Total Revenue | $13,265,850 | $12,591,673 | $12,591,673 | $10,645,808 |
| **Total Available** | **$13,268,167** | **$12,591,673** | **$12,598,694** | **$10,645,808** |
| Expenditures: | | | | |
| Payments to the State | $13,261,146 | $12,591,673 | $12,598,694 | $10,645,808 |
| Total Expenditures | $13,261,146 | $12,591,673 | $12,598,694 | $10,645,808 |
| **Total Disbursements** | **$13,261,146** | **$12,591,673** | **$12,598,694** | **$10,645,808** |
| | | | | |
| **Ending Balance[2]** | **$7,021** | **$0** | **$0** | **$0** |
| Tax rate/per $100 Assessed Value | $0.20 | $0.18 | $0.18 | $0.18 |

[1] Estimate to provide for sufficient appropriation includes projected tax collections based on assessments, and allowances for late payments, penalties and permitted property buy-outs. All monies collected are required to be remitted to the Fiscal Agent monthly as collected.

[2] As all monies collected are required to be remitted to the Fiscal Agent monthly as collected the ending balance should be zero unless as of the closing period there were pending remittances to the Fiscal Agent.

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# Housing and Community Development Program Overview

| Affordable Housing | Neighborhood Preservation and Revitalization | Capital Formation and Capacity Building |
|---|---|---|

## Introduction

The Housing Overview section describes the programs and projects operated by the Fairfax County Department of Housing and Community Development (HCD) and the multiple sources of funds that support these activities.

As a County agency, HCD undertakes many programs on behalf of the Board of Supervisors. HCD also serves as the administrative arm of the Fairfax County Redevelopment and Housing Authority (FCRHA), a separate legal entity that was established in 1966 pursuant to Chapter 1, Title 36 of the Code of Virginia. FCRHA's roles include planning, design, production, rehabilitation and maintenance of housing, for low- and moderate-income households, and assisting in the revitalization of neighborhoods in Fairfax County. Eleven Commissioners are appointed to the FCRHA for four-year terms by the Board of Supervisors. A chairman and vice-chairman are then selected by a vote of the commissioners.

**Housing Blueprint**

In January 2010, the Board of Supervisors endorsed a new affordable housing policy, known as the "Housing Blueprint". The Blueprint represents a shift in emphasis for the County's affordable housing policies in response to the ongoing recession. Per the Board's direction, the Blueprint focuses on providing housing for those with the greatest need, including homeless families and individuals, persons with disabilities, and people with extremely low incomes. The Blueprint also emphasizes partnering with the County's non-profit community to provide creative affordable housing solutions, refocusing of existing resources, and fostering the development of workforce housing through land use policies and public/private partnerships. The Blueprint has four goals:

- To end homelessness in 10 years;
- To provide affordable housing options to those with special needs;
- To reduce the waiting lists for affordable housing by half in 10 years; and
- To produce Workforce Housing sufficient to accommodate projected job growth.

To achieve these goals, the Blueprint establishes a set of specific metrics for FY 2011 using a combination of existing resources and additional County funding, if appropriated. The commitment of resources and metrics reflect the Board-adopted Plan to Prevent and End Homelessness and the recommendations of the Fairfax County Affordable Housing Advisory Committee, in concert with the FCRHA and the Fairfax-Falls Church Community Services Board, including priority recommendations regarding the County funds requested for Blueprint projects and programs.

**Sources Supporting HCD Operations**

The sources supporting HCD's operations include County funds, General Obligation Bonds, federal grants, private capital, revenue from program operations (e.g., developer fees, rent from tenants of housing owned by the FCRHA and income from repayment of loans) and interest income. As a result of these multiple, complex funding streams, HCD has 19 funds. Some funds are appropriated by the Board of Supervisors, while others are allocated by the FCRHA. All are included in this budget in order to provide a complete financial overview. These 19 funds encompass all of the operations of HCD/FCRHA with the exception of seven housing developments that are operated by outside management companies under contract with the FCRHA and/or are owned by the FCHRA in partnership with private investors. Separate financial records are maintained for these developments.

FY 2011 anticipated expenditures supporting the HCD and FCRHA activities total $100,012,965 including $8,432,982 in General Fund support, $24,951,267 in other County appropriated funds, and $66,628,716 in non-County appropriated funds. Total revenue for FY 2011 is anticipated to be $100,855,298 as shown on the Consolidated Fund Statement. Receipts from federal/state sources are anticipated to be $57,547,322 or 57.0 percent of total funding sources. More detailed descriptions of FY 2011 funding levels may be found in the narratives for each fund following this Overview.

Because HCD's programs are supported by multiple sources of funds, the Agency Mission and Focus, Program Goals, and Performance Measures are consolidated in this Overview rather than appearing with each fund. Performance measures for FY 2011 are generally consistent with FY 2010 performance measures. This Overview also provides summary information on the organization, staffing and consolidated budget for HCD.

## Mission

To preserve and increase opportunities for affordable housing in Fairfax County based on need, community priorities and the policy of the Board of Supervisors and the FCHRA. Driven by a community vision, to lead efforts to revitalize older areas of Fairfax County, to spur private reinvestment, maximize existing infrastructure and public investment, reverse negative perceptions, and create employment opportunities.

## Focus

HCD connects with the residents of Fairfax County at their roots – home, neighborhood and community. All HCD programs, activities and services revolve around this important link and can be grouped in three service areas: **Affordable Housing**, **Neighborhood Preservation**, and **Capital Formation and Capacity Building**.

**Affordable Housing** supports individuals and families in their effort to find homes that are safe, affordable, and stable.

**Neighborhood Preservation** focuses on sustaining and improving communities.

**Capital Formation and Capacity Building** focuses on development of partnerships with private investors and other public agencies resulting in capital investment and financial support for the HCD and FCRHA mission.

These service areas encompass all of the activities of the 19 HCD funds. The total FY 2011 Advertised Budget Plan of $100.0 million can be distributed to these service areas and the general costs of running the department. It should be noted that many of the functional areas of HCD cross these service areas, so an exact allocation to the service areas is not possible. The FY 2011 Advertised Budget Plan is $5.8 million more than the FY 2010 Adopted Budget Plan primarily due to additional funding received from the U.S. Department of Housing and Urban Development for public housing requirements. See subsequent Housing Fund narratives in Volume 2 for specific increases.

Highlighted below are the main functions included in each of the service areas.

## Affordable Housing:

### Affordable Housing Preservation

As of January 2010, a total of 2,376 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,124 units are preserved for 20 years or longer. The FCRHA's major affordable housing preservation successes include: Wedgewood Apartments, 672 units (Braddock District); Janna Lee Village, 319 units (Lee District); Madison Ridge, 216 units (Sully District); Crescent Apartments, 180 units (Hunter Mill District); Coralain Gardens, 105 units (Mason District); Sunset Park Apartments, 90 units (Mason District); and Hollybrooke II, 98 units and Hollybrooke III, 50 units (Mason District).

**First-Time Homebuyers Program and Moderate Income Direct Sales Program**
This program offers new and resale homes at below market prices. These homes are built by private developers and are located throughout the County. HCD markets the homes and, in most cases, provides financing assistance to first-time homebuyers. In FY 2009, a total of 120 families purchased homes via the Fairfax County First-Time Homebuyers program. Through FY 2009, 2,063 homes have been sold to first-time homebuyers as a result of these programs since 1992.

**Below-Market Mortgages and Downpayment and Closing Costs Loans**
The Homeownership Division facilitated the administration of $16,000,678 of below-market mortgage funds in FY 2009. This included 72 loans to families through the Virginia Housing Development Authority's (VHDA) Sponsor Partnership and Revitalizing Communities (SPARC) first-trust mortgage program and eight loans through Fairfax County's Homebuyer Equity Loan Program (HELP)/Silver Lining Initiative, which uses federal HOME Investment Partnerships (HOME) grant funds and an allocation from Fund 319, The Penny for Affordable Housing Fund, to provide loans of up to $91,767 for income-eligible households.

**Homeownership Resource Center**
The Homeownership Resource Center, located on the first floor of the FCRHA headquarters building on Pender Drive (Providence District), serves nearly 500 persons per month, providing information on homeownership, homeownership education, one-on-one and group counseling sessions, opportunities to meet with lenders, applicant briefings, and coordination of resources for current and prospective first-time homebuyers. In FY 2009, the Homeownership Resource Center responded to 3,188 telephone calls and 2,432 walk-in clients.

**Homeownership Education**
Through a partnership with VHDA, local lenders and housing professionals, six-hour homeownership education classes were provided to potential Fairfax County homebuyers in FY 2009. Completion of the class qualifies graduates to participate in the First-Time Homebuyers Program and the ability to access below-market financing, down payment and closing cost assistance. Classes have been offered in English, Spanish, Vietnamese, Korean, and American Sign Language. During FY 2009, the Fairfax County First-Time Homebuyers Program conducted 28 orientation sessions serving 1,264 attendees, and 12 application sessions serving 99 attendees. Forty-one VHDA homebuyer classes were held, serving 823 participants. In addition, first-time homebuyers learned about maintaining their home and their responsibilities as homeowners living within their community. Also in FY 2009, HCD continued to provide post-purchase counseling, at settlement, for purchasers of Affordable Dwelling Units (ADUs) being re-sold through the FCRHA.

**Addressing the Foreclosure Crisis**
Fairfax County's foreclosure program is designed to address the current foreclosure problem and help stabilize impacted neighborhoods while increasing the opportunities for additional workforce housing. These approaches include: Assistance to Homeowners in Distress, the Silver Lining Initiative and Neighborhood Preservation Efforts:

- Assistance to Homeowners in Distress: Housing specialists with training in foreclosure counseling from HCD, other County agencies and nonprofit organizations coordinate efforts with the VHDA to counsel households at risk of foreclosure in Fairfax County. From June 2008 through June 2009, 739 persons received foreclosure counseling.

- Silver Lining Initiative: Through shared equity second trusts, the County assists first-time homebuyers in purchasing foreclosed homes. The purchase price of the foreclosed home cannot exceed $385,000, and homes are limited to townhouses and single-family homes. Low-cost first mortgages are provided through the VHDA's SPARC program and used for qualifying households. A total of 41 first-time homebuyer families purchased foreclosed properties in the County between May 2008 and November 2009 as a result of the Silver Lining Initiative, using Fund 319, The Penny for Affordable Housing Fund, federal HOME funds, and Fairfax County's allocation of VHDA SPARC funds.

- Neighborhood Preservation Efforts: To assist in maintaining home values in communities with foreclosed properties, the County will use a variety of tools such as its existing Home Improvement Loan Program (HILP). The foreclosure program also allows the County to purchase up to ten foreclosed homes identified as being abandoned, deteriorated or being a destabilizing force in a neighborhood (no properties purchased as of January 2010). Any such properties purchased by the County would be rehabilitated and re-sold to first-time homebuyers or a non-profit organization.

**Compliance Monitoring**
Compliance monitoring is an ongoing activity which encompasses a variety of HCD programs. This activity includes monitoring of:

- Over 1,400 properties sold through the First-Time Homebuyer Program (including "for-sale" Affordable Dwelling Units (ADUs);

- 1,024 privately-owned and operated rental ADUs which are located in large multifamily apartment properties across the County;

- 1,070 Workforce Housing units which have been committed to be built by private developers;

- 2,995 Fairfax County/FCRHA-owned Public Housing and Fairfax County Rental Program (FCRP) multifamily units and 782 units and beds of specialized, supportive housing; and

- Over 3,100 Housing Choice Vouchers.

In addition, HCD monitors the use of federal funds received by Fairfax County from programs such as the Community Development Block Grant (CDBG), the Neighborhood Stabilization Program, and the HOME Investment Partnership (HOME) program, and granted to a variety of organizations.

**FCRHA Rental Housing Programs**
This function includes properties owned by the FCRHA under the Fairfax County Rental Program (FCRP) for those with modest means, as well as properties owned by limited partnerships affiliated with the FCRHA. In addition, it encompasses properties under the Fairfax County Public Housing Program and rental subsidies under the Fairfax County Housing Choice Voucher Program for those with very low incomes. These resources provided housing for over 17,000 low-and moderate-income Fairfax County residents in FY 2009. The average household income served in the FCRHA's major rental housing and tenant subsidy programs in FY 2009 was $26,477, or 29 percent of the Area Median Income (AMI) for a family of three, which meets the federal definition of extremely low-income.

**FCRHA Development Activities**
HCD, in conjunction with the FCRHA, facilitates the development of affordable housing by non-profit and for-profit developers through incentives and financing. HCD and FCRHA also build and own housing for low- and moderate-income families and individuals, and households with special needs. In addition, FCRHA partners with private investors, through limited partnerships, to develop and operate affordable housing.

**Active Adult Housing and Assisted Living**
This activity provides 414 affordable active adult rental apartments in Fairfax, Herndon, Springfield, Lincolnia, McLean, and the Mount Vernon/Gum Springs areas of Fairfax County, as well as 112 beds of assisted living at Braddock Glen in Fairfax and at the Lincolnia Senior Center and Residence in Alexandria (Fairfax County).

**Relocation Monitoring**
This program provides technical assistance and monitoring for preservation initiatives. This activity also includes relocation services for all federally-funded projects throughout the agency. In FY 2009, staff conducted relocation reviews of 54 projects for compliance with the federal Uniform Relocation Act and the Fairfax County Voluntary Relocation Assistance Guidelines.

**Relocation Advisory Services for Condominium Conversion**
These services provide technical assistance to developers under both the Fairfax County Relocation Guidelines and Fairfax County Code for projects where there is substantial rehabilitation and condominium conversion. Technical assistance under the federally mandated Uniform Relocation Act is provided if federal funds are involved in the project.

**Affordable/Workforce Housing**
The Board of Supervisors created a Workforce Housing Program through amendments to the Fairfax County Comprehensive Plan and Zoning Ordinance, and the adoption of a new Board policy. The Workforce Housing Program, based on the recommendations of the Board-appointed High-Rise Affordability Panel, is a proffer-based incentive system to encourage developers to provide workforce housing in the County's mixed-use development centers. The Board's action sets forth the expectation that 12 percent of all new residential units will be affordable to a range of moderate-incomes up to 120 percent of the AMI. As of September 2009, a total of 1,070 Workforce Dwelling Units had been committed by private developers in rezoning actions approved by the Board of Supervisors. Development of these units is expected to begin in two to three years.

## Neighborhood Preservation:

**Home Improvement Loan Program and Home Repair for the Elderly**
These activities provide loans to homeowners and some landlords to fix up their properties. In addition, there is a crew to assist qualified elderly homeowners in making minor repairs at no charge. In FY 2009, nearly $260,000 was provided in the form of loans or grants to homeowners for repairs and improvements to their property and 120 qualified disabled or elderly homeowners received free repairs.

**Neighborhood Improvements**
This activity is targeted at preservation and improvement in residential neighborhoods designated under the Community Improvement Program and in designated Conservation and Redevelopment areas. Following a community planning process and adoption of plans, the program also focuses on improvements to street, sidewalk, storm drainage and other infrastructure designed and constructed in conjunction with the Department of Public Works and Environmental Services (DPWES).

## Capital Formation and Capacity Building:

**Impact of Funding from the American Recovery and Reinvestment Act of 2009 (ARRA)**
Fairfax County was the recipient of nearly $6.37 million in direct, non-competitive grants for affordable housing under ARRA. This funding was as follows:

- Federal Public Housing Capital Fund: $2,294,177 for modernization of federal Public Housing units. Planned uses include improvement projects planned or underway at five FCRHA-owned federal Public Housing developments.

- Community Development Block Grant: $1,610,504 for CDBG-eligible activities. Planned uses include the renovation or modernization of FCRHA and non-profit owned affordable housing properties.

- Homeless Prevention and Rapid Re-Housing: $2,462,398 for homeless prevention, rental assistance, and utility payments. Planned uses include rapid re-housing services aimed at moving people who have become homeless quickly back into housing, and homeless prevention services, consistent with the Board-adopted Plan to Prevent and End Homelessness. These funds are administered by the Office to Prevent and End Homelessness.

The majority of these funds will likely be expended or otherwise encumbered before FY 2011. It is still unclear what, if any, additional funding opportunities will be available under ARRA.

**Funding Opportunities**
This activity focuses on identifying and applying for available funding opportunities to leverage and supplement County funds for projects and programs. It includes federal entitlement grants such as Community Development Block Grant (CDBG) and HOME Investment Partnership Grant (HOME), other federal grants such as the Public Housing Capital Fund Program, the Neighborhood Stabilization Program, the Homelessness Prevention and Rapid Re-Housing Program, additional funding available under the American Recovery and Reinvestment Act (ARRA), and other state and local grants and loans, as well as private financing.

**Partnering**
This activity links the FCRHA financing abilities with those of the private sector (non-profit and for-profit) to generate additional financial resources. Non-profit corporations or limited liability corporations (LLC) formed by the FCRHA partner with private investors and benefit from Federal Low Income Housing Tax Credits to fund FCRHA affordable housing for families and seniors. In addition, the FCRHA issues revenue bonds to raise funds from private investors to fund affordable housing and community facilities.

**Consolidated Plan/Consolidated Community Funding Advisory Committee (CCFAC)**
HCD provides leadership in developing and implementing the County's annual Consolidated Plan in conjunction with the CCFAC, a citizen committee. The Consolidated Plan is the required annual application for several entitlement grants to the County from the U.S. Department of Housing and Urban Development (HUD), which provided over $8.6 million for local housing and community development programs in FY 2009.

**Human Services**
This activity provides resources to the County's non-profit partners through the Consolidated Community Funding Pool (CCFP) for critical human services such as youth programs, housing support services, and services targeted toward the County's immigrant population. A significant portion of the funding comes from CDBG, administered by HCD, which also supports CCFP planning and administers contract awards. CCFP is providing approximately $11.0 million in total funding for these services in FY 2011.

## Affordable Housing Service Area

### Goal
To implement the Board of Supervisors' Affordable Housing Goal that "opportunities should be available to all who live or work in Fairfax County to purchase or rent safe, decent, affordable housing within their means." This goal will be achieved through providing affordable housing preservation and development, technical assistance, and financing services in conjunction with the FCRHA and both for- and non-profit community partners; managing and maintaining quality affordable rental housing; administering rental housing subsidies in accordance with federal regulations and local policies; and providing homeownership opportunities to eligible households.

## Key Performance Measures

## Affordable Housing Preservation

### Objective

- To preserve 2,500 units of affordable housing by the end of calendar year 2012 and to leverage each $1 from the County Fund 319, The Penny for Affordable Housing Fund and Fund 144, Housing Trust Fund dollar with $3 in non-County resources.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Number of affordable housing units preserved | 531 | 812 | 125 / 152 | 25 | 25 |
| **Efficiency:** | | | | | |
| Amount of General County funds per affordable housing unit preserved | $57,199 | $42,535 | $70,000 / $64,644 | $70,000 | $70,000 |
| **Service Quality:** | | | | | |
| Amount of funds leveraged per $1 of County funds for units preserved | $4 | $3 | $3 / $3 | $3 | $3 |
| **Outcome:** | | | | | |
| Cumulative number of affordable units preserved since April 2004 | 1,412 | 2,224 | 2,349 / 2,376 | 2,401 | 2,426 |

## Performance Measurement Results

In FY 2009, a total of 152 affordable units were preserved via FCRHA acquisition, financing, and/or negotiated agreements, which exceeded the target but was considerably less than in previous fiscal years. A significant portion of the FY 2009 allocation for Fund 319, The Penny for Affordable Housing Fund was expended on debt service for two prior years' preservation projects, the Crescent (180 units; Hunter Mill District) and Wedgewood Apartments (672 units; Braddock District). In addition, a portion of the FY 2009 and FY 2010 Fund 319 allocations were committed by the Board of Supervisors to the permanent financing of Wedgewood Apartments. This use of Fund 319 to support debt service and permanent financing reduced the amount of funding available for preservation of additional units in FY 2009; with the reduction of the Fund 319 allocation in FY 2010, it is anticipated that this trend will continue.

The amount of County funds expended per affordable housing unit preserved in FY 2009 was $64,644 and reflects a total investment of $323,223 from Fund 319 and Fund 144 for five additional units at Northampton (Lee District) and Charleston Square (Springfield District). This amount expended per unit was less than the target of $70,000 because less than anticipated funding was available for preservation efforts from Fund 319 and Fund 144. For FY 2010 and FY 2011, most preservation activities are anticipated to rely primarily on federal funding.

For every County dollar expended, an average of $3.33 was leveraged. This ratio includes both Fund 319 and Fund 144 and FY 2009 data includes new preservation transactions, as well as investments in the construction of 90 units of active senior housing at Olley Glen (Braddock District). FY 2009 data does not include the permanent financing of Wedgewood, which closed on August 20, 2009.

## Public Housing

### Objective

- To obtain a Public Housing Assessment System (PHAS) rating of at least 90 percent or better on a 100-point scale in the categories of vacant unit turnaround time, capital fund administration, work order completion, security, unit inspections, self-sufficiency and resident satisfaction.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Clients housed | 2,924 | 2,878 | 2,800 / 2,863 | 2,850 | 2,850 |
| **Efficiency:** | | | | | |
| Average income served as percentage of Area Median Income | 26% | 23% | 30% / 24% | 30% | 30% |
| **Service Quality:** | | | | | |
| Occupancy rate | 99% | 98% | 95% / 99% | 95% | 95% |
| Percent on-time inspections | NA | 100% | 95% / 100% | 95% | 95% |
| Percent on-time re-certifications | 99% | 98% | 95% / 100% | 95% | 95% |
| **Outcome:** | | | | | |
| HUD's PHAS rating (1) | 93% | NA | 85% / NA | 90% | 90% |

(1) The FCRHA attained "High Performer" status (a score of 90 percent or above) for the Public Housing Assessment System (PHAS) the last three years that HUD has issued its ratings. This system is used by HUD to monitor a public housing authority's management the federal Public Housing program. HUD last issued a PHAS rating in FY 2007. A HUD "final rule" relating to PHAS and the conversion to asset management has not yet been released.

## Performance Measurement Results

In FY 2009, the Public Housing program continued to provide high quality housing to nearly 2,900 Fairfax County residents and maintained a high occupancy rate of 99 percent at the properties. Agency indicators in nearly every area of the Public Housing Assessment System (PHAS) are rated very highly, resulting in an overall PHAS rating of 93 percent in FY 2007, the most recent assessment awarded. One hundred percent of inspections, and 100 percent of re-certifications, were completed on time in FY 2009. The average income served, as a percentage of the Area Median Income, was 24 percent in FY 2009 ($22,579 for a family of three).

## Fairfax County Rental Program (FCRP)

### Objective

- ♦ To obtain a Program Assessment rating of 85 percent or better on a 100-point scale in the categories of vacant unit turnaround time, work order completion, security, unit inspections, self-sufficiency and resident satisfaction.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Individuals housed (1) | 1,386 | 4,941 | 4,000 / 5,956 | 5,900 | 5,900 |
| Number of units in program (2) | 1,783 | 2,062 | 2,072 / 2,066 | 2,066 | 2,066 |
| **Efficiency:** | | | | | |
| Average income served as a percentage of Area Median Income | 41% | 42% | 70% / 42% | 45% | 45% |
| **Service Quality:** | | | | | |
| Occupancy rate | 98% | 96% | 95% / 96% | 95% | 95% |
| Percent on-time re-certifications | NA | 98% | 95% / 99% | 95% | 95% |
| **Outcome:** | | | | | |
| FCRP assessment rating (3) | 80% | NA | NA / NA | NA | NA |

(1) For FY 2008 and subsequent years, this measure includes all non-senior Fairfax County Rental Program (FCRP) properties, including those managed by third-party firms. In FY 2007 and prior years, this number only included residents of FCRP properties under FCRHA management.

(2) FY 2007 actual included senior housing properties. FY 2008 and subsequent years includes all FCRP multifamily units, the Woodley Hills mobile home park and the Coan Pond working singles residences; does not include senior housing properties and certain special needs programs.

(3) New measurement under development which will be consistent with new HUD PHAS measurements. As of the end of FY 2009, HUD had not issued final PHAS measurements.

## Performance Measurement Results

In FY 2009, there were 2,066 housing units for families and singles in the program, and 5,956 individuals were housed. The occupancy rate was 96 percent and the average income served as a percentage of the Area Median Income (AMI) was 42 percent ($38,394 for a family of three), which demonstrates that the program is serving clients far below the 70 percent maximum AMI allowed in the program. A total of 99 percent of re-certifications of all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties, were conducted on-time.

## Section 8

### Objective

- To obtain a Section 8 Management Assessment rating of 85 percent or better on a 100-point scale in the categories of timeliness and quality of inspections, rent calculations, lease-ups and contract enforcement, as well as in nine other areas specified by HUD.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | FY 2010 | FY 2011 |
| **Output:** | | | | | |
| Individuals housed | 8,108 | 8,264 | 8,300 / 8,454 | 8,500 | 8,500 |
| **Efficiency:** | | | | | |
| Average income served as a percentage of Area Median Income | 21% | 21% | 30% / 21% | 30% | 30% |
| **Service Quality:** | | | | | |
| Utilization rate | 102% | 98% | 98% / 102% | 98% | 98% |
| Percent on-time inspections (1) | 99% | 98% | 95% / 100% | 95% | 95% |
| Percent on-time recertifications (1) | 100% | 99% | 95% / 99% | 95% | 95% |
| **Outcome:** | | | | | |
| HUD SEMAP rating | 103% | 102% | 85% / 102% | 85% | 85% |

(1) HUD system which collects and reports inspection and re-certification data was inoperative during the fourth quarter of FY 2008; no data is available for this time period. Data shown for FY 2008 reflects the first three quarters of FY 2008. FY 2009 actual data is complete.

## Performance Measurement Results

The actual number of clients housed in FY 2009 increased to 8,454 from the FY 2008 actual of 8,264. This increase reflected new allocations of Family Unification Program vouchers, Veterans Affairs Supportive Housing (VASH) vouchers, and preservation vouchers for Winter Hill property (City of Falls Church). The average income served as a percentage of the Area Median Income was 21 percent ($19,402 for a family of three) and below the estimate, which reflects that the program is serving clients below the 30 percent maximum allowable AMI for new admission to the program. The targets for utilization rate, percent of on-time inspections, and percent of on-time re-certifications were all met. In addition, the FCRHA was again designated a “High Performer” by the United States Department of Housing and Urban Development (HUD), receiving a 102 percent HUD SEMAP score; this demonstrates highly effective stewardship of this vital federal affordable housing resource.

## Elderly Housing Programs

### Objectives

- To accurately track the cost for two subsidized Assisted Living facilities that contain a total of 112 beds.
- To accurately track the costs for Elderly Independent Living units under FCRHA management.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Assisted Living clients housed (1) | 52 | 112 | 112 / 112 | 112 | 112 |
| Independent Living individuals housed (2) | NA | 184 | 168 / 442 | 414 | 414 |
| **Efficiency:** | | | | | |
| Assisted Living cost per client (3) | $21,168 | $29,916 | $31,100 / $26,579 | $29,000 | $29,000 |
| Independent Living cost per client (4) | NA | $9,370 | $12,000 / $10,704 | $12,000 | $12,000 |
| **Service Quality:** | | | | | |
| Assisted Living occupancy rate | 95% | 98% | 95% / 95% | 95% | 95% |
| Independent Living occupancy rate | NA | 99% | 95% / 98% | 95% | 95% |
| **Outcome:** | | | | | |
| Assisted living overall customer satisfaction rating (5) | NA | NA | NA / NA | NA | NA |
| Independent Living overall customer satisfaction rating (4) | NA | 91% | 85% / 85% | 85% | 85% |

(1) The number of Assisted Living individuals housed increased in FY 2008 due to the opening of the 60-bed Braddock Glen facility (Braddock District).

(2) FY 2009 actual data refers to clients housed in all senior independent living units, including those managed by the FCRHA and properties managed by third-party firms under contract with the FCRHA. The FY 2009 estimate was based only on those housed in units under FCRHA management at the Little River Glen, Lincolnia Senior Center and Residence, and Lewinsville facilities. Current and future year estimates have been adjusted to include FCRHA managed properties.

(3) Includes all operating costs except major capital expenditures.

(4) Refers only to properties under FCRHA management (Little River Glen, Lincolnia Senior Center and Residence and Lewinsville facilities).

(5) Measure in development and will be reported for the FY 2012 Advertised Budget Plan.

## Performance Measurement Results

**Elderly Housing – Assisted Living**
In FY 2009, this performance measure addressed performance of two assisted living developments with 112 beds/units (Braddock Glen and the Lincolnia Senior Center and Residence). The cost per client was $26,579 in FY 2009 and the properties maintained a 95 percent occupancy rate.

**Elderly Housing – Independent Living**
In FY 2009, this performance measure addressed performance of all 414 senior independent living units under FCRHA management (Little River Glen, Lincolnia Senior Center and Residence and Lewinsville), and those units under management by private third party. In FY 2009, a total of 442 individuals were housed, and the

cost per client was $10,704. The properties, including those managed by the FCRHA and those managed by third-party firms under contract with the FCRHA, maintained a 98 percent occupancy rate in FY 2009. Although the overall customer service satisfaction rating of 85 percent met the target, the survey is being redesigned in FY 2010 to make it more user friendly for seniors.

## Homeownership

### Objective

♦ To obtain a Program Assessment rating of 95 percent or better on indicators addressing sales rate, foreclosures and rate of participation.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2007 Actual** | **FY 2008 Actual** | **FY 2009 Estimate/Actual** | **FY 2010** | **FY 2011** |
| **Output:** | | | | | |
| First-time homebuyers | 142 | 152 | 100 / 120 | 100 | 100 |
| **Efficiency:** | | | | | |
| Cost per new homeowner | $1,656 | $1,888 | $2,200 / $2,633 | $2,200 | $2,200 |
| Non-County funds leveraged for Fairfax County First-Time Homebuyers | $12,104,485 | $20,208,613 | $10,000,000 / $15,890,554 | $10,000,000 | $1,000,000 |
| **Service Quality:** | | | | | |
| Participant satisfaction survey scores | 97% | 94% | 95% / 95% | 95% | 95% |
| **Outcome:** | | | | | |
| Assessment rating | 95% | 95% | 95% / 95% | 95% | 95% |

## Performance Measurement Results

The number of new and resale units varies from year to year, due to a variety of external factors such as real estate market conditions and the economy. The pace of real estate development in the County determines the timing of the production of affordable dwelling units (ADUs) within new residential developments. In FY 2009, 120 first time homebuyers achieved homeownership utilizing HCD programs. The cost per client was $2,633 per new homeowner in FY 2009, which was higher than the target due to a decrease in the number of purchasers and an increase in the level of effort associated with the implementation of the Silver Lining Initiative.

A total of $15,890,554 in non-County funds was leveraged in investments in homeownership for Fairfax County first-time homebuyers. Non-County funds were made up primarily of the Virginia Housing Development Authority's (VHDA) set-aside of first trust mortgage funds via its SPARC program for Fairfax County first-time homebuyers; qualified purchasers accessed these funds through HCD's homeownership programs.

In addition, the HCD Homeownership Center responded to 3,188 telephone calls and 2,432 walk-ins in FY 2009, and the service delivery satisfaction rate was 95 percent.

## Neighborhood Preservation

### Objective

- To leverage and/or obtain $5 in additional funds per $1 of County funds for affordable housing, housing preservation, neighborhood improvement, and public service and to improve County residential properties utilizing the Blight Abatement Program, Home Improvement Loan Program, Home Repair for the Elderly, and the Neighborhood Improvement Program.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Number of properties improved and enhanced | 256 | 268 | 146 / 136 | 115 | 115 |
| **Efficiency:** | | | | | |
| Amount of General County funds per property improved or enhanced | $1,033 | $630 | $900 / $550 | $500 | $500 |
| **Service Quality:** | | | | | |
| Amount of leveraged funds per $1 of County funds | $11 | $13 | $13 / $5 | $5 | $5 |
| **Outcome:** | | | | | |
| Cumulative number of properties improved or enhanced since 2000 through County programs | 824 | 1,092 | 1,238 / 1,228 | 1,343 | 1,458 |

## Performance Measurement Results

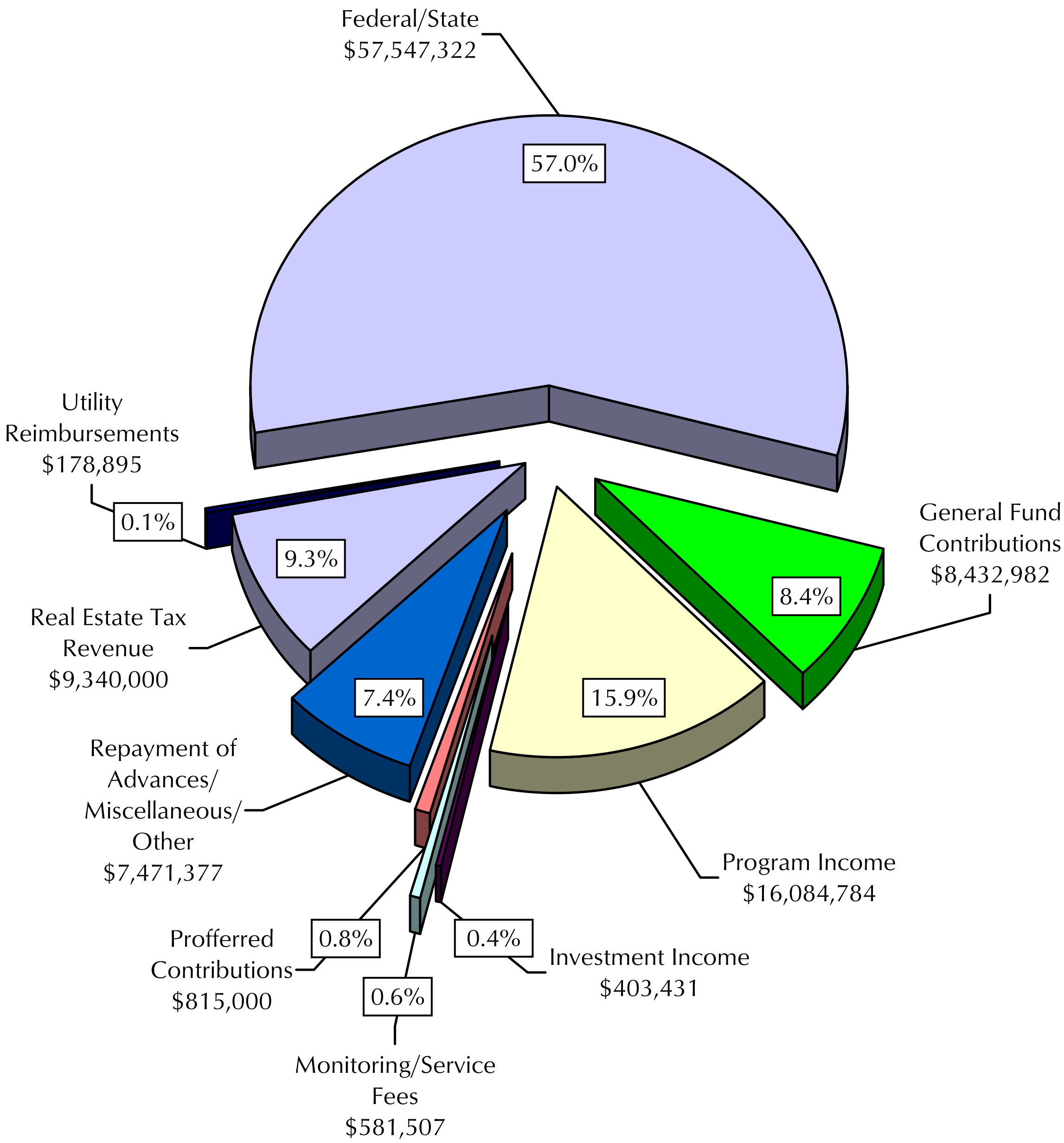
In FY 2009, 136 properties were improved or enhanced, the amount of County General funds per property improved or enhanced was $550, and $5 in non-County funds was leveraged for every $1 in County funds expended. As of the end of FY 2009, a total of 1,228 properties were improved through County programs. These FY 2009 actuals were lower than the targets due to the completion of the Neighborhood Improvement Program, transfer of the Blight Abatement Program to the Code Enforcement Strike Team in Agency 31, Land Development Services, and receipt of fewer applications than expected for the Home Improvement Loan Program; future targets have been adjusted accordingly.

## CONSOLIDATED FUND STATEMENT

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$49,797,172** | **$28,865,347** | **$55,667,338** | **$31,619,901** |
| Revenue: | | | | |
| Federal/State | $58,301,676 | $52,939,261 | $82,492,315 | $57,547,322 |
| General Fund Contributions | 8,521,300 | 8,579,982 | 8,776,672 | 8,432,982 |
| Program Income | 17,784,264 | 13,440,669 | 13,324,858 | 16,084,784 |
| Sale of Bonds | 0 | 0 | 4,356,833 | 0 |
| Investment Income | 806,417 | 868,752 | 868,752 | 403,431 |
| Monitoring/Service Fees | 848,323 | 740,744 | 944,911 | 581,507 |
| Utility Reimbursements | 178,895 | 126,258 | 126,258 | 178,895 |
| Repayment of Advances | 364,727 | 24,039 | 24,039 | 23,657 |
| Proffered Contributions | 627,179 | 1,150,000 | 1,150,000 | 815,000 |
| Real Estate Tax Revenue | 22,800,000 | 10,270,000 | 10,270,000 | 9,340,000 |
| Miscellaneous/Other | 8,415,391 | 6,805,562 | 10,719,470 | 7,447,720 |
| Total Revenue[1] | $118,648,172 | $94,945,267 | $133,054,108 | $100,855,298 |
| **Total Available** | **$168,445,344** | **$123,810,614** | **$188,721,446** | **$132,475,199** |
| Expenditures: | | | | |
| Personnel Services | $15,842,265 | $15,329,677 | $15,636,570 | $15,779,657 |
| Operating Expenses | 62,993,960 | 57,505,775 | 67,894,825 | 64,074,115 |
| Capital Equipment | 16,056 | 0 | 0 | 0 |
| Grant Projects | 10,674,947 | 8,377,664 | 24,145,617 | 8,689,961 |
| Capital Projects | 23,250,778 | 13,031,752 | 49,424,533 | 11,469,232 |
| Total Expenditures[1] | $112,778,006 | $94,244,868 | $157,101,545 | $100,012,965 |
| **Total Disbursements** | **$112,778,006** | **$94,244,868** | **$157,101,545** | **$100,012,965** |
| **Ending Balance** | **$55,667,338** | **$29,565,746** | **$31,619,901** | **$32,462,234** |

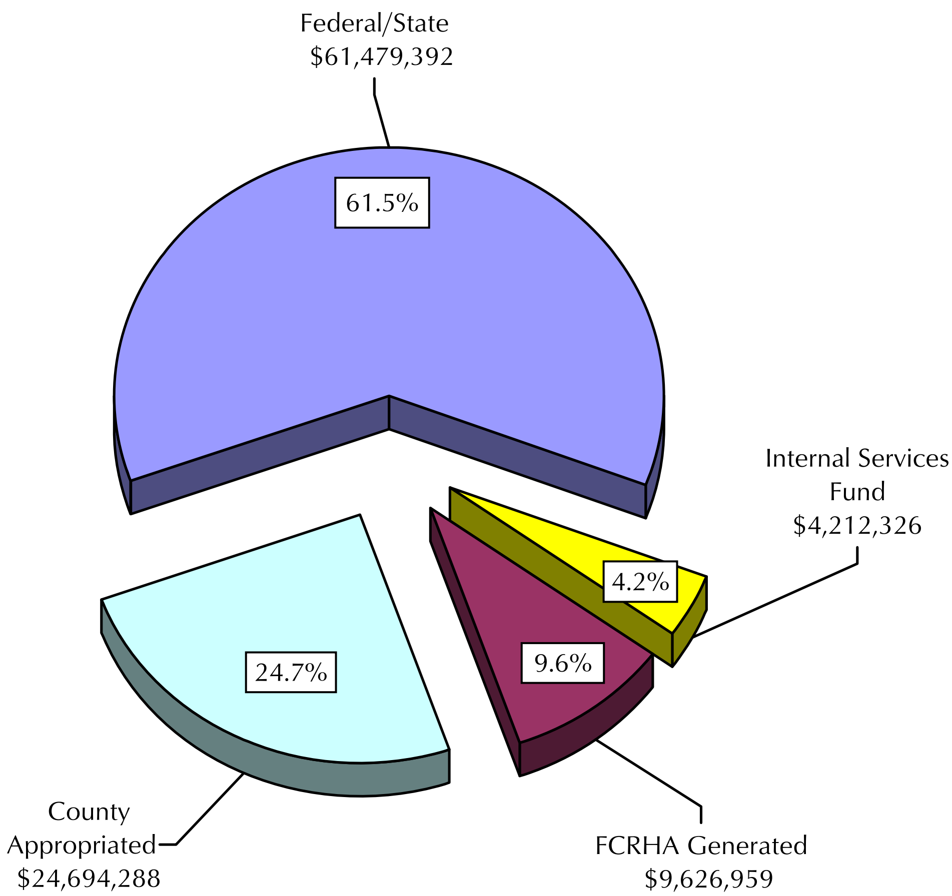
[1] Designations are based on fund category, for example, Fund 340, Housing Assistance Programs is included in Capital Projects although some funding is used to support Personnel Services. Fund 949, FCRHA Internal Service Fund, was included as a separate housing fund beginning in FY 1998. Revenues and expenditures for this fund are included in the Consolidated Fund Statement, but do not increase total funding available to the agency. As such, this funding is netted out of the Program Area Summary by Fund.

# HOUSING PROGRAMS
# FY 2011 SOURCE OF FUNDS




**TOTAL REVENUES = $100,855,298**

# HOUSING PROGRAMS
# FY 2011 EXPENDITURES




**TOTAL EXPENDITURES = $100,012,965**

# Housing and Community Development Program Overview

## Budget and Staff Resources

| Program Area Summary by Fund | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 228/ 228 | 218/ 218 | 218/ 218 | 218/ 218 |
| Grant | 10/ 10 | 10/ 10 | 10/ 10 | 10/ 10 |
| Total Positions | 238/ 238 | 228/ 228 | 228/ 228 | 228/ 228 |
| **County Appropriated Funds:** | | | | |
| Operating: | | | | |
| Department of Housing and Community Development | $6,334,577 | $5,851,757 | $6,228,447 | $5,928,757 |
| 141 Elderly Housing Programs | 3,345,774 | 4,099,238 | 4,546,796 | 4,186,706 |
| 143 Homeowner and Business Loan Programs | 4,555,312 | 1,870,161 | 7,817,503 | 3,883,825 |
| **Total Operating Expenditures** | **$14,235,663** | **$11,821,156** | **$18,592,746** | **$13,999,288** |
| Capital: | | | | |
| 144 Housing Trust Fund | $2,093,812 | $1,250,000 | $7,241,342 | $840,000 |
| 319 The Penny for Affordable Housing Fund | 14,615,084 | 10,270,000 | 21,851,953 | 9,340,000 |
| 340 Housing Assistance Program | 1,622,249 | 695,000 | 9,314,457 | 515,000 |
| **Total Capital Expenditures** | **$18,331,145** | **$12,215,000** | **$38,407,752** | **$10,695,000** |
| **Total County Appropriated Fund Expenditures** | **$32,566,808** | **$24,036,156** | **$57,000,498** | **$24,694,288** |
| **Federal/State Support:** | | | | |
| 965 Housing Grants Fund | $240,997 | $0 | $282,923 | $0 |
| 966 Section 8 Annual Contribution | 41,882,710 | 41,174,805 | 43,023,558 | 43,607,618 |
| 967 Public Housing, Projects Under Management | 8,927,080 | 7,776,740 | 8,971,951 | 9,181,813 |
| 969 Public Housing, Projects Under Modernization | 2,881,065 | 0 | 5,327,709 | 0 |
| 142 Community Development Block Grant | 6,467,313 | 5,928,982 | 16,276,968 | 5,982,304 |
| 145 HOME Investment Partnerships Grant | 3,966,637 | 2,448,682 | 7,585,726 | 2,707,657 |
| **Total Federal/State Support** | **$64,365,802** | **$57,329,209** | **$81,468,835** | **$61,479,392** |

# Housing and Community Development Program Overview

## Program Area Summary by Fund

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| FCRHA Generated Funds: | | | | |
| 940 FCRHA General Operating | $3,125,571 | $2,862,266 | $3,001,466 | $2,406,754 |
| 941 Fairfax County Rental Program | 4,591,776 | 4,125,982 | 4,391,931 | 4,722,253 |
| 945 Non-County Appropriated Rehabilitation Loan | 0 | 25,000 | 26,467 | 25,000 |
| 946 FCRHA Revolving Development | 1,676,646 | 0 | 2,392,421 | 0 |
| 948 FCRHA Private Financing | 1,361,922 | 816,752 | 3,296,651 | 774,232 |
| 949 Internal Service Fund | 3,893,889 | 3,844,658 | 4,150,769 | 4,212,326 |
| 950 Housing Partnerships | 1,195,592 | 1,204,845 | 1,372,507 | 1,698,720 |
| **Subtotal, FCRHA Funds** | **$15,845,396** | **$12,879,503** | **$18,632,212** | **$13,839,285** |
| Less: | | | | |
| 949 Internal Service Fund | $3,893,889 | $3,844,658 | $4,150,769 | $4,212,326 |
| **Total, FCRHA Funds** | **$11,951,507** | **$9,034,845** | **$14,481,443** | **$9,626,959** |
| **Total, All Sources** | **$112,778,006** | **$94,244,868** | **$157,101,545** | **$100,012,965** |
| Less: | | | | |
| 949 Internal Service Fund | $3,893,889 | $3,844,658 | $4,150,769 | $4,212,326 |
| **Net Total, All Sources** | **$108,884,117** | **$90,400,210** | **$152,950,776** | **$95,800,639** |

Note: Fund 142, Community Development Block Grant, and Fund 145, HOME Investment Partnership Grant, are federally-supported County Appropriated funds and have been reflected under the Federal/State Support Category. While the Board of Supervisors appropriates funding in these funds by project, the source of revenue is the federal government. The FY 2011 preliminary estimated federal funding for Fund 142, Community Development Block Grant (CDBG), is $5,982,304 and for Fund 145, HOME Investment Partnership Grant (HOME), is $2,707,657.

## Position Summary

**ADMINISTRATION**

**General Fund:**
- 1 Director
- 1 Deputy Director
- 1 HCD Division Director
- 1 H/C Developer IV
- 1 Management Analyst II
- 1 Info. Tech. Prog. Mgr. I
- 2 Network/Telecom Analysts II
- 2 Administrative Assistants IV

**CDBG:**
- 1 Management Analyst III

**FCRHA:**
- 1 Information Officer III
- 1 Information Officer II
- 3 Administrative Assistants IV
- 1 Administrative Assistant III
- 1 Administrative Assistant II

**Section 8:**
- 1 Network Telecom. Analyst III

**Public Housing:**
- 1 Administrative Assistant II

**COMMUNITY IMPROVEMENT**

**General Fund:**
- 1 Deputy Director
- 1 HCD Division Director
- 2 H/C Developers IV
- 1 Administrative Assistant IV

**DESIGN, DEVELOPMENT AND CONSTRUCTION**

**General Fund:**
- 1 HCD Division Director
- 2 H/C Developers IV

**CDBG:**
- 2 H/C Developers IV
- 1 Administrative Assistant IV

**HOME:**
- 1 H/C Developer IV

**FCRHA:**
- 1 Division Director
- 2 H/C Developers III
- 1 H/C Developer II

**FINANCIAL MANAGEMENT**

**General Fund:**
- 1 HCD Division Director
- 1 Financial Specialist IV
- 2 Accountants II
- 2 Accountants I
- 2 Administrative Assistants IV
- 4 Administrative Assistants III

**CDBG:**
- 1 Accountant III
- 1 Management Analyst III
- 1 Administrative Assistant V

**FCRHA:**
- 1 Financial Specialist IV
- 1 Financial Specialist III
- 1 Accountant III
- 1 Accountant II
- 1 Administrative Assistant II

**Section 8:**
- 1 Accountant II
- 1 Administrative Assistant IV

**Public Housing:**
- 1 Financial Specialist IV
- 1 Accountant III
- 2 Administrative Assistants III

# Housing and Community Development Program Overview

**HOUSING MANAGEMENT:**
**General Fund:**
2 HCD Division Directors
1 Housing Services Specialist IV
1 Management Analyst III
1 H/C Developer III
1 H/C Developer II
1 Warehouse Supervisor
1 Administrative Associate
3 Administrative Assistants IV
1 Administrative Assistant III

**Elderly Housing Programs:**
1 Director of Senior Housing
1 Housing Services Specialist V
1 Housing Services Specialist IV
1 Housing Services Specialist III
1 Housing Services Specialist II
1 Housing Services Specialist I
1 Sr. Mech. Sys. Supervisor
1 Trades Supervisor
1 Electrician II
3 Facility Attendants II
1 General Bldg. Maint. Worker I
1 Administrative Assistant V
1 Maintenance Trade Helper II

**CDBG:**
1 Housing Services Specialist V
1 Housing Services Specialist IV
2 Housing Services Specialists II
1 Administrative Assistant IV

**FCRHA:**
1 Housing Services Specialist IV
1 Housing Services Specialist II
1 Assistant Supr. Facilities Support

**FCRP:**
1 Chief Accounting Fiscal Officer
1 H/C Developer V
1 H/C Developer II
1 Housing Services Specialist III
3 Housing Services Specialists II
1 GIS Analyst II
1 Trades Supervisor
1 Electrician II
1 Engineering Technician II
1 Plumber II
2 General Bldg. Maint. Workers II
3 General Bldg. Maint. Workers I
1 Administrative Assistant IV
1 Administrative Assistant II
1 Housing Manager
1 Warehouse Specialist
1 Human Services Assistant

**Housing Partnerships:**
1 Housing Services Specialist III
2 Housing Services Specialists II
1 Housing Services Specialist I
1 Refrigeration & A/C Supervisor
1 Gen. Bldg. Maint. Worker II
1 Gen. Bldg. Maint. Worker I
1 Plumber I
1 Administrative Assistant III
1 Administrative Assistant II

**Housing Grants:**
1 Housing Services Specialist III G
1 Housing Services Specialist II G

**Section 8:**
3 Housing Srvcs. Spclsts. V 1G
5 Housing Srvcs. Spclsts. III
23 Housing Srvcs. Spclsts. II 3G
1 Human Services Assistant
2 Administrative Assistants III
1 Administrative Assistant II

**Public Housing:**
1 HCD Division Director
1 H/C Developer V
1 H/C Developer II
1 Management Analyst I
1 Human Srvcs. Coordinator II
2 Housing Srvcs. Specialists V
2 Housing Srvcs. Specialists III 1G
11 Housing Services Specialists II
3 Housing Services Specialists I
1 Human Services Assistant
1 Trades Supervisor
4 A/C Equipment Repairers
6 General Bldg. Maint. Workers II
4 General Bldg. Maint. Workers I
1 Locksmith II
1 Administrative Assistant III
2 Plumbers II

**Public Housing/Modernization:**
1 H/C Developer V G
2 H/C Developers III 2G

**REAL ESTATE FINANCE AND GRANTS MANAGEMENT**
**General Fund:**
1 H/C Developer IV
1 H/C Developer I

**CDBG:**
1 Real Estate/Grant Manager
2 H/C Developers IV
2 H/C Developers III
1 Sr. Maintenance Supervisor
2 Carpenters I
1 Administrative Assistant IV

**FCRHA:**
3 H/C Developers V
1 H/C Developer IV
1 Management Analyst III

**TOTAL POSITIONS**
**218 Positions / 218.0 Staff Years**
**10/10.0 SYE Grant Positions in Funds 965, 966, 967, and 969**

**G Denotes Grant Position**

## Housing Fund Structure

In many cases HCD service areas span multiple elements of the fund structure which follows. For example, the General Fund and the FCRHA Operating staff support most of the activities of the Department.

- County General Fund
  - Fund 001, General Operating
    This fund supports positions in Agency 38, HCD, and provides subsidies for the operation of some rental housing programs. Subsidies include support for expenses such as administrative and maintenance staff costs, as well as condominium fees, limited partnership real estate taxes, and building maintenance.

- FCRHA General Operating
  - Fund 940, FCRHA General Operating
    This fund includes all FCRHA revenues generated by financing fees earned from issuance of bonds, monitoring and service fees charged to developers, investment income, project reimbursements, consultant fees, and ground rents on land leased to developers. Revenues support operating expenses for the administration of the private activity bonds, the Home Improvement Loan Program staff, and other administrative costs, which crosscut many of the housing programs.

- Local Rental Housing Program
  - Fund 941, Fairfax County Rental Program (FCRP)
    Fund 941 covers the operation of housing developments that are owned or managed by the FCRHA, other than federally-assisted public housing and certain County-supported rental housing. This includes operating costs for the FCRP units, the Woodley Hills Estates manufactured housing development, and projects regulated by the Virginia Housing Development Authority, including group homes for the disabled and mentally handicapped. These latter units are owned and maintained by FCRHA; however, programs for the residents are administered by the Fairfax-Falls Church Community Services Board.

  - Fund 950, FCRHA Housing Partnerships
    Fund 950 was established in FY 2002 to budget and account for revenue and expenditures related to the housing developments owned by partnerships between FCHRA and private investors. Financial records for these partnerships are maintained separately, outside the County financial systems, in order to meet accounting and reporting requirements. However, HCD provides staff support to some of these developments and procures goods and services on behalf of these partnerships which are reflected in Fund 950. Previously, these items were included in Fund 941.

- Federal Section 8 Rental Assistance
  - Fund 966, Section 8 Annual Contribution
    The Section 8/Housing Choice Voucher program is a federal housing rental assistance program for lower income families to assist them in leasing housing in the private marketplace. A portion of rent payments is provided by HUD, through HCD, and is calculated under various formulas, incorporating family income and the fair market rent for various types of housing in the Washington Metropolitan Area. The FCRHA administers the program, providing rental vouchers to eligible participants and rental subsidies to certain housing developments.

# Housing and Community Development Program Overview

- Public Housing Program
  - Fund 967, Public Housing, Projects Under Management
  - Fund 969, Public Housing, Projects Under Modernization

  These funds represent the Federal Public Housing Program that supports the operation, modernization, or acquisition of rental housing to be owned and operated by local housing authorities such as the FCRHA. The Public Housing Program had been divided into two separate components: projects in operation and modernization of existing Public Housing facilities. Under the program qualifications for Public Housing, units are leased to low-income tenants, and tenants pay no more than 30 percent of adjusted income toward dwelling rent or a minimum of $50 per month.

- Special Revenue Funds
  - Fund 141, Elderly Housing Programs
  - Fund 142, Community Development Block Grant (CDBG)
  - Fund 143, Homeowner and Business Loan Programs
  - Fund 144, Housing Trust Fund
  - Fund 145, HOME Investment Partnership Grant (HOME)
  - Fund 945, Non-County Appropriated Rehabilitation Loan Program

  These funds include housing programs which have a special source of revenue, including rental income, federal/state support, bank funds, or proffered contributions. Elderly Housing Programs in Fund 141 provide for the operation of FCRHA-owned affordable housing for the low- and moderate-income elderly of the County. The CDBG program in Fund 142 is a federal grant that is used to conserve and upgrade neighborhoods through the provision of public facilities, support for community services and stimulation of development of low- and moderate-income housing. The Homeowner and Business Loan Programs in Fund 143 support homeowner assistance, such as the Moderate Income Direct Sales Program, which aids homeowners in the purchase of homes, as well as a federal grant aimed at providing loans to small and minority businesses. Fund 144 utilizes proffered contributions from private developers, County contributions, and investment earnings to encourage the preservation, development, and redevelopment of affordable housing by the FCRHA, non-profit sponsors, and the private sector. The HOME program in Fund 145 is a federal grant program that supports provision of affordable housing through acquisition, rehabilitation, new construction, and tenant-based rental assistance. Fund 945, Non-County Appropriated Rehabilitation Loan Fund, represents funds raised from private sources for the rehabilitation and upgrading of housing, and works in conjunction with County-appropriated funds in the CDBG and the Homeowner and Business Loan Program funds.

- Capital Projects
  - Fund 319, The Penny for Affordable Housing Fund
  - Fund 340, Housing Assistance Program

  These funds provide County support for both affordable housing and community revitalization capital projects. Fund 319, The Penny for Affordable Housing Fund, is designed to provide funds to quickly and significantly impact the availability of affordable housing in the County within established criteria. Fund 340, Housing Assistance Program, supports countywide residential improvement and repair projects, including staff resources, marketing, consultant services and capitalized projects.

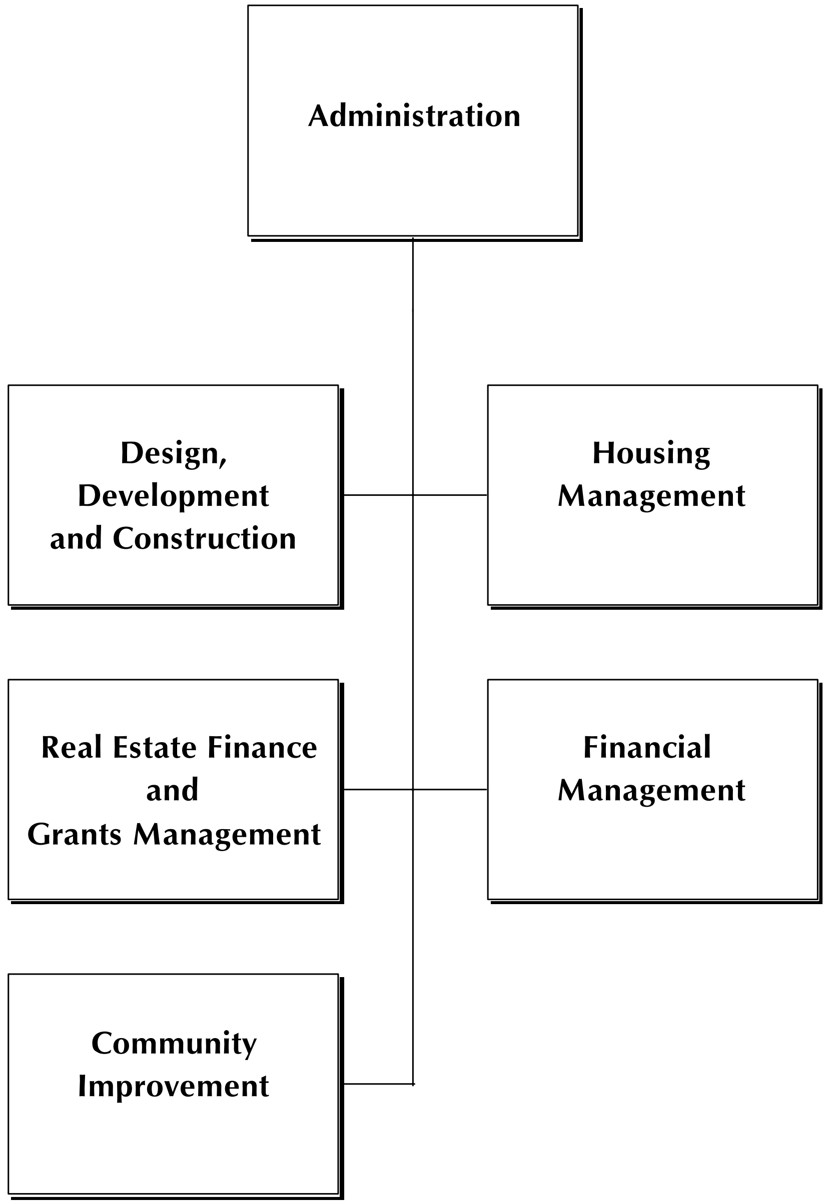
- FCRHA Development Support
  - Fund 946, FCRHA Revolving Development
  - Fund 948, FCRHA Private Financing

    Fund 946 provides development support for site investigation for proposed new projects and provides temporary advances for architectural and engineering plans, studies, or fees for which federal, state, County, or private funds will reimburse the FCRHA at a later date. Funding capital improvement projects for existing FCRP units is also provided. Fund 948, FCRHA Private Financing, is used to budget and report costs for two types of funds: those borrowed by the FCRHA from private lenders and other sources, and funds for FCRHA projects which are generated through the sale of FCRHA bonds.

- FCRHA Internal Service Fund
  - Fund 949, FCRHA Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying, insurance, and audits which have been budgeted and paid from one of the FCRHA's funds and then allocated to the other funds proportionate to their share of the costs. This fund also includes costs associated with the maintenance and operation of FCRHA housing development, such as service contracts for extermination, custodial work, elevator maintenance, and grounds maintenance. The fund allows one contract to be established for goods and services, as opposed to multiple contracts in various funds.

- FCRHA Grant Fund
  - Fund 965, Housing Grants, was established in FY 2000 to administer grants awarded to the FCRHA. The grants currently in this fund are awarded by the U.S. Department of Housing and Urban Development (HUD), based on competitive applications for funding, and provide for rent subsidies, counseling services, support services, operating expenses, and property improvements.

# Department of Housing and Community Development




## Mission

To provide the residents of the County with safe, decent and more affordable housing for low- and moderate-income households. In addition, the Department of Housing and Community Development seeks to preserve, upgrade and enhance existing neighborhoods through conservation and rehabilitation of housing, and through the provision of public facilities and services.

## Focus

The Fairfax County Department of Housing and Community Development (HCD) provides housing opportunities for low- and moderate-income residents in Fairfax County and assists in the renovation and improvement of neighborhoods. HCD, which acts as staff to the Fairfax County Redevelopment and Housing Authority (FCRHA), supports, develops and administers a wide variety of FCRHA programs, including:

- Rental housing and tenant subsidies;
- Specialized housing;
- Foreclosure "Silver Lining" Initiative;

- Loans for home ownership and home improvement;
- Affordable housing finance; and
- Community development.

County resources within the General Fund provide support for positions in Agency 38, Housing and Community Development (HCD). These positions coordinate the County's community development and improvement programs, support the development and operation of FCRHA assisted housing, and provide critical support in financial management, computer network operations and policy planning.

The General Fund also supports the federal public housing and local rental programs by funding a portion of the administrative and maintenance staff costs, as well as condominium fees, limited partnership real estate taxes and building maintenance.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 52/ 52 | 44/ 44 | 44/ 44 | 44/ 44 |
| Expenditures: | | | | |
| Personnel Services | $4,178,981 | $4,181,534 | $4,181,534 | $4,181,534 |
| Operating Expenses | 2,725,596 | 2,182,723 | 2,559,413 | 2,259,723 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$6,904,577** | **$6,364,257** | **$6,740,947** | **$6,441,257** |
| Less: | | | | |
| Recovered Costs | ($570,000) | ($512,500) | ($512,500) | ($512,500) |
| **Total Expenditures** | **$6,334,577** | **$5,851,757** | **$6,228,447** | **$5,928,757** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

- **Olley Glen** **$80,000**
  An increase of $80,000 in Operating Expenses reflects anticipated real estate taxes requirements for Olley Glen, a new 90-unit independent living facility that will serve low-to-moderate income elderly.

- **Department of Vehicle Services** **($3,000)**
  A decrease of $3,000 in Operating Expenses is associated with anticipated requirements for fuel, vehicle, replacement, and maintenance charges.

- **Reductions** **$0**
  It should be noted that no reductions to balance the FY 2011 budget are included in this agency, based on this agency's need to support all of the County's and FCRHA's housing programs with reduced administration support available in Fund 319, The Penny for Affordable Housing Fund, as well as the need to absorb in recent years, condominium fees, limited partnership real estate taxes, and building maintenance.

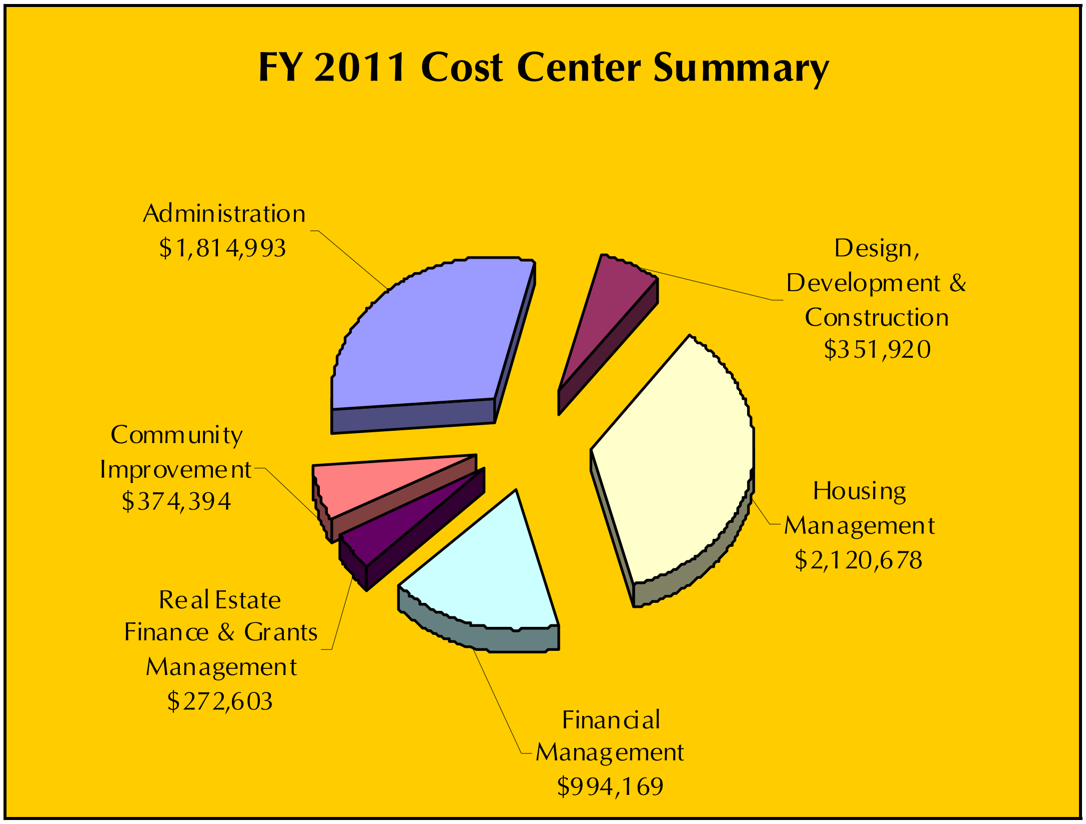
## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$376,690**

As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $376,690 in Operating Expenses due to encumbered carryover.

## Cost Centers



## Administration

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 12/ 12 | 9/ 9 | 10/ 10 | 10/ 10 |
| **Total Expenditures** | **$2,056,755** | **$1,734,993** | **$1,943,792** | **$1,814,993** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Director | 1 | Housing/Community Developer IV | 2 | Network/Telecom Analysts II |
| 1 | Deputy Director | 1 | Management Analyst II | 2 | Administrative Assistants IV |
| 1 | HCD Division Director | 1 | Info. Tech. Prog. Mgr. I | | |

**TOTAL POSITIONS**
**10 Positions/ 10.0 Staff Years**

Note: As a result of a transition by the U.S. Department of Housing and Urban Development to a project based budgeting model for the maintenance of public housing facilities, a number of positions are being moved within the Fairfax County Department of Housing and Community Development (DHCD) to better align the positions to the developments they support. In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was transferred from Fund 966, Section 8.

### Goal

To provide administrative and computer systems support to the core business areas of the Fairfax County Redevelopment and Housing Authority and the Department of Housing and Community Development by responding to computer network requests from agency employees and public information requests from citizens, agencies and other interested individuals and groups.

## Design, Development and Construction

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 4/ 4 | 4/ 4 | 3/ 3 | 3/ 3 |
| **Total Expenditures** | **$349,813** | **$351,920** | **$351,920** | **$351,920** |

**Position Summary**

| | |
|---|---|
| 1 | HCD Division Director |
| 2 | Housing/Community Developers IV |

**TOTAL POSITIONS**
**3 Positions / 3.0 Staff Years**

Note: In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was redirected to Housing General Fund, Housing Management cost center.

### Goal

To provide design, development and construction services to facilitate the availability of affordable housing for low- and moderate-income residents and to implement public improvement projects in the County.

# Department of Housing and Community Development

## Housing Management

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 13/ 13 | 10/ 10 | 12/ 12 | 12/ 12 |
| **Total Expenditures** | **$2,534,640** | **$2,123,678** | **$2,290,833** | **$2,120,678** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 2 | HCD Division Directors | 1 | Warehouse Supervisor | 3 | Administrative Assistants IV |
| 1 | Housing Services Specialist IV | 1 | Housing/Community Developer III | 1 | Administrative Assistant III |
| 1 | Management Analyst III | 1 | Housing/Community Developer II | 1 | Administrative Associate |
| **TOTAL POSITIONS**<br>**12 Positions / 12.0 Staff Years** | | | | | |

Note: In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was transferred from Housing General Fund, Design, Development and Construction cost center and 1/1.0 SYE position was transferred from Fund 142, Community Development Block Grant.

### Goal

To manage and maintain affordable housing that is decent, safe and sanitary for eligible families and to maintain FCRHA housing in accordance with community standards and to provide homeownership opportunities to eligible households.

## Financial Management

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 15/ 15 | 14/ 14 | 12/ 12 | 12/ 12 |
| **Total Expenditures** | **$825,524** | **$994,169** | **$994,169** | **$994,169** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | HCD Division Director | 2 | Accountants II | 2 | Administrative Assistants IV |
| 1 | Financial Specialist IV | 2 | Accountants I | 4 | Administrative Assistants III |
| **TOTAL POSITIONS**<br>**12 Positions / 12.0 Staff Years** | | | | | |

Note: In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was redirected to Fund 142, Community Development Block Grant and 1/1.0 SYE position was redirected to Fund 966, Section 8.

### Goal

To provide management information for controls and compliance reporting to external oversight entities as required by policies and regulations; to collect revenues, process expenditures and service loans on a timely basis; to provide budgetary preparation and control of all agency funds; to maintain accounting records and prepare financial reports in conformance with generally accepted accounting principles to ensure accurate and auditable financial statements.

## Real Estate Finance and Grants Management

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 2/ 2 | 2/ 2 | 2/ 2 | 2/ 2 |
| **Total Expenditures** | **$133,260** | **$272,603** | **$272,603** | **$272,603** |

| Position Summary | |
|---|---|
| 1 | Housing/Community Developer IV |
| 1 | Housing/Community Developer I |
| **TOTAL POSITIONS** | |
| **2 Positions / 2.0 Staff Years** | |

### Goal
To plan, implement and maintain community-based and agency-based support services designed to improve the quality of life for residents in low- and moderate-income communities, and to provide financial services in order to facilitate the preservation and development of affordable housing.

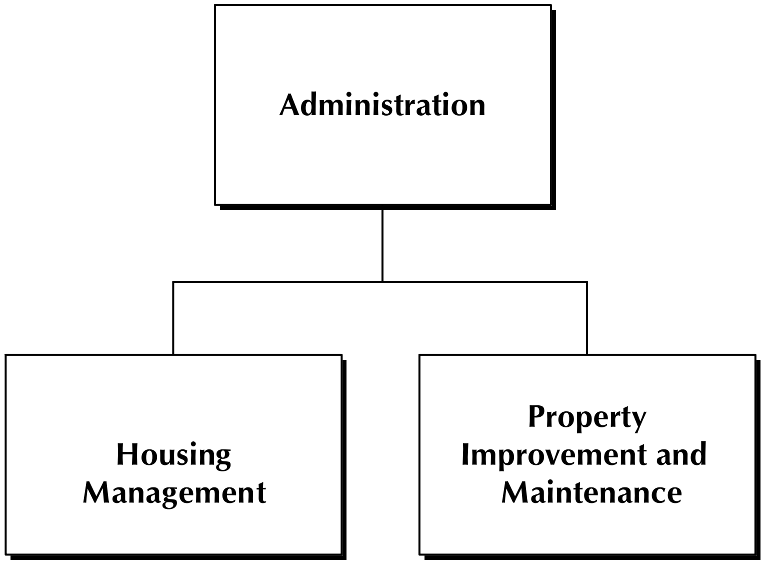
## Community Improvement

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 6/ 6 | 5/ 5 | 5/ 5 | 5/ 5 |
| **Total Expenditures** | **$434,585** | **$374,394** | **$375,130** | **$374,394** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Deputy Director | 2 | Housing/Community Developers IV | 1 | Administrative Assistant IV |
| 1 | HCD Division Director | | | | |
| **TOTAL POSITIONS** | | | | | |
| **5 Positions / 5.0 Staff Years** | | | | | |

### Goal
To address current program needs associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

# Fund 141
# Elderly Housing Programs




## Mission

To manage affordable rental housing acquired by the FCRHA for the benefit of the elderly, and to maintain and preserve the units for long-term rental availability.

## Focus

Fund 141, Elderly Housing Programs, accounts for personnel, operating, and equipment costs related to the County's support of the operation of the three locally-funded elderly housing developments owned or leased by the Fairfax County Redevelopment and Housing Authority (FCRHA). The three elderly housing developments funded in Fund 141, Elderly Housing Programs, are: Lewinsville Senior Residences in McLean (Dranesville District), Little River Glen in Fairfax (Braddock District) and Lincolnia Senior Center and Residence in the Lincolnia area (Mason District). Funding for three facilities, Gum Springs located in the Mount Vernon District, Morris Glen in the Lee District and Herndon Harbor House in the Dranesville District, are not presented in Fund 141, Elderly Housing Programs. Although they are owned by a limited partnership of which the FCRHA is the managing general partner, the facilities are managed by a private firm. The Braddock Glen 60-unit assisted living campus is owned by the FCRHA and managed by a private firm. Housing and Community Development staff administers the contract between the FCRHA and the private firm hired to manage the facilities. Together, in FY 2011, these seven facilities will provide for 408 congregate housing units, four Adult Day Health Care Centers, a 52-bed Adult Care Residence and a 60-unit assisted living facility that is affordable to low-income elderly. Olley Glen, which is Phase III of Little River Glen, will include 90 units and is undergoing construction and an additional 60 units are in the planning phase for independent elderly. When completed, the campus is proposed to include 270 units for independent elderly, 60 units for assisted-living elderly, two Senior Centers and an Adult Day Care Center.

In FY 2011, the operation of the Elderly Housing Programs will be supported in part with rental income, a state auxiliary grant for indigent care in the Adult Care Residence component at the Lincolnia Center, Federal HOME funds, and County support. The County's General Fund transfer of approximately $1.99 million supports approximately 48 percent of expenditures in Fund 141. The Gum Springs, Morris Glen, Herndon Harbor and Braddock Glen facilities are self-supporting and do not require County General Fund support in Fund 141.

Other costs related to the County's housing program at these sites, including the operating costs of senior centers, adult day care centers, and a Congregate Food Program, are reflected in the agency budgets for the Department of Neighborhood and Community Services, the Health Department, Fund 103, Aging Grants and Programs, and the County and Schools Consolidated Debt Service Fund.

# Fund 141
# Elderly Housing Programs

The elderly projects are briefly described below:

- Lewinsville Senior Residences is a housing facility in McLean (Dranesville District) comprised of 22 efficiency units and a congregate living area serving the residential needs of low-to-moderate income elderly. The Department of Housing and Community Development (HCD) manages the residential facility, reviews applications to determine eligibility requirements, and provides maintenance services. A congregate meal program is funded through Fund 103, Aging Grants and Programs. The FCRHA leases a portion of this facility for the elderly housing program from the County. In addition, the facility also houses a senior recreation program serving an average of 55 senior citizens, which is run by the County's Department of Neighborhood and Community Services, and an Adult Day Health Care program run by the Health Department serving an average of 35 senior citizens. FY 2011 funding provided in Fund 141 for the operation of the elderly housing component of this facility is $244,240.

- Little River Glen is a 120-unit facility which opened in the fall of 1990, is spread over five buildings on an eight-acre site in the Braddock District and serves the residential needs of low-to-moderate income elderly. Four different models of one-bedroom units are available. The space is designed for senior citizens who are capable of living independently and desire to participate in social and recreational opportunities provided on-site. There is a Senior Center with lounges, recreation/activity rooms, and a commercial kitchen. The Department of Neighborhood and Community Services runs the Senior Center and Fund 103, Aging Grants and Programs, funds the congregate meal program. FY 2011 funding provided in Fund 141 for the operation of this facility is $1,430,789.

- Lincolnia Senior Center and Residence is a multi-purpose facility which opened in January 1990 in the Mason District in response to the residential needs of low-income and indigent elderly. It consists of two separate residential areas: a Congregate Residence of 26 units which provides independent living for senior citizens with limited means and a 52-bed Adult Care Residence for elderly residents who require assistance with the activities of daily living. The FCRHA leases the residential portion of this facility for the elderly housing program from the County. Funding for a management contract in the amount of $895,181 for the Lincolnia Adult Care Residence will cover the costs of care giving staffs that provide services 24 hours a day for that component of the Lincolnia facility. The Lincolnia Center also houses a Senior Center with recreation/activity rooms, a commercial kitchen, lounges, and an Adult Day Health Care Center. The Department of Neighborhood and Community Services administers the Senior Center, and the Health Department staffs and operates the Adult Day Health Care Center. A congregate meal program is administered by HCD and funded by Fund 103, Aging Grants and Programs, for all program participants and residents. FY 2011 funding provided in Fund 141 for the operation of this facility is $2,511,677.

- Gum Springs Glen is a 60-unit garden retirement community for independent seniors which opened in May 2003, in the Mount Vernon District. Gum Springs Glen consists of two two-story buildings with 30 apartments plus common space in each building. There are 56 one-bedroom residential apartments of approximately 425 square feet and four two-bedroom apartments with approximately 550 square feet. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income. In addition to the residential units, the lower level of Gum Springs Glen provides space for a Head Start program and training center which is operated by the Department of Family Services, Office for Children.

- Morris Glen is a 60-unit garden apartment community located in the Lee District in the Manchester Lakes Community. The facility was completed in December 1995 for moderate-income seniors capable of independent living. Morris Glen consists of two two-story residential buildings and a small community building. Four different models of one-bedroom units are available as well as a large amount of common area in each residential building. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income.

# Fund 141
# Elderly Housing Programs

- Herndon Harbor House is an adult care community developed in three phases. Opened in October 1998, Herndon Harbor House I is a 60-unit community that includes two 30-unit residential buildings and is located in the Town of Herndon in the Dranesville District. The facility is managed and maintained by a private contractor with HCD staff serving as contract administrator. Expenditures are supported by rental income. Herndon Harbor House II includes an additional 60 units of congregate housing, for a total of 120 units, and an Adult Day Health Care Center, both of which were opened in FY 2001. Phase III is a Senior Center completed in May 2005.

- Braddock Glen is a 60-unit affordable, assisted living facility which opened in July 2006. This facility is managed and maintained by a private contractor and the Adult Day Care Center is operated by the Fairfax County Health Department. The senior recreation program which is conducted inside the facility is operated by a private contractor, and a congregate meal program is funded by Fund 103, Aging Grants and Program.

- Olley Glen is a new 90-unit active senior development on the FCRHA's Glens at Little River senior housing campus in the Braddock District. The total development cost for the project is $24,037,988 including $17,033,859 in non-County funds, as well as $704,129 from the Housing Trust Fund and $6,300,000 in Fund 319, The Penny for Affordable Housing Fund investment. The project is now under construction and is expected to be completed in FY 2011 with operating expenses in the amount of $349,200 budgeted in Fund 950, FCRHA Partnerships to support salaries, maintenance and other recurring expenses.

Certain expenses reflected in this fund are not directly related to housing operations. The FCRHA, as landlord of these facilities, has inter-agency agreements, which provide for budgeting by HCD for common area expenses for utilities, telecommunications, maintenance, custodial services, and contracts. The facilities provide space for general community use, as well as for services provided by other County agencies.

## FY 2011 Budget Reduction Impact Summary

To address the projected FY 2011 budget shortfall, a reduction of $44,000 and 1/1.0 SYE position has been included in the FY 2011 Advertised Budget Plan. This reduction and elimination of one position impacts service delivery at Lincolnia Senior Center and Residence.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 16/ 16 | 16/ 16 | 16/ 16 | 15/ 15 |
| Expenditures: | | | | |
| Personnel Services | $893,224 | $1,151,720 | $1,151,720 | $1,106,001 |
| Operating Expenses | 2,444,300 | 2,947,518 | 3,395,076 | 3,080,705 |
| Capital Equipment | 8,250 | 0 | 0 | 0 |
| **Total Expenditures** | **$3,345,774** | **$4,099,238** | **$4,546,796** | **$4,186,706** |

**Position Summary**

**HOUSING MANAGEMENT**
- 1 Director of Senior Housing
- 1 Housing Services Specialist V
- 1 Housing Services Specialist IV
- 1 Housing Services Specialist III
- 1 Housing Services Specialist II
- 1 Housing Services Specialist I
- 1 Senior Mechanical Systems Supervisor
- 1 Trades Supervisor
- 1 Electrician II
- 1 General Building Maintenance Worker I
- 1 Administrative Assistant V
- 1 Maintenance Trade Helper II
- 3 Facility Attendants II (-1)

**TOTAL POSITIONS**
**15 Positions (-1) / 15.0 Staff Years (-1.0)**

**(-) Denotes Abolished Position Due to Budget Reductions**

# Fund 141
# Elderly Housing Programs

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

- **Operating and Maintenance Costs** **$133,187**
  A net increase of $133,187 in Operating Expenses is primarily due to increased costs based on prior years' actual costs.

- **Personnel Services** **($1,719)**
  A net decrease of $1,719 in Personnel Services is associated with a reduction of $3,431 at the Lincolnia Senior Center and Residence, offset by an increase of $1,712 at the Lewinsville Senior Residences.

- **Reductions** **($44,000)**
  A decrease of $44,000 in Personnel Services is associated with the elimination of 1/1.0 SYE Facility Attendant at Lincolnia Senior Center and Residence. The following chart provides details on the specific reductions approved, including funding and associated positions.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Lincolnia Senior Center and Residence Scheduling and Monitoring Redesign | This reduction eliminates the 1/1.0 SYE Facility Attendant from Lincolnia Senior Center and Residence that is responsible for after hours community use, building scheduling and monitoring. The duties will be absorbed by implementing a volunteer building director initiative at the site, which is a component of an overall strategy to reorganize overall service delivery at Lincolnia Senior Center and Residence. | 1 | 1.0 | $44,000 |

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$447,558**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a total increase of $447,558 associated with critical work that is required to maintain licensure and address the physical needs of Lincolnia Senior Center and Residence, an aging property.

# Fund 141
# Elderly Housing Programs

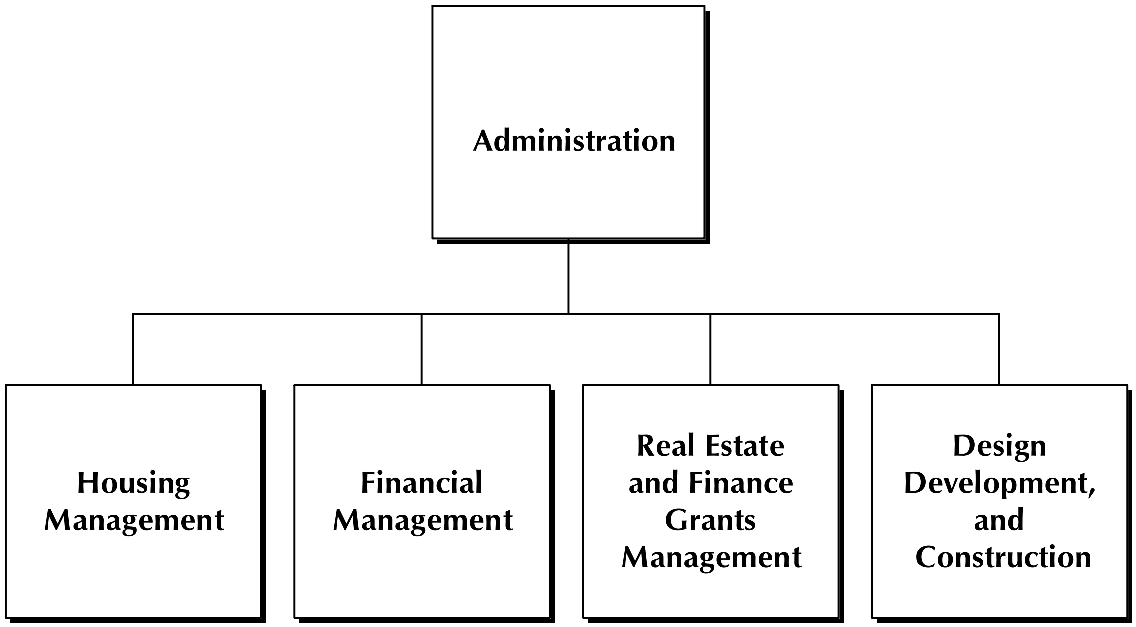
## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** | **Fund 141, Elderly Housing Programs**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$704,499** | **$412,449** | **$1,057,185** | **$613,352** |
| Revenue: | | | | |
| Rental Income | $2,103,504 | $1,776,036 | $1,705,382 | $1,870,536 |
| Miscellaneous Revenue | 20,649 | 18,702 | 20,649 | 18,702 |
| HOME Rental Assistance | 82,584 | 275,000 | 343,707 | 343,707 |
| Total Revenue | $2,206,737 | $2,069,738 | $2,069,738 | $2,232,945 |
| Transfer In: | | | | |
| General Fund (001) | $1,491,723 | $2,033,225 | $2,033,225 | $1,989,225 |
| Total Transfer In | $1,491,723 | $2,033,225 | $2,033,225 | $1,989,225 |
| **Total Available** | **$4,402,959** | **$4,515,412** | **$5,160,148** | **$4,835,522** |
| Expenditures: | | | | |
| Personnel Services | $893,224 | $1,151,720 | $1,151,720 | $1,106,001 |
| Operating Expenses | 2,444,300 | 2,947,518 | 3,395,076 | 3,080,705 |
| Capital Equipment | 8,250 | 0 | 0 | 0 |
| Total Expenditures | $3,345,774 | $4,099,238 | $4,546,796 | $4,186,706 |
| **Total Disbursements** | **$3,345,774** | **$4,099,238** | **$4,546,796** | **$4,186,706** |
| | | | | |
| **Ending Balance[1]** | **$1,057,185** | **$416,174** | **$613,352** | **$648,816** |
| Replacement Reserve | $1,057,185 | $416,174 | $613,352 | $648,816 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1] Ending Balances fluctuate due to program adjustments, carryover of operating expenditures, and adjustments in the General Fund Transfer.

# Fund 142
# Community Development Block Grant




## Mission
To conserve and upgrade low- and moderate-income neighborhoods through the provision of public facilities, home improvements, public services, and economic development, and to stimulate the development and preservation of low- and moderate-income housing.

## Focus
Fund 142, Community Development Block Grant (CDBG), seeks to stimulate the development and preservation of low- and moderate-income housing and provide loans, public facilities, and improvements directed toward conserving and upgrading low- and moderate-income neighborhoods. It also supports the provision of special outreach and assistance services to low- and moderate-income households. Fairfax County receives an annual Community Development Block Grant through the U.S. Department of Housing and Urban Development (HUD). The use of these funds is subject to eligibility criteria established by Congress for the program and must meet one of three national objectives: (1) benefit to the low- and moderate-income population of the County; (2) elimination of slums and blight; and (3) meet urgent needs. Specific uses of each annual grant are outlined in the Consolidated Plan One-Year Action Plan. The Board of Supervisors has designated the Consolidated Community Funding Advisory Committee (CCFAC) as the citizen advisory group charged with overseeing the Consolidated Plan process. The Consolidated Plan also incorporates the recommendations of the Fairfax County Redevelopment and Housing Authority (FCRHA) concerning the use of CDBG funds. The CCFAC forwards the Plan to the Board of Supervisors for a public hearing and adoption. The Plan is then forwarded to HUD for approval and final grant award.

Historically, CDBG funds have been used for:

- development and preservation of affordable housing;
- neighborhood improvements in communities designated as Conservation or Redevelopment Areas by the Board of Supervisors;
- programs providing needed services to the low- and moderate-income population;
- financial and technical assistance to homeowners for housing rehabilitation and repair;
- payments on loans used for affordable housing development; and
- costs to administer this grant and related programs.

# Fund 142
# Community Development Block Grant

## FY 2011 Initiatives

Funding in the amount of $5,982,304 is estimated for FY 2011. The following identifies some of the projected funding initiatives:

- A portion of the County's CDBG entitlement will be combined with County General Funds and the Community Services Block Grant into a Consolidated Community Funding Pool (CCFP), providing funds through a competitive process to non-profit organizations for human services and affordable housing development and preservation. In FY 2011, it is initially projected that an amount of $2,010,790 will be available for the CCFP.

- An amount of $57,512 is currently projected for the Fair Housing Program implementation, including conducting and reporting on fair housing tests, filing fair housing complaints, training rental agents and housing counselors in the County's rental market, establishing and staffing the Fair Housing Task Force, and continuing to study and report on the County's fair housing needs.

- An amount of $286,463 is projected to support staff and operating costs for the Home Repair for the Elderly Program. This program provides minor home repairs to low-income elderly or disabled residents to enable these individuals to live in safe and sanitary housing.

- Funding of $1,270,001 is projected to be available for payments on Section 108 Loans. These loans, approved by the Board of Supervisors and HUD, are designated for affordable housing preservation and development, the reconstruction of Washington Plaza, and road and storm drainage improvements in five conservation areas: Baileys, Fairhaven, Gum Springs, James Lee, and Jefferson Manor.

- Also included in Fund 142 is support for staff and operating costs to provide federally-mandated relocation and advisory services to individuals affected by federally funded County and FCRHA programs. In addition, funding is provided for staff support and operating costs for overall program management and planning for Community Development Block Grant and Section 108 Loan programs. This includes preparation of the annual HUD Consolidated Plan and other program reports, administration and monitoring of non-profit contracts, evaluation of program performance, and planning of the development of affordable housing in the County. In FY 2011, funding for these services is estimated to be $1,425,479.

- The Homeownership Assistance Program provides funding in the amount of $316,279 for the support of staff in the Relocation Services Branch, who provide support to the First-Time Homebuyer and Moderate Income Direct Sales programs. The main duties of these positions include application data entry, waiting list maintenance, application processing, conducting lotteries, annual occupancy certifications, and counseling applicants.

- Upon approval of the final HUD award in spring 2010, it is anticipated that funding in the amount of $615,780 will be available for allocation to rehabilitation, revitalization and loan programs, which will be outlined in the Consolidated Plan One Year Action Plan for FY 2011.

# Fund 142
# Community Development Block Grant

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 21/ 21 | 21/ 21 | 21/ 21 | 21/ 21 |
| Home Improvement Loan Program | $451,052 | $0 | $301,634 | $0 |
| Housing Development Corporation | 0 | 0 | 19,710 | 0 |
| Home Repair for the Elderly | 325,952 | 296,261 | 395,184 | 286,463 |
| General Administration | 727,053 | 887,236 | 1,212,702 | 670,409 |
| Good Shepherd Housing | 414,883 | 0 | 718,723 | 0 |
| RPJ Transitional Housing | 54,668 | 0 | 55,268 | 0 |
| Section 108 Loan Payments | 713,417 | 1,021,515 | 2,044,239 | 1,270,001 |
| Homestretch | 95,348 | 0 | 168,902 | 0 |
| IndoChinese Community Center | 0 | 0 | 297 | 0 |
| FACETS Family Enrichment | 275,560 | 0 | 325,739 | 0 |
| DCRS Teen Services | 0 | 0 | 2,498 | 0 |
| Reston Interfaith | 145,845 | 0 | 63,001 | 0 |
| Fair Housing Program | 92,554 | 57,512 | 182,906 | 57,512 |
| Olley Glen | 539,415 | 0 | 0 | 0 |
| Christian Relief Services | 101,993 | 0 | 152,068 | 0 |
| Reston Interfaith Townhouses | 0 | 0 | 2 | 0 |
| Homeownership Assistance Program | 278,843 | 315,320 | 636,621 | 316,279 |
| Senior/Disabled Housing Development | 0 | 0 | 650,522 | 0 |
| Child Care Center Grant Program | 0 | 0 | 98,182 | 0 |
| Rehabilitation of FCRHA Properties | 0 | 0 | 734,464 | 0 |
| Magnet Housing | 6,279 | 0 | 146,407 | 0 |
| Single Resident Occupancy | 0 | 0 | 350,000 | 0 |
| Revitalization | 169,112 | 0 | 86,604 | 0 |
| Newcomer Community Service | 67,127 | 0 | 67,127 | 0 |
| New Hope Housing, Inc. | 325,404 | 0 | 144,096 | 0 |
| Bilingual Rehabilitation Specialist | 64,466 | 0 | 131,966 | 0 |
| Club Phoenix / Vienna Teen Center | 0 | 0 | 4,986 | 0 |
| Planning and Urban Design | 472,047 | 629,497 | 874,252 | 468,540 |
| Glenwood Mews | 45,199 | 0 | 0 | 0 |
| Ethopian Development Council | 96,007 | 0 | 95,309 | 0 |
| Neighborhood Stabilization Program (State) | 0 | 0 | 1,000,000 | 0 |
| Neighborhood Stabilization Program | 1,163 | 0 | 2,806,137 | 0 |
| Contingency Fund | 0 | 284,012 | 57,727 | 615,780 |
| Reston Interfaith Housing Corporation | 156,000 | 0 | 467,750 | 0 |
| Neighborhood Revitalization | 331 | 137,098 | 270,683 | 0 |
| Accessibility Modifications | 0 | 0 | 500,000 | 0 |

# Fund 142
# Community Development Block Grant

## Agency Summary

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Capital Projects: | | | | |
| Other[1] | $0 | $2,002,792 | $0 | $2,010,790 |
| Huntington Community Center | 0 | 0 | 12,311 | 0 |
| Bailey's Road Improvements | 0 | 0 | 53,031 | 0 |
| Fairhaven Public Improvements | 184 | 0 | 49,095 | 0 |
| Gum Springs Public Improvements | 0 | 0 | 45,971 | 0 |
| James Lee Road Improvements | 4,891 | 0 | 96,964 | 0 |
| New Hope Housing | 446,242 | 0 | 0 | 0 |
| Housing Program Relocation | 204,310 | 297,739 | 546,820 | 286,530 |
| RPJ Housing Acquisition | 128,970 | 0 | 423,693 | 0 |
| Wesley/Coppermine | 63,000 | 0 | 130,504 | 0 |
| Lewinsville Expansion | 0 | 0 | 152,876 | 0 |
| **Total Expenditures** | **$6,467,313** | **$5,928,982** | **$16,276,968** | **$5,982,304** |

[1] Please note that FY 2011 funding will be combined with County General Funds and the Community Services Block Grant into a Consolidated Community Funding Pool to provide funds through a competitive process to non-profit organizations for human services and affordable housing development and preservation.

## Position Summary

**ADMINISTRATION**
1 Management Analyst III

**HOUSING MANAGEMENT**
1 Housing Services Specialist V
1 Housing Services Specialist IV
2 Housing Services Specialists II
1 Administrative Assistant IV

**REAL ESTATE FINANCE AND GRANTS MANAGEMENT**
1 Real Estate/Grant Manager
2 Housing/Community Developers IV
2 Housing/Community Developers III
1 Senior Maintenance Supervisor
2 Carpenters I
1 Administrative Assistant IV

**FINANCIAL MANAGEMENT**
1 Accountant III
1 Administrative Assistant V
1 Management Analyst III

**DESIGN, DEVELOPMENT AND CONSTRUCTION**
2 H/C Developers IV
1 Administrative Assistant IV

**TOTAL POSITIONS**
**21 Positions / 21.0 Staff Years**

Note: As a result of a transition by the U.S. Department of Housing and Urban Development to a project based budgeting model for the maintenance of public housing facilities, a number of positions are being moved within the Fairfax County Department of Housing and Community Development (DHCD) to better align the positions to the developments they support. In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was exchanged between Fund 142 and Agency 38, Housing and Community Development General Fund.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **U. S. Department of Housing and Urban Development (HUD) Award** **$53,322**
  An increase of $53,322 is associated with the FY 2010 HUD award that was used to project expenditures for this fund in FY 2011.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$10,347,986**

  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a net increase of $10,347,986 due to carryover of $9,012,805 in unexpended project balances, appropriation of $1,000,000 for the Neighborhood Stabilization Program, appropriation of $281,859 in unanticipated program income received in FY 2009, and $53,322 due to the amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on April 27, 2009.

# Fund 142
# Community Development Block Grant

## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** **Fund 142, Community Development Block Grant**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$475,567** | **$0** | **$390,382** | **$0** |
| Revenue: | | | | |
| Community Development Block Grant (CDBG) | $6,100,269 | $5,928,982 | $15,886,586 | $5,982,304 |
| CDBG Program Income | 281,859 | 0 | 0 | 0 |
| Total Revenue | $6,382,128 | $5,928,982 | $15,886,586 | $5,982,304 |
| **Total Available** | **$6,857,695** | **$5,928,982** | **$16,276,968** | **$5,982,304** |
| Expenditures: | | | | |
| CDBG Projects | $6,467,313 | $5,928,982 | $16,276,968 | $5,982,304 |
| Total Expenditures | $6,467,313 | $5,928,982 | $16,276,968 | $5,982,304 |
| **Total Disbursements** | **$6,467,313** | **$5,928,982** | **$16,276,968** | **$5,982,304** |
| **Ending Balance[1]** | **$390,382** | **$0** | **$0** | **$0** |

[1] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 143
# Homeowner and Business Loan Programs

## Mission

The overall goals of the Homeowner and Business Loan Programs are to enhance the quality of life and economic base of the County by providing support for homeownership, to repair and upgrade existing housing and to assist small and minority businesses. One focus is to provide a means and opportunity for low- and moderate-income households to become homeowners in the County through the First-Time Homebuyers Program operated by the FCRHA and offering units through the Moderate Income Direct Sales (MIDS) Program and Fairfax County's Affordable Dwelling Unit (ADU) Ordinance. The second focus is to provide affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement, resulting in the elimination of health and safety code violations, enhancing the quality and appearance of existing housing and retaining existing affordable housing. The third focus is to provide business assistance and counseling services as well as direct loans to qualified minority businesses.

## Focus

Fund 143, Homeowner and Business Loan Programs, is comprised of three programs designed to meet the agency mission as detailed below:

- The First-Time Homebuyers Program is designed to provide affordable homeownership opportunities for low- to moderate-income families who otherwise could not afford to purchase a home. The Moderate Income Direct Sales program that was initially established in 1978 allows units acquired or constructed by the FCRHA to be sold to moderate income families, with the purchase made possible by the provision of second trust loans. The resale price of the unit is limited, and the FCRHA has the right of first refusal when the home is resold. Since 1993, the FCRHA has been marketing units that are provided under provisions of Fairfax County's Affordable Dwelling Unit Ordinance. These units also serve low- and moderate-income households who are first-time homebuyers earning at least $25,000. Homes range in price from $70,000 to $160,000. Restrictive covenants apply that limit the sales price, and require owners to occupy the home. Homes purchased currently have a 30-year control period. The FCRHA has the right of repurchase or the right to assign the purchase to a new homebuyer. Applicants for both ADU units and MIDS units are required to participate in homeownership education classes and obtain a pre-conditional approval from a lender to participate in drawings to receive these homes.

- The Home Improvement Loan Program (HILP) provides loans to low- and moderate-income individuals to repair, modernize, or expand the living space for their families to help alleviate overcrowded conditions. Funds are also loaned to homeowners who are cited for health and housing code violations, and for replacement housing, if necessary. Grants are provided for low-income elderly or disabled residents through the Elderly Home Repair Program to make needed repairs and provide for handicapped accessibility, to prevent displacement, and to allow these individuals to live in safe and sanitary housing. County appropriated funds within Fund 143, Homeowner and Business Loan Programs are used in conjunction with bank funds budgeted in Fund 945, Non-County Appropriated Rehabilitation Loan Program, and federal funding in Fund 142, Community Development Block Grant, to increase the assistance available to County residents.

- The Small and Minority Business Loan program was initiated in FY 1996, and Fund 143, Homeowner and Business Loan Programs, was expanded to include the receipt of federal funds for the operations of this program which provides loans to qualified small and minority businesses. Program funds are administered by the Community Business Partnership (formerly the South Fairfax Regional Business Partnership, Inc.) through an agreement with the Department of Housing and Community Development. Loan repayments from the business loans will be received as revenue in Fund 143, Homeowner and Business Loan Programs, and will be used to pay debt service on the Section 108 Loan 7.

# Fund 143
# Homeowner and Business Loan Programs

Program income from the MIDS and HILP programs will provide direct loans, consistent with the business plan approved by the FCRHA and the Board of Supervisors.

FY 2011 revenues are projected to be $3,883,825 with the actual results reliant upon economic conditions, participants' ability to repay rehabilitation loans and the real estate market environment for MIDS and ADU resale properties and second trusts. The Section 108 loans will be repaid according to scheduled payments. In addition to the funding in Fund 143, the HILP Program initiated a two percent loan origination fee as of July 1, 1996 on all loans settled by the program. The revenue generated by this program goes directly into Fund 940, FCRHA General Operating to support staff costs associated with the program.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $4,555,312 | $1,870,161 | $7,817,503 | $3,883,825 |
| **Total Expenditures** | **$4,555,312** | **$1,870,161** | **$7,817,503** | **$3,883,825** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Moderate Income Direct Sales (MIDS) Program** **$2,177,787**
  An increase of $2,177,787 is due to higher expenditures for an increased number of units and cost per unit repurchased and resold.

- **Small and Minority Business Loan Program** **($1,434)**
  A decrease of $1,434 is due to lower expenditures for U. S. Department of Housing and Urban Development Section 108 Loan 7 repayments based on the repayment schedule.

- **Home Improvement Loan Program (HILP)** **($162,689)**
  A decrease of $162,689 is due to lower expenditures for loan repayments and administrative costs based on a previous three-year average of activity.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$5,947,342**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $5,947,342 due to the carryover of $3,732,163 in unexpended FY 2009 program balances for the Moderate Income Direct Sales Program (MIDS), Rehabilitation Loans and Grants Program, and Business Loan Program. The expenditure increase also reflects an appropriation of $2,215,179 in unanticipated MIDS program income. FY 2010 revenues increased by $1,869,014 due to the carryover of FY 2009 balances in the County Rehabilitation Loan Repayment and the Business Loan Program.

# Fund 143
# Homeowner and Business Loan Programs

## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** **Fund 143, Homeowner and Business Loan Programs**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,804,767** | **$0** | **$4,078,328** | **$0** |
| Revenue: | | | | |
| Program Income (MIDS) | $4,601,407 | $1,543,554 | $1,543,554 | $3,721,341 |
| County Rehabilitation Loan Repayments | 60,414 | 276,038 | 1,486,614 | 113,349 |
| Business Loan Program | 167,052 | 50,569 | 709,007 | 49,135 |
| Total Revenue | $4,828,873 | $1,870,161 | $3,739,175 | $3,883,825 |
| **Total Available** | **$8,633,640** | **$1,870,161** | **$7,817,503** | **$3,883,825** |
| Expenditures: | | | | |
| Moderate Income Direct Sales Program (MIDS) | $4,286,430 | $1,543,554 | $3,474,222 | $3,721,341 |
| Rehabilitation Loans and Grants | 118,996 | 276,038 | 3,406,599 | 113,349 |
| Business Loan Program | 149,886 | 50,569 | 936,682 | 49,135 |
| Total Expenditures | $4,555,312 | $1,870,161 | $7,817,503 | $3,883,825 |
| **Total Disbursements** | **$4,555,312** | **$1,870,161** | **$7,817,503** | **$3,883,825** |
| **Ending Balance**[1] | **$4,078,328** | **$0** | **$0** | **$0** |

[1] Projects are budgeted based on the total program costs and most programs span multiple years. Therefore, funding is carried forward each fiscal year and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 144
# Housing Trust Fund

## Focus
Fund 144, Housing Trust Fund, was created in FY 1990 to reflect the expenditures and revenues of funds earmarked to encourage and support the preservation, development and redevelopment of affordable housing by the Fairfax County Redevelopment and Housing Authority (FCRHA), non-profit sponsors and private developers. The fund is intended to promote endeavors that will furnish housing to low- and moderate-income individuals in Fairfax County by providing low cost debt and equity capital in the form of loans, grants and equity contributions. Only capitalized costs are eligible for funding from the Housing Trust Fund.

Under the criteria approved by the FCRHA and the Board of Supervisors for the Housing Trust Fund, highest priority is assigned to projects which enhance existing County and FCRHA programs, produce or preserve housing which will be maintained for lower income occupants over the long term, promote affordable housing and leverage private funds.

In FY 1996, the Board of Supervisors authorized the FCRHA to implement a pre-development fund as a component of the Housing Trust Fund.

On behalf of the County, the FCRHA administers the Housing Trust Fund, and on an on-going basis, accepts and reviews applications from non-profit corporations and private developers for contributions from this source. The FCRHA forwards its recommendations of projects to be funded to the Board of Supervisors based on this review. The FCRHA itself may submit proposals meeting the Housing Trust Fund criteria to the Board of Supervisors at any time for the Board's approval.

In FY 2011, revenues are estimated to be $840,000, a decrease of $410,000 or 32.8 percent from the FY 2010 Adopted Budget Plan. This decrease is primarily attributable to lower proffered contributions based on an average of the past years' actual data and lower FY 2011 interest rate projections. FY 2011 expenditures of $840,000 will be allocated to the undesignated project for reallocation to specific projects when identified and approved.

## Changes to FY 2010 Adopted Budget Plan
*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$5,991,342**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $5,991,342 due to the carryover of unexpended project balances in the amount of $6,355,861, offset by a net reduction of $364,519 as a result of a decrease in revenues received in FY 2009.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects includes projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 144
# Housing Trust Fund

## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** — **Fund 144, Housing Trust Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$7,478,733** | **$229,060** | **$6,240,321** | **$248,979** |
| Revenue: | | | | |
| Proffered Contributions | $627,179 | $1,150,000 | $1,150,000 | $815,000 |
| Investment Income | 222,491 | 100,000 | 100,000 | 25,000 |
| Miscellaneous Revenue | 5,730 | 0 | 0 | 0 |
| Total Revenue | $855,400 | $1,250,000 | $1,250,000 | $840,000 |
| **Total Available** | **$8,334,133** | **$1,479,060** | **$7,490,321** | **$1,088,979** |
| Expenditures: | | | | |
| Capital Projects | $1,093,812 | $1,250,000 | $7,241,342 | $840,000 |
| Total Expenditures | $1,093,812 | $1,250,000 | $7,241,342 | $840,000 |
| Transfers Out: | | | | |
| County General Fund (001) | $1,000,000 | $0 | $0 | $0 |
| Total Transfers Out | $1,000,000 | $0 | $0 | $0 |
| **Total Disbursements** | **$2,093,812** | **$1,250,000** | **$7,241,342** | **$840,000** |
| **Ending Balance**[1] | **$6,240,321** | **$229,060** | **$248,979** | **$248,979** |
| Reserved Fund Balance[2] | $229,060 | $229,060 | $229,060 | $229,060 |
| **Unreserved Ending Balance** | **$6,011,261** | **$0** | **$19,919** | **$19,919** |

[1] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[2] The Reserved Fund Balance reflects revenue receivable to the Housing Trust Fund for interest owed by Reston Interfaith on an equity lien held by the FCRHA.

# Fund 144
# Housing Trust Fund

## FY 2011 Summary of Capital Projects

### Fund: 144 Housing Trust Fund

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003875 | Island Walk Cooperative | $548,634 | $0.00 | $0.00 | $0 |
| 003892 | Briarcliff Phase I | 500,000 | 0.00 | 0.00 | 0 |
| 003969 | Lewinsville Elderly Facility | 159,947 | 0.00 | 0.00 | 0 |
| 013808 | Herndon Harbor House Phase I | 2,050,378 | 0.00 | 0.00 | 0 |
| 013827 | Strawbridge Square | 50,000 | 50,000.00 | 0.00 | 0 |
| 013854 | Founders Ridge/Kingstowne NV | 599,877 | 0.00 | 0.00 | 0 |
| 013889 | Chain Bridge Gateway/Moriarty Place | 1,595,984 | 0.00 | 0.00 | 0 |
| 013901 | Tavenner Lane | 503,331 | 0.00 | 0.00 | 0 |
| 013906 | Undesignated Project | | 39,143.69 | 1,116,357.59 | 840,000 |
| 013908 | West Ox Group Home | 119,852 | 0.00 | 0.00 | 0 |
| 013914 | Cedar Ridge | 38,053 | 0.00 | 0.00 | 0 |
| 013935 | Mt. Vernon Mental Group Home | 123,847 | 0.00 | 0.00 | 0 |
| 013939 | Wesley Housing Development Corporation | 225,700 | 0.00 | 0.00 | 0 |
| 013948 | Little River Glen Phase II | 8,192,071 | 204,312.89 | 29,381.01 | 0 |
| 013951 | Patrick Street Transitional Group Home | 22,000 | 0.00 | 0.00 | 0 |
| 013966 | Glenwood Mews | 3,200,878 | 118,521.65 | 0.00 | 0 |
| 014040 | Herndon Harbor Phase II | 529,555 | 0.00 | 0.00 | 0 |
| 014046 | Olley Glen | 704,129 | 360,788.56 | 0.00 | 0 |
| 014049 | Rogers Glen | 13,917 | 0.00 | 0.00 | 0 |
| 014051 | Mixed Greens | 881,789 | 0.00 | 0.00 | 0 |
| 014056 | Gum Springs Glen | 2,431,326 | 0.00 | 0.00 | 0 |
| 014098 | HTF Magnet Housing | 20,349 | 0.00 | 0.00 | 0 |
| 014134 | Habitat at Stevenson Street | 300,000 | 0.00 | 0.00 | 0 |
| 014138 | Chesterbrook Residences | 1,603,999 | 0.00 | 0.00 | 0 |
| 014140 | Lewinsville Expansion | 2,932,752 | 33,633.18 | 2,017,070.07 | 0 |
| 014142 | HTF RSRV/Emergencies & Opportunities | | 0.00 | 12,488.61 | 0 |
| 014143 | HTF Land/Unit Acquisition | | 0.00 | 250,000.00 | 0 |
| 014144 | Transitional Housing | 1,000,000 | 0.00 | 1,000,000.00 | 0 |
| 014148 | Westbriar Plaza Condominiums | 107,457 | 0.00 | 0.00 | 0 |
| 014165 | Single Resident Occupancy | | 849.60 | 332,953.40 | 0 |
| 014166 | Katherine K. Hanley Family Shelter | 2,044,936 | 0.00 | 0.00 | 0 |
| 014188 | Westcott Ridge | 516,000 | 0.00 | 0.00 | 0 |
| 014191 | Rehabilitation of FCRHA Properties | | 147,014.59 | 247,583.75 | 0 |
| 014198 | Madison Ridge | 5,100,000 | 0.00 | 0.00 | 0 |
| 014199 | Route 50 / West Ox Magnet Housing Project | 907,033 | 4,998.38 | 256,880.39 | 0 |
| 014234 | Willow Oaks | 272,430 | 0.00 | 0.00 | 0 |
| 014250 | Fairfield at Fair Chase | 93,889 | 0.00 | 0.00 | 0 |
| 014268 | Wedgewood | 1,900,000 | 0.00 | 1,900,000.00 | 0 |
| 014271 | BR Projects | | 134,549.77 | 20,535.78 | 0 |
| 014310 | The Brain Foundation | | 0.00 | 58,091.00 | 0 |
| VA1951 | Tavenner Lane Apartments | 271,934 | 0.00 | 0.00 | 0 |
| VA1952 | Water's Edge | 780,551 | 0.00 | 0.00 | 0 |
| **Total** | | **$40,342,598** | **$1,093,812.31** | **$7,241,341.60** | **$840,000** |

# Fund 145
# HOME Investment Partnership Grant

**Real Estate Finance and Grants Management**

## Mission
The goal of the HOME Investment Partnership Program (HOME) is to provide affordable housing through acquisition, rehabilitation, new construction and tenant-based rental assistance.

## Focus
In FY 2011, funding of $2,707,657 represents an estimated award from the U.S. Department of Housing and Urban Development (HUD). FY 2011 funding will provide for the Tenant-Based Rental Assistance program and various other new and ongoing projects. Details for specific projects in Program Year 19 (FY 2011) were approved by the Board of Supervisors and submitted to HUD in April 2009 as part of the Consolidated Plan Action Plan: Use of Funds for FY 2011. After HUD approval, necessary project adjustments will be made.

The HOME Program was established as part of the Cranston-Gonzalez National Affordable Housing Act of 1990. HOME funds are allocated on an annual basis to eligible participating jurisdictions based on a formula allocation system. The HOME Program requires a 25 percent local match from the participating jurisdiction. The local match can come from any Housing and Community Development project, regardless of funding source that is HOME eligible. Any expenditure beginning in October 1992 in qualifying projects can be considered as part of the required matching funds. In FY 2011, the County will have adequate matching funds from eligible projects to satisfy the requirement. Therefore, no additional local funds will need to be allocated to meet this requirement.

## Budget and Staff Resources

**Agency Summary**

| Category[1] | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| Expenditures: | | | | |
| Housing Capital | $0 | $0 | $623,369 | $0 |
| Homeless/Special Needs | 816,456 | 1,836,512 | 4,773,646 | 2,030,743 |
| Community Housing Development Organizations | 144,358 | 367,302 | 1,440,541 | 406,149 |
| Senior/Disabled Affordable Housing | 2,702,920 | 0 | 0 | 0 |
| Administration | 302,903 | 244,868 | 748,170 | 270,765 |
| **Total Expenditures** | **$3,966,637** | **$2,448,682** | **$7,585,726** | **$2,707,657** |

[1] Categories as required by the U.S. Department of Housing and Urban Development (HUD) for reporting purposes.

**Position Summary**

| | |
|---|---|
| | **DESIGN, DEVELOPMENT AND CONSTRUCTION** |
| 1 | Housing Community Developer IV |
| **TOTAL POSITION** | |
| **1 Position / 1.0 Staff Year** | |

# Fund 145
# HOME Investment Partnership Grant

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

♦ **U. S. Department of Housing and Urban Development (HUD) Award** **$258,975**

An increase of $258,975 is associated with the FY 2010 HUD award that was used to project expenditures for this fund in FY 2011.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$5,137,044**

As part of the *FY 2009 Carryover Review,* the Board of Supervisors approved a total increase $5,137,044 due to carryover of $4,738,037 in unexpended project balances, the appropriation of $140,032 in additional revenue received in FY 2009 due to program income, and an increase of $258,975 due to the amended HUD award approved by the Board of Supervisors on April 27, 2009. FY 2010 revenues increased by $5,073,099 due to the carryover of unexpended project balances of $4,814,124 and by $258,975 due to the amended FY 2010 HUD award.

A Fund Statement and a Summary of Capital Projects for the capital projects funded in FY 2011 are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 145
# HOME Investment Partnership Grant

## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** **Fund 145, HOME Investment Partnership Grant**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance**[1] | **($45,017)** | **$0** | **$63,945** | **$0** |
| Revenue: | | | | |
| HOME Grant Funds | $3,935,567 | $2,448,682 | $7,521,781 | $2,707,657 |
| HOME Program Income | 140,032 | 0 | 0 | 0 |
| Total Revenue | $4,075,599 | $2,448,682 | $7,521,781 | $2,707,657 |
| **Total Available** | **$4,030,582** | **$2,448,682** | **$7,585,726** | **$2,707,657** |
| Expenditures: | | | | |
| HOME Projects[2] | $3,966,637 | $2,448,682 | $7,585,726 | $2,707,657 |
| Total Expenditures | $3,966,637 | $2,448,682 | $7,585,726 | $2,707,657 |
| **Total Disbursements** | **$3,966,637** | **$2,448,682** | **$7,585,726** | **$2,707,657** |
| **Ending Balance**[3] | **$63,945** | **$0** | **$0** | **$0** |

[1] The FY 2009 negative Beginning Balance was attributed to a delay in receipt of HOME project expenditure reimbursements. These reimbursements were received in FY 2010.

[2] FY 2011 HOME funding projections include $1,359,272 for the Silver Lining Initiative; a planning factor of $671,471 for Tenant-Based Rental Assistance; a set-aside of at least 15 percent, $406,149, mandated under HOME regulations, from the County's total HOME allocation for eligible Community Housing Development Organizations (CHDOs); and a 10 percent set-aside of $270,765 for administrative expenses as permitted under HOME regulations (including $24,427 for the Fair Housing Program).

[3] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 145
# HOME Investment Partnership Grant

### FY 2011 Summary of Capital Projects

**Fund: 145 HOME Investment Partnerships Grant**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003875 | Island Walk Cooperative | $1,000,000 | $0.00 | $0.00 | $0 |
| 013808 | Herndon Harbor House Phase I | 553,853 | 0.00 | 0.00 | 0 |
| 013854 | Founders Ridge/Kingstowne NV | 31,927 | 0.00 | 0.00 | 0 |
| 013856 | Birmingham Green | 1,250,000 | 0.00 | 0.00 | 0 |
| 013868 | Good Shepherd Housing | | 0.00 | 540,000.00 | 0 |
| 013883 | Old Mill Road | 59,500 | 0.00 | 0.00 | 0 |
| 013886 | RPJ Transitional Housing | 776,860 | 0.00 | 88,360.00 | 0 |
| 013901 | Tavenner Lane | 734,600 | 0.00 | 0.00 | 0 |
| 013912 | Stevenson Street | 570,000 | 0.00 | 0.00 | 0 |
| 013933 | Reston Interfaith Townhouses | 1,305,644 | 144,358.00 | 386.40 | 0 |
| 013954 | CHDO Undesignated | | 0.00 | 581,802.45 | 406,149 |
| 013969 | Castellani Meadows | 1,039,961 | 0.00 | 0.00 | 0 |
| 013971 | Tenant-Based Rental Assistance | | 82,584.00 | 485,827.52 | 343,707 |
| 013974 | HOME Development Costs | | 0.00 | 36,477.55 | 0 |
| 013975 | HOME Administration | | 234,683.12 | 697,123.63 | 246,338 |
| 014034 | Fair Housing Program | | 68,220.00 | 51,046.51 | 24,427 |
| 014040 | Herndon Harbor Phase II | 2,533,802 | 0.00 | 0.00 | 0 |
| 014056 | Gum Springs Glen | 2,612,665 | 0.00 | 0.00 | 0 |
| 014134 | Habitat at Stevenson Street | 216,000 | 0.00 | 0.00 | 0 |
| 014137 | Little River Glen III | 2,788,471 | 2,702,920.34 | 0.00 | 0 |
| 014144 | Transitional Housing | 407,000 | 64,509.90 | 118,102.14 | 0 |
| 014190 | American Dream Downpayment Initiative | | 0.00 | 34,621.75 | 0 |
| 014191 | Rehabilitation of FCRHA Properties | | 0.00 | 514,655.00 | 0 |
| 014237 | Yorkville Apartments | 500,000 | 0.00 | 500,000.00 | 0 |
| 014238 | Holly Acres | 144,500 | 0.00 | 0.00 | 0 |
| 014254 | East Market | 145,000 | 0.00 | 0.00 | 0 |
| 014255 | Lorton Valley | 264,117 | 0.00 | 0.00 | 0 |
| 014265 | Partnership for Permanent Housing | | 211,584.00 | 732,528.25 | 327,764 |
| 014275 | Silver Lining Initiative | | 457,778.00 | 2,974,802.30 | 1,359,272 |
| 014310 | The Brain Foundation | | 0.00 | 229,992.00 | 0 |
| **Total** | | **$16,933,900** | **$3,966,637.36** | **$7,585,725.50** | **$2,707,657** |

# Fund 319
# The Penny for Affordable Housing Fund

## Focus

Fund 319, The Penny for Affordable Housing Fund, formerly known as the Housing Flexibility Fund, was established in FY 2006 and is designed to serve as a readily available local funding source with the flexibility to address emerging local affordable housing needs. For fiscal years 2006 through 2009, the Board of Supervisors dedicated revenue commensurate with the value of one cent from the Real Estate tax rate to the Preservation of Affordable Housing, a major County priority. In FY 2010, the Board of Supervisors reduced The Penny for Affordable Housing Fund by 50 percent to reallocate funding for critical human services and public safety program restorations in order to balance the FY 2010 budget. From FY 2006 through FY 2010, the fund has provided a total of $95.5 million for affordable housing in Fairfax County.

Between 1980 and 2005, the assessed value of housing in Fairfax County rose more than 300 percent. The foreclosure crisis in Fairfax County precipitated a significant decline in sales prices from their unprecedented highs in 2005 and 2006. However, according to the George Mason University Center for Regional Analysis, despite these recent declines in sales prices the average housing price in 2009 remained equivalent to that of February 2004, or approximately 84 percent higher than 2000.

Rents have also been driven up by the significant and growing demand for housing in the County. Although current market conditions have seen decreases in residential real estate prices, the ongoing recession has not had an impact on rent affordability. The County is experiencing higher vacancy rates that are not offset by lower rents. Instead, many complexes are offering incentives that temporarily lower rent to new tenants, but these unofficial deals are not captured in official rent data. Between 2002 and 2008, Fairfax County lost approximately 9,305 non-subsidized rental units affordable to households earning up to 70 percent of the Area Median Income (AMI), or $69,300 for a family of four in FY 2008. The AMI for Fairfax County in FY 2009, as published by the United States Department of Housing and Urban Development (HUD), is $102,700. In fact, the annual income needed to afford a two bedroom apartment at the HUD-published fair market rate of $1,288 per month was estimated to be $51,520 in FY 2009. This is just over 50 percent of the AMI, meaning that there are many wage earners for whom living in Fairfax County is a significant financial struggle.

In addition, according to HUD Comprehensive Housing Affordability Strategy (CHAS) data from 2000, 27,969 rental households earning 80 percent of AMI and below had "housing problems", meaning they were cost burdened or paying more than 30 percent of their gross income for housing, or in overcrowded or substandard housing. The Center for Regional Analysis at George Mason University now estimates that there is a need for 63,660 net new affordable units for households earning up to 120 percent of the AMI by 2025, including 40,338 net new units affordable to households earning 80 percent of the AMI and below, based on projected job growth. Taken together, this represents a need for over 90,000 units of affordable workforce housing in Fairfax County within the next 16 years. Thus, homeownership remains out of reach for most low- and moderate-income households in Fairfax County.

Fund 319 represents the County's financial commitment to preserving and creating affordable housing opportunities by dedicating a portion of its revenue specifically for affordable and workforce housing. To maximize the effectiveness of these funds, the Board of Supervisors recommended a minimum leverage ratio of 3:1 with non-County funds and that units funded by Fund 319 remain affordable at a minimum for a period of time consistent with the County's Affordable Dwelling Unit Ordinance, which was amended to be 30 years effective February 2006.

As of January 2010, a total of 2,376 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,124 units are preserved for 20 years or longer. A variety of funding sources were used to preserve these units; however, Fund 319 funds were critical for the preservation efforts associated with five large multifamily complexes that were purchased by private nonprofits and which represent a significant portion of the units preserved: 216 units in Madison Ridge in Centreville (Sully District), 148 units in Hollybrooke II and III in the Seven Corners area of Falls Church (Mason District), 90 units in Sunset Park Apartments in Falls Church (Mason District), 319 units in Janna Lee Villages in the Hybla Valley area (Lee District) and 105 units in Coralain Gardens located on Arlington Boulevard (Route 50) in Falls

Church (Mason District). Fund 319 was also instrumental in preserving two large complexes: 180 units at the Crescent apartment complex in Reston (Hunter Mill District) and 672 units at the Wedgewood apartment complex in Annandale (Braddock District). These projects were purchased by the County and are being managed by the Fairfax County Redevelopment and Housing Authority as part of the low- and moderate-income rental program. Without the availability of Fund 319, both of these apartment complexes may have been lost as affordable housing.

More recently, the Board of Supervisors has used the flexibility of The Penny for Affordable Housing Fund to address emerging local affordable housing opportunities and needs other than preservation. In FY 2009, the fund provided $6.3 million for the construction of 90 units of affordable active senior living at Olley Glen (Braddock District). This marked the first time the fund was used for new construction and also demonstrates the flexibility that the fund, as a local resource, gives the Board to invest in priorities it deems to be the most pressing, without requirements imposed by funding sources like the federal government. The fund also provided $0.8 million in FY 2009 to support the Silver Lining Initiative, which provides below-market second trusts to income-qualified Fairfax County first-time homebuyers purchasing bank-owned foreclosed homes. Again demonstrating its flexibility, this was the first time the fund has been used to provide direct financing to qualified individual homebuyers. These funds were combined with existing federal HOME Investment Partnership (HOME) funds, and were further supplemented by $1.5 million from the County's allocation of federal Neighborhood Stabilization Program (NSP) funds, received under the Housing and Economic Recovery Act of 2008. For low-cost, first-trust financing, the Silver Lining Initiative relies primarily on Fairfax County's allocation from the Virginia Housing Development Authority's (VHDA) Sponsoring Partnerships and Revitalizing Communities (SPARC) loan allocation.

During its retreat in June 2009, the Board of Supervisors reaffirmed the County's commitment to affordable housing and discussed the use of affordable housing resources in future fiscal years. In FY 2011, Fund 319 funding of $9,340,000 is allocated as follows: $5,823,750 for Wedgewood for the annual debt service; $3,282,750 for Crescent Apartments for the annual debt service; and $233,500 to be allocated to Affordable/Workforce Housing Projects for reallocation to specific projects when authorized by the Board of Supervisors.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$11,581,953**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $11,581,953 due to the carryover of $10,598,313 in unexpended project balances; $900,000 to account for the annual debt service payment required for Crescent Apartments as approved by the Board of Supervisors on January 28, 2008; and $83,640 to appropriate additional revenue received in FY 2009 from a loan repayment.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 319
# The Penny for Affordable Housing Fund

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 319, The Penny for Affordable Housing Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$1,513,397** | **$0** | **$10,681,953** | **$0** |
| Revenue: | | | | |
| Real Estate Tax Revenue Associated with The Penny for Affordable Housing | $22,800,000 | $10,270,000 | $10,270,000 | $9,340,000 |
| Miscellaneous | 983,640 | 0 | 900,000 | 0 |
| Total Revenue | $23,783,640 | $10,270,000 | $11,170,000 | $9,340,000 |
| **Total Available** | **$25,297,037** | **$10,270,000** | **$21,851,953** | **$9,340,000** |
| Total Expenditures | $14,615,084 | $10,270,000 | $21,851,953 | $9,340,000 |
| **Total Disbursements** | **$14,615,084** | **$10,270,000** | **$21,851,953** | **$9,340,000** |
| **Ending Balance[1]** | **$10,681,953** | **$0** | **$0** | **$0** |

[1] Capital projects are budgeted based on the total project costs. Many projects span multiple years, and therefore, funding for those projects are carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 319
# The Penny for Affordable Housing Fund

### FY 2011 Summary of Capital Projects

**Fund: 319 The Penny for Affordable Housing Fund**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 014046 | Olley Glen | $6,300,000 | $6,300,000.00 | $0.00 | $0 |
| 014196 | Affordable/Workforce Housing Projects | | 774,278.58 | 797,949.71 | 233,500 |
| 014198 | Madison Ridge | 2,500,000 | 0.00 | 0.00 | 0 |
| 014232 | Hollybrooke II Apartments | 3,350,000 | 0.00 | 0.00 | 0 |
| 014237 | Yorkville Apartments | 64,932 | 37,028.65 | 0.00 | 0 |
| 014239 | Crescent Apartments | 67,224,180 | 3,895,456.20 | 4,152,874.91 | 3,282,750 |
| 014240 | Sunset Park Apartments | 5,000,000 | 0.00 | 0.00 | 0 |
| 014250 | Fairfield at Fair Chase | 306,555 | 0.00 | 0.00 | 0 |
| 014252 | Janna Lee Village I | 13,000,000 | 0.00 | 0.00 | 0 |
| 014253 | Janna Lee Village II | 5,377,810 | 0.00 | 0.00 | 0 |
| 014254 | East Market | 145,395 | 0.00 | 0.00 | 0 |
| 014258 | Hollybrooke III Apartments | 3,100,000 | 0.00 | 0.00 | 0 |
| 014261 | Reston Glen | 2,375,000 | 0.00 | 0.00 | 0 |
| 014262 | Coralain Gardens | 5,300,000 | 0.00 | 0.00 | 0 |
| 014263 | Bryson at Woodland Park | 108,000 | 0.00 | 0.00 | 0 |
| 014264 | Fair Oaks Landing | 188,000 | 0.00 | 0.00 | 0 |
| 014268 | Wedgewood | 29,816,250 | 3,498,016.60 | 15,637,918.01 | 5,823,750 |
| 014269 | Northampton | 214,000 | 0.00 | 214,000.00 | 0 |
| 014270 | Stockwell Manor | 183,000 | 0.00 | 183,000.00 | 0 |
| 014273 | Halstead | 176,514 | 0.00 | 176,514.00 | 0 |
| 014275 | Silver Lining Initiative | | 110,304.00 | 689,696.00 | 0 |
| **Total** | | **$144,729,636** | **$14,615,084.03** | **$21,851,952.63** | **$9,340,000** |

# Fund 340
# Housing Assistance Program

## Focus
The Housing Assistance Program has been a source of funds for the development of low- and moderate-income housing and support of public improvement projects in low- and moderate-income neighborhoods. In addition, proceeds from a U.S. Department of Housing and Urban Development (HUD) Section 108 Loan provide for public improvement projects in five of the County's Conservation Areas: Bailey's, Fairhaven, Gum Springs, James Lee and Jefferson Manor.

In FY 2011, a General Fund transfer provides $515,000 for current program needs, staffing and other activities associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

## Changes to FY 2010 Adopted Budget Plan
*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$8,399,301**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an expenditure increase of $8,399,301 due to the carryover of $8,505,457 in unexpended project balances, an increase of $294,000 to allocate funding for an Economic Development Initiative (EDI) Grant, a transfer out to Fund 303, County Construction, of $220,156 to reflect the transfer of the balance from Project 014048, Revitalization Blight Abatement to the Code Enforcement Strike Team (CEST), and a decrease in the General Fund Transfer In of $180,000 for Personnel Services transferred to CEST.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 340
# Housing Assistance Program

## FUND STATEMENT

**Fund Type H34, Capital Project Funds** | **Fund 340, Housing Assistance Program**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **($2,370,166)** | **$3,662** | **($3,390,887)** | **$23,037** |
| Revenue: | | | | |
| Miscellaneous Revenues | $19,375 | $0 | $0 | $0 |
| Bond Proceeds[1] | 0 | 0 | 4,356,833 | 0 |
| Grant Proceeds | 0 | 0 | 1,014,689 | 0 |
| Section 108 Proceeds | (112,847) | 0 | 6,841,859 | 0 |
| Total Revenue | ($93,472) | $0 | $12,213,381 | $0 |
| Transfer In: | | | | |
| General Fund (001) | $695,000 | $695,000 | $515,000 | $515,000 |
| Total Transfer In | $695,000 | $695,000 | $515,000 | $515,000 |
| **Total Available** | **($1,768,638)** | **$698,662** | **$9,337,494** | **$538,037** |
| Expenditures: | | | | |
| Capital Projects | $1,622,249 | $695,000 | $9,094,301 | $515,000 |
| Total Expenditures | $1,622,249 | $695,000 | $9,094,301 | $515,000 |
| Transfer Out: | | | | |
| County Construction (303) | $0 | $0 | $220,156 | $0 |
| Total Transfer Out | $0 | $0 | $220,156 | $0 |
| **Total Disbursements** | **$1,622,249** | **$695,000** | **$9,314,457** | **$515,000** |
| **Ending Balance[2]** | **($3,390,887)** | **$3,662** | **$23,037** | **$23,037** |

[1] It should be noted that in the Fall of 1988 a Commercial and Development Bond Referendum was approved, of which $9.7 million was designated for the redevelopment of the Woodley-Nightingale mobile home park. The amount of $4,356,833 represents the authorized but unissued bond proceeds.

[2] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds. The FY 2009 Actual negative balance is attributed to a delay in receipt of bond proceeds for Project 003836, Woodley-Nightingale; Section 108 proceeds to cover the expenditures incurred for Project 003848, Fairhaven Public Improvements and Project 013918, Jefferson Manor Public Improvements; and Economic Development Initiative grant funds from HUD to support Project 014247, Magnet Housing and Project 014244, Annandale Community Cultural Center. These proceeds are projected to be received in FY 2010.

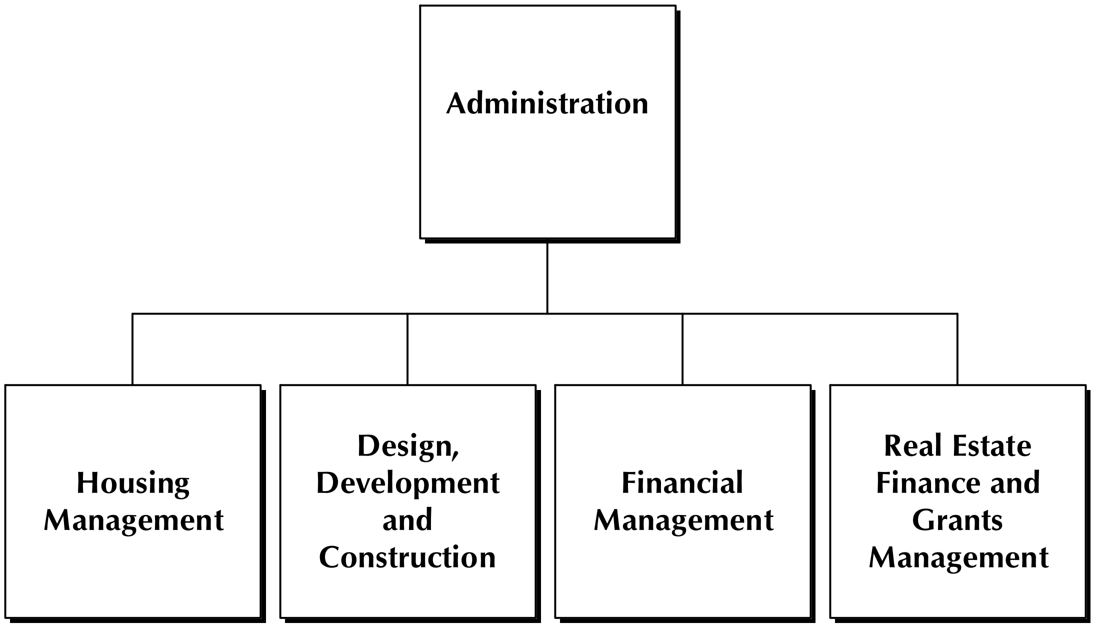
# Fund 340
# Housing Assistance Program

## FY 2011 Summary of Capital Projects

**Fund: 340 Housing Assistance Program**

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003817 | Bailey's Community Center | $121,378 | $0.00 | $0.00 | $0 |
| 003836 | Woodley-Nightingale | 3,351,250 | 206,537.37 | 2,675,919.98 | 0 |
| 003844 | Emergency Housing | 578,448 | 0.00 | 97,193.58 | 0 |
| 003846 | Bailey's Road Improvements | 586,783 | 0.00 | 45,824.49 | 0 |
| 003848 | Fairhaven Public Improvements | 1,796,863 | 0.00 | 395,983.69 | 0 |
| 003875 | Island Walk Cooperative | 49,997 | 0.00 | 0.00 | 0 |
| 003905 | Gum Springs Public Improvements | 1,825,391 | 1,559.70 | 7,417.35 | 0 |
| 003907 | James Lee Community Center | 642,729 | 0.00 | 3,441.20 | 0 |
| 003910 | James Lee Road Improvements | 352,092 | 1,066.08 | 41,382.53 | 0 |
| 003978 | Lincolnia Elementary School | 7,743,067 | 0.00 | 0.00 | 0 |
| 013808 | Herndon Harbor House Phase I | 25,180 | 0.00 | 0.00 | 0 |
| 013846 | Murraygate Village | 1,038,750 | 0.00 | 0.00 | 0 |
| 013905 | Creighton Square/Lockheed Blvd. | 53,365 | 0.00 | 0.00 | 0 |
| 013912 | Stevenson Street | 64,863 | 0.00 | 0.00 | 0 |
| 013914 | Cedar Ridge | 13,250 | 0.00 | 0.00 | 0 |
| 013918 | Jefferson Manor Public Imp. | 8,210,707 | 529,359.56 | 1,923,547.92 | 0 |
| 013944 | Gum Springs Community Center | 9,785 | 0.00 | 0.00 | 0 |
| 013948 | Little River Glen Phase II | 9,384 | 0.00 | 0.00 | 0 |
| 013963 | Section 108 Loan Issuance Costs | | 0.00 | 115,808.00 | 0 |
| 013966 | Glenwood Mews | 36,908 | 0.00 | 0.00 | 0 |
| 013969 | Castellani Meadows | 9,875 | 0.00 | 0.00 | 0 |
| 014010 | Commercial Revitalization | | 45,765.54 | 52,515.34 | 0 |
| 014020 | Stonegate Village Phase II | 13,379 | 0.00 | 0.00 | 0 |
| 014045 | McLean Revitalization | 100,000 | 0.00 | 0.00 | 0 |
| 014047 | Lake Anne Reston | 50,000 | 0.00 | 0.00 | 0 |
| 014048 | Revitalization Spot Blight Abatement | | 14,540.12 | 115,238.24 | 0 |
| 014050 | Herndon Senior Center | 55,877 | 0.00 | 0.00 | 0 |
| 014100 | Commerce Street Redevelopment | 2,158,422 | 0.00 | 2,079,049.23 | 0 |
| 014101 | Kings Crossing Redevelopment | 27,979 | 0.00 | 0.00 | 0 |
| 014102 | Gallows Road Streetscape | 32,330 | 0.00 | 0.00 | 0 |
| 014103 | Richmond Hwy. Facade Improvements | 214,346 | 0.00 | 0.00 | 0 |
| 014115 | Sacramento Community Center | 939 | 0.00 | 57.00 | 0 |
| 014117 | Richmond Highway Corridor | 100,000 | 20,381.92 | 28,641.37 | 0 |
| 014122 | Allen Street | 75,000 | 0.00 | 0.00 | 0 |
| 014125 | David R. Pinn Community Center | 97,417 | 0.00 | 0.00 | 0 |
| 014141 | Mason District Park - EDI | 89,802 | 0.00 | 0.00 | 0 |
| 014156 | Merrifield Town Center Urban Park | 2,000,000 | 0.00 | 0.00 | 0 |
| 014157 | Annandale Façade Imp. Program | 83,890 | 0.00 | 0.00 | 0 |
| 014159 | Baileys 7 Corners Streetscape Imp. | 135,041 | 0.00 | 0.00 | 0 |
| 014160 | Baileys SE Quad. Town Ctr. Comm. | 75,000 | 0.00 | 367.13 | 0 |
| 014161 | Revitalization Field Services | | 0.00 | 5,476.60 | 0 |
| 014242 | Richard Highway Town Center | 99,410 | 0.00 | 79,528.00 | 0 |
| 014244 | Annandale Community Cultural Center | 90,000 | 5,000.00 | 0.00 | 0 |
| 014245 | Lorton Arts Center | 99,410 | 0.00 | 99,410.00 | 0 |
| 014247 | Magnet Housing | 347,935 | 87,842.26 | 139,471.29 | 0 |
| 014252 | Janna Lee Village I | 622,191 | 0.00 | 1.00 | 0 |
| 014272 | Community Improvement Program Costs | 1,545,000 | 515,000.00 | 515,000.00 | 515,000 |
| 014276 | Code Enforcement Strike Team | | 180,000.00 | 0.00 | 0 |
| 014306 | EDI Housing Information Technology | 99,000 | 15,196.45 | 83,803.55 | 0 |
| 014313 | Huntington Flood Insurance Program | | 0.00 | 295,224.00 | 0 |
| 014314 | EDI-SRO Housing | | 0.00 | 294,000.00 | 0 |
| VA1940 | Reston Towne Center | 615,000 | 0.00 | 0.00 | 0 |
| **Total** | | **$35,347,433** | **$1,622,249.00** | **$9,094,301.49** | **$515,000** |

# Fund 940
# Fairfax County Redevelopment and Housing Authority General Operating




## Mission

To preserve and increase opportunities for affordable housing in Fairfax County based on need, community priorities and the policy of the Board of Supervisors and the Fairfax County Redevelopment and Housing Authority (FCHRA). Driven by community vision, to lead efforts to revitalize older areas of Fairfax County, to spur private reinvestment, maximize existing infrastructure and public investment, reverse negative perceptions and create employment opportunities.

## Focus

This fund includes all FCRHA revenues generated by financing fees earned from the issuance of bonds, monitoring and service fees charged to developers, management fees, investment income, project reimbursements, consultant fees and ground rents on land leased to developers. Revenue supports operating expenses for the administration of the private activity bonds, Home Improvement Loan Program (HILP) staff, and other administrative costs, which crosscut many or all of the housing programs.

In FY 2011, revenue projections for Fund 940, FCRHA General Operating, are $2,406,754 a decrease of $486,166 or 16.8 percent from the FY 2010 Adopted Budget Plan amount. The decrease in revenue is primarily attributable to anticipated declines in developer fee, program and interest income. Expenditures are $2,406,754, a decrease of $455,512 or 15.9 percent from the FY 2010 Adopted Budget Plan due primarily to savings in personnel expenditures due to position adjustments.

Staff costs in the FCRHA Home Improvement Loan Program are supported by revenues from that program. Staff costs associated with FCRHA real estate development and financing activities are supported by the financing and development fees generated by these activities. In FY 2011, Fund 340, Housing Assistance Program, will continue to provide $515,000 for revitalization activities to address current program needs for staffing and other efforts associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

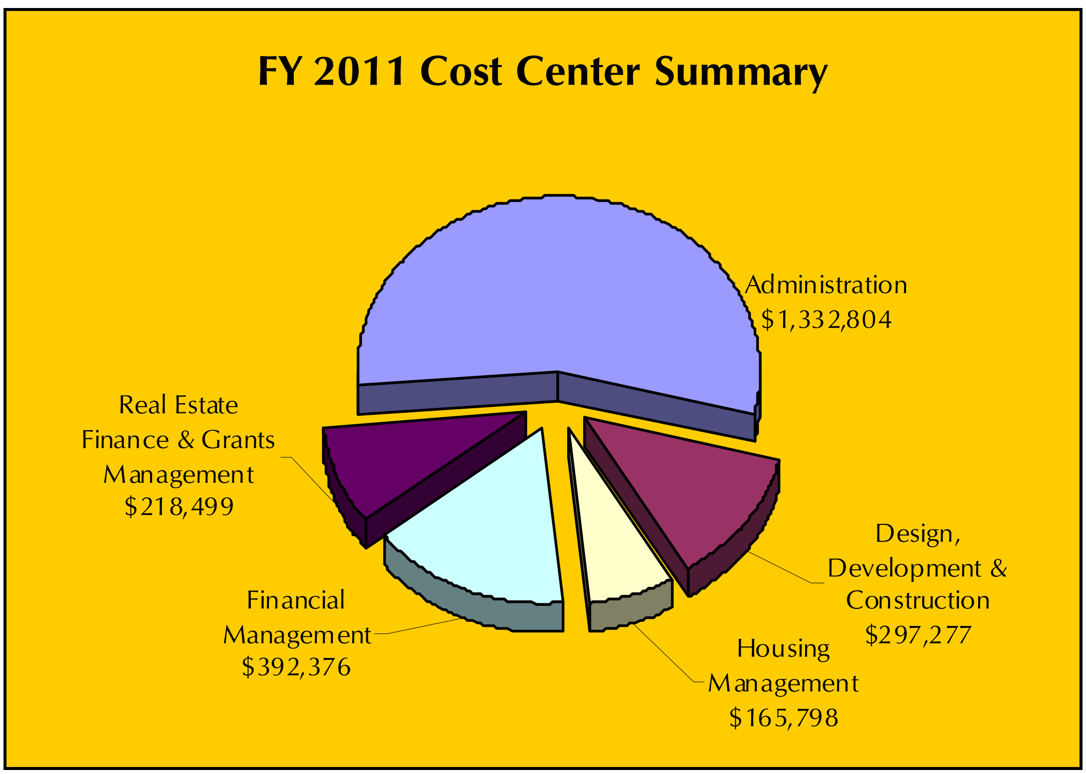
The FCRHA will continue to make tax-exempt financing available and earn related financing fees. The financing will be used for the agency's own development as well as for the construction or preservation of qualified multi-family housing owned by other developers. However, because many types of projects must compete for an allocation of tax-exempt bond authority from the limited pool of such authority available in the Commonwealth of Virginia, the number of FCRHA tax-exempt bond issues in any year is limited and will vary significantly from year to year. Under this financing mechanism, a percentage of the units in a housing development must meet lower income occupancy requirements. Since 1986, there have been two alternate standards for meeting these requirements. Either 20 percent of the units must be occupied by households with incomes at 50 percent or less of the Washington D.C./Baltimore Metropolitan Statistical Area (MSA) median income (adjusted for household size), or 40 percent of the units must be occupied by households with 60 percent or less of the MSA median income.

The FCRHA will continue to monitor existing tax-exempt financed multi-family housing projects to assure continuing developer compliance with program guidelines.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 30/ 30 | 27/ 27 | 25/ 25 | 24/ 24 |
| Expenditures | | | | |
| Personnel Services | $2,321,922 | $2,014,825 | $2,116,895 | $1,611,139 |
| Operating Expenses | 803,649 | 847,441 | 884,571 | 795,615 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$3,125,571** | **$2,862,266** | **$3,001,466** | **$2,406,754** |



# Fund 940
# Fairfax County Redevelopment and Housing Authority General Operating

| Position Summary | | |
|---|---|---|
| **ADMINISTRATION** | **DESIGN, DEVELOPMENT AND CONSTRUCTION** | **REAL ESTATE FINANCE AND GRANTS MANAGEMENT** |
| 1 Information Officer III | 1 Division Director | 3 Housing/Community Developers V |
| 1 Information Officer II | 2 Housing/Community Developers III | 1 Housing/Community Developer IV |
| 3 Administrative Assistants IV | 1 Housing/Community Developer II | 1 Management Analyst III |
| 1 Administrative Assistant III | | |
| 1 Administrative Assistant II | **HOUSING MANAGEMENT** | |
| | 1 Housing Services Specialist IV | |
| **FINANCIAL MANAGEMENT** | 1 Housing Services Specialist II | |
| 1 Financial Specialist IV | 1 Assistant Supervisor Facilities Support | |
| 1 Financial Specialist III | | |
| 1 Accountant III | | |
| 1 Accountant II | | |
| 1 Administrative Assistant II | | |
| **TOTAL POSITIONS** | | |
| **24 Positions/ 24.0 Staff Years** | | |

Note: As a result of a transition by the U.S. Department of Housing and Urban Development to a project based budgeting model for the maintenance of public housing facilities, a number of positions are being moved within the Fairfax County Department of Housing and Community Development (DHCD) to better align the positions to the developments they support. In the *FY 2010 Revised Budget Plan*, 2/2.0 SYE positions were redirected to Fund 941, Fairfax County Rental Program (FCRP), 1/1.0 SYE position was redirected to Agency 31, Land Development Services for the Code Enforcement Strike Team, and 1/1.0 SYE position was established to support Olley Glen. In addition, for FY 2011, 1/1.0 SYE position will be redirected to Fund 950, FCRHA Partnerships.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

- **Other Post-Employment Benefits** **$72,254**
  An increase of $72,254 in Personnel Services reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

- **Project-Based Budgeting Adjustments and Operating Requirements** **($527,766)**
  A decrease of $527,766 is associated with $475,940 in Personnel Services for program adjustments necessary to support project-based budgeting efforts and $51,826 in Operating Expenses based on prior years' actual costs.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$139,200**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $139,200 due to $102,070 in Personnel Services related to project-based budgeting and $37,130 in Operating Expenses related to encumbered carryover.

# Fund 940
# Fairfax County Redevelopment and Housing Authority General Operating

## FUND STATEMENT

**Fund Type H94, FCRHA General Revenue** | **Fund 940, FCRHA General Operating**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$11,417,222** | **$11,417,222** | **$11,606,881** | **$11,806,428** |
| Revenue: | | | | |
| Investment Income[1] | $194,307 | $246,716 | $246,716 | $194,307 |
| Monitoring/Developer Fees[2] | 848,323 | 740,744 | 944,911 | 581,507 |
| Rental Income | 67,339 | 68,528 | 68,528 | 73,248 |
| Program Income[3] | 1,749,691 | 1,440,544 | 1,326,680 | 1,155,370 |
| Other Income | 455,570 | 396,388 | 614,178 | 402,322 |
| Total Revenue | $3,315,230 | $2,892,920 | $3,201,013 | $2,406,754 |
| **Total Available** | **$14,732,452** | **$14,310,142** | **$14,807,894** | **$14,213,182** |
| Expenditures: | | | | |
| Personnel Services[4] | $2,321,922 | $2,014,825 | $2,116,895 | $1,611,139 |
| Operating Expenses[5] | 803,649 | 847,441 | 884,571 | 795,615 |
| Total Expenditures | $3,125,571 | $2,862,266 | $3,001,466 | $2,406,754 |
| **Total Disbursements** | **$3,125,571** | **$2,862,266** | **$3,001,466** | **$2,406,754** |
| **Ending Balance** | **$11,606,881** | **$11,447,876** | **$11,806,428** | **$11,806,428** |
| Debt Service Reserve on One University Plaza | $278,106 | $2,402,086 | $2,195,925 | $2,195,925 |
| Cash with Fiscal Agent | 6,250,405 | 6,710,193 | 6,854,000 | 6,854,000 |
| **Unreserved Ending Balance** | **$5,078,370** | **$2,335,597** | **$2,756,503** | **$2,756,503** |

[1] The FY 2011 decrease is due to anticipated declines in interest income.

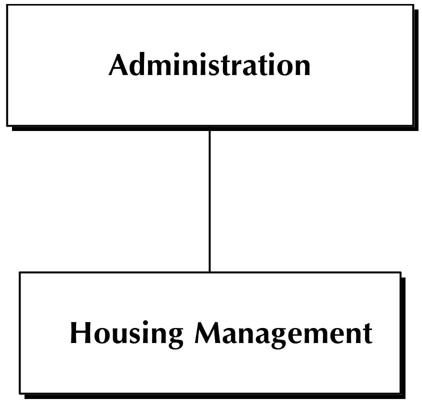
[2] The FY 2011 decrease is due to anticipated declines in developer fee incomes.

[3] The FY 2011 decrease is to reflect the declines in Home Improvement Loan Program (HILP) and Moderate Income Direct Sales (MIDS) program income.

[4] The FY 2011 decrease is due to savings in Personnel Services expenditures as a result of position adjustments.

[5] The FY 2011 operating budget request is based on a three-year average of prior years' actuals.

# Fund 941
# Fairfax County Rental Program




## Mission

To manage affordable rental housing acquired by the Fairfax County Redevelopment and Housing Authority (FCRHA) and to maintain and preserve the units for long term rental availability.

## Focus

The Fairfax County Rental Program (FCRP) is a local rental-housing program developed and managed by the Department of Housing and Community Development (HCD) for the Fairfax County Redevelopment and Housing Authority (FCRHA). The FCRP is designed to provide affordable rental housing in the County for low-and-moderate-income families. The FCRP includes projects developed by the FCRHA and other privately developed or rehabilitated housing units acquired by the FCRHA or Fairfax County. In FY 2011, a total of 1,446 multifamily, senior independent, specialized units and beds in FCRHA-owned group homes will receive operating support under Fund 941. This includes a total of five Affordable Dwelling Units (ADU) at the Charleston Square (Springfield District) and Northampton (Lee District) that were acquired by the FCRHA and added to the FCRP multifamily portfolio in FY 2009.

The operation of this program is primarily supported by tenant rents. In FY 2011, the County's General Fund is being charged directly for payments in support of condominium fees in the amount of $463,500. In addition, debt service contributions are received from Fund 141, Elderly Housing, to provide support for the debt service costs of Little River Glen, an elderly housing development owned by the FCRHA. Accounting procedures require that the debt service for this project be paid out of Fund 941, Fairfax County Rental Program, although the operating costs are reflected in Fund 141, Elderly Housing. Fund 941 is also used to account for debt service payments on two facilities owned by the FCRHA and leased to Fairfax County: the United Communities Ministries (UCM) offices and the replacement Mondloch I emergency shelter (Creighton Square project).

In addition, HCD staff administers contracts between the FCRHA and private firms hired to manage Hopkins Glen, Crescent Apartments and Wedgewood Apartments.

# Fund 941
# Fairfax County Rental Program

The following charts summarize the total number of units in the Rental Program and Group Homes in FY 2011 and the projected operating costs associated with the units:

| Project Name | Units | FY 2011 Cost | District |
|---|---|---|---|
| Chatham Town | 10 | $94,291 | Braddock |
| Charleston Square | 1 | 9,436 | Springfield |
| Little River Square | 45 | 424,600 | Braddock |
| McLean Hills | 25 | 277,424 | Providence |
| Springfield Green | 14 | 154,712 | Lee |
| Colchester Towne | 24 | 249,977 | Lee |
| Penderbrook | 48 | 523,395 | Providence |
| Island Creek | 8 | 75,484 | Lee |
| Cedar Lakes | 3 | 28,307 | Sully |
| Westbriar | 1 | 9,436 | Providence |
| Faircrest | 6 | 93,280 | Sully |
| Westcott Ridge | 10 | 142,636 | Springfield |
| Laurel Hill | 6 | 93,280 | Mt Vernon |
| Willow Oaks | 7 | 103,872 | Sully |
| Saintsbury Plaza[1] | 6 | 56,613 | Providence |
| ParcReston | 23 | 217,081 | Hunter Mill |
| Holly Acres | 2 | 30,185 | Lee |
| Legato Corner Condominiums | 13 | 188,327 | Springfield |
| East Market | 4 | 58,728 | Springfield |
| Madison Ridge | 10 | 94,356 | Sully |
| Lorton Valley | 2 | 18,871 | Mt Vernon |
| Fair Oaks Landing | 3 | 50,597 | Springfield |
| Bryson at Woodland Park | 4 | 62,137 | Hunter Mill |
| Northampton | 4 | 46,799 | Mason |
| Halstead | 4 | 57,996 | Providence |
| Stockwell Manor | 3 | 48,541 | Dranesville |
| Glenwood Mews | 15 | 141,533 | Lee |
| Coan Pond (Working Singles Housing Program | 19 | 37,304 | Providence |
| FCRHA Operating [2] | NA | 56,914 | N/A |
| Woodley Homes Mobile Home Park | 115 | 182,805 | Mt. Vernon |
| Hopkins Glen[3] | 91 | 0 | Providence |
| Crescent Apartments[3] | 180 | 0 | Hunter Mill |
| Wedgewood Apartments[3] | 672 | 0 | Braddock |
| United Community Ministries (Debt Service) | NA | 37,970 | Lee |
| Mondloch I Shelter (Creighton Square- Debt Service) | NA | 77,166 | Lee |
| Little River Glen (Debt Service) | NA | 527,478 | Braddock |
| **Units Managed Under Fund 941 Subtotal FCRP Operating** | **1378** | **$4,271,531** | |

[1]The six units at Saintsbury Plaza are age restricted and managed as senior properties. Senior independent properties, other than Saintsbury Plaza, that are directly managed by the FCRHA are supported under Fund 141.

[2] FCRHA operating project tracks occupancy cost allocation to the FCRP.

[3]The units at Hopkins Glen, Crescent Apartments and Wedgewood Apartments are part of the FCRP Program. The properties are managed and maintained by private contractors. All funding for these units will be budgeted and reported by the property management firm and reported to the agency on a regular basis.

The Group Homes program is summarized in the following table including the number of beds and the level of FY 2011 funding:

| Project Name | Beds/Units | FY 2011 Cost |
|---|---|---|
| Minerva Fisher Group Home | 12 | $86,918 |
| Rolling Road Group Home | 5 | 28,637 |
| West Ox Group Home | 19 | 129,390 |
| First Stop Group Home (Sojourn House) | 8 | 77,621 |
| Mount Vernon Group Home | 8 | 25,569 |
| Leland Group Home | 8 | 81,138 |
| Patrick Street Group Home | 8 | 21,449 |
| **Subtotal Group Homes** | **68** | **$450,722** |
| **Total Beds/Fund Expenditures** | **1,446** | **$4,722,253** |
| **Less: Debt Service** | **NA** | **($642,614)** |
| **Total Program Operations** | **1,446** | **$4,079,639** |

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 16/ 16 | 17/ 17 | 22/ 22 | 22/ 22 |
| Expenditures: | | | | |
| Personnel Services | $1,944,293 | $1,297,775 | $1,562,598 | $2,019,549 |
| Operating Expenses | 2,641,772 | 2,828,207 | 2,829,333 | 2,702,704 |
| Capital Equipment | 5,711 | 0 | 0 | 0 |
| **Total Expenditures** | **$4,591,776** | **$4,125,982** | **$4,391,931** | **$4,722,253** |

### Position Summary

**HOUSING MANAGEMENT**

1 Chief Accounting Fiscal Officer
1 GIS Analyst II
1 Housing Community Developer V
3 Housing Services Specialists II
1 Housing Manager
1 Housing Services Specialist III
1 Housing Community Developer II
1 Trades Supervisor
1 Electrician II
1 Plumber II
1 Engineering Technician II
1 Human Services Assistant
1 Warehouse Specialist
2 General Building Maintenance Workers II
3 General Building Maintenance Workers I
1 Administrative Assistant IV
1 Administrative Assistant II

**TOTAL POSITIONS**
**22 Positions/ 22.0 Staff Years**

Note: As a result of a transition by the U.S. Department of Housing and Urban Development to a project based budgeting model for the maintenance of public housing facilities, a number of positions are being moved within the Fairfax County Department of Housing and Community Development (DHCD) to better align the positions to the developments they support. In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was exchanged between Fund 941 and Fund 967, Public Housing Projects Under Management, 1/1.0 SYE position was transferred from Fund 966, Section 8 Annual Contribution, 2/2.0 SYE positions were transferred from Fund 950, FCRHA Partnerships, and 2/2.0 SYE positions were transferred from Fund 940, FCRHA General Operating.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

- **Project-Based Budgeting Adjustments and Operating Requirements** **$560,533**
  A net increase of $560,533 is due to an increase of $676,281 in Personnel Services primarily for program adjustments necessary to support project-based budgeting efforts, offset by a decrease of $115,748 in Operating Expenses primarily due to projected expenditures based on prior years' actual costs and lower than anticipated project and maintenance fees associated with operating the estimated 1,446 units in the rental housing program and group home properties.

- **Other Post-Employment Benefits** **$45,493**
  An increase of $45,493 in Personnel Services reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

- **Department of Vehicle Services** **($9,755)**
  A decrease of $9,755 in Operating Expenses is associated with anticipated requirements for fuel, vehicle, replacement, and maintenance charges.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$265,949**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $265,949 in Personnel Services associated with project-based budgeting and associated position adjustments.

# Fund 941
# Fairfax County Rental Program

## FUND STATEMENT

**Fund Type H94, Local Rental Housing Program** **Fund 941, Fairfax County Rental Program**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,940,608** | **$4,242,870** | **$4,428,144** | **$4,971,290** |
| Revenue: | | | | |
| Dwelling Rents[1] | $3,904,273 | $3,494,921 | $3,494,921 | $4,062,007 |
| Investment Income[2] | 106,577 | 225,714 | 225,714 | 80,067 |
| Other Income | 374,839 | 252,444 | 682,444 | 235,801 |
| Intergovernmental Income[3] | 184,804 | 0 | 0 | 0 |
| Debt Service Contribution (Little River Glen) | 508,819 | 531,998 | 531,998 | 508,819 |
| Total Revenue | $5,079,312 | $4,505,077 | $4,935,077 | $4,886,694 |
| **Total Available** | **$9,019,920** | **$8,747,947** | **$9,363,221** | **$9,857,984** |
| Expenditures: | | | | |
| Personnel Services | $1,944,293 | $1,297,775 | $1,562,598 | $2,019,549 |
| Operating Expenses[4] | 2,641,772 | 2,828,207 | 2,829,333 | 2,702,704 |
| Capital Equipment | 5,711 | 0 | 0 | 0 |
| Total Expenditures | $4,591,776 | $4,125,982 | $4,391,931 | $4,722,253 |
| **Total Disbursements** | **$4,591,776** | **$4,125,982** | **$4,391,931** | **$4,722,253** |
| **Ending Balance** | **$4,428,144** | **$4,621,965** | **$4,971,290** | **$5,135,731** |
| Replacement Reserve | $3,844,457 | $4,038,278 | $4,387,603 | $4,552,044 |
| Cash with Fiscal Agent | 583,687 | 583,687 | 583,687 | 583,687 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1] FY 2011 rental revenues are estimated to increase by approximately $567,000, or 16.23 percent, over FY 2010 rental revenues. FY 2010 rental revenues were estimated based on FY 2008 actual revenues which did not include the 29 Affordable Dwelling Units that were purchased in FY 2009.

[2] Decrease in investment income is primarily due to the anticipated reduction in interest earnings.

[3] The intergovernmental income received in FY 2009 was a non-recurring revenue to pay for specific maintenance expenses.

[4] FY 2011 is more consistent with the FY 2009 actuals versus what was requested in FY 2010.

# Fund 945
# FCRHA Non-County Appropriated
# Rehabilitation Loan Program

## Mission

To enhance the quality and economic life of existing housing in the County through the provision of affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement.


## Focus

Fund 945, FCRHA Non-County Appropriated Rehabilitation Loan Program, provides the Fairfax County Redevelopment and Housing Authority (FCRHA) portion of funding for the Home Improvement Loan Program (HILP). The Home Improvement Loan Program provides financial and technical assistance to low- and moderate-income homeowners for rehabilitation of their property. The program is designed to preserve the affordable housing stock in the County and to upgrade neighborhoods through individual home improvements. Resources in Fund 945 include bank loans, homeowners' contributions to the cost of rehabilitation and payments on outstanding home improvement loans made through this fund. Additional funding for the Home Improvement Loan Program is provided in Fund 142, Community Development Block Grant, and Fund 143, Homeowner and Business Loan Programs.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $0 | $25,000 | $26,467 | $25,000 |
| **Total Expenditures** | **$0** | **$25,000** | **$26,467** | **$25,000** |

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$1,467**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved encumbered funding of $1,467 in Operating Expenses, primarily for outstanding contractual obligations.

# Fund 945
# FCRHA Non-County Appropriated
# Rehabilitation Loan Program

## FUND STATEMENT

**Fund Type H94, Rehabilitation Loan Funds**

**Fund 945, Non-County Appropriated Rehabilitation Loan Program**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$226,776** | **$232,337** | **$231,157** | **$237,176** |
| Revenue: | | | | |
| Other (Pooled Interest, etc.) | $4,381 | $7,486 | $7,486 | $6,783 |
| Homeowners Loan Payments[1] | 0 | 0 | 0 | 0 |
| Homeowners Contributions | 0 | 5,000 | 5,000 | 5,000 |
| Fairfax City Rehab. Loans | 0 | 20,000 | 20,000 | 20,000 |
| Total Revenue | $4,381 | $32,486 | $32,486 | $31,783 |
| **Total Available** | **$231,157** | **$264,823** | **$263,643** | **$268,959** |
| Expenditures: | | | | |
| FCRHA Loan Payments to Banks[1] | $0 | $0 | $0 | $0 |
| Homeowners Contributions | 0 | 5,000 | 6,467 | 5,000 |
| Fairfax City Rehab. Loans[2] | 0 | 20,000 | 20,000 | 20,000 |
| Total Expenditures | $0 | $25,000 | $26,467 | $25,000 |
| **Total Disbursements** | **$0** | **$25,000** | **$26,467** | **$25,000** |
| **Ending Balance** | **$231,157** | **$239,823** | **$237,176** | **$243,959** |

[1] This category of receipts and expenditures is received in FAMIS, the County's financial system, via journal entries from mortgage servicing reports. Cash transactions are handled by the respective commercial banks servicing each homeowner loan and are not processed by the County.

[2] It is estimated that a deferred Fairfax City loan of approximately $20,000 will be paid off in FY 2011.

# Fund 946
# FCRHA Revolving Development

## Focus

Fund 946, Fairfax County Redevelopment and Housing Authority (FCRHA) Revolving Development, provides initial funds in the form of advances for projects for which federal, state or private financing is available later. Initial project costs, such as development support for new site investigations, architectural and engineering plans, studies and fees are advanced from this fund and are later included in permanent financing plans for repayment to this fund. This funding mechanism ensures that sufficient funding is available to provide adequate plans and proposals for individual projects prior to obtaining construction and permanent project financing.

This fund is supported by multiple revenue sources, including income from investments, miscellaneous income (late fees, development fees) and repayment of advances on behalf of Mt. Pleasant and Gum Springs Community Center.

No funding for advances is currently required for Fund 946 in FY 2011. As projects that require Revolving Development funds are identified and approved by the FCRHA, adjustments will be made through allocations during the year. Repayment of two previously advanced loans totaling $23,657 is anticipated in FY 2011.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustment** **$2,392,421**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $2,392,421 due to the carryover of unexpended project balances of $2,514,145 for continuing projects, offset by a decrease of $121,724 to close out completed projects.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 946
# FCRHA Revolving Development

## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** | **Fund 946, FCRHA Revolving Development**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$4,093,068** | **$27,753** | **$2,846,380** | **$520,031** |
| Revenue: | | | | |
| Investment Income | $65,231 | $42,033 | $42,033 | $6,390 |
| Repayment of Advances | 364,727 | 24,039 | 24,039 | 23,657 |
| Total Revenue | $429,958 | $66,072 | $66,072 | $30,047 |
| **Total Available** | **$4,523,026** | **$93,825** | **$2,912,452** | **$550,078** |
| Expenditures: | | | | |
| Advances | $1,676,646 | $0 | $2,392,421 | $0 |
| Total Expenditures | $1,676,646 | $0 | $2,392,421 | $0 |
| **Total Disbursements** | **$1,676,646** | **$0** | **$2,392,421** | **$0** |
| **Ending Balance[1]** | **$2,846,380** | **$93,825** | **$520,031** | **$550,078** |

[1]Ending balances fluctuate due to increases and decreases in investment income and the repayment of advances.

# Fund 946
# FCRHA Revolving Development

## FY 2011 Summary of Capital Projects

### Fund: 946 FCRHA Revolving Development

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003817 | Bailey's Community Center | $214,907 | $0.00 | $0.00 | $0 |
| 003844 | Emergency Housing | 124,999 | 0.00 | 0.00 | 0 |
| 003907 | James Lee Community Center | 698,845 | 0.00 | 0.00 | 0 |
| 013831 | FCRHA Office Building | 108,420 | 0.00 | 0.00 | 0 |
| 013854 | Founders Ridge/Kingstowne NV | 369,987 | 0.00 | 0.00 | 0 |
| 013883 | Old Mill Road | 65,728 | 0.00 | 0.00 | 0 |
| 013889 | Chain Bridge Gateway/Moriarty Place | 765,894 | 0.00 | 0.00 | 0 |
| 013901 | Tavenner Lane | 91,873 | 0.00 | 0.00 | 0 |
| 013905 | Creighton Square/Lockheed Blvd. | 206,852 | 0.00 | 0.00 | 0 |
| 013908 | West Ox Group Home | 861,464 | 0.00 | 0.00 | 0 |
| 013914 | Cedar Ridge | 289,475 | 0.00 | 0.00 | 0 |
| 013938 | Fairfield House | 1,303,211 | 0.00 | 0.00 | 0 |
| 013944 | Gum Springs Community Center | 299,641 | 0.00 | 0.00 | 0 |
| 013948 | Little River Glen Phase II | 156,028 | 0.00 | 0.00 | 0 |
| 013951 | Patrick Street Transitional Group Home | 20,337 | 0.00 | 0.00 | 0 |
| 013966 | Glenwood Mews | 973,426 | 0.00 | 147,246.82 | 0 |
| 013969 | Castellani Meadows | 250,404 | 0.00 | 4,122.59 | 0 |
| 013983 | Memorial Street | 75,910 | 0.00 | 0.00 | 0 |
| 013985 | Willow Spring Elementary School | 91,330 | 0.00 | 0.00 | 0 |
| 013990 | Washington Plaza | 129,894 | 0.00 | 0.00 | 0 |
| 014002 | Spring Street Site Working Singles | 18,838 | 0.00 | 0.00 | 0 |
| 014023 | Island Creek | 10,602 | 0.00 | 0.00 | 0 |
| 014031 | South Meadows Condominium | 221,172 | 0.00 | 0.00 | 0 |
| 014050 | Herndon Senior Center | 668,751 | 0.00 | 0.00 | 0 |
| 014051 | Mixed Greens | 665,248 | 0.00 | 0.00 | 0 |
| 014056 | Gum Springs Glen | 334,532 | 0.00 | 0.00 | 0 |
| 014060 | Elden Terrace Apts | 12,192 | 0.00 | 0.00 | 0 |
| 014061 | Leland Road | 55,000 | 0.00 | 0.00 | 0 |
| 014062 | Windsor Mews / Price Club | 4,401 | 0.00 | 0.00 | 0 |
| 014063 | Herndon Fortnightly | 90,114 | 0.00 | 0.00 | 0 |
| 014130 | Southgate Community Center | 148,434 | 0.00 | 0.00 | 0 |
| 014137 | Little River Glen III | 2,000,000 | 185,750.00 | 1,814,250.00 | 0 |
| 014196 | Affordable/Workforce Housing Projects | | 8,090.77 | 91,909.23 | 0 |
| 014234 | Willow Oaks | 922,241 | 0.00 | 0.00 | 0 |
| 014237 | Yorkville Apartments | 31,303 | 0.00 | 0.00 | 0 |
| 014238 | Holly Acres | 283,522 | 0.00 | 0.00 | 0 |
| 014250 | Fairfield at Fair Chase | 53,371 | 0.00 | 0.00 | 0 |
| 014254 | East Market | 561,304 | 0.00 | 0.00 | 0 |
| 014257 | Crescent Redevelopment | 300,000 | 0.00 | 300,000.00 | 0 |
| 014263 | Bryson at Woodland Park | 376,304 | 0.00 | 0.00 | 0 |
| 014264 | Fair Oaks Landing | 434,163 | 0.00 | 0.00 | 0 |
| 014269 | Northampton | 553,583 | 276,876.11 | 0.00 | 0 |
| 014270 | Stockwell Manor | 431,698 | 0.00 | 0.00 | 0 |
| 014273 | Halstead | 417,216 | 0.00 | 0.00 | 0 |
| 014305 | Charleston Square | 140,822 | 140,821.91 | 0.00 | 0 |
| 014307 | Ox Road | 1,100,000 | 1,065,107.65 | 34,892.35 | 0 |
| VA1942 | Old Mill Site | 368,421 | 0.00 | 0.00 | 0 |
| VA1945 | Ragan Oaks | 255,749 | 0.00 | 0.00 | 0 |
| VA1951 | Tavenner Lane Apartments | 263,918 | 0.00 | 0.00 | 0 |
| VA1956 | Scattered ADU'S | 736,052 | 0.00 | 0.00 | 0 |
| **Total** | | **$18,557,574** | **$1,676,646.44** | **$2,392,420.99** | **$0** |

# Fund 948
# FCRHA Private Financing

## Focus

Fund 948, FCRHA Private Financing, was established to budget and report costs for capital projects which are supported in full or in part by funds borrowed by the Fairfax County Redevelopment and Housing Authority (FCRHA) through the FCRHA sale of notes or bonds, or through equity financing received through the sale of federal low-income housing tax credits. Housing development and improvement projects may be financed with funds borrowed from private lenders, the Virginia Housing Development Authority, or the federal government. At times, the FCRHA has invested in short-term notes of the County to provide an interim source of financing until permanent financing from one of these sources can be secured. Fund 948, FCHRA Private Financing, permits accounting for the receipt of funds from the lender and disbursements made by the FCRHA so that the total cost of a project can be maintained in the County's financial system and can be reflected on the FCRHA balance sheet.

An amount of $774,232 is included in FY 2011 for payment of debt service for three Section 108 Loans (Loans 3, 4 and 5) paid by this fund. Debt service payments, in the amount of $758,532, are budgeted in Fund 142, Community Development Block Grant (CDBG), and are received as revenue in Fund 948. The expenditures are made from Fund 948 to accommodate accounting requirements. The remaining debt service of $15,700 will be received from a scheduled repayment on Loan 5.

In FY 2011, necessary adjustments will be made to Fund 948 to track revenue and disbursements, as new projects and additional plans that require private financing are developed and approved by the FCRHA and the Board of Supervisors.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$2,479,899**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved a net increase of $2,479,899 due to the carryover of unexpended project balances of $2,748,683 for continuing projects and an increase of $69,763 in unanticipated investment earnings received in FY 2009, offset by a decrease of $338,547 to close out project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 948
# FCRHA Private Financing

## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** **Fund 948, FCRHA Private Financing**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$7,376,171** | **$4,687,455** | **$7,490,906** | **$5,200,053** |
| Revenue: | | | | |
| Section 108 Debt Service | $868,278 | $816,752 | $816,752 | $774,232 |
| Investment Income | 63,813 | 0 | 0 | 0 |
| Miscellaneous Income | 544,566 | 0 | 189,046 | 0 |
| Total Revenue | $1,476,657 | $816,752 | $1,005,798 | $774,232 |
| **Total Available** | **$8,852,828** | **$5,504,207** | **$8,496,704** | **$5,974,285** |
| Expenditures: | | | | |
| Capital Projects | $1,361,922 | $816,752 | $3,296,651 | $774,232 |
| Total Expenditures | $1,361,922 | $816,752 | $3,296,651 | $774,232 |
| **Total Disbursements** | **$1,361,922** | **$816,752** | **$3,296,651** | **$774,232** |
| **Ending Balance[1]** | **$7,490,906** | **$4,687,455** | **$5,200,053** | **$5,200,053** |

[1] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 948
# FCRHA Private Financing

## FY 2011 Summary of Capital Projects

### Fund: 948 FCRHA Private Financing

| Project # | | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003817 | Bailey's Community Center | $4,468,781 | $0.00 | $0.00 | $0 |
| 003829 | Mott Community Center | 2,025,228 | 0.00 | 0.00 | 0 |
| 003907 | James Lee Community Center | 18,464,058 | 0.00 | 0.00 | 0 |
| 003923 | Undesignated Projects | | 0.00 | 2,215,856.06 | 0 |
| 003928 | Springfield Green | 115,579 | 0.00 | 0.00 | 0 |
| 003969 | Lewinsville Elderly Facility | 137,107 | 0.00 | 0.00 | 0 |
| 013808 | Herndon Harbor House Phase I | 3,400,391 | 0.00 | 0.00 | 0 |
| 013831 | FCRHA Office Building | 3,793,010 | 0.00 | 0.00 | 0 |
| 013846 | Murraygate Village | 8,874,469 | 0.00 | 0.00 | 0 |
| 013854 | Founders Ridge/Kingstowne NV | 2,392,291 | 0.00 | 0.00 | 0 |
| 013883 | Old Mill Road | 2,439,025 | 0.00 | 0.00 | 0 |
| 013887 | Section 108 Loan Payments | | 841,082.26 | 849,737.17 | 774,232 |
| 013889 | Chain Bridge Gateway/Moriarty Place | 2,989,731 | 0.00 | 0.00 | 0 |
| 013901 | Tavenner Lane | 462,411 | 0.00 | 0.00 | 0 |
| 013905 | Creighton Square/Lockheed Blvd. | 1,040,000 | 0.00 | 25,444.98 | 0 |
| 013912 | Stevenson Street | 832,063 | 0.00 | 0.00 | 0 |
| 013944 | Gum Springs Community Center | 3,499,771 | 0.00 | 0.00 | 0 |
| 013948 | Little River Glen Phase II | 1,740,576 | 0.00 | 0.00 | 0 |
| 013952 | Special Tenant Equity Program (STEP) | 265,299 | 0.00 | 0.00 | 0 |
| 013966 | Glenwood Mews | 606,257 | 0.00 | 0.00 | 0 |
| 013969 | Castellani Meadows | 2,580,000 | 0.00 | 0.00 | 0 |
| 013990 | Washington Plaza | 980,050 | 0.00 | 0.00 | 0 |
| 014040 | Herndon Harbor Phase II | 5,617,956 | 0.00 | 0.00 | 0 |
| 014050 | Herndon Senior Center | 7,250,492 | 2,425.90 | 0.00 | 0 |
| 014051 | Mixed Greens | 226,015 | 0.00 | 0.00 | 0 |
| 014056 | Gum Springs Glen | 8,117,279 | 0.00 | 0.00 | 0 |
| 014061 | Leland Road | 608,085 | 0.00 | 0.00 | 0 |
| 014063 | Herndon Fortnightly | 2,673,964 | 0.00 | 0.00 | 0 |
| 014099 | Herndon Adult Day Care Center | 979,507 | 0.00 | 0.00 | 0 |
| 014123 | Gum Springs Headstart | 5,060,000 | 0.00 | 0.00 | 0 |
| 014130 | Southgate Community Center | 3,946,348 | 0.00 | 42,638.50 | 0 |
| 014188 | Westcott Ridge | 800,000 | 0.00 | 0.00 | 0 |
| 014251 | Braddock Glen Adult Day Health Care Center | 3,780,000 | 89,980.00 | 136,408.00 | 0 |
| 014253 | Janna Lee Village II | 5,500,000 | 0.00 | 0.00 | 0 |
| 014308 | "HELP" Resale | | 428,433.42 | 26,566.58 | 0 |
| VA1942 | Old Mill Site | 640,249 | 0.00 | 0.00 | 0 |
| **Total** | | **$106,305,992** | **$1,361,921.58** | **$3,296,651.29** | **$774,232** |

# Fund 949
# FCRHA Internal Service Fund

## Focus

Fund 949, Fairfax County Redevelopment and Housing Authority (FCRHA) Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying and audits, which have been budgeted in and expensed from one of the FCRHA's funds, and then allocated out to other funds proportionate to their share of the costs. It also includes costs associated with the maintenance and operation of FCRHA housing developments such as service contracts for extermination, custodial work, elevator maintenance and grounds maintenance. The fund allows one purchasing document to be established for each vendor, as opposed to multiple purchase orders in various funds. Reimbursed charges incurred on behalf of other Department of Housing and Community Development funds are recorded as revenue.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Program Adjustments** **$367,668**
  An increase of $367,668 is associated with additional expenditure projections for goods and services shared among several housing funds.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$306,111**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $306,111, with a commensurate increase in revenues, due to encumbered carryover.

# Fund 949
# FCRHA Internal Service Fund

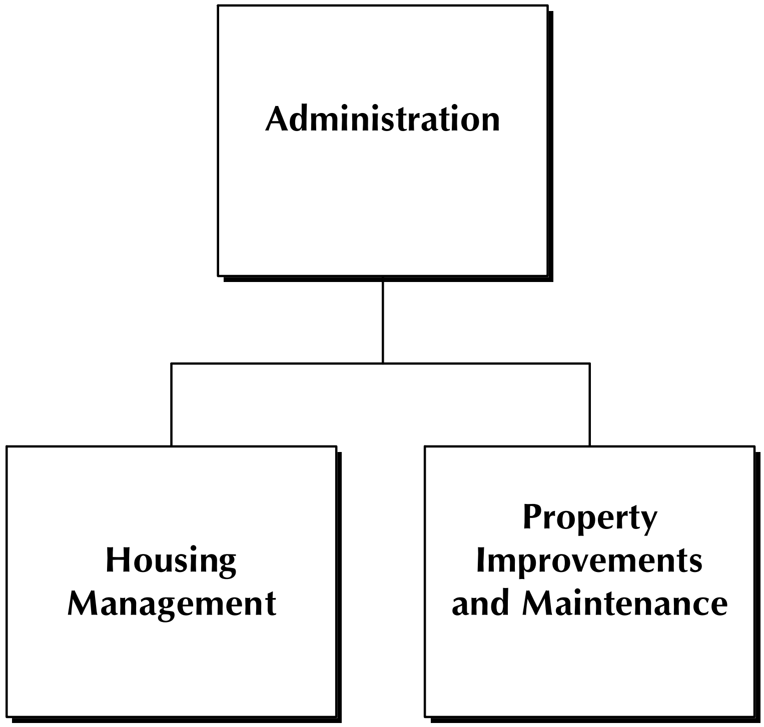
## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** — **Fund 949, FCRHA Internal Service Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| Reimbursement from Other Funds | $3,893,889 | $3,844,658 | $4,150,769 | $4,212,326 |
| Total Revenue | $3,893,889 | $3,844,658 | $4,150,769 | $4,212,326 |
| **Total Available** | **$3,893,889** | **$3,844,658** | **$4,150,769** | **$4,212,326** |
| Expenditures: | | | | |
| Operating Expenses | $3,893,889 | $3,844,658 | $4,150,769 | $4,212,326 |
| Total Expenditures | $3,893,889 | $3,844,658 | $4,150,769 | $4,212,326 |
| **Total Disbursements** | **$3,893,889** | **$3,844,658** | **$4,150,769** | **$4,212,326** |
| **Ending Balance[1]** | **$0** | **$0** | **$0** | **$0** |

[1] The Ending Balance is reserved for inventory and represents goods to be sold.

# Fund 950
# Housing Partnerships




## Mission

To provide affordable rental housing through partnerships between the Fairfax County Redevelopment and Housing Authority (FCRHA) and private investors.

## Focus

Fund 950, Housing Partnerships, was created in FY 2002 to allow the FCRHA to efficiently track partnership properties in the Federal Low Income Housing Tax Credit program, which promotes private investment in affordable housing through partnerships with nonprofit entities such as the FCRHA. The Housing Partnerships Fund supports a portion of the operating expenses for local rental-housing programs that are owned by limited partnerships of which the FCRHA is the managing general partner. In FY 2011, the FCRHA will directly manage five partnership properties: Castellani Meadows, The Green, Murraygate Village, Olley Glen, and Tavenner Lane. Some costs of the operation of these five properties are tracked through the County's mainframe Financial Accounting and Management Information System (FAMIS); however, a separate FCRHA software system, Yardi, is required to maintain partnership accounts and meet partnership calendar year reporting schedules. The operation of these developments is primarily supported by tenant rents with a County contribution for real estate taxes. The revenue collected from rent and property excess income is also monitored by Yardi and utilized by the partnerships to reimburse the FCRHA for expenses incurred to support salaries, maintenance and other operating expenses as identified in Fund 950.

The Housing Partnerships fund anticipates completing construction on Olley Glen, a 90-unit independent living facility in the summer of 2010 with operations anticipated to begin immediately following. This project is located in the Braddock District and will serve the residential needs of low-to-moderate income elderly.

Six other partnership properties receive a County contribution for real estate taxes, but are managed by a private management company and are not reported in FAMIS. These other partnership properties include: Cedar Ridge, Gum Springs Glen, Herndon Harbor House I & II, Morris Glen and Stonegate.

# Fund 950
# Housing Partnerships

The following chart summarizes the total number of units in the FCRHA managed portion of the Partnership Program in FY 2011 and the projected operating costs associated with the units:

| Project Name | Units | FY 2011 Cost | District |
|---|---|---|---|
| Castellani Meadows | 24 | $118,525 | Sully |
| The Green[1] | 24 | 255,688 | Providence, Hunter Mill, and Sully |
| Murraygate Village | 199 | 878,426 | Lee |
| Olley Glen | 90 | 349,200 | Braddock |
| Tavenner Lane[2] | 12 | 96,881 | Lee |
| **Total Partnership Program** | **349** | **$1,698,720** | |

[1] An additional 50 units at The Green are part of the federally assisted Public Housing program and are reflected in Fund 967, Public Housing Projects Under Management. However, operating expenses for all 74 units are included in Fund 950 since they are all owned by a limited partnership.

[2] An additional 12 units at Tavenner Lane are part of the federally assisted Public Housing program and are reflected in Fund 967, Public Housing Projects Under Management. However, operating expenses for all 24 units are included in Fund 950 since they are all owned by a limited partnership.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 10/ 10 | 10/ 10 | 8/ 8 | 10/ 10 |
| Expenditures | | | | |
| Personnel Services | $472,088 | $553,958 | $553,958 | $650,962 |
| Operating Expenses | 723,504 | 650,887 | 818,549 | 1,047,758 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$1,195,592** | **$1,204,845** | **$1,372,507** | **$1,698,720** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| | **HOUSING MANAGEMENT** | 1 | Housing Services Specialist I | 1 | Administrative Assistant III |
| 1 | Housing Services Specialist III | 1 | Refrigeration & A/C Supervisor | 1 | Administrative Assistant II |
| 2 | Housing Services Specialists II | 1 | General Building Maintenance Worker II | 1 | Plumber I |
| | | 1 | General Building Maintenance Worker I | | |

**TOTAL POSITIONS**
**10 Positions / 10.0 Staff Years**

Note: As a result of a transition by the U.S. Department of Housing and Urban Development to a project based budgeting model for the maintenance of public housing facilities, a number of positions are being moved within the Fairfax County Department of Housing and Community Development (DHCD) to better align the positions to the developments they support. In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was exchanged between Fund 950 and Fund 967, Public Housing Projects Under Management, and 2/2.0 SYE positions were redirected to Fund 941, Fairfax County Rental Program. In addition, for FY 2011, 1/1.0 SYE position will be transferred from Fund 940, FCRHA General Operating and 1/1.0 SYE position will be established to support Olley Glen.

# Fund 950
# Housing Partnerships

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

- **Olley Glen** **$349,200**
  An increase of $349,200 is necessary to support operations at Olley Glen, a new 90-unit independent living facility that will serve low-to-moderate income elderly. Of this amount, $130,000 is associated with Personnel Expenses and $219,200 is associated with Operating Expenses.

- **Project-Based Budgeting Adjustments and Operating Requirements** **$117,914**
  A net increase of $117,914 is due to an increase of $177,671 in Operating Expenses associated with repair and maintenance fees; legal, consulting and custodial services; and the purchase of building materials and household appliances related to operating requirements; offset by a decrease of $59,757 in Personnel Services primarily associated with program adjustments and other necessary adjustments to support project-based budgeting.

- **Other Post-Employment Benefits** **$26,761**
  An increase of $26,761 in Personnel Services reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$167,662**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $167,662 in Operating Expenses due to encumbered carryover. FY 2010 revenues remained unchanged.

# Fund 950
# Housing Partnerships

## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** **Fund 950, Housing Partnerships**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$361,105** | **$306,842** | **$243,235** | **$66,469** |
| Revenue: | | | | |
| FCRHA Reimbursements | $1,077,722 | $1,195,741 | $1,195,741 | $1,671,959 |
| Total Revenue | $1,077,722 | $1,195,741 | $1,195,741 | $1,671,959 |
| **Total Available** | **$1,438,827** | **$1,502,583** | **$1,438,976** | **$1,738,428** |
| Expenditures: | | | | |
| Personnel Services | $472,088 | $553,958 | $553,958 | $650,962 |
| Operating Expenses | 723,504 | 650,887 | 818,549 | 1,047,758 |
| Total Expenditures | $1,195,592 | $1,204,845 | $1,372,507 | $1,698,720 |
| **Total Disbursements** | **$1,195,592** | **$1,204,845** | **$1,372,507** | **$1,698,720** |
| | | | | |
| **Ending Balance[1]** | **$243,235** | **$297,738** | **$66,469** | **$39,708** |
| Replacement Reserve | $243,235 | $297,738 | $66,469 | $39,708 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1] The Housing Partnerships Fund maintains fund balances at adequate levels relative to projected operating and maintenance requirements. These costs change annually, therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 965
# Housing Grants

## Mission
To provide the residents of the County with safe, decent, and more affordable housing for low and moderate-income households.

## Focus
Fund 965, Housing Grants, separately tracks grants which are awarded to the Fairfax County Redevelopment and Housing Authority (FCRHA). This fund currently provides accounting for the Resident Opportunity and Self Sufficiency (ROSS) Grant received by the FCRHA from the U.S. Department of Housing and Urban Development (HUD). The ROSS grant is a three-year grant that provides and coordinates supportive services that help public housing residents move toward self-sufficiency. Currently, the Department of Housing and Community Development and its partner, Fairfax Area Christian Emergency and Transitional Services, Inc, are administering three ROSS programs, the Public Housing Family Self-Sufficiency Program, the Neighborhood Networks Program, and the Family and Homeownership Program.

HUD's Public Housing Family Self–Sufficiency Program provides funds for the FCRHA to support two grant-funded program coordinators. These coordinators are responsible for leveraging public and private support services for selected Pubic Housing families to help them achieve economic independence and self-sufficiency.

The Neighborhood Networks Program provides computer-based service to the public housing residents at Ragan Oaks, Barros Circle, and Robinson Square public housing communities.

The Family and Homeownership Program provides funds for the FCRHA to offer housing counseling services to Public Housing residents.

No FY 2011 funding is included for Fund 965 at this time. Funding will be allocated at the time of the award from HUD.

**Agency Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Grant | 2/ 2 | 2/ 2 | 2/ 2 | 2/ 2 |
| Expenditures: | | | | |
| Personnel Services | $166,172 | $0 | $130,753 | $0 |
| Operating Expenses | 74,825 | 0 | 152,170 | 0 |
| **Total Expenditures** | **$240,997** | **$0** | **$282,923** | **$0** |

**Position Summary**

| | |
|---|---|
| **HOUSING MANAGEMENT** | |
| 1 Housing Services Specialist III | 1 Housing Services Specialist II |
| **TOTAL POSITIONS** | |
| **2 Grant Positions / 2.0 Staff Years** | |

# Fund 965
# Housing Grants

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$282,923**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $282,923 due to carryover of unexpended FY 2009 grant balances and the appropriation of additional revenue received from the allocation of a ROSS – Public Housing Family Self-Sufficiency Grant from the U. S. Department of Housing and Urban Development (HUD). FY 2010 revenues were also required to increase by $282,923 due to the carryover of unrealized FY 2009 grant revenues and allocation of a ROSS – Public Housing Family Self-Sufficiency Grant.

# Fund 965
# Housing Grants

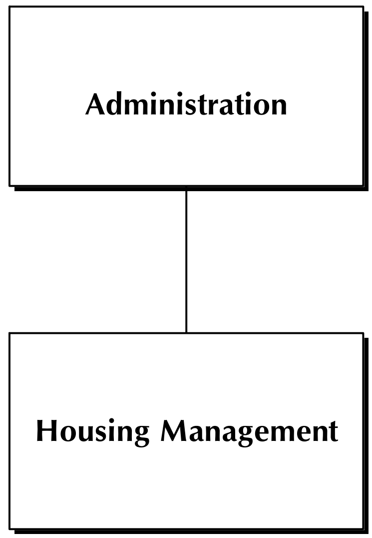
## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** | **Fund 965, Housing Grants**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| ROSS Grant | $240,997 | $0 | $282,923 | $0 |
| Total Revenue | $240,997 | $0 | $282,923 | $0 |
| **Total Available** | **$240,997** | **$0** | **$282,923** | **$0** |
| Expenditures: | | | | |
| ROSS Grant | $240,997 | $0 | $282,923 | $0 |
| Total Expenditures | $240,997 | $0 | $282,923 | $0 |
| **Total Disbursements** | **$240,997** | **$0** | **$282,923** | **$0** |
| | | | | |
| **Ending Balance[1]** | **$0** | **$0** | **$0** | **$0** |

[1] Grant projects are budgeted based on the total grant costs. Most grants span multiple years, therefore, funding for grant projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 966
# Section 8 Annual Contribution




## Mission
To ensure that participants in the Federal Section 8 Program, Housing Choice Voucher (HCV) are provided with decent, safe and affordable private market housing.

## Focus
The Section 8 program is a Federal Housing Assistance Program for lower income families seeking housing in the private market place. The United States Department of Housing and Urban Development (HUD) provides funds to pay a portion of the family's rent. In most cases, this subsidy is the difference between 30 percent of the eligible family's income and a HUD-approved Fair Market Rent (FMR) for a housing unit, although FMRs are different for the Housing Choice Voucher (HCV) program and the project-based components of the program. The rent subsidy payments are made pursuant to a Housing Assistance Payments (HAP) Contract with the owner of the housing. Housing authorities administer the contract for these subsidy funds on behalf of HUD, which involves making the monthly subsidy payments, verifying that those benefiting from the subsidy are eligible and monitoring compliance with federal regulations. This is done pursuant to an Annual Contribution Contract between the Fairfax County Redevelopment and Housing Authority (FCRHA) and HUD. Administrative fees are established by HUD and earned from HUD by the FCRHA. The administrative fee earned is used to cover expenses associated with administering the Section 8 program.

Under Fund 966, Section 8 Annual Contribution, rental subsidies are provided by HUD to cover the difference between a market-established rent and the rent which is determined to be affordable at a given family's income level. In some cases, the subsidies are associated with a particular housing development and in other cases they are transferable with the tenant. Private developers, local housing authorities and state housing finance agencies all participate in different aspects of the HCV program. Within the Portability Program, one aspect of the HCV program, a tenant from another locality finds housing in Fairfax County and the FCRHA pays the subsidy portion of the rent on behalf of the originating housing authority. A subsequent reimbursement from the originating housing authority is received by the FCRHA as Portability Program Revenue to cover the subsidy payment as well as 80 percent of the originating Housing Authority's administrative fee to cover administrative costs.

The FY 2011 funding level of $43,607,618 consists of housing assistance payments of $40,438,548 and administrative expenses of $3,169,070 to support 3,284 Housing Choice Voucher units as part of the Federal Housing Assistance Program for lower income families. The FY 2011 request for this program is based on the Calendar Year 2009 HUD budget for July 2009 through December 2009 and projected for the full fiscal year for HAP and Administrative Fees. HUD has authorized up to 3,284 housing choice vouchers to subsidize Fairfax County residents. As part of the FY 2011 budget process, County funds have been allocated for Other

Post Employment Benefits (OPEB) charges for the first time. More details on the OPEB charges may be found in the narrative for Fund 603, OPEB Trust Fund, in Volume 2. For Fund 966, this amount is $93,663. Pending submission to HUD and review and approval of the expense by the federal government, the *FY 2011 Revised Budget Plan* will reflect the approved level of funding to include OPEB charges.

The FY 2011 revenue projection is $44,141,770, an increase of $2,974,892 over the FY 2010 Adopted Budget Plan as a result of an increase in leasing by HCV Portability participants, and also the recently awarded Annual Contribution Contract from HUD for an additional 80 Enhanced Vouchers. In accordance with the HUD funding formula that became effective January 1, 2008 administrative fees are earned by the FCRHA for the lease-up of authorized FCRHA vouchers. The formula is based on a graduated scale for leased units rather than a fixed rate.

The current income limits for most components of the HCV Program as established by HUD, effective as of March 19, 2009, are shown below:

| Household Size | Very Low Income | Lower Income |
|---|---|---|
| 1 | $35,950 | $44,800 |
| 2 | $41,200 | $51,200 |
| 3 | $46,200 | $57,600 |
| 4 | $51,350 | $64,000 |
| 5 | $55,450 | $69,100 |
| 6 | $59,550 | $74,250 |
| 7 | $63,650 | $79,350 |
| 8+ | $67,800 | $84,500 |

| FY 2011 SUMMARY OF PROJECTS | |
|---|---|
| **PROJECTS** | **NUMBER OF UNITS** |
| Consolidated Vouchers[1] | 3,284 |
| **Total Contract P-2509 Fund 966** | **3,284** |

[1] Actual number of vouchers issued may be lower than HUD-approved count due to local market conditions.

Fund 966 covers the following components in FY 2011:

- **Housing Choice Vouchers – 3,284 issued through the FCRHA**
  Under this component of the Section 8 housing program, local or state housing authorities contract with HUD for housing assistance payment subsidy funds and issue vouchers to eligible households who may lease any appropriately sized, standard quality rental unit from a participating landlord.

# Fund 966
# Section 8 Annual Contribution

- The housing authority maintains a waiting list of those seeking a Housing Choice Voucher, verifies applicant income eligibility before issuing a voucher, inspects the unit the family selects to ensure compliance with HCV Housing Quality Standards, computes the portion of rent the family must pay or the maximum subsidy, contracts with the landlord to pay the subsidy, recertifies eligibility annually, and maintains required financial records and reports. The owner of the housing (landlord), not the housing authority, selects the families to whom the landlord will rent, and renews or terminates the family's lease in accordance with the terms of the lease.

- The FY 2011 Advertised Budget Plan is based on the maximum funding available in FY 2010 under the Annual Contributions (ACC) contract with HUD for the Housing Choice Voucher program at the time of budget preparation.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 35/ 35 | 35/ 35 | 34/ 34 | 34/ 34 |
| Grant | 4/ 4 | 4/ 4 | 4/ 4 | 4/ 4 |
| Expenditures: | | | | |
| Personnel Services | $2,515,223 | $2,689,834 | $2,629,834 | $2,551,002 |
| Operating Expenses | 39,367,487 | 38,484,971 | 40,393,724 | 41,056,616 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$41,882,710** | **$41,174,805** | **$43,023,558** | **$43,607,618** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| | ADMINISTRATION | | HOUSING MANAGEMENT | 2 | Administrative Assistants III |
| 1 | Network/Telecom Analyst III | 3 | Housing Srvcs. Specialists V 1G | 1 | Administrative Assistant II |
| | | 5 | Housing Srvcs. Specialists III | | |
| | FINANCIAL MANAGEMENT | 23 | Housing Srvcs. Specialists II 3G | | |
| 1 | Accountant II | 1 | Human Services Assistant | | |
| 1 | Administrative Assistant IV | | | | |
| | **TOTAL POSITIONS** 38 Positions / 38.0 Staff Years | | **G Denotes Grant Positions** | | |

Note: As a result of a transition by the U.S. Department of Housing and Urban Development to a project based budgeting model for the maintenance of public housing facilities, a number of positions are being moved within the Fairfax County Department of Housing and Community Development (DHCD) to better align the positions to the developments they support. In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was exchanged between Fund 966 and Agency 38, Housing and Community Development General Fund and 1/1.0 SYE position was redirected to Fund 941, Fairfax County Rental Program (FCRP).

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

- **Housing Assistance Program** **$2,520,228**
  An increase of $2,520,228 in Housing Assistance Payments is based primarily on increased leasing in the portability program and an increase in the maximum monthly voucher count of the Housing Choice Voucher program from 3,204 to 3,284.

- **Ongoing Administrative Expenses** **($87,415)**
  A decrease of $87,415 in Ongoing Administrative Expenses is primarily due to the net impact of realigning positions within the Department of Housing and Community Development to correspond with the U.S. Department of Housing and Urban Development project based budgeting model, a decrease in Department of Vehicle Services charges and a reduction in limited term funding, offset by an increase in professional and consulting contracts funding.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Ongoing Administrative Expenses** **($79,500)**
  Subsequent to the *FY 2009 Carryover Review*, Ongoing Administrative Expenses decreased by $79,500 due to a reduction in limited term funding and training expenses to better align costs with projected FY 2010 expenditures.

- **Carryover Adjustments** **$1,928,253**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $1,928,253 due to an increase of $1,893,087 for the Housing Choice Voucher (HCV) housing assistance payment funding based on the U.S. Department of Housing and Urban Development (HUD) HCV Annual Contributions funding renewal notice received May 2009, and $35,166 for encumbered carryover. FY 2010 revenues increased by $1,947,164 due to an increase of $1,065,777 in FY 2009 HUD HCV Annual Contribution funding based on the most recent renewal notice from HUD received in May 2009, and an increase of $881,387 to accommodate the increased leasing in the portability program.

# Fund 966
# Section 8 Annual Contribution

## FUND STATEMENT

**Fund Type H96, Annual Contribution Contract** **Fund 966, Section 8 Annual Contribution**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$5,319,653** | **$3,801,127** | **$3,726,502** | **$3,816,986** |
| Revenue: | | | | |
| Annual Contributions[1] | $39,433,656 | $39,604,816 | $40,670,593 | $40,792,562 |
| Investment Income[2] | 40,213 | 112,297 | 112,297 | 40,818 |
| Portability Program[3] | 815,690 | 1,352,781 | 2,234,168 | 3,211,406 |
| Miscellaneous Revenue | 0 | 96,984 | 96,984 | 96,984 |
| Total Revenue | $40,289,559 | $41,166,878 | $43,114,042 | $44,141,770 |
| **Total Available** | **$45,609,212** | **$44,968,005** | **$46,840,544** | **$47,958,756** |
| Expenditures: | | | | |
| Housing Assistance Payments[4] | $38,884,796 | $37,918,320 | $39,811,407 | $40,438,548 |
| Ongoing Admin. Expenses | 2,997,914 | 3,256,485 | 3,212,151 | 3,169,070 |
| Total Expenditures | $41,882,710 | $41,174,805 | $43,023,558 | $43,607,618 |
| **Total Disbursements** | **$41,882,710** | **$41,174,805** | **$43,023,558** | **$43,607,618** |
| **Ending Balance[5]** | **$3,726,502** | **$3,793,200** | **$3,816,986** | **$4,351,138** |
| HAP Reserve | $2,920,195 | $3,165,635 | $3,165,635 | $3,630,584 |
| Operating Reserve | 806,307 | 627,565 | 651,351 | 720,554 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1] The FY 2011 Advertised Budget Plan is based on the calendar year 2009 HUD budget for Annual Contributions from July 2009 through December 2009, and projected for the period January 2010 through June 2010. Adjustments to projected Annual Contributions, if necessary, will be made at a future quarterly review.

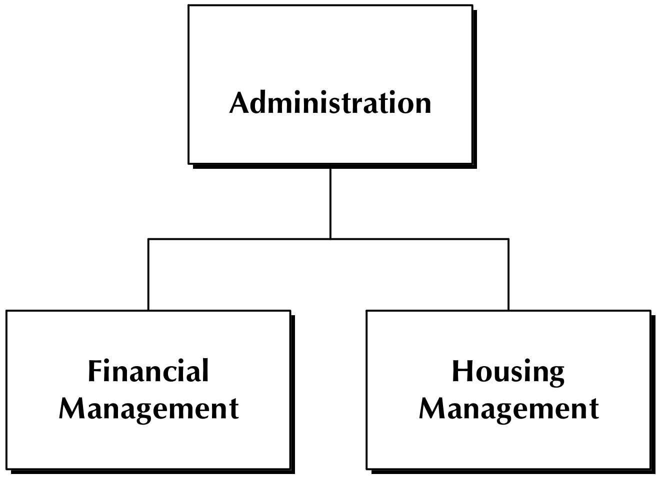
[2] The FY 2011 decrease in Investment Income is primarily based on FY 2009 actuals plus a slight inflation factor.

[3] Portability In tenants are being billed to the local originating housing authorities. The increase in Recovered Costs and Servicing Fees is due to an increase in the lease rate anticipated based on actual Portability In monthly leasing activity. Revenue for FY 2011 is based on Recovered Costs of 100 percent for Portability Housing Assistance Payment (HAP) and Utility Allowance Payment (UAP) expenses. A servicing fee will also be earned equal to 80 percent of the originating housing authority's administrative fees.

[4] The FY 2011 increase of $2,520,228 in Housing Assistance Payments is based primarily on an increase in leasing for the portability program and an increase in the maximum monthly voucher count of the Housing Choice Voucher Program from 3,204 to 3,284.

[5] The fluctuation in the Ending Balance from FY 2010 to FY 2011 is primarily a result of a projected increase in Portability Program tenants reimbursements from non-local housing authorities as they transition into the FCRHA.

# Fund 967
# Public Housing Projects Under Management




## Mission

To ensure that all tenants of Fairfax County Redevelopment and Housing Authority's (FCRHA) owned and operated public housing units are provided with decent, safe and adequate housing; maintenance and management; social services referrals; and housing counseling.

## Focus

The Federal Public Housing Program is administered by the U.S. Department of Housing and Urban Development (HUD) to provide funds for rental housing serving low income households owned and operated by local housing authorities such as the Fairfax County Redevelopment and Housing Authority (FCRHA). There are two components of this program with each having separate funding for operations and capital improvements. Fund 967, Public Housing Projects Under Management, is for management and maintenance of public housing properties and includes an annual federal operating subsidy from HUD. Fund 969, Public Housing Projects Under Modernization, provides funds for capital improvements and repairs of existing public housing through an annual Capital Fund Grant (formerly the Comprehensive Grant).

Revenues are derived from dwelling rents, payments for utilities in excess of FCRHA established standards, investment income, maintenance charges, late fees and HUD provided contributions and subsidies. Projected FY 2011 revenues of $9,280,020 represent an increase of $1,272,882 or 15.9 percent over the FY 2010 Adopted Budget Plan primarily due to an increase in the projected HUD Operating Subsidy and Management Fee Income. Effective January 1, 2007, the HUD Operating Subsidy calculation is based on HUD's Final Rule (Revisions to Public Housing Operating Fund) published on September 19, 2005, using a formula developed by HUD to provide a mechanism to align expenditures and revenues for Public Housing Authorities. The HUD Annual Contribution represents what HUD will pay on Federal Financing Bank (FFB) loan obligations for projects owned and operated by the FCRHA. This revenue offsets interest and principal expenses related to FFB Loans budgeted in the Other Expenses cost center.

Beginning in FY 2008, the FCRHA was required by HUD to be in compliance with Project Based Accounting and Budgeting, which requires separate reporting for the County's Public Housing properties. The 26 Public Housing properties were grouped into 11 Asset Management Projects (AMPs) for HUD Reporting purposes. In addition to the project reporting requirement, Public Housing Authorities are also required to track and report activities of the Central Office, which resulted in the creation of three new cost centers for tracking various types of Central Office expenses such as indirect administrative costs, which are covered by HUD prescribed management fees. The expenses for the AMPs will be covered by program revenues, which are mainly Dwelling Rental Income and HUD Operating Subsidy. As part of the FY 2011 budget process, County funds have been allocated for Other Post Employment Benefits (OPEB) charges for the first time. More details on the OPEB charges may be found in the narrative for Fund 603, OPEB Trust Fund, in Volume 2. For Fund 967, this amount is $125,776. Pending submission to HUD and review and approval of the expense by the federal government, the *FY 2011 Revised Budget Plan* will reflect the approved level of funding to include OPEB charges.

In addition to the public housing support provided in this fund, FY 2011 funds totaling $829,673 are provided in the General Fund, Agency 38, Department of Housing and Community Development, in support of painting expenses and townhouse/condominium-association fees for these properties.

The current income limits for the program as established by HUD effective March 19, 2009 are as follows:

| INCOME LIMITS | | |
|---|---|---|
| **Number of Persons** | **Very Low** | **Low** |
| 1 | $35,950 | $44,800 |
| 2 | $41,100 | $51,200 |
| 3 | $46,200 | $57,600 |
| 4 | $51,350 | $64,000 |
| 5 | $55,450 | $69,100 |
| 6 | $59,550 | $74,250 |
| 7 | $63,650 | $79,350 |
| 8 | $67,800 | $84,500 |

The Public Housing projects, as reflected in the following chart, are located throughout the County.

| Project Name | HUD Number | Number of Units | Supervisory District |
|---|---|---|---|
| Audubon Apartments | VA 19-01 | 46 | Lee |
| Rosedale Manor | VA 19-03 | 97 | Mason |
| Newington Station | VA 19-04 | 36 | Mt. Vernon |
| The Park | VA 19-06 | 24 | Lee |
| Shadowood | VA 19-11 | 16 | Hunter Mill |
| Atrium Apartments | VA 19-13 | 37 | Lee |
| Villages of Falls Church[1] | VA 19-25 | 37 | Mason |
| Heritage Woods I | VA 19-26 | 19 | Braddock |
| Robinson Square | VA 19-27 | 46 | Braddock |
| Heritage Woods South | VA 19-28 | 12 | Braddock |

# Fund 967
# Public Housing Projects Under Management

| Project Name | HUD Number | Number of Units | Supervisory District |
|---|---|---|---|
| Sheffield Village | VA 19-29 | 8 | Mt. Vernon |
| Greenwood | VA 19-30 | 138 | Mason |
| Briarcliff II | VA 19-31 | 20 | Providence |
| West Ford II | VA 19-32 | 22 | Mt. Vernon |
| West Ford I | VA 19-33 | 24 | Mt. Vernon |
| West Ford III | VA 19-34 | 59 | Mt. Vernon |
| Barros Circle | VA 19-35 | 44 | Sully |
| Belle View | VA 19-36 | 40 | Mt. Vernon |
| Kingsley Park | VA 19-38 | 108 | Providence |
| Scattered Sites | VA 19-39 | 25 | Various |
| Reston Town Center | VA 19-40 | 30 | Hunter Mill |
| Old Mill | VA 19-42 | 48 | Lee |
| Ragan Oaks | VA 19-45 | 51 | Sully |
| Tavenner Lane[2] | VA 19-51 | 12 | Lee |
| Waters Edge | VA 19-52 | 9 | Sully |
| West Glade[2] | VA 19-55 | 50 | Hunter Mill |
| Scattered ADU Sites | VA 19-56 | 7 | Various |
| **Total Units[3]** | | **1,065** | |

[1] This HUD project includes one unit at Heritage Woods South in Braddock District.

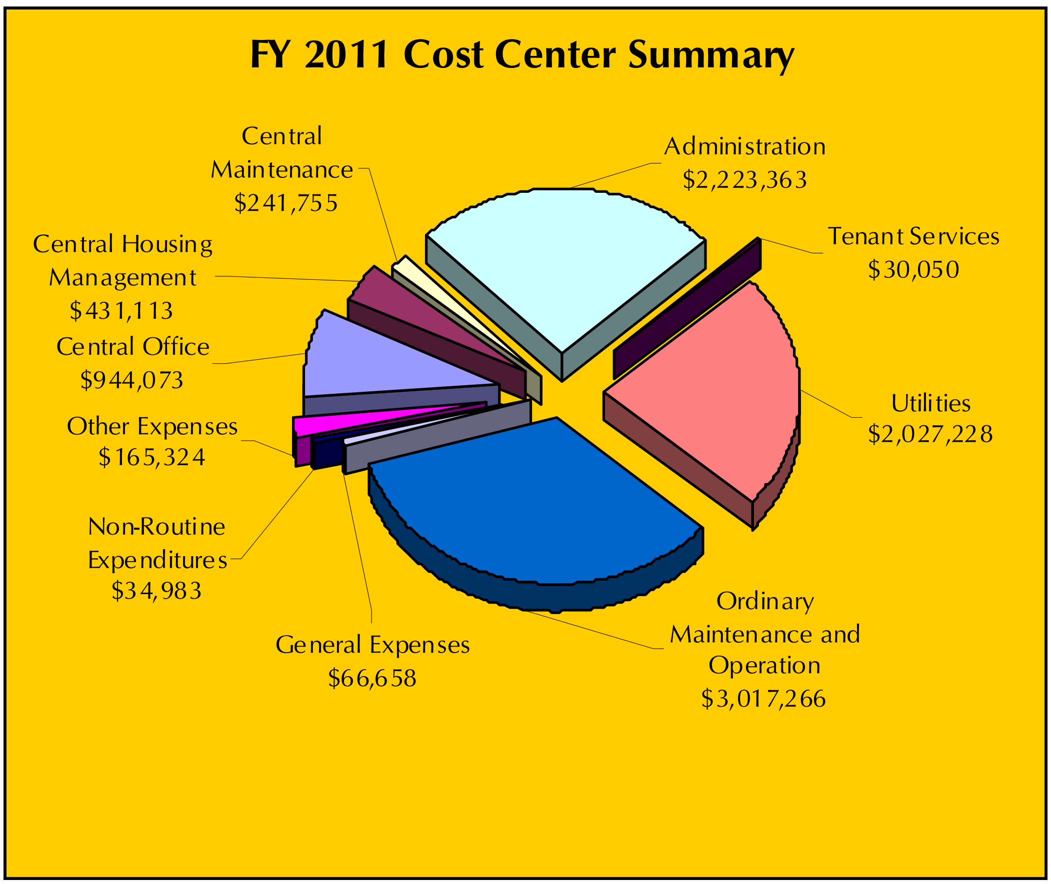
[2] Properties are owned by limited partnerships of which the FCRHA is the managing general partner. Therefore, rental revenue and other expenses for these properties are not reported in Fund 967.

[3] There are projected to be 1,065 units of Public Housing; however, only 1,063 are income producing. There are two units off-line, one of which is used as an office and the other as a community room. Per HUD guidelines, the community room is not reported to HUD when requesting the HUD Operating Subsidy. Tavenner Lane and West Glade are reported separately when reporting to HUD, since they are partnership properties and have different reporting requirements. The FY 2011 vacancy rate is projected to be approximately 2 percent for public housing properties, primarily due to normal turnover.

Admissions and Occupancy policies for this program are governed by the Quality Housing and Work Responsibility Act of 1998 (which amended the United States Housing Act of 1937) and are consistent with the objectives of Title VI of the Civil Rights Act of 1964. Eligibility for admission and occupancy to Low-Income Housing requires the applicants to fulfill the following general criteria: (1) qualify as a family, (2) have annual income which does not exceed the income limits for admission to a designated development, and (3) qualify under the Local Preference if head or spouse is employed, attending school or participating in a job training program, a combination thereof at least 30 hours per week; or is 62 or older; or is a primary caretaker of a disabled dependent; or meets HUD's definition of being disabled. In addition, the FCRHA approved a new income policy on May 1, 2008, to support the FCRHA's mission to serve low income households. Eligible applicants for Public Housing who live or work in Fairfax County, City of Fairfax, City of Falls Church or Town of Herndon can (4) have household incomes above 50 percent of the AMI if they pay more than 30 percent of gross income for rent and utilities for the past 90 days (excluding telephone and cable costs) or have household incomes at or below 50 percent of the AMI.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 47/ 47 | 47/ 47 | 47/ 47 | 47/ 47 |
| Grant | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| Expenditures | | | | |
| Personnel Services | $3,516,534 | $3,440,031 | $3,440,031 | $3,440,031 |
| Operating Expenses | 5,408,451 | 4,336,709 | 5,531,920 | 5,741,782 |
| Capital Equipment | 2,095 | 0 | 0 | 0 |
| **Total Expenditures** | **$8,927,080** | **$7,776,740** | **$8,971,951** | **$9,181,813** |



# Fund 967
# Public Housing Projects Under Management

| Position Summary | | | | | |
|---|---|---|---|---|---|
| | **ADMINISTRATION** | | **HOUSING MANAGEMENT** | | |
| 1 | Administrative Assistant II | 1 | HCD Division Director | 1 | Administrative Assistant III |
| | | 1 | Housing Community Developer V | 1 | Trades Supervisor |
| | | 1 | Housing/Community Developer II | 4 | Air Conditioning Equipment Repairers |
| | **FINANCIAL MANAGEMENT** | 1 | Human Services Coordinator II | | |
| 1 | Financial Specialist IV | 1 | Management Analyst I | 6 | General Building Maintenance Workers II |
| 1 | Accountant III | 2 | Housing Services Specialists V | | |
| 2 | Administrative Assistants III | 2 | Housing Services Specialists III, 1G | 4 | General Building Maintenance Workers I |
| | | 11 | Housing Services Specialists II | | |
| | | 3 | Housing Services Specialists I | 1 | Locksmith II |
| | | 1 | Human Services Assistant | 2 | Plumbers II |
| **TOTAL POSITIONS** | | | | | |
| **48 Positions / 48.0 Staff Years** | | | **G Denotes Grant Position** | | |

Note: As a result of a transition by the U.S. Department of Housing and Urban Development to a project based budgeting model for the maintenance of public housing facilities, a number of positions are being moved within the Fairfax County Department of Housing and Community Development (DHCD) to better align the positions to the developments they support. In the *FY 2010 Revised Budget Plan*, 1/1.0 SYE position was exchanged between Fund 967 and Fund 941, Fairfax County Rental Program and 1/1.0 SYE position was exchanged between Fund 967, and Fund 950, Housing Partnerships.

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

♦ **Operating Expenses** **$1,405,073**
An increase of $1,405,073 in Operating Expenses is primarily associated with costs for the oversight and management of this fund, repairs and maintenance, and increased utility expenses based on prior year actual expenditures.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$1,195,211**
As part of the *FY 2009 Carryover Review,* the Board of Supervisors approved an increase of $1,195,211 to reflect additional asset management fee expenses based on the U.S. Department of Housing and Urban Development (HUD) regulations and increases in projected utility costs. FY 2010 revenues increased by $1,281,581 to account for HUD prescribed fees and reimbursement for prior year actual costs.

# Fund 967
# Public Housing Projects Under Management

## FUND STATEMENT

**Fund Type H96, Annual Contribution Contract** **Fund 967, Projects Under Management**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,327,215** | **$3,504,570** | **$3,799,332** | **$4,116,100** |
| Revenue: | | | | |
| Dwelling Rental Income | $4,853,575 | $4,837,086 | $4,837,086 | $4,853,575 |
| Excess Utilities | 178,895 | 126,258 | 126,258 | 178,895 |
| Interest on Investments | 109,404 | 134,506 | 134,506 | 50,066 |
| Other Operating Receipts | 118,322 | 122,040 | 122,040 | 118,323 |
| Management Fee - Capital Fund[1] | 1,141,321 | 181,242 | 1,145,030 | 1,155,362 |
| HUD Annual Contribution | 239,205 | 165,324 | 165,324 | 165,324 |
| HUD Operating Subsidy[2] | 2,758,475 | 2,440,682 | 2,758,475 | 2,758,475 |
| Total Revenue | $9,399,197 | $8,007,138 | $9,288,719 | $9,280,020 |
| **Total Available** | **$12,726,412** | **$11,511,708** | **$13,088,051** | **$13,396,120** |
| Expenditures:[3] | | | | |
| Administration | $1,324,228 | $1,315,620 | $2,255,852 | $2,223,363 |
| Central Office | 729,186 | 899,405 | 901,169 | 944,073 |
| Central Housing Management | 233,364 | 431,113 | 431,525 | 431,113 |
| Central Maintenance | 453,673 | 219,555 | 219,555 | 241,755 |
| Tenant Services | 17,079 | 30,050 | 51,430 | 30,050 |
| Utilities | 1,971,506 | 1,808,958 | 2,040,381 | 2,027,228 |
| Ordinary Maintenance and Operation | 3,916,501 | 2,805,074 | 2,805,074 | 3,017,266 |
| General Expenses | 36,669 | 66,658 | 66,658 | 66,658 |
| Non-Routine Expenditures | 11,421 | 34,983 | 34,983 | 34,983 |
| Other Expenses | 233,453 | 165,324 | 165,324 | 165,324 |
| Total Expenditures | $8,927,080 | $7,776,740 | $8,971,951 | $9,181,813 |
| **Total Disbursements** | **$8,927,080** | **$7,776,740** | **$8,971,951** | **$9,181,813** |
| | | | | |
| **Ending Balance[4]** | **$3,799,332** | **$3,734,968** | **$4,116,100** | **$4,214,307** |

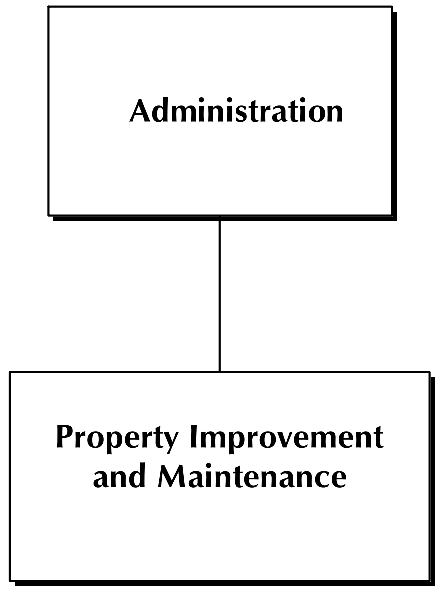
[1]Revenue associated with fees received for the oversight and management of the Central Office. Management Fee revenues that are based on HUD-prescribed fees, consist of property management, bookeeping, and asset management fees. In addition, Management Fees from Fund 969, Public Housing Projects Under Modernization are also included.

[2]Category represents a U.S. Department of Housing and Urban Development (HUD) Operating Subsidy based on revenue and expenditure criteria developed by HUD under the Final Rule that was effective January 1, 2007.

[3]Expenditure categories reflect HUD required cost groupings. Increase in expenditures is primarily associated with costs for the oversight and management of the fund, repairs and maintenance, and increased utility expenses based on prior year actual expenditures.

[4]The Ending Balance fluctuates due primarily to revenue adjustments for HUD Operating Subsidy and Management Fee Income, as well as expenditures adjustments related to the oversight and management of the fund.

# Fund 969
# Public Housing Projects Under Modernization




## Focus

Fund 969, Public Housing Projects Under Modernization, receives an annual federal grant, determined by formula, to be used for major physical and management improvements to public housing properties owned by the Fairfax County Redevelopment and Housing Authority (FCRHA). This grant program fund which was called the Comprehensive Grant Program (CGP) or the Modernization Program is now referred to as the Capital Fund Program (CFP). It is one of the two components of the Public Housing Program. The other fund supporting this program is Fund 967, Public Housing Projects Under Management, which supports the daily maintenance and management of public housing properties.

Local public housing authorities submit a five-year comprehensive capital and management improvement plan to the U.S. Department of Housing and Urban Development (HUD) as part of the FCRHA's Five-Year Plan. The plan is updated each year as part of the Annual Plan. HUD reviews the plan and releases the annual capital grant amount that supports administrative and planning expenses as well as improvements to one or more projects. Housing authorities may revise the annual plan to substitute projects as long as they are part of the Five-Year Plan.

Three grant positions are supported in this fund for the administration of the program to include monitoring of all construction in process for projects that have been approved by HUD.

In March 2009, the American Recovery and Reinvestment Act (ARRA) granted federal stimulus funds to public housing agencies under the Capital Fund Program. HUD awarded the FCRHA a federal stimulus grant in the amount of $2,294,177 for administration and capital improvements for five properties: VA1903, Rosedale Manor; VA1913, Atrium; VA1927, Robinson Square; VA1934, Westford Phase III; and VA1935, Barros Circle. This funding was in addition to the annual capital grant.

The FCRHA also submitted an improvement plan in March 2009 for Program Year 38 (FY 2010) funding and received HUD approval for $1,915,735. Program Year 38 provides for staff administration and capital improvements for eight properties: VA1913, Atrium; VA1930, Greenwood Apartments; VA1934, Westford Phase III; VA1938, Kingsley Park; VA1942, Old Mill Site; VA1945, Ragan Oaks; VA1951, Tavenner Lane; and VA1955, West Glade.

No FY 2011 funding is included for Fund 969 at this time. Funding will be allocated at the time of the award from HUD and will provide Program Year 39 funding for new and ongoing projects.

# Fund 969
# Public Housing Program Projects Under Modernization

| Position Summary | |
|---|---|
| **HOUSING MANAGEMENT** | |
| 1 Housing/Community Developer V, G | |
| 2 Housing/Community Developers III, 2 G | |
| **TOTAL POSITIONS** | |
| **3 Positions / 3.0 Staff Years** | **G Denotes Grant Positions** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

♦ **Carryover Adjustments** **$3,411,974**
As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $3,411,974 due to the carryover of unexpended project balances including $2,294,177 provided by the U.S. Department of Housing and Urban Development (HUD) for American Recovery and Reinvestment Act projects. FY 2010 revenues increased $1,238,400 due to the anticipated reimbursement of expenses for projects previously approved by HUD.

♦ **Program Adjustments** **$1,915,735**
Subsequent to the *FY 2009 Carryover Review*, an allocation of $1,915,735 was provided for Program Year 38 management improvements, administration, planning fees and capital improvements for eight properties: VA1913, Atrium; VA1930, Greenwood Apartments; VA1934, Westford Phase III; VA1938, Kingsley Park; VA1942, Old Mill Site; VA1945, Ragan Oaks; VA1951, Tavenner Lane; and VA1955, West Glade.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 969
# Public Housing Program Projects Under Modernization

## FUND STATEMENT

**Fund Type H96, Annual Contribution Contract** **Fund 969, Projects Under Modernization**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,173,574** | **$0** | **$2,173,574** | **$0** |
| Revenue: | | | | |
| HUD Authorizations[1] | $0 | $0 | $3,154,135 | $0 |
| HUD Reimbursements[2] | 2,881,065 | 0 | 0 | 0 |
| Total Revenue | $2,881,065 | $0 | $3,154,135 | $0 |
| **Total Available** | **$5,054,639** | **$0** | **$5,327,709** | **$0** |
| Expenditures: | | | | |
| Administration | $276,503 | $0 | $276,503 | $0 |
| Capital/Related Improvements[1] | 2,604,562 | 0 | 5,051,206 | 0 |
| Total Expenditures | $2,881,065 | $0 | $5,327,709 | $0 |
| **Total Disbursements** | **$2,881,065** | **$0** | **$5,327,709** | **$0** |
| | | | | |
| **Ending Balance[3]** | **$2,173,574** | **$0** | **$0** | **$0** |

[1] Subsequent to the *FY 2009 Carryover Review*, an allocation of $1,915,735 was provided for Program Year 38 management improvements, administration, planning fees and capital improvements.

[2] This represents the U.S. Department of Housing and Urban Development (HUD) reimbursements for capital improvements, major repairs/maintenance and modernization of public housing properties.

[3] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 969
# Public Housing Program Projects Under Modernization

## FY 2011 Summary of Capital Projects

### Fund: 969 Public Housing, Projects Under Modernization

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| VA0501 | Capital Improvement - Year 28 | $432,080 | $0.00 | $10,569.83 | $0 |
| VA0502 | Capital Improvement - Year 29 | 788,485 | 0.00 | 0.00 | 0 |
| VA0503 | Capital Improvement - Year 30 | 692,526 | 0.00 | 0.00 | 0 |
| VA0504 | Capital Improvement - Year 31 | 396,086 | 0.00 | 0.00 | 0 |
| VA0505 | Capital Improvement - Year 32 | 100,726 | 0.00 | 20,212.35 | 0 |
| VA0506 | Capital Improvement - Year 33 | 929,360 | 0.00 | 0.00 | 0 |
| VA0507 | Capital Improvement - Year 34 | 754,516 | 0.00 | 0.00 | 0 |
| VA0508 | Capital Improvement - Year 35 | 556,348 | 0.00 | 46,523.07 | 0 |
| VA0702 | Comp Grant - Year Two | 346,829 | 0.00 | 0.00 | 0 |
| VA0703 | Comp Grant - Year Three | 374,978 | 0.00 | 0.00 | 0 |
| VA0704 | Comp Grant - Year Four | 386,386 | 0.00 | 0.00 | 0 |
| VA0705 | Comp Grant - Year Five | 288,906 | 0.00 | 0.00 | 0 |
| VA0706 | Comp Grant - Year Six | 276,087 | 0.00 | 0.00 | 0 |
| VA0707 | Comp Grant - Year Seven | 267,251 | 0.00 | 0.00 | 0 |
| VA0708 | Comp Grant - Year Eight | 391,601 | 0.00 | 0.00 | 0 |
| VA1900 | 4500 University Drive | 19,939 | 0.00 | 0.00 | 0 |
| VA1901 | Audubon Apartments | 1,932,172 | 892,679.38 | 16,268.96 | 0 |
| VA1903 | Rosedale Manor | 1,801,965 | 40,213.11 | 302,682.09 | 0 |
| VA1904 | Newington Station | 1,087,336 | 0.00 | 0.00 | 0 |
| VA1905 | Green Apartments | 2,186,251 | 0.00 | 0.00 | 0 |
| VA1906 | The Park | 1,129,230 | 258,534.30 | 135,563.70 | 0 |
| VA1911 | Shadowood Condominiums | 326,367 | 15,728.69 | 170,994.07 | 0 |
| VA1913 | Atrium | 1,794,114 | 114,183.83 | 662,927.11 | 0 |
| VA1925 | Villages at Falls Church | 261,985 | 0.00 | 0.00 | 0 |
| VA1927 | Robinson Square | 2,616,375 | 363,552.59 | 1,133,553.44 | 0 |
| VA1929 | Sheffield Village Square | 74,915 | 0.00 | 0.00 | 0 |
| VA1930 | Greenwood Apartments | 3,324,942 | 141,651.72 | 211,362.69 | 0 |
| VA1931 | Briarcliff Phase II | 465,742 | 49.85 | 0.00 | 0 |
| VA1932 | Westford Phase II | 1,427,362 | 501,996.81 | 0.00 | 0 |
| VA1933 | Westford Phase I | 1,214,588 | 52,164.50 | 24,358.00 | 0 |
| VA1934 | Westford Phase III | 2,270,106 | 320,614.18 | 697,114.08 | 0 |
| VA1935 | Barros Circle | 932,619 | 11,643.95 | 155,174.97 | 0 |
| VA1936 | Belle View Condominiums | 359,712 | 0.00 | 0.00 | 0 |
| VA1938 | Kingsley Park | 2,798,333 | 97,707.30 | 728,002.61 | 0 |
| VA1939 | Scattered Acquisitions | | 66,229.84 | 51,834.46 | 0 |
| VA1940 | Reston Towne Center | 773,183 | 0.00 | 0.00 | 0 |
| VA1942 | Old Mill Site | 441,910 | 4,114.54 | 420,067.24 | 0 |
| VA1945 | Ragan Oaks | 263,342 | 0.00 | 229,000.00 | 0 |
| VA1951 | Tavenner Lane Apartments | 45,000 | 0.00 | 45,000.00 | 0 |
| VA1952 | Water's Edge | 119,621 | 0.00 | 0.00 | 0 |
| VA1955 | West Glade | 266,500 | 0.00 | 266,500.00 | 0 |
| **Total** | | **$34,915,778** | **$2,881,064.59** | **$5,327,708.67** | **$0** |

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# FY 2011 ADVERTISED REVENUE & RECEIPTS BY FUND
## SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Actual [1] | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **HUMAN SERVICES** | | | | | | |
| **G10 Special Revenue Funds** | | | | | | |
| 117 Alcohol Safety Action Program | $1,812,556 | $1,687,300 | $1,687,300 | $1,687,300 | $0 | 0.00% |
| **NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)** | | | | | | |
| **G70 Agency Funds** | | | | | | |
| 703 Northern Virginia Regional Identification System | $39,886 | $19,310 | $19,310 | $19,310 | $0 | 0.00% |
| **HOUSING AND COMMUNITY DEVELOPMENT** | | | | | | |
| **H94 Other Housing Funds** | | | | | | |
| 940 FCRHA General Operating | $3,315,230 | $2,892,920 | $3,201,013 | $2,406,754 | ($794,259) | (24.81%) |
| 941 Fairfax County Rental Program | 5,079,312 | 4,505,077 | 4,935,077 | 4,886,694 | (48,383) | (0.98%) |
| 945 Non-County Appropriated Rehabilitation Loan | 4,381 | 32,486 | 32,486 | 31,783 | (703) | (2.16%) |
| 946 FCRHA Revolving Development | 429,958 | 66,072 | 66,072 | 30,047 | (36,025) | (54.52%) |
| 948 FCRHA Private Financing | 1,476,657 | 816,752 | 1,005,798 | 774,232 | (231,566) | (23.02%) |
| 949 Internal Service Fund | 3,893,889 | 3,844,658 | 4,150,769 | 4,212,326 | 61,557 | 1.48% |
| 950 Housing Partnerships | 1,077,722 | 1,195,741 | 1,195,741 | 1,671,959 | 476,218 | 39.83% |
| 965 Housing Grants Fund | 240,997 | 0 | 282,923 | 0 | (282,923) | (100.00%) |
| **Total Other Housing Funds** | **$15,518,146** | **$13,353,706** | **$14,869,879** | **$14,013,795** | **($856,084)** | **(5.76%)** |
| **H96 Annual Contribution Contract** | | | | | | |
| 966 Section 8 Annual Contribution | $40,289,559 | $41,166,878 | $43,114,042 | $44,141,770 | $1,027,728 | 2.38% |
| 967 Public Housing, Projects Under Management | 9,399,197 | 8,007,138 | 9,288,719 | 9,280,020 | (8,699) | (0.09%) |
| 969 Public Housing, Projects Under Modernization | 2,881,065 | 0 | 3,154,135 | 0 | (3,154,135) | (100.00%) |
| **Total Annual Contribution Contract** | **$52,569,821** | **$49,174,016** | **$55,556,896** | **$53,421,790** | **($2,135,106)** | **(3.84%)** |
| **TOTAL HOUSING AND COMMUNITY DEVELOPMENT** | **$68,087,967** | **$62,527,722** | **$70,426,775** | **$67,435,585** | **($2,991,190)** | **(4.25%)** |

# FY 2011 ADVERTISED REVENUE & RECEIPTS BY FUND
# SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Actual [1] | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **FAIRFAX COUNTY PARK AUTHORITY** | | | | | | |
| **P17 Special Revenue - Park Authority** | | | | | | |
| 170 Park Revenue Fund | $38,382,677 | $41,994,699 | $41,994,699 | $42,641,814 | $647,115 | 1.54% |
| **P37 Capital Projects - Park Authority** | | | | | | |
| 371 Park Capital Improvement Fund | $3,295,406 | $0 | $370,000 | $0 | ($370,000) | (100.00%) |
| **TOTAL FAIRFAX COUNTY PARK AUTHORITY** | **$41,678,083** | **$41,994,699** | **$42,364,699** | **$42,641,814** | **$277,115** | **0.65%** |
| **TOTAL NON-APPROPRIATED FUNDS** | **$111,618,492** | **$106,229,031** | **$114,498,084** | **$111,784,009** | **($2,714,075)** | **(2.37%)** |
| **Appropriated from (Added to) Surplus** | **$2,436,488** | **($131,503)** | **$22,720,976** | **($807,580)** | **($23,528,556)** | **(103.55%)** |
| **TOTAL AVAILABLE** | **$114,054,980** | **$106,097,528** | **$137,219,060** | **$110,976,429** | **($26,242,631)** | **(19.12%)** |

***EXPLANATORY NOTE:***
*The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Non-Appropriated Funds."*

[1] **Not reflected are the following adjustments to balance which were carried forward from FY 2008 to FY 2009:**
Fund 170, Park Revenue Fund, assumption of cash basis accounting reflecting the net effect of deferred revenue of $242,525 higher than reflected in the County's accounting system.

# FY 2011 ADVERTISED EXPENDITURES BY FUND
## SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **HUMAN SERVICES** | | | | | | |
| **G10 Special Revenue Funds** | | | | | | |
| 117 Alcohol Safety Action Program | $1,790,442 | $1,687,300 | $1,687,300 | $1,687,300 | $0 | 0.00% |
| **NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)** | | | | | | |
| **G70 Agency Funds** | | | | | | |
| 703 Northern Virginia Regional Identification System | $33,018 | $18,599 | $18,599 | $18,599 | $0 | 0.00% |
| **HOUSING AND COMMUNITY DEVELOPMENT** | | | | | | |
| **H94 Other Housing Funds** | | | | | | |
| 940 FCRHA General Operating | $3,125,571 | $2,862,266 | $3,001,466 | $2,406,754 | ($594,712) | (19.81%) |
| 941 Fairfax County Rental Program | 4,591,776 | 4,125,982 | 4,391,931 | 4,722,253 | 330,322 | 7.52% |
| 945 Non-County Appropriated Rehabilitation Loan | 0 | 25,000 | 26,467 | 25,000 | (1,467) | (5.54%) |
| 946 FCRHA Revolving Development | 1,676,646 | 0 | 2,392,421 | 0 | (2,392,421) | (100.00%) |
| 948 FCRHA Private Financing | 1,361,922 | 816,752 | 3,296,651 | 774,232 | (2,522,419) | (76.51%) |
| 949 Internal Service Fund | 3,893,889 | 3,844,658 | 4,150,769 | 4,212,326 | 61,557 | 1.48% |
| 950 Housing Partnerships | 1,195,592 | 1,204,845 | 1,372,507 | 1,698,720 | 326,213 | 23.77% |
| 965 Housing Grants Fund | 240,997 | 0 | 282,923 | 0 | (282,923) | (100.00%) |
| **Total Other Housing Funds** | **$16,086,393** | **$12,879,503** | **$18,915,135** | **$13,839,285** | **($5,075,850)** | **(26.83%)** |
| **H96 Annual Contribution Contract** | | | | | | |
| 966 Section 8 Annual Contribution | $41,882,710 | $41,174,805 | $43,023,558 | $43,607,618 | $584,060 | 1.36% |
| 967 Public Housing, Projects Under Management | 8,927,080 | 7,776,740 | 8,971,951 | 9,181,813 | 209,862 | 2.34% |
| 969 Public Housing, Projects Under Modernization | 2,881,065 | 0 | 5,327,709 | 0 | (5,327,709) | (100.00%) |
| **Total Annual Contribution Contract** | **$53,690,855** | **$48,951,545** | **$57,323,218** | **$52,789,431** | **($4,533,787)** | **(7.91%)** |
| **TOTAL HOUSING AND COMMUNITY DEVELOPMENT** | **$69,777,248** | **$61,831,048** | **$76,238,353** | **$66,628,716** | **($9,609,637)** | **(12.60%)** |

# FY 2011 ADVERTISED EXPENDITURES BY FUND
# SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/Fund | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **FAIRFAX COUNTY PARK AUTHORITY** | | | | | | |
| **P17 Special Revenue - Park Authority** | | | | | | |
| 170 Park Revenue Fund | $36,585,322 | $40,032,110 | $40,165,872 | $41,814,002 | $1,648,130 | 4.10% |
| **P37 Capital Projects - Park Authority** | | | | | | |
| 371 Park Capital Improvement Fund | $5,354,458 | $0 | $18,302,373 | $0 | ($18,302,373) | (100.00%) |
| **TOTAL FAIRFAX COUNTY PARK AUTHORITY** | **$41,939,780** | **$40,032,110** | **$58,468,245** | **$41,814,002** | **($16,654,243)** | **(28.48%)** |
| **TOTAL NON-APPROPRIATED FUNDS** | **$113,540,488** | **$103,569,057** | **$136,412,497** | **$110,148,617** | **($26,263,880)** | **(19.25%)** |

# FY 2011 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/ Fund | Balance 6/30/08 | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | From/(Added to) Surplus |
|---|---|---|---|---|---|
| **HUMAN SERVICES** | | | | | |
| **G10 Special Revenue Funds** | | | | | |
| 117 Alcohol Safety Action Program | ($27,046) | $22,114 | $22,114 | $22,114 | $0 |
| **NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)** | | | | | |
| **G70 Agency Funds** | | | | | |
| 703 Northern Virginia Regional Identification System | $12,405 | $19,273 | $19,984 | $20,695 | ($711) |
| **HOUSING AND COMMUNITY DEVELOPMENT** | | | | | |
| **H94 Other Housing Funds** | | | | | |
| 940 FCRHA General Operating | $11,417,222 | $11,606,881 | $11,806,428 | $11,806,428 | $0 |
| 941 Fairfax County Rental Program | 3,940,608 | 4,428,144 | 4,971,290 | 5,135,731 | (164,441) |
| 945 Non-County Appropriated Rehabilitation Loan | 226,776 | 231,157 | 237,176 | 243,959 | (6,783) |
| 946 FCRHA Revolving Development | 4,093,068 | 2,846,380 | 520,031 | 550,078 | (30,047) |
| 948 FCRHA Private Financing | 7,376,171 | 7,490,906 | 5,200,053 | 5,200,053 | 0 |
| 949 Internal Service Fund | 0 | 0 | 0 | 0 | 0 |
| 950 Housing Partnerships | 361,105 | 243,235 | 66,469 | 39,708 | 26,761 |
| 965 Housing Grants Fund | 0 | 0 | 0 | 0 | 0 |
| **Total Other Housing Funds** | **$27,414,950** | **$26,846,703** | **$22,801,447** | **$22,975,957** | **($174,510)** |
| **H96 Annual Contribution Contract** | | | | | |
| 966 Section 8 Annual Contribution | $5,319,653 | $3,726,502 | $3,816,986 | $4,351,138 | ($534,152) |
| 967 Public Housing, Projects Under Management | 3,327,215 | 3,799,332 | 4,116,100 | 4,214,307 | (98,207) |
| 969 Public Housing, Projects Under Modernization | 2,173,574 | 2,173,574 | 0 | 0 | 0 |
| **Total Annual Contribution Contract** | **$10,820,442** | **$9,699,408** | **$7,933,086** | **$8,565,445** | **($632,359)** |
| **TOTAL HOUSING AND COMMUNITY DEVELOPMENT** | **$38,235,392** | **$36,546,111** | **$30,734,533** | **$31,541,402** | **($806,869)** |

# FY 2011 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/ Fund | Balance 6/30/08 | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | From/(Added to) Surplus |
|---|---|---|---|---|---|
| **FAIRFAX COUNTY PARK AUTHORITY** | | | | | |
| **P17 Special Revenue - Park Authority** | | | | | |
| 170 Park Revenue Fund | $7,181,841 | $8,437,658 | $9,299,922 | $9,299,922 | $0 |
| **P37 Capital Projects - Park Authority** | | | | | |
| 371 Park Capital Improvement Fund | $23,850,946 | $21,791,894 | $4,019,521 | $4,019,521 | $0 |
| **TOTAL FAIRFAX COUNTY PARK AUTHORITY** | **$31,032,787** | **$30,229,552** | **$13,319,443** | **$13,319,443** | **$0** |
| **TOTAL NON-APPROPRIATED FUNDS** | **$69,253,538** | **$66,817,050** | **$44,096,074** | **$44,903,654** | **($807,580)** |

# FY 2011 ADVERTISED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

| Fund Type/ Fund | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| <u>HOUSING AND COMMUNITY DEVELOPMENT</u> | | | | | | |
| **APPROPRIATED FUNDS** | | | | | | |
| **G00 General Fund** | | | | | | |
| Department of Housing and Community Development | $6,334,577 | $5,851,757 | $6,228,447 | $5,928,757 | ($299,690) | (4.81%) |
| **G30 Capital Project Funds** | | | | | | |
| 319 The Penny for Affordable Housing Fund | $14,615,084 | $10,270,000 | $21,851,953 | $9,340,000 | ($12,511,953) | (57.26%) |
| **H14 Special Revenue - Housing** | | | | | | |
| 141 Elderly Housing Programs | $3,345,774 | $4,099,238 | $4,546,796 | $4,186,706 | ($360,090) | (7.92%) |
| 142 Community Development Block Grant | 6,467,313 | 5,928,982 | 16,276,968 | 5,982,304 | (10,294,664) | (63.25%) |
| 143 Homeowner and Business Loan Programs | 4,555,312 | 1,870,161 | 7,817,503 | 3,883,825 | (3,933,678) | (50.32%) |
| 144 Housing Trust Fund | 1,093,812 | 1,250,000 | 7,241,342 | 840,000 | (6,401,342) | (88.40%) |
| 145 HOME Investment Partnerships Grant | 3,966,637 | 2,448,682 | 7,585,726 | 2,707,657 | (4,878,069) | (64.31%) |
| **Total Special Revenue Funds** | **$19,428,848** | **$15,597,063** | **$43,468,335** | **$17,600,492** | **($25,867,843)** | **(59.51%)** |
| **H34 Capital Projects - Housing** | | | | | | |
| 340 Housing Assistance Program | $1,622,249 | $695,000 | $9,094,301 | $515,000 | ($8,579,301) | (94.34%) |
| **Total Capital Project Funds** | **$1,622,249** | **$695,000** | **$9,094,301** | **$515,000** | **($8,579,301)** | **(94.34%)** |
| **TOTAL APPROPRIATED HOUSING AUTHORITY** | **$42,000,758** | **$32,413,820** | **$80,643,036** | **$33,384,249** | **($47,258,787)** | **(58.60%)** |
| **NON-APPROPRIATED FUNDS** | | | | | | |
| **H94 Other Housing Funds** | | | | | | |
| 940 FCRHA General Operating | $3,125,571 | $2,862,266 | $3,001,466 | $2,406,754 | ($594,712) | (19.81%) |
| 941 Fairfax County Rental Program | 4,591,776 | 4,125,982 | 4,391,931 | 4,722,253 | 330,322 | 7.52% |
| 945 Non-County Appropriated Rehabilitation Loan | 0 | 25,000 | 26,467 | 25,000 | (1,467) | (5.54%) |
| 946 FCRHA Revolving Development | 1,676,646 | 0 | 2,392,421 | 0 | (2,392,421) | (100.00%) |
| 948 FCRHA Private Financing | 1,361,922 | 816,752 | 3,296,651 | 774,232 | (2,522,419) | (76.51%) |
| 949 Internal Service Fund | 3,893,889 | 3,844,658 | 4,150,769 | 4,212,326 | 61,557 | 1.48% |
| 950 Housing Partnerships | 1,195,592 | 1,204,845 | 1,372,507 | 1,698,720 | 326,213 | 23.77% |
| 965 Housing Grants Fund | 240,997 | 0 | 282,923 | 0 | (282,923) | (100.00%) |
| **Total Other Housing Funds** | **$16,086,393** | **$12,879,503** | **$18,915,135** | **$13,839,285** | **($5,075,850)** | **(26.83%)** |

# FY 2011 ADVERTISED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

| Fund Type/ Fund | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **H96 Annual Contribution Contract** | | | | | | |
| 966 Section 8 Annual Contribution | $41,882,710 | $41,174,805 | $43,023,558 | $43,607,618 | $584,060 | 1.36% |
| 967 Public Housing, Projects Under Management | 8,927,080 | 7,776,740 | 8,971,951 | 9,181,813 | 209,862 | 2.34% |
| 969 Public Housing, Projects Under Modernization | 2,881,065 | 0 | 5,327,709 | 0 | (5,327,709) | (100.00%) |
| **Total Annual Contribution Contract** | **$53,690,855** | **$48,951,545** | **$57,323,218** | **$52,789,431** | **($4,533,787)** | **(7.91%)** |
| **TOTAL NON-APPROPRIATED HOUSING AUTHORITY** | **$69,777,248** | **$61,831,048** | **$76,238,353** | **$66,628,716** | **($9,609,637)** | **(12.60%)** |
| **TOTAL HOUSING AND COMMUNITY DEVELOPMENT** | **$111,778,006** | **$94,244,868** | **$156,881,389** | **$100,012,965** | **($56,868,424)** | **(36.25%)** |
| PARKS, RECREATION AND LIBRARIES | | | | | | |
| APPROPRIATED FUNDS | | | | | | |
| **G00 General Fund** | | | | | | |
| Fairfax County Park Authority | $25,681,402 | $23,592,766 | $24,065,200 | $20,926,432 | ($3,138,768) | (13.04%) |
| **P37 Capital Projects - Park Authority** | | | | | | |
| 370 Park Authority Bond Construction | $19,083,037 | $0 | $81,752,130 | $0 | ($81,752,130) | (100.00%) |
| **TOTAL APPROPRIATED PARK AUTHORITY** | **$44,764,439** | **$23,592,766** | **$105,817,330** | **$20,926,432** | **($84,890,898)** | **(80.22%)** |
| NON-APPROPRIATED FUNDS | | | | | | |
| **P17 Special Revenue - Park Authority** | | | | | | |
| 170 Park Revenue Fund | $36,585,322 | $40,032,110 | $40,165,872 | $41,814,002 | $1,648,130 | 4.10% |
| **P37 Capital Projects - Park Authority** | | | | | | |
| 371 Park Capital Improvement Fund | $5,354,458 | $0 | $18,302,373 | $0 | ($18,302,373) | (100.00%) |
| **TOTAL NON-APPROPRIATED PARK AUTHORITY** | **$41,939,780** | **$40,032,110** | **$58,468,245** | **$41,814,002** | **($16,654,243)** | **(28.48%)** |
| **TOTAL PARKS, RECREATION AND LIBRARIES** | **$86,704,219** | **$63,624,876** | **$164,285,575** | **$62,740,434** | **($101,545,141)** | **(61.81%)** |
| **TOTAL EXPENDITURES** | **$198,482,225** | **$157,869,744** | **$321,166,964** | **$162,753,399** | **($158,413,565)** | **(49.32%)** |

# Fairfax County Park Authority Trust Funds

## Overview

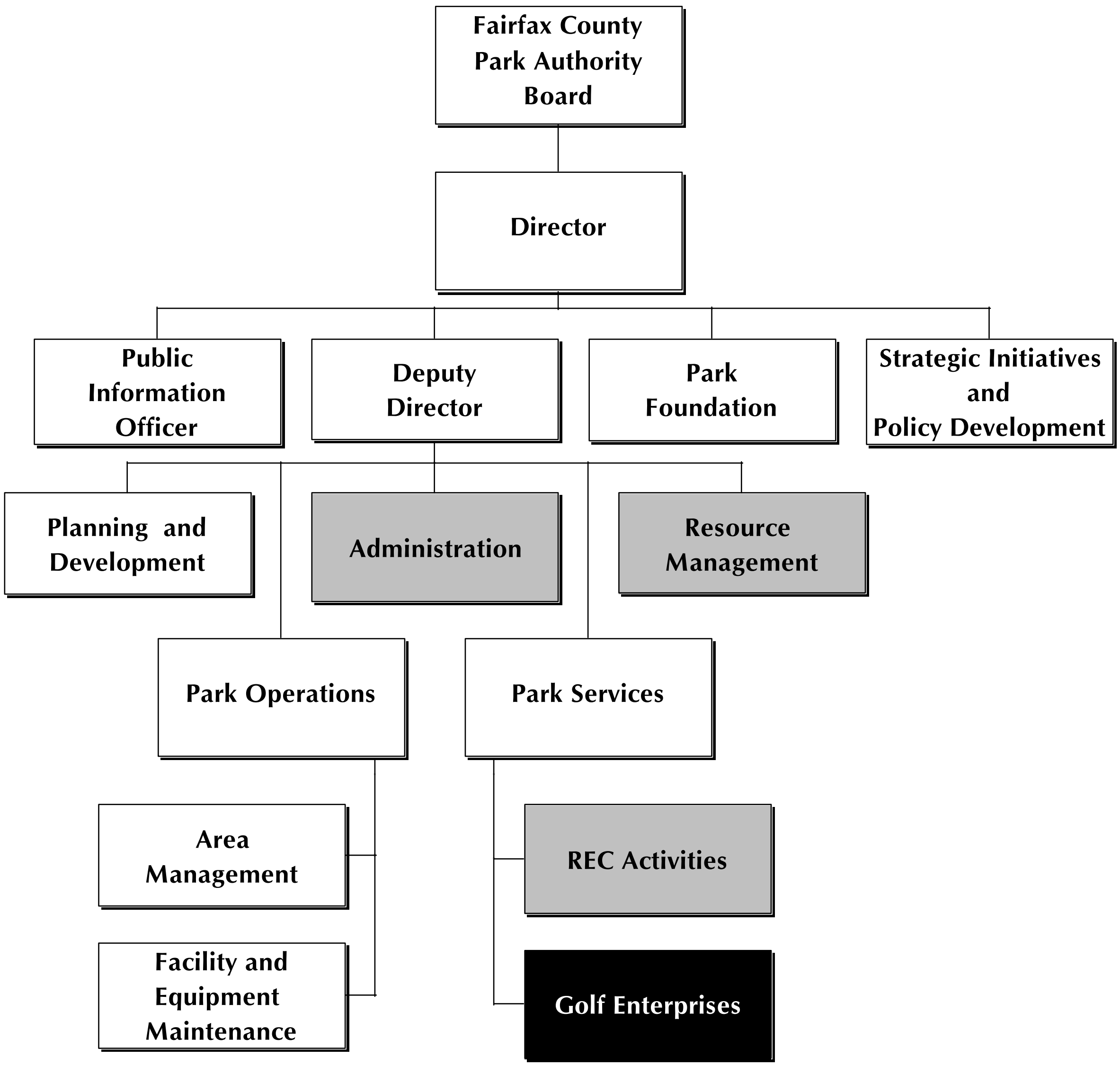
The Fairfax County Park Authority operates two separate and distinct Trust Funds. All funds received by the Park Authority Board under these Trust Funds are held and applied solely to expenditures determined by the Park Authority Board as authorized under the Commonwealth's Park Authorities Act. These funds provide support for the Park Authority which currently maintains and operates 417 parks, and 22,600 acres of park land, including recreation centers, historic sites, nature centers, and golf courses.

The Park Authority, in its memorandum of agreement with the Fairfax County Board of Supervisors, adopted budgeting and reporting procedures for its Trust Funds which are used by the General County Government. These two Trust Funds are being published in accordance with this agreement.

- **Fund 170 - Park Revenue Fund**

- **Fund 371 - Park Capital Improvement Fund**

# Fund 170
# Park Revenue Fund




Denotes Cost Centers that are included in both the General Fund and Fund 170, Park Revenue Fund.

Denotes Cost Center that is only in Fund 170, Park Revenue Fund.

# Fund 170
# Park Revenue Fund

## Mission

To set aside public spaces for and assist citizens in the protection and enhancement of environmental values, diversity of natural habitats and cultural heritage; to guarantee that these resources will be available to both present and future generations; to create and sustain quality facilities and services that offer citizens opportunities for recreation, improvement of their physical and mental well-being and enhancement of their quality of life.

## Focus

The Fairfax County Park Authority (the Authority), created by legislative action in 1950, serves the most populous jurisdiction in both the Virginia and Washington D.C. metropolitan area with over 1 million people. Under the direction of a Board of Supervisor appointed 12-member Park Authority Board, the Authority works collaboratively with constituents, partners, stakeholders, and government leaders and appointees to implement Board policies, champion the preservation and protection of natural and cultural resources, and facilitate the development of park and recreation programs and facilities. The Authority oversees operation and management of a 22,600-acre County park system with 417 parks, nine recreation centers, eight golf courses, an ice skating rink, 220 playgrounds, 668 public gardens, five nature centers, an equestrian center, 796 athletic fields, 10 historic sites, two waterparks, a horticultural center, and more than 300 miles of trails. The Authority has balanced the dual roles of providing recreational and fitness opportunities to citizens and serving as stewards and interpreters of Fairfax County's natural and cultural resources.

The Authority offers leisure and recreational opportunities through an array of programmed and un-programmed resources which enrich the quality of life for County residents. This is accomplished through the protection and preservation of open space and natural areas, nature centers, RECenters, historic sites, golf courses, athletic fields, public gardens, horticulture sites, trails, and neighborhood, community, district and countywide parks, as well as stewardship education, park programs, classes, camps and tours. Delivering high-quality service in parks is an important focus for the Park Authority as demand and usage continue to grow. The Authority seeks to provide quality recreational opportunities through construction, development, operation, and maintenance of a wide variety of facilities to meet the varied needs and interests of the County's residents. The Authority strives to improve the quality of life for the residents of the County by keeping pace with residents' interests, by continually enhancing the park system, and by demonstrating stewardship for parkland. Notable enhancements include increased open space through land acquisition, protection of critical natural and cultural resources, expanded trails, new inclusive features, and upgraded playability of outdoor facilities.

In FY 2009, the Authority acquired 114 acres of land. These acquisitions included additional acreage for Poplar Ford Park, a resource based park located in Sully District and extending north from the Manassas National Battlefield, and the addition of the Braddock Pickwick property as an addition to historic Centreville Park. In FY 2009, synthetic turf field installation continued with the completion of seven fields. Six existing natural turf rectangular fields were converted to synthetic turf which included two fields at Spring Hill Park, one at Franconia Park, one at Bryant Alternative High School, one at Bailey's Elementary School, and one at Braddock Park. To increase the availability of fields for baseball, a 60' diamond field at Nottoway Park was also converted to synthetic turf. Also completed in FY 2009 was the construction of Ox Hill Battlefield Park on West Ox Road. The Battle of Ox Hill was the only major Civil War battle fought in Fairfax County. Efforts continued to convert the former Lorton Prison grounds into Laurel Hill Park. Giles Run Meadow at Laurel Hill was opened in FY 2009 featuring a fishing area, disc golf, sustainable mountain biking trails, a picnic and play area, interpretive features and an expansion of the Laurel Hill Greenway, which extends the Cross County Trail. The Cross County Trail connects all nine magisterial districts along the County's two largest stream valleys. FY 2009 saw continued improvements to several sections of the northern portion of the Cross County Trail. In addition, several other park trail improvements were made, highlighted by approximately one mile of new stream valley trail and three crossings in Holmes Run Stream Valley near the City of Alexandria border.

In addition, in FY 2009 South Run RECenter was expanded to include a two-story 7,000 square foot state of the art fitness center, with additional parking. New facilities and facility upgrades are a critical ingredient of the Park Authority's Fund 170 growth strategy. The South Run RECenter is an example of successful growth -- by the end of FY 2009, the expansion was responsible for a 30 percent increase in attendance over the previous year.

During 2004, to address the growing and changing park and recreation desires of County residents, the Authority implemented a comprehensive Needs Assessment process that resulted in a 10-Year Action Plan including a phased-in 10-year Capital Improvement Plan. Indexed for inflation and adjusted land values, completion of this Plan requires $435 million. The 10-Year Action Plan is supported by the 2004, 2006, and 2008 voter approved park bond referendums totaling $155 million. "Great Parks Great Communities", a two–year comprehensive park planning effort to develop district-level long range plans, was initiated in 2007 and will serve as a guide for future park development and resource protection to better address changing needs and growth forecasts through 2020.

The Park Revenue Fund is supported from user fees and charges generated at the Authority's revenue supported facilities and is supplemented by donations and grants. Revenue generating facilities include recreation centers, golf courses, lake parks, nature centers, historic sites and various other major parks. The Authority's enabling legislation states that revenues must be spent exclusively for park purposes. Revenue received from recreation centers and golf courses are designed to fully recover the annual operating and maintenance costs of programs and services at these facilities, while the revenue received from the lake parks, nature centers, historic sites and various other major parks only cover a portion of the annual costs. The Authority strives to achieve an overall positive net cost recovery in order to contribute to capital repairs for revenue funded facilities necessary to maintain and adapt facilities to meet County residents' service expectations.

Some park operations are funded from both the General Fund and the Park Revenue Fund. For example, the General Fund supports some camps, trips and tours, lakefront park operations and resource management sites and programs. The General Fund also pays for the policy, communication and leadership activities of the Director's Office, the requirements of the Public Information Office, and funds administrative costs for purchasing, accounting, budgeting, and payroll and risk management procedural compliance.

The FY 2010 reductions and proposed FY 2011 reductions result in the elimination of staff and Operating Expenses and will impact a variety of park programs and events. In order to preserve as many services as possible, some costs have been shifted from the General Fund to the Park Revenue Fund. It is noted that the Park Revenue Fund has limited capacity to absorb additional costs.

**Park Board**

The Authority operates under the policy oversight of a Board of Supervisors' appointed 12-member Park Authority Board, in accordance with a Memorandum of Understanding with the County's Board of Supervisors. The Authority manages acquisition, preservation, development, maintenance and operation of its assets and activities through five funds: the Parks General Fund Operating Budget, Park Revenue Fund, County Construction Fund, Park Authority Bond Construction Fund and Park Capital Improvement Fund. The Park Authority Board has direct fiduciary responsibility for the Park Revenue Fund and the Park Capital Improvement Fund, while the County has fiduciary responsibility for the three other funds. The Authority aggressively seeks management initiatives and alternate funding sources to sustain the delivery of quality services and facilities.

# Fund 170
# Park Revenue Fund

**Current Trends**
The Park Revenue Fund is facing financial challenges due to the general economic downturn. In addition to the absorption of new costs into the fund due to General Fund budget reductions, expenditures continue to increase with escalating costs for goods, utilities and fuel, and repair and maintenance. At the same time, revenue pressures mount due to the reduction in residents' discretionary dollars as part of the declining economic situation. This presents a challenge to the Authority's delivery of desired recreational programs and facilities to meet changing interests and needs. To achieve the cost recovery target for the Revenue Fund, a series of cost-containment initiatives and revenue enhancement strategies were implemented in FY 2010. For instance, the loss of $188,000 in General Fund support in FY 2010 for the production of *Parktakes* magazine (the Authority's quarterly guide to parks and recreation programs, facilities and events) accelerated an initiative to create an e-version of the magazine and begin a campaign to convert hard-copy subscribers to the e-version. On the revenue side, a series of new fees were implemented, including a processing fee for class scholarship requests, a class cancellation fee, a reduction in the early registration discount, and an increase in non-County resident program fees. Other continued challenges include growing and changing demands from a diverse population, a continued high level of customer expectations, and, as identified by residents, a shortage of recreation facilities.

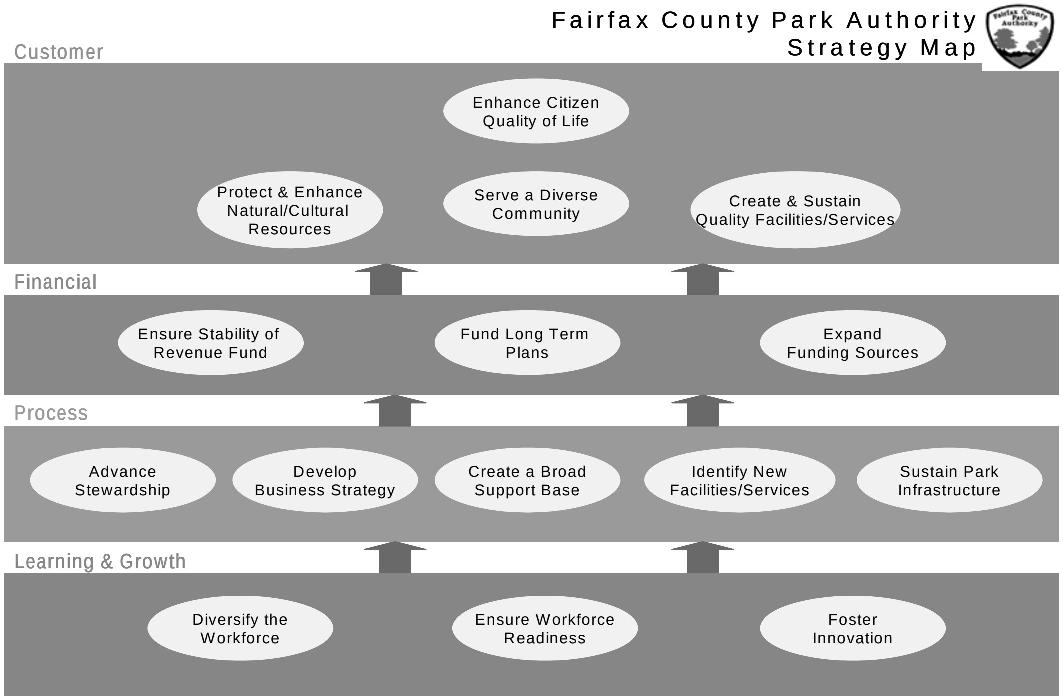
In managing facilities the Authority must quickly respond to changing expectations in order to maintain customer loyalty and stability in the revenue base. Examples of the application of Park Revenue Fund revenue to benefit citizens and customers include development of Pilates studios at two RECenters, implementation of indoor cycling programs, conversion of an old ball field concession facility to a supplemental class and camp building, creation of a fall prevention program for seniors, installation of ultraviolet light systems in swimming pools to improve water quality, upgraded irrigation pump stations at golf courses, on-course restroom facilities on golf courses, development of a mini-golf course at Burke Lake Park, all-weather covers for the driving range at Twin Lakes Golf Course, and a refreshment station for park users at Jefferson District Park. In addition, revenue supports other operational needs such as information technology, improving both service delivery and management. Recent or near-term initiatives include enabling customer-oriented services such as online pass sales/renewals, e-mail classes and camp surveys, electronic distribution of camp registration packets, updated concert series web pages that include new search capability for citizens to find programs, and development of an enhanced *Parktakes* online web portal.

The desire of the community to preserve and maintain existing parks was evident in the qualitative and quantitative data gleaned from the Needs Assessment process. However, the Facility Condition Assessment (totaling $435 million) of existing facilities and infrastructure, completed as part of the Needs Assessment, indicated that the portion for capital requirements for repairs and renovations could cost up to $120 million over the next 10 years. The decline of these facilities and infrastructure is largely attributable to age, usage, and limited resources to perform required life-cycle maintenance. To help address issues of aging infrastructure and rising energy costs, the Park Authority has established a progressive energy management program. The Authority is also developing a long-term plan to manage both the growing costs for maintenance needs for the park facilities, as well as the debt service requirements associated with the addition of Laurel Hill Park.

# Fund 170
# Park Revenue Fund

## Strategic Plan

The following Strategy Map serves as a model of how the Park Authority creates value for County citizens. It contains the agency's 2006-2010 strategic objectives, identified within the learning and growth, process, financial and customer perspectives. Collectively, these objectives help to meet the Park Authority's overarching goal of improving citizen quality of life.




The Authority has extended its FY 2006-2010 Balanced Scorecard Strategic Plan by one year through FY 2011 to allow time to evaluate the impact of FY 2010 and FY 2011 budget reductions. The Authority is preparing to develop its next five year strategic plan and scorecard measures for FY 2012–2016.

The customer perspective contains the overarching objectives of the Authority's current Strategic Plan, which is to "Enhance Citizen Quality of Life." The agency accomplishes this through its two-dimensioned mission statement ("Protect and Enhance Natural and Cultural Resources" and "Create and Sustain Quality Facilities and Services"). In addition, the Authority aims to provide programs, facilities and services that engage and meet the needs to "Serve a Diverse Community."

The strategic objectives contained in the other three perspectives of the Map (Learning and Growth, Process, and Financial) position the Park Authority to successfully meet the overarching objectives contained in the Customer Perspective.

The Park Authority 2006-2010 Balanced Scorecard Strategic Plan can be accessed at the Fairfax County Park Authority website at http://www.fairfaxcounty.gov/parks/.

# Fund 170
# Park Revenue Fund

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 236/ 236 | 236/ 236 | 237/ 237 | 237/ 237 |
| Expenditures: | | | | |
| Personnel Services | $23,801,042 | $25,362,311 | $25,473,299 | $26,762,527 |
| Operating Expenses | 12,717,170 | 14,360,740 | 14,458,514 | 14,710,283 |
| Recovered Costs | (1,114,051) | (1,302,599) | (1,302,599) | (1,302,599) |
| Capital Equipment | 113,516 | 542,500 | 467,500 | 579,500 |
| Bond Costs | 1,067,645 | 1,069,158 | 1,069,158 | 1,064,291 |
| **Total Expenditures** | **$36,585,322** | **$40,032,110** | **$40,165,872** | **$41,814,002** |

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2011.

♦ **Personnel Services** **$768,661**
An increase of $768,661 in Personnel Services is primarily associated with an increase in additional instructional hours for recreation programs, affecting limited term costs for associated instructors. It is noted that these class costs are fully recovered through fees.

♦ **Other Post-Employment Benefits** **$631,555**
An increase of $631,555 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Historically, costs related to these benefits have been paid by the General Fund; however, beginning in FY 2011, these annual costs will be spread to other funds in order to more appropriately reflect benefit-related expenses within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2011 Advertised Budget Plan.

♦ **Operating Expenses** **$349,543**
An increase of $349,543 in Operating Expenses is associated with increases in repairs, maintenance and rising utility costs at Park Authority facilities. The increase also aligns the Fund 170 budget with the current array of programming.

♦ **Capital Equipment** **$579,500**
Funding in the amount of $579,500 is included for Capital Equipment for the replacement of exercise equipment and golf course maintenance equipment, such as mowers and aerators that have outlived their useful life.

♦ **Bond Costs** **($4,867)**
A decrease of $4,867 in Bond Costs consistent with principal and interest requirements for FY 2011.

# Fund 170
# Park Revenue Fund

## Changes to FY 2010 Adopted Budget Plan

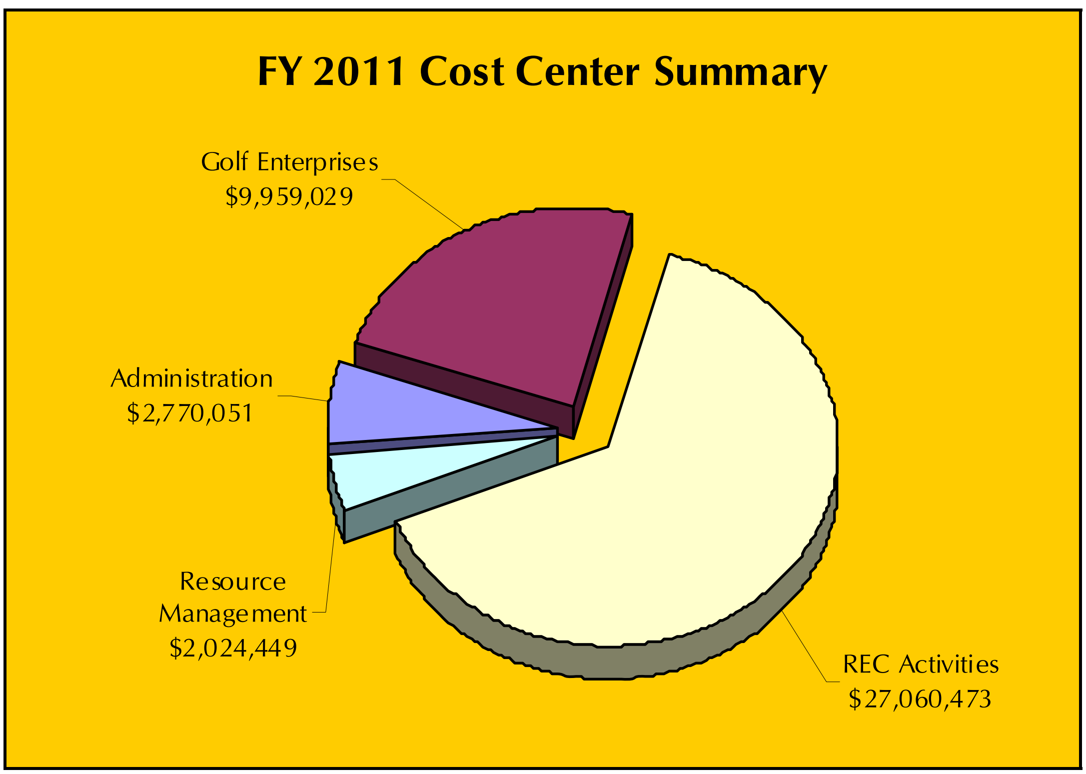
*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$133,762**
  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $133,762 to reflect expenses reduced from the General Fund as part of the FY 2010 Adopted Budget Plan that were approved by the Park Authority Board to be funded by the Park Revenue Fund. Existing available revenue supports this increase.

- **Position Adjustment** **$0**
  An increase of 1/1.0 SYE position resulting from of an out-of-cycle adjustment to reflect a new Park and Recreation Specialist position in Fund 170. This position was created to support CLEMYJONTRI Park as a result of the FY 2010 elimination of a CLEMYJONTRI position in the General Fund. The position supports programming and monitors the operation of CLEMYJONTRI Park. The Park Authority Board approved the creation of this position on May 13, 2009.

## Cost Centers

The four Cost Centers of the Park Revenue Fund are Administration, Golf Enterprises, REC Activities and Resource Management. The Cost Centers work together to fulfill the mission of the Fund and carry out the key initiatives for the Fiscal Year.



# Fund 170
# Park Revenue Fund

## Administration

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 13/ 13 | 13/ 13 | 13/ 13 | 13/ 13 |
| **Total Expenditures** | **$2,182,103** | **$2,739,981** | **$2,739,981** | **$2,770,051** |

| Position Summary | |
|---|---|
| 2 Network Telecom Analysts I | 2 Engineers IV |
| 1 Network Telecom Analyst II | 7 Engineers III |
| 1 Senior Right-of-Way Agent | |
| **TOTAL POSITIONS**<br>**13 Positions / 13.0 Staff Years** | |

## Key Performance Measures

### Goal

To implement Park Authority Board policies and provide high quality administrative and business support to all levels of the Park Authority in order to assist division management in achieving Park Authority mission-related objectives.

### Objectives

- To manage expenditures, revenues, and personnel and to provide safety and information technology services for the Park Authority, with at least 90 percent customer satisfaction, while achieving at least 75 percent of the approved administration division's work plan objectives.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Annual budget expenditures administered | $30,178,066 | $30,497,647 | $32,826,739 / $26,214,391 | $32,587,615 | $27,031,435 |
| Employees (regular merit and limited term) | 3,326 | 3,237 | 3,300 / 3,284 | 3,236 | 3,159 |
| PC's, servers, and printers | 695 | 708 | 716 / 716 | 716 | 724 |
| **Efficiency:** | | | | | |
| Expenditure per Purchasing/Finance SYE | $1,341,247 | $1,355,451 | $1,458,966 / $1,165,084 | $1,448,338 | $1,257,276 |
| Agency employees served per HR SYE | 391 | 341 | 388 / 383 | 381 | 451 |
| IT Components per IT SYE | 115.83 | 118.00 | 119.33 / 119.00 | 119.00 | 145.00 |
| **Service Quality:** | | | | | |
| Customer satisfaction | 97% | 92% | 90% / 92% | 90% | 90% |
| **Outcome:** | | | | | |
| Percent of annual work plan objectives achieved | 75% | 80% | 75% / 86% | 75% | 75% |

# Fund 170
# Park Revenue Fund

## Performance Measurement Results

Workloads continued to increase as a result of the opening of several facilities over the last several years as well as increased audit requirements. Customer satisfaction for FY 2009 was at 92 percent. This figure is not anticipated to increase as a result of continual increased workload demands without increases to administrative support staff. The division accomplished 86 percent of its work plan objectives for FY 2009, and will continue to make every effort to achieve its objective target of 75 percent for both FY 2010 and FY 2011.

## Golf Enterprises

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 78/ 78 | 78/ 78 | 78/ 78 | 78/ 78 |
| **Total Expenditures** | **$8,720,251** | **$10,181,138** | **$10,181,138** | **$9,959,029** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 3 | Park/Rec Specialists IV | 1 | Administrative Assistant III | 4 | Motor Equip. Operators |
| 3 | Park/Rec Specialists III | 5 | Facility Attendants II | 2 | Automotive Mechanics II |
| 3 | Park/Rec Specialists II | 1 | Park Management Specialist II | 3 | Golf Course Superintendents III |
| 7 | Park/Rec Specialists I | 10 | Senior Maintenance Workers | 1 | Golf Course Superintendent II |
| 9 | Park/Rec Assistants | 22 | Maintenance Workers | 4 | Golf Course Superintendents I |

**TOTAL POSITIONS**
**78 Positions / 78.0 Staff Years**

## Key Performance Measures

### Goal

To operate and maintain quality golf facilities, programs and services for the use and enjoyment of Fairfax County citizens and visitors; plan for future golf needs countywide; and provide opportunities and programs that enhance the growth of the sport as a life-long leisure activity.

### Objectives

- To maintain the number of golf rounds played at 310,000.
- To achieve cost recovery of 122 percent.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Rounds played | 318,117 | 322,184 | 325,000 / 298,631 | 310,000 | 310,000 |
| Gross revenue | $10,797,501 | $11,403,317 | $11,956,481 / $10,520,811 | $12,388,376 | $11,890,882 |
| **Efficiency:** | | | | | |
| Expense/rounds played | $28.07 | $28.93 | $31.28 / $29.12 | $32.84 | $31.45 |
| Revenue per round | $33.94 | $35.39 | $36.79 / $35.23 | $39.96 | $38.36 |

# Fund 170
# Park Revenue Fund

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Percent "Very" Satisfied (1) | 59% | NA | NA / NA | NA | NA |
| **Outcome:** | | | | | |
| Percent change in rounds played | (0.5%) | 1.3% | 0.9% / (7.3%) | 3.8% | 0.0% |
| Cost recovery percentage | 120.90% | 122.30% | 117.60% / 120.90% | 121.70% | 122.00% |

(1) No survey has been conducted since FY 2007 due to budget constraints. Therefore Service Quality measures are not available.

## Performance Measurement Results

In FY 2009, rounds played decreased by 7.3 percent from FY 2008, as a combination of poor golf weather and the effects of the economic recession impacted overall play. Rounds are expected to remain flat from FY 2010 to FY 2011 due to the continuing economic downturn.

The actual cost recovery of 120.9 percent for FY 2009 was achieved with aggressive cost containment efforts by site managers and the deferral of replacement course maintenance equipment.

## REC Activities

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 134/ 134 | 134/ 134 | 134/ 134 | 134/ 134 |
| **Total Expenditures** | **$24,340,469** | **$25,599,401** | **$25,733,163** | **$27,060,473** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Recreation Division Supervisor I | 1 | Publications Assistant | 8 | Prevent. Maintenance Specs. |
| 2 | Park Management Specialists II | 2 | Communications Specialists I | 1 | Maintenance Crew Chief |
| 2 | Park Management Specialists I | 1 | Communication Specialist II | 7 | Custodians II |
| 10 | Park/Rec Specialists IV | 1 | Management Analyst III | 4 | Custodians I |
| 2 | Park/Rec Specialists III | 3 | Management Analysts II | 1 | Electronic Equipment Tech. II |
| 30 | Park/Rec Specialists II | 1 | Facility Attendant I | 1 | Painter II |
| 4 | Park/Rec Specialists I | 12 | Administrative Assistants III | 1 | Producer/Director |
| 37 | Park/Rec Assistants | 1 | Naturalist/Historian Senior Interpreter | 1 | Business Analyst II |

**TOTAL POSITIONS**
**134 Positions / 134.0 Staff Years**

## Key Performance Measures

### Goal

To provide financially self-sufficient recreational facilities and services that meet the expectations of the citizens of Fairfax County in order to enhance their quality of life by providing opportunities to develop lifetime leisure pursuits.

# Fund 170
# Park Revenue Fund

## Objectives

- To achieve and maintain a rate of 5.60 service contacts per household in order to provide opportunities for Fairfax County citizens to enhance their recreational, fitness, health, and leisure activities while learning about linkages between these resources and a healthy community and personal life.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Service contacts | 2,213,913 | 2,194,563 | 2,160,732 / 2,297,479 | 2,174,413 | 2,197,110 |
| **Efficiency:** | | | | | |
| Service contacts per household | 5.66 | 5.72 | 5.60 / 5.96 | 5.60 | 5.60 |
| **Service Quality:** | | | | | |
| Percent "Very" Satisfied (1) | 76% | NA | NA / NA | NA | NA |
| **Outcome:** | | | | | |
| Percent of households indicating parks/recreation services are "very" important or "extremely" important to their quality of life (1) | 77% | NA | NA / NA | NA | NA |

(1) No survey has been conducted since FY 2007 due to budget constraints. Therefore Service Quality and Outcome measures are not available.

## Performance Measurement Results

In FY 2009, The Park Authority achieved a rate of 5.96 service contacts per household, higher than the goal of 5.60, demonstrating the continuing popularity of Park Authority programs. Service contacts measure the number of individuals who enter a Park Authority facility such as a RECenter and receive a service. The Authority will strive to maintain the 5.60 goal for FY 2010 and FY 2011.

## Resource Management

**Funding Summary**

| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 11/ 11 | 11/ 11 | 12/ 12 | 12/ 12 |
| **Total Expenditures** | **$1,342,499** | **$1,511,590** | **$1,511,590** | **$2,024,449** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Historian II | 1 | Park/Rec Specialist III | 1 | Administrative Assistant V |
| 1 | Historian I | 1 | Park/Rec Specialist II | 1 | Administrative Assistant III |
| 1 | Assistant Historian | 3 | Park/Rec Specialists I | 1 | Custodian II |
| 1 | Facility Attendant II | | | | |

**TOTAL POSITIONS**
**12 Positions / 12.0 Staff Years**

## Key Performance Measures

### Goal
To maintain and expand the availability of division services, programs, publications and facilities for citizens of Fairfax County and visitors of our parks in order to provide opportunities for education and appreciation of their natural and cultural heritage.

### Objectives
- To increase visitor contacts by 3.5 percent.

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Visitor contacts | 203,861 | 226,960 | 234,904 / 199,799 | 206,792 | 214,030 |
| **Efficiency:** | | | | | |
| Visitors contacts per household | 0.52 | 0.57 | 0.58 / 0.52 | 0.55 | 0.55 |
| **Service Quality:** | | | | | |
| Percent of visitors "very" satisfied with programs and services (1) | 78% | NA | NA / NA | NA | NA |
| **Outcome:** | | | | | |
| Percent of households indicating that natural, cultural and horticultural resources, facilities and services are "extremely" or "very" important to quality of life (1) | 70% | NA | NA / NA | NA | NA |
| Percent change in number of visitor contacts | (7.3%) | 11.3% | 3.5% / (13.6%) | 3.5% | 3.5% |

(1) No survey has been conducted since FY 2007 due to budget constraints. Therefore Service Quality and Outcome measures are not available.

## Performance Measurement Results
In FY 2009, The Park Authority achieved a rate of 0.52 service contacts per household, lower than the goal of 0.58. Service contacts measure the number of individuals who enter a Park Authority facility such as a nature center and receive a service. The Authority will strive to maintain the 0.55 goal for FY 2010 and FY 2011.

# Fund 170
# Park Revenue Fund

## FUND STATEMENT

**Fund Type P17, Non-Appropriated Funds** **Fund 170, Park Revenue Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$7,181,841** | **$7,706,648** | **$8,437,658** | **$9,299,922** |
| Revenue: | | | | |
| Interest on Bond Proceeds | $9,680 | $52,488 | $52,488 | $52,488 |
| Park Fees | 38,194,272 | 41,338,471 | 41,338,471 | 41,985,585 |
| Interest | 115,552 | 248,240 | 248,240 | 248,241 |
| Donations | 305,698 | 355,500 | 355,500 | 355,500 |
| Total Revenue[1] | $38,625,202 | $41,994,699 | $41,994,699 | $42,641,814 |
| **Total Available** | **$45,807,043** | **$49,701,347** | **$50,432,357** | **$51,941,736** |
| Expenditures: | | | | |
| Personnel Services | $23,801,042 | $25,362,311 | $25,473,299 | $26,762,527 |
| Operating Expenses[1] | 12,717,170 | 14,360,740 | 14,458,514 | 14,710,283 |
| Recovered Costs | (1,114,051) | (1,302,599) | (1,302,599) | (1,302,599) |
| Capital Equipment | 113,516 | 542,500 | 467,500 | 579,500 |
| Subtotal | $35,517,677 | $38,962,952 | $39,096,714 | $40,749,711 |
| Debt Service:[2] | | | | |
| Fiscal Agent Fee | $3,233 | $3,233 | $3,233 | $3,233 |
| Accrued Bond Interest Payable | 1,064,412 | 1,065,925 | 1,065,925 | 1,061,058 |
| **Total Expenditures[3]** | **$36,585,322** | **$40,032,110** | **$40,165,872** | **$41,814,002** |
| Transfers Out: | | | | |
| County Debt Service (200)[4] | $784,063 | $806,563 | $806,563 | $827,812 |
| Park Capital Improvement Fund (371) | 0 | 0 | 160,000 | 0 |
| Total Transfers Out | $784,063 | $806,563 | $966,563 | $827,812 |
| **Total Disbursements** | **$37,369,385** | **$40,838,673** | **$41,132,435** | **$42,641,814** |
| **Ending Balance[5]** | **$8,437,658** | **$8,862,674** | **$9,299,922** | **$9,299,922** |
| Debt Service Reserve | $1,850,475 | $1,850,475 | $1,850,475 | $1,937,368 |
| Managed Reserve[6] | 6,427,183 | 7,012,199 | 7,449,447 | 7,362,554 |
| Set Aside Reserve[7] | 160,000 | 0 | 0 | 0 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

# Fund 170
# Park Revenue Fund

[1]This fund statement reflects cash basis accounting. This method differs from the Park Authority's Comprehensive Annual Financial report (CAFR-FAMIS) which records revenue for unused Park passes in order to be in compliance with Generally Accepted Accounting Principles. The difference in the amount of revenue recognized under the cash basis accounting method used above and not recognized in the Park Authority's CAFR is $4,004,126. The net effect of deferred revenue is that the FY 2009 Actual Column shown above is $242,525 higher than reflected in the County's accounting system using accrual basis. This impact is included in the Managed Reserve.

[2]Debt service represents principle and interest on Park Revenue Bonds which supported the construction of the Twin Lakes Golf Course.

[3]In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $1,625 has been reflected as a decrease to FY 2009 expenditures to accurately reflect expenditure accruals for Personnel Services and Operating Expenses. This results in a net increase of $1,625 to the fund balance. This adjustment has been included in the FY 2009 Comprehensive Annual Financial Report (CAFR). Details of the FY 2009 audit adjustments will be included in the FY 2010 Third Quarter Package.

[4]Debt service payments for the Note Payable which supported the development of the Laurel Hill Golf Club will be made from Fund 200, County Debt Service.

[5]The Park Revenue Fund maintains fund balances at adequate levels relative to projected operation and maintenance expenses, as well as debt service requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[6]The Managed Reserve includes set aside cash flow and emergency reserves for operations as a contingency for unanticipated operating expenses or a disruption in the revenue stream, as well as revenue set aside as part of the deferred liability plan scheduled to eventually convert to a full accrual fund statement.

[7]The Set Aside Reserve is used to fund renovations and repairs at various park facilities as approved by the Park Authority Board.

# Fund 371
# Park Capital Improvement Fund

## Focus

This fund was established under the provisions of the Park Authority Act to provide for capital improvements to the agency's revenue-generating facilities and parks, as well as various park sites. Through a combination of grants, proffers, and donations, this fund provides for specific park improvements. Funding is also derived through lease payments and revenue bonds for golf course development. In recent years, transfers from Fund 170, Park Revenue Fund have supported improvements to park facilities; however, the amount of funding received from Fund 170 fluctuates from year to year.

No funding is included for Fund 371, Park Capital Improvement Fund, in FY 2011. Work will continue on existing and previously funded projects.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- **Carryover Adjustments** **$18,302,373**

  As part of the *FY 2009 Carryover Review*, the Board of Supervisors approved an increase of $18,302,373 due to the carryover of unexpended project balances in the amount of $14,881,425 and adjustments totaling $3,420,948. This adjustment included $432,698 in interest earnings, $2,862,708 in contributions and other revenue, and $160,000 transferred from Fund 170, Park Revenue Fund. This increase was partially offset by an amount of $34,458 which supported the Facilities and Services Reserve. The Facilities and Services Reserve supports the future maintenance and renovation of revenue-generating facilities and services.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 371
# Park Capital Improvement Fund

## FUND STATEMENT

**Fund Type P37, Non-Appropriated Funds** **Fund 371, Park Capital Improvement Fund**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$23,850,946** | **$3,985,063** | **$21,791,894** | **$4,019,521** |
| Revenue: | | | | |
| Interest | $432,698 | $0 | $0 | $0 |
| Capital Grants and Contributions[1] | 0 | 0 | 370,000 | 0 |
| Other Revenue[2] | 2,862,708 | 0 | 0 | 0 |
| Total Revenue | $3,295,406 | $0 | $370,000 | $0 |
| Transfers In: | | | | |
| Park Revenue Fund (170)[3] | $0 | $0 | $160,000 | $0 |
| Total Transfers In: | $0 | $0 | $160,000 | $0 |
| **Total Available** | **$27,146,352** | **$3,985,063** | **$22,321,894** | **$4,019,521** |
| Total Expenditures | $5,354,458 | $0 | $18,302,373 | $0 |
| **Total Disbursements** | **$5,354,458** | **$0** | **$18,302,373** | **$0** |
| **Ending Balance[4]** | **$21,791,894** | **$3,985,063** | **$4,019,521** | **$4,019,521** |
| Lawrence Trust Reserve[5] | $1,507,926 | $1,507,926 | $1,507,926 | $1,507,926 |
| Repair and Replacement Reserve[6] | 700,000 | 700,000 | 700,000 | 700,000 |
| Facilities and Services Reserve[7] | 1,777,137 | 1,777,137 | 1,811,595 | 1,811,595 |
| **Unreserved Ending Balance** | **$17,806,831** | **$0** | **$0** | **$0** |

[1]The *FY 2010 Revised Budget Plan* reflects revenues anticipated from a Recreation Access Program grant awarded by the Commonwealth of Virginia Transportation Board for improvements at Stratton Woods Park (Project 004567).

[2]Other revenue reflects easements, donations, monopole revenue, and proffer revenue.

[3]The *FY 2010 Revised Budget Plan* reflects an amount of $160,000 that has been transferred from Fund 170, Park Revenue Fund, for General Park Improvements.

[4]Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[5]This Reserve separately accounts for the Ellanor C. Lawrence monies received for maintenance and renovation to this site. In accordance with the Fairfax County Park Authority Board, the principal amount of $1,507,926 received from the donation will remain intact, and any interest earned will be used according to the terms of the Trust.

[6]The Golf Revenue Bond Indenture requires that a security reserve and capital repair reserve be maintained in the Capital Improvement Plan for repairs to park facilities.

[7]The Facilities and Service Reserve supports the maintenance and renovation of revenue-generating facilities.

# Fund 371
# Park Capital Improvement Fund

## FY 2011 Summary of Capital Projects

### Fund: 371 Park Capital Improvement Fund

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 004102 | Low Impact Development | $150,000 | $13,124.28 | $6,726.78 | $0 |
| 004103 | Stewardship Education | 135,000 | 7,331.43 | 54,420.43 | 0 |
| 004105 | GIS/Data/Green Infrastructure | 180,000 | 113,804.95 | 11,424.81 | 0 |
| 004108 | Lee District Land Acquisition and Development | 542,862 | 14,501.00 | 482,157.61 | 0 |
| 004109 | Countywide Trails | 49,998 | 0.00 | 35,664.18 | 0 |
| 004110 | Merrilee Park | 17,139 | 0.00 | 17,139.00 | 0 |
| 004113 | Lee District Telecommunications | 87,809 | 4,565.30 | 77,469.33 | 0 |
| 004115 | Pimmit Run SV-Area 1 Maint. Facility | 73,435 | 15,963.06 | 57,471.94 | 0 |
| 004116 | Confederate Fortifications Historic Site | 51,628 | 0.00 | 48,966.18 | 0 |
| 004117 | Turner Farm Observatory | 13,025 | 0.00 | 13,025.00 | 0 |
| 004119 | Vulcan | 2,247,578 | 0.00 | 2,247,578.00 | 0 |
| 004122 | Spring Hill Park - McLean Youth Soccer | 1,672,609 | 1,522,944.56 | 0.00 | 0 |
| 004124 | Mount Vernon Recenter | 260,586 | 256,150.00 | 0.00 | 0 |
| 004126 | Restitution for VDOT Takings | 11,118 | 0.00 | 11,118.00 | 0 |
| 004127 | Fort Willard - Fort Restoration | 85,000 | 57,175.56 | 27,824.44 | 0 |
| 004128 | Ft. Willard - Park (Non-Fort) Development | 37,525 | 0.00 | 37,525.00 | 0 |
| 004129 | Lee District Tree House | 241,100 | 127,403.16 | 113,696.84 | 0 |
| 004131 | Mt. Vernon Parks - Districtwide | 11,593 | 0.00 | 11,593.00 | 0 |
| 004132 | Oakton Community Park | 100,000 | 0.00 | 100,000.00 | 0 |
| 004135 | Lake Fairfax Skate Park | 2,172 | 0.00 | 2,172.00 | 0 |
| 004136 | Stout Condemnation | 1,125,000 | 1,125,000.00 | 0.00 | 0 |
| 004146 | Fox Mill Park | 116,045 | 110,832.10 | 5,212.90 | 0 |
| 004349 | South Run Park | 260,131 | 48,670.11 | 61,189.19 | 0 |
| 004380 | Beulah Road Park | 7,670 | 0.00 | 7,670.00 | 0 |
| 004493 | Robert E. Lee Recreation Center | 519,426 | 13,560.00 | 42,460.04 | 0 |
| 004503 | Cub Run S. V. Park | 307,738 | 0.00 | 202,608.74 | 0 |
| 004522 | Frying Pan Park | 192,958 | 45,949.54 | 79,520.89 | 0 |
| 004528 | Riverbend Park | 86,137 | 5,815.28 | 48,093.11 | 0 |
| 004534 | Park Contingency | | 0.00 | 3,027,071.16 | 0 |
| 004538 | Park Easement Admin. | 2,657,770 | 231,610.26 | 892,068.46 | 0 |
| 004558 | Park Collections | 50,717 | 247.00 | 2,624.53 | 0 |
| 004564 | History Special Events | 8,000 | 0.00 | 2,994.65 | 0 |
| 004567 | Stratton Woods | 1,513,260 | 53,959.15 | 557,155.30 | 0 |
| 004584 | Nottoway Park | 66,806 | 9,000.00 | 0.00 | 0 |
| 004592 | Sully Plantation | 741,394 | 1,906.29 | 453,825.13 | 0 |
| 004593 | Green Spring Farm Park | 110,000 | 0.00 | 60,250.00 | 0 |
| 004595 | Mason District Park | 530,931 | 61,039.27 | 210,760.47 | 0 |
| 004596 | Wakefield | 1,947,689 | 12,047.03 | 21,257.54 | 0 |
| 004626 | Stuart Ridge/Sugarland Run Park | 24,886 | 0.00 | 14,896.00 | 0 |
| 004748 | Gen. Park Improvements | | 284,209.16 | 345,093.90 | 0 |
| 004749 | Site Information Management | 2,842,000 | 13,246.44 | 738,675.98 | 0 |
| 004750 | Park Proffers | 10,989,636 | 804,984.90 | 6,146,475.11 | 0 |
| 004751 | Park Rental Bldg. Maint. | 1,458,082 | 89,124.20 | 244,271.56 | 0 |
| 004758 | Archaeology Proffers | 169,732 | 13,351.66 | 67,903.56 | 0 |
| 004759 | Stewardship Publications | 68,359 | 6,019.81 | 45,544.83 | 0 |
| 004760 | Stewardship Exhibits | 13,325 | 1,056.12 | 4,427.33 | 0 |
| 004761 | Lawrence Trust | 475,599 | 0.00 | 328,868.16 | 0 |
| 004762 | Golf Improvements | 2,662,740 | 3,352.00 | 7,029.98 | 0 |
| 004763 | Grants | | 188,329.90 | 393,915.91 | 0 |

# Fund 371
# Park Capital Improvement Fund

| Project # | Description | Total Project Estimate | FY 2009 Actual Expenditures | FY 2010 Revised Budget | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|---|
| 004764 | Mt. Air | 46,701 | 0.00 | 3,059.92 | 0 |
| 004769 | Mastenbrook Volunteer Grant Program | | 67,184.00 | 42,447.01 | 0 |
| 004771 | Historic Huntley | 445,179 | 0.00 | 429,287.83 | 0 |
| 004774 | Gabrielson Gardens | 2,000 | 0.00 | 2,000.00 | 0 |
| 004775 | Open Space Preservation Contributions | 558,392 | 0.00 | 208,392.00 | 0 |
| 004778 | Land Acquisition Support | 156,420 | 0.00 | 54,362.43 | 0 |
| 004782 | CLEMYJONTRI | 768,519 | 30,829.67 | 20,743.04 | 0 |
| 004783 | Linway Terrace | 5,000 | 0.00 | 5,000.00 | 0 |
| 004785 | Providence Area Park Improvements | 83,050 | 0.00 | 60,000.00 | 0 |
| 004787 | McLean Central Park | 12,000 | 0.00 | 1,298.60 | 0 |
| 004788 | West County Recenter | 435,000 | 0.00 | 11,059.16 | 0 |
| 004796 | South Run S.V. - Mt. Vernon | 88,963 | 0.00 | 88,963.00 | 0 |
| 004797 | Arrowhead Park | 158,000 | 171.12 | 5,453.38 | 0 |
| 004799 | Wolf Trap | 24,000 | 0.00 | 2,469.19 | 0 |
| **Total** | | **$37,699,433** | **$5,354,458.31** | **$18,302,372.53** | **$0** |

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# Fund 117
# Alcohol Safety Action Program

**Alcohol Safety Action Program**

## Mission
To reduce the incidence of driving under the influence of alcohol (DUI) in Fairfax County through completion of a rehabilitative alcohol/drug education program, case management, public education, and referral to alcohol/drug treatment programs when necessary.

## Focus
The Fairfax County Alcohol Safety Action Program (ASAP) serves a probationary function for the Circuit and General District Courts under the supervision of the ASAP Policy Board. The Fairfax ASAP is one of 24 ASAPs in Virginia and clients are court ordered, DMV referred, or participate voluntarily. The core programs are state mandated and address essential needs of clients including: intake, assessment, rehabilitative alcohol/drug education, referral to treatment, and case management to individuals charged with, or convicted of, driving under the influence of alcohol (DUI). In addition, ASAP provides alcohol/drug education programs for habitual offenders, a drug education program for first-time drug possession offenders, and programs for adolescent substance abusers. ASAP also participates in outreach activities to educate the community about its mission. Programs are available in English and Spanish. ASAP's continual focus will be the supervision of DUI offenders as well as the enforcement of the Code of Virginia. The agency also continues to rely on partnerships with the courts, Commonwealth Attorney's office, and treatment providers.

The County is the fiscal agent for the Fairfax ASAP which is administered through the Department of Administration for Human Services. ASAP is expected to be a self-supporting agency, funded entirely by client fees with the County providing indirect support through office space and utilities. The State imposes a $400 fee ceiling on per client costs for the state mandated core program. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP, decline. Should surplus client fees above and beyond the balance required for a sufficient reserve fund become available in any fiscal year, the ASAP Policy Board will reimburse the County for the indirect costs noted above, or may request permission from the Board of Supervisors to expend such funds on the program. It should be noted that the number of clients has increased in FY 2009, a trend that is anticipated to continue due to increased referrals to ASAP from the courts. The higher number of referrals (and resulting higher client fee revenues) combined with ongoing measures being taken to control expenditure requirements resulted in the agency ending the fiscal year with a positive fund balance. In FY 2010 and FY 2011, ASAP will continue to focus on improving the collection of client fees and outstanding debt.

# Fund 117
# Alcohol Safety Action Program

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 16/ 16 | 16/ 16 | 16/ 16 | 16/ 16 |
| Expenditures: | | | | |
| Personnel Services | $1,594,500 | $1,525,149 | $1,525,149 | $1,525,149 |
| Operating Expenses | 195,942 | 162,151 | 162,151 | 162,151 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$1,790,442** | **$1,687,300** | **$1,687,300** | **$1,687,300** |

| Position Summary | | |
|---|---|---|
| 1 Probation Supervisor II | 1 Probation Counselor III | 1 Administrative Associate |
| 1 Probation Supervisor I | 6 Probation Counselors II | 2 Administrative Assistants IV |
| | 1 Financial Specialist I | 3 Administrative Assistants II |

**TOTAL POSITIONS**
**16 Positions / 16.0 Staff Years**

## FY 2011 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2011 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance awards or market rate adjustments in FY 2011.

## Changes to FY 2010 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2010 Adopted Budget Plan. Included are all adjustments made as part of the FY 2009 Carryover Review, and all other approved changes through December 31, 2009:*

- There have been no revisions to this fund since the approval of the FY 2010 Adopted Budget Plan.

## Key Performance Measures

### Objectives

- To provide a comprehensive alcohol/drug education program to individuals charged with driving under the influence of alcohol (DUI) that results in 92 percent of clients who have successfully completed the probationary period two years prior and have not recidivated.

# Fund 117
# Alcohol Safety Action Program

| Indicator | Prior Year Actuals FY 2007 Actual | FY 2008 Actual | FY 2009 Estimate/Actual | Current Estimate FY 2010 | Future Estimate FY 2011 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Individuals served in ASAP education program | 3,575 | 3,779 | 3,779 / 4,354 | 4,354 | 4,354 |
| **Efficiency:** | | | | | |
| Cost per individual served (1) | $365 | $390 | $368 / $341 | $322 | $322 |
| **Service Quality:** | | | | | |
| Percent of individuals satisfied | 97% | 97% | 97% / 97% | 97% | 97% |
| **Outcome:** | | | | | |
| Percent of individuals completing the program two years prior who have not recidivated based on Department of Motor Vehicles (DMV) records | 92% | 92% | 92% / 92% | 92% | 92% |

(1) The FY 2008 cost per individual served has been revised due to an updated calculation.

## Performance Measurement Results

It should be noted that clients referred by the Courts to programs other than ASAP's core education program are not required to pay the full state-mandated fee and are not counted in the above table. Service Quality, a measurement of client satisfaction with ASAP education classes, has remained at the 97 percent level since FY 2001, and is projected to remain at this high level. The percentage of individuals completing the core education program two years prior who have not recidivated has remained at 92 percent and is expected to remain at that level in FY 2010 and FY 2011. The cost per individual served is dependent upon the number of referrals to ASAP coming from the courts combined with ongoing expenditure requirements. As a result of ASAP taking measures to control expenditures and an increase in the number of referrals to ASAP, the FY 2009 cost per individual served decreased to $341.

# Fund 117
# Alcohol Safety Action Program

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 117, Alcohol Safety Action Program**

| | FY 2009 Actual | FY 2010 Adopted Budget Plan | FY 2010 Revised Budget Plan | FY 2011 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **($27,046)** | **$0** | **$22,114** | **$22,114** |
| Revenue: | | | | |
| Client Fees | $1,732,459 | $1,597,986 | $1,597,986 | $1,597,986 |
| ASAP Client Intake | 39,703 | 12,000 | 12,000 | 12,000 |
| ASAP Client Out | (23,880) | (24,000) | (24,000) | (24,000) |
| ASAP Restaff | 2,250 | 4,000 | 4,000 | 4,000 |
| Interest Income | 2 | 400 | 400 | 400 |
| Other Fees | 62,022 | 96,914 | 96,914 | 96,914 |
| Total Revenue | $1,812,556 | $1,687,300 | $1,687,300 | $1,687,300 |
| Transfers In: | | | | |
| General Fund (001)[1] | $27,046 | $0 | $0 | $0 |
| Total Transfers In | $27,046 | $0 | $0 | $0 |
| **Total Available** | **$1,812,556** | **$1,687,300** | **$1,709,414** | **$1,709,414** |
| Expenditures: | | | | |
| Personnel Services | $1,594,500 | $1,525,149 | $1,525,149 | $1,525,149 |
| Operating Expenses | 195,942 | 162,151 | 162,151 | 162,151 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| Total Expenditures | $1,790,442 | $1,687,300 | $1,687,300 | $1,687,300 |
| **Total Disbursements** | **$1,790,442** | **$1,687,300** | **$1,687,300** | **$1,687,300** |
| **Ending Balance[2]** | **$22,114** | **$0** | **$22,114** | **$22,114** |

[1] A Transfer In of $27,046 from the General Fund in FY 2009 was required to achieve a $0 balance in the ASAP fund. The agency must manage resources in a manner that will not require ongoing General Fund support in the future.

[2] Ending Balance fluctuations are the result of the uncertain nature of client referrals to ASAP-sponsored programs. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP decline.